

**Committee on Commerce, Science, and Transportation
United States Senate
Nomination of Wilbur L. Ross, Jr. to be Secretary of the U.S.
Department of Commerce**

Responses to Committee Questionnaire

January 3, 2017

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Wilbur Louis Ross, Jr.

2. Position to which nominated:

Secretary of Commerce

3. Date of Nomination:

November 30, 2016

4. Address (List current place of residence and office addresses):

Home address:

[REDACTED]
[REDACTED]

Office address: 1166 Avenue of the Americas
25th Floor
New York, NY 10036

5. Date and Place of Birth:

November 28, 1937
Weehawken, NJ

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Hilary Geary Ross
Position: Writer
Place of Employment: Home

Child: Jessica Colby Ross
Age: 55

Child: Amanda Colby Ross
Age: 51

Stepchild: Alfred Harrison Geary II
Age: 42

Stepchild: John White Geary III
Age: 39

7. List all college and graduate degrees. Provide year and school attended.

Degree: Bachelor of Arts
School: Yale University
Year: 1959

Degree: Master of Business Administration, with distinction
School: Harvard University
Year: 1961

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

| Date | Company | Position |
|--------------|---|-------------------------------------|
| 2000-present | WL Ross & Co. LLC | Chairman and Chief Strategy Officer |
| 1997-2000 | Rothschild Recovery Fund | Chairman, Chief Investment Officer |
| 1996-98 | News Communications, Inc. | CEO |
| 1976-2000 | Rothschild, Inc. and its predecessor, New Court Securities Corp. | Executive Managing Director |
| 1964-76 | Faulkner Dawkins & Sullivan Securities Corp. | President |
| 1964-66 | Winthrop de Vegh Management Company | Research Analyst |
| 1964-66 | Wood Struthers & Winthrop | Research Analyst |
| 1964-66 | De Vegh International Corp. | Vice President |
| 1961-63 | U.S. Army Adjutant General Corps, Fort Benjamin Harrison & Fort Dix | Served to First Lieutenant |

9. Attach a copy of your resume.

Please see Attachment 1 attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

| Government Entity | Position |
|---|---------------|
| Town of Palm Beach - Board of Trustees of Retirement System | Chairman |
| Town of Palm Beach - Board of Trustees of Retirement System | Trustee |
| Town of Palm Beach - Fire Fighters Retirement Fund | Trustee |
| Town of Palm Beach - Utilities Underground Task Force | Vice Chairman |

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

Please see Attachment 2 attached.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

Please see Attachment 3 attached. No such club or organization listed therein restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

Please see Attachment 4 attached.

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.
- Fifty Most Influential People in Global Finance, Bloomberg (2015)
 - Elected to Private Equity Hall of Fame
 - Elected to Turnaround Management Association Hall of Fame (2013)
 - Awarded medals by the governments of the Republic of Korea and Japan
 - Awarded Businessman of the Year by Florida Trend
 - Chairman of Marquis Who's Who
16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Since entering the work force in 1963, I have participated regularly as a speaker in industry and other events relevant to my professional career. Attachment 5 attached includes the speeches over the past ten years that I have been able to identify from my records and electronic searches, as well as books, articles, and other publications.

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

| Date | Committee | Subject Matter |
|-------------------|--|--|
| January 30, 1990 | House Committee on the Judiciary | Leveraged Buyouts and Bankruptcy |
| February 29, 1996 | House Committee on Transportation and Infrastructure | Reauthorization of Airport Improvement Act |
| March 10, 2004 | House Committee on Small Business | Spike in Metal Prices: What Does it Mean for Small Manufacturers |

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

Over the past 55 years, I have participated as an owner, lead director, or chairman of over 100 different businesses, providing me with a deep understanding of what makes a successful, job-creating enterprise. I have experienced first-hand both the power of innovative technologies to propel U.S. companies to be industry leaders, as well as the job dislocation that can result from these advancing technologies, especially for workers in manufacturing and natural resource industries. I am fully familiar with the challenges of global competition. I am particularly well-versed in the problems of manufacturing industries that are confronting a quickly changing competitive landscape – and with successful strategies for revitalizing them. I am proud of my contributions to help rescue failing firms in the automotive, steel, and textile industries.

I have broad international business experience, some of it quite unusual and instructive. In addition to my involvement in the purchasing of failed U.S. financial institutions from the Federal Deposit Insurance Corporation, I have negotiated the acquisition of troubled financial institutions with the governments of Japan, the Republic of Korea, the United Kingdom, Ireland, Greece, and Cyprus.

Other experiences involving public/private partnerships include my work as privatization advisor to New York Mayor Giuliani, and service on the Board of the U.S.-Russia Investment Fund during the administration of President Clinton.

The opportunity to serve as Secretary of Commerce would present a welcome new challenge for me: How to apply the sum of my decades of experience to spark innovation and to ensure effective implementation of the Department's programs in support of the great task before us: Creating strong economic growth, good jobs and, most importantly, a shared belief that every American will have abundant opportunities to achieve financial stability and personal happiness.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Based on the Inspector General's report, the Department failed to achieve targets for 5 of its 24 tasks, and in some cases this failure has continued for multiple years. Correcting these shortfalls will be a priority. Among many other critical tasks requiring dedicated management attention will be the preparation for and implementation of an efficient and accurate 2020 Census that utilizes sophisticated technologies where possible; launching three more NOAA satellites; and shortening the lead time and increasing the depth and breadth of information generated by the Department's vast number of users. As explained in my response to question 18, I believe that my management experience gained over the past 55 years involving the most challenging business matters will enable me to lead the Department effectively.

20. What do you believe to be the top three challenges facing the department/agency, and why?

I believe that the top three challenges facing the Department are:

- Increasing the Department's responsiveness to the needs of the Committee and the user universe;
- Expanding U.S. exports and reducing the U.S. trade deficit; and
- Integrating technology into the Department to improve efficiency as well as the timelines, depth, and breadth of data,

while improving the protection of intellectual property created in this country.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

I have an Individual Retirement Account (IRA) and participate in a 401(k) Plan. Please see also my response to Question E7.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with the nomination process, I am consulting with the Office of Government Ethics and the Department of Commerce's designated agency ethics official to identify any potential conflicts of interest, including any potential conflicts that may arise in connection with my retained investments. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement into which I will enter with the Department's designated agency ethics official and will provide to this Committee. I am not aware of any potential conflicts of interest other than those that will be the subject of my ethics agreement.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with the nomination process, I am consulting with the Office of Government Ethics and the Department of Commerce's designated agency ethics official to identify any potential conflicts of interest, including any potential conflicts that may arise in connection with my retained investments. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement into which I will enter with the Department's designated agency ethics official and will provide to this Committee. I am not aware of any potential conflicts of interest other than those that will be the subject of my ethics agreement.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

I recall expressing support to Members of Congress and the Administration for the Car Allowance Rebate System (CARS) program, although I do not recall specific activities regarding that program. I do not recall any other active support during the past 10 years for other specific legislative or policy initiatives.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

In connection with the nomination process, I am consulting with the Office of Government Ethics and the Department of Commerce's designated agency ethics official to identify any potential conflicts of interest, including any potential conflicts that may arise in connection with my retained investments. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement into which I will enter with the Department's designated agency ethics official and will provide to this Committee. I am not aware of any potential conflicts of interest other than those that will be the subject of my ethics agreement.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group?

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

Yes. In September 1991, I was stopped in Southampton, New York, for a traffic violation, and subsequently charged with driving while ability impaired by alcohol (DWAI). In November 1991, I paid a fine of approximately \$400, and my license was suspended for 90 days.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

Yes, please see Attachment 6 attached. In addition, I have been associated with a number of corporations and other entities and, from time to time, such entities (including, in some instances, their directors, officers and employees) in the ordinary course, have been involved in judicial or regulatory proceedings relating to their businesses.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

Yes. Please see my answer to Question C.2, above.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None to my knowledge.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

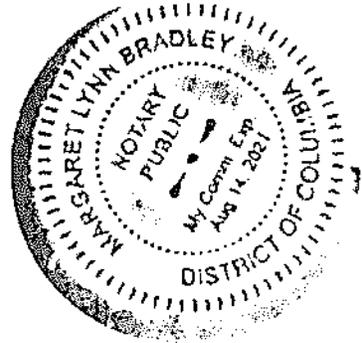
F. Affidavit

Wilbur L. Ross, Jr. being duly sworn, hereby states that he has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his knowledge, current, accurate, and complete.

Wilbur L. Ross, Jr.
Signature of Nominee

Subscribed and sworn before me this 3rd of January, 2017

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 3rd DAY OF January, 2017
BY Margaret Bradley / Mr. Wilbur Ross
Margaret Bradley
NOTARY PUBLIC



Attachment One

WILBUR L. ROSS, JR.

EDUCATION U.S. Army Adjutant General Corps, Fort Benjamin Harrison and Fort Dix
Served to First Lieutenant (1961-1963)

Harvard University
M.B.A., with Distinction (1961)

Yale University
A.B. (1959)

St. Francis Xavier High School, New York City, NY (1951-1955)

Public School #9, North Berger, NJ (1943-1951)

EMPLOYMENT WL Ross & Co. LLC
Chairman & Chief Strategy Officer (2000-Present)

Rothchild Inc. and its predecessor, New Court Securities Corp.
Executive Managing Director (1976-2000)

Faulkner Dawkins & Sullivan Securities Corp.
President (1964-1976)

Winthrop de Vegh Management Company - Research Analyst
Wood Struthers & Winthrop - Research Analyst
De Vegh International Corp. - Vice President (1964-1966)

**SELECTED
PRESENT & PAST
BOARDS OF
DIRECTORS**

- ArcelorMittal
- Nexeo Solutions (Chairman)
- International Textile Group (Chairman)
- International Steel Group (Chairman)
- International Auto Components (Chairman)
- Navigator Holding (Chairman)
- Diamond S. Shipping (Chairman)
- Bank of Cyprus (Vice-Chairman)
- Bank United
- Revere Copper and Brass
- Allis-Chalmers Corp.
- Air Lease
- Greenbrier
- Bank of Ireland
- Biocraft Labs. Inc.
- Fur Vault Inc.
- RH Cement
- Fresca Credit Land Co.
- Clarent Hospital Corp. (Chairman)

**SELECTED
PRESENT & PAST
PUBLIC SERVICE &
NON-PROFIT**

- Mayor Rudy Giuliani (Privatization Advisor)
- U.S. Russia Investment Fund (Board Member)
- Smithsonian Institution National Board (Chairman)
- National Museum of American Art (Chairman)

ACTIVITIES

- New York Historical Society (Chairman)
- National Academy of Design (Chairman)
- Brooklyn Museum (Vice Chairman)
- Yale School of Management (Advisory Board Member)
- Yale Beijing Center (Advisory Board Member)
- Japan Society (Chairman)
- Economic Studies Council, Brookings Institute (Chairman)
- Whitney Museum of American Art Institution (Trustee)
- Brookings Institution (Trustee)
- Yale University Council Committee on the Yale (Chairman)
- The New Museum, New York City (Trustee)
- Sarah Lawrence College (Trustee)
- Smithsonian Bi-Centennial Celebration (National Chairman)

HONORS

- Fifty Most Influential People in Global Finance, Bloomberg (2015)
- Elected to Private Equity Hall of Fame
- Elected to Turnaround Management Association Hall of Fame (2013)
- Awarded medals by the governments of the Republic of Korea and Japan
- Awarded Businessman of the Year by Florida Trend
- Chairman of Marquis Who's Who

Attachment Two

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

| Organization | Position |
|--|------------------------|
| Absolute Recovery Capital Partners, LLC | Managing Member |
| Absolute Recovery Hedge Fund, Ltd.; Absolute Recovery Master Fund Ltd. | Director |
| Air Lease Corp | Director |
| ArcelorMittal | Director |
| Assured Guaranty | Director |
| Bank of Cyprus | Director/Vice Chairman |
| BankUnited FSB; BankUnited, Inc. | Director |
| Blue Ocean Re Holdings Ltd. | Director |
| Brookings Economic Studies Council | Director, Chairman |
| Brookings Institution | Trustee |
| Clarent Hospital Corporation | Director |
| The Committee on Capital Market Regulation Inc. | Director |
| Compagnie Europeenne de Wagons S.a.r.l. | Chairman |
| Diamond S Shipping Group, Inc. | Non-Executive Chairman |
| Diamond S Shipping LLC | Chairman |
| DSS Holdings LP | Director/Chairman |
| El Vedado LLC | Managing Member |
| Exco Resources | Independent Director |
| First Michigan Bank/Talmer Bancorp | Director |

| Organization | Position |
|---|---------------------------------|
| Governor and Company of the Bank of Ireland | Director |
| Greenbrier Companies, Inc. | Director |
| Halla Cement | Director |
| Homeward Residential, Inc. (f/k/a American Home Mortgage Servicing, Inc. and AH Mortgage Acquisition Co. Inc.) | Chairman/President |
| Huaneng Invesco WLR Investment Consulting Company Ltd. | Director |
| IAC Asia Holdings, Inc; IACNA International, Inc.; IACNA Korea, Inc.; IAC Mexico Holdings, Inc.; IAC Spartanburg, Inc.; IAC U.S. Holdings, Inc. | Director |
| India Asset Recovery Management Limited | Director |
| Insuratex, Ltd. | Chairman |
| International Automotive Components Group, S.A. | Non-Executive Chairman |
| International Automotive Group GmbH | Chairman and Director |
| International Automotive Group Japan, LLC | Director/Chairman |
| International Automotive Group North America (Inc., LLC, and Holdings, Inc.) | Chairman and Director |
| International Coal Group, Inc. | Non-Executive Chairman |
| International Textile Group | Non-Executive Chairman |
| Invesco, Ltd. and its affiliates | Chairman/Chief Strategy Officer |
| Invesco Private Capital, Inc. | Director |
| Invesco Private Capital Investments, Inc. | Director |

| Organization | Position |
|---|--------------------------|
| Invesco WLR Limited | Director |
| INVESCO WLR Private Equity Investment Management Ltd. | Director |
| Kansai Sawayaka Bank (Nippon Partners) | Director |
| Montpelier Re Holdings Ltd. | Director |
| Nano-Tex, Inc. | Chairman |
| Navigator Holdings Ltd. | Director/Chairman |
| NBNK Investments PLC | Director/Chairman |
| Nikko Electric Industry Co. Ltd. | Director |
| Nexeo Solutions (f/k/a WL Ross Holding Corp.) | Chairman/Director |
| OCM, Ltd. | Director |
| Ocwen Financial Corp. | Director |
| Ohizumi Manufacturing | Director |
| Palm Beach Retirement System | Co-Chairman/Trustee |
| Panther Re Holdings Ltd. | Director/Deputy Chairman |
| PB Materials Holdings, Inc. | Director |
| Phoenix International Holding Co. | Director |
| PLASCAR and its holding company | Director |
| Pan Ross LP | Managing Member |
| Ross Expansion FLP, LP | General Partner |
| Ross Expansion GP LLC | Managing Member |
| Spice Jet, Ltd. | Director |
| Sun BanCorp | Director |

| Organization | Position |
|---------------------------------------|------------------------|
| Sun National Bank | Director |
| Syms Corp. | Director |
| Tong Yang Life | Director |
| Wagon PLC | Non-Executive Director |
| W.L. Ross & Co., LLC | Chairman/CEO |
| WL Ross & Co. (India) LLC | Director |
| WLR Cardinal Mezzanine Fund L.P. | Director |
| WLR China Energy Associates, Ltd. | Director |
| WLR/GS (India) Limited | Director |
| WLR Master Co-Investment SLP GP, Ltd. | Director/Chairman |
| 1116 South Main Street, LLC | Managing Member |
| 781 Fifth Ave. LLC | Managing Member |

Attachment Three

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

| Organization | Position | Dates |
|--|--------------------------------|---------------------------|
| American Friends of the Rene Magritte Museum | President | 2014-Present |
| The Beach Club | Member | 2004-Present |
| Blenheim Foundation USA | Trustee | 2008-Present |
| Briarcliff Condominium Apartment Building | Director | 2007-Present |
| British American Business, Inc. | Director | 2010-Present |
| Brookings Economic Studies Council | Director, Chairman | 2012-Present |
| Brookings Institution | Trustee | 2013-Present |
| Business Roundtable | Member | 2003-2014 |
| Century Association | Member | 1987-2010 |
| Club Collette (Palm Beach, FL) | Member | 2005-Present |
| Coconuts | Member | 2005-Present |
| Financial Analysts Federation, Chartered | Chartered Financial Analyst | >50 years ago- Present |
| Harry's Bar | Member | 2005-Present |
| Harvard Business School Club of New York, Inc. | Director | 2011-Present |
| Harvard Business School, Dean's Advisory Board | Director | 2012-2015 |
| Harvard Club of the Palm Beaches | Member | 2015-Present |

| Organization | Position | Dates |
|--|------------------------------|-----------------------|
| Harvard University – Committee on University Resources | Member | 2010-Present |
| The Japan Society, Inc. | Director, Chairman | 2005-Present |
| Kappa Beta Phi | Member, Various Positions | 2006-Present |
| Mark's Club | Member | 2005-Present |
| Mory's Association | Member | 2011-Present |
| Musee des Arts Decoratifs | International Council Member | 2014-Present |
| New York Society of Security Analysts | Member | >35 years ago-Present |
| Palm Beach Civic Association | Executive Committee Member | 2006-Present |
| Palm Beach Preservation Foundation | Trustee | 2010-Present |
| Palm Beach Fire Fighters Retirement Fund | Trustee | 2008-2012 |
| Partnership for New York City | Executive Committee Member | 2010-Present |
| The River Club | Member | 2016-Present |
| Southampton Bath and Tennis Club | Chairman | 2002-Present |
| U.S.-India Business Council | Member, Chairman's Circle | 2006-2013 |
| Whitney Museum of American Art | Trustee | 2006-2010 |
| Yale Club of the Palm Beaches | Member | 2015-Present |

| Organization | Position | Dates |
|---|-----------------|--------------|
| Yale University School of Management – Board of Advisors | Member | 2010-Present |
| 5 Hertford Street | Member | 2013-Present |

Attachment Four

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

My political contributions over the past ten years are listed in the chart below. A separate chart, itemizing the ultimate recipients of the joint contributions listed below, immediately follows.

| Date | Recipient | Amount | Notes |
|-------------|--|---------------|--------------|
| 1/26/2007 | John S. McCain (John McCain 2008, Inc.) | \$4,200.00 | |
| 3/19/2007 | Rudolph W. Giuliani (Rudy Giuliani Presidential Committee, Inc.) | \$2,300.00 | |
| 3/19/2007 | Rudolph W. Giuliani (Rudy Giuliani Presidential Committee, Inc.) | \$2,300.00 | |
| 3/23/2007 | John S. McCain (John McCain 2008, Inc.) | -\$1,900.00 | |
| 2/22/2008 | Rudolph W. Giuliani (Rudy Giuliani Presidential Committee, Inc.) | -\$2,300.00 | |
| 5/6/2008 | Invesco PLC PAC | \$750.00 | |
| 7/30/2009 | Invesco PLC PAC | \$750.00 | |
| 3/26/2010 | Marco Rubio (Marco Rubio For President) | \$4,800.00 | |
| 3/29/2010 | Bill Nelson (Bill Nelson For U.S. Senate) | \$2,400.00 | |
| 3/29/2010 | Bill Nelson (Bill Nelson For U.S. Senate) | \$2,400.00 | |
| 3/31/2010 | Marco Rubio (Marco Rubio For President) | -\$2,400.00 | |

| Date | Recipient | Amount | Notes |
|-------------|---|---------------|--|
| 3/31/2010 | Marco Rubio (Marco Rubio For President) | \$2,400.00 | |
| 4/20/2010 | Invesco PLC PAC | \$1,000.00 | |
| 5/12/2010 | Investment Company Institute Political Action Committee | \$1,000.00 | |
| 3/26/2011 | Mr. Ed Royce (Royce Campaign Committee) | \$2,500.00 | |
| 5/10/2011 | Mr. Allen B. West (Deep Strike PAC) | \$2,500.00 | |
| 5/10/2011 | Mr. Allen B. West (Deep Strike PAC) | \$2,500.00 | |
| 5/27/2011 | Boehner for Speaker (FOJB-NRCC) | \$25,000.00 | See Receipts for Joint Contributions Chart |
| 9/16/2011 | National Republican Congressional Committee | \$5,784.89 | |
| 1/18/2012 | Mitt Romney / Paul D. Ryan (Romney For President, Inc.) | \$2,500.00 | |
| 3/9/2012 | Debbie Stabenow (Stabenow For U.S. Senate) | \$2,500.00 | |
| 3/9/2012 | Debbie Stabenow (Stabenow For U.S. Senate) | \$2,500.00 | |
| 4/2/2012 | Invesco PLC PAC | \$1,000.00 | |
| 4/3/2012 | Restore Our Future, Inc. | \$100,000.00 | |
| 4/4/2012 | Eric Cantor (Cantor for Congress) | \$2,500.00 | |
| 4/4/2012 | Eric Cantor (Cantor for Congress) | \$2,500.00 | |
| 4/23/2012 | Romney Victory, Inc. | \$50,000.00 | See Receipts for Joint Contributions Chart |
| 5/15/2012 | Romney Victory, Inc. | \$75,800.00 | See Receipts for Joint Contributions Chart |
| 5/21/2012 | Romney Victory, Inc. | -\$35,800.00 | |

| Date | Recipient | Amount | Notes |
|-------------|---|---------------|--|
| 10/31/2012 | Romney Victory, Inc. | -\$16,700.00 | |
| 3/8/2013 | Rubio Victory Committee | \$10,000.00 | See Receipts for Joint Contributions Chart |
| 4/23/2013 | McConnell Victory Kentucky | \$15,000.00 | See Receipts for Joint Contributions Chart |
| 5/1/2013 | Boehner for Speaker | \$25,000.00 | See Receipts for Joint Contributions Chart |
| 6/6/2013 | McConnell Cornyn Leadership Victory Committee | \$5,000.00 | See Receipts for Joint Contributions Chart |
| 12/3/2013 | Elizabeth (Liz) Cheney (Cheney For Wyoming) | \$2,000.00 | |
| 12/18/2013 | National Republic Senatorial Committee | \$32,400.00 | |
| 3/18/2014 | Reclaim America (Marco Rubio Victory Committee) | \$5,000.00 | |
| 5/13/2014 | Paul Ryan | \$5,000.00 | |
| 6/30/2014 | Congressman John Conyers | \$1,000.00 | |
| 7/28/2014 | Republican Governors Association RGA (Aug. 17th Event) | \$150,000.00 | |
| 8/21/2014 | American Opportunity Alliance | \$50,000.00 | |
| 10/16/2014 | Kentuckians for Strong Leadership (replacing his McConnell Victory Kentucky contribution) | \$10,000.00 | |
| 10/23/2014 | Arkansas Victory Fund | \$2,600.00 | See Receipts for Joint Contributions Chart |
| 11/24/2014 | Senate Battleground Fund | \$50,000.00 | See Receipts for Joint Contributions Chart |
| 12/1/2014 | National Republican Senatorial Committee | \$23,700.00 | |
| 12/15/2014 | Republican National Committee | \$23,700.00 | |
| 1/23/2015 | Congressman Ed Royce (event) | \$5,000.00 | |

| Date | Recipient | Amount | Notes |
|-------------|---|---------------|--|
| 2/19/2015 | Boehner for Speaker (event) | \$5,000.00 | |
| 2/27/2015 | Blunt Toomey Joint Committee: Friends of Pat Toomey | \$2,500.00 | |
| 2/27/2015 | Blunt Toomey Joint Committee: Friends of Roy Blunt | \$2,500.00 | |
| 3/25/2015 | Reclaim America PAC | \$5,000.00 | See Receipts for Joint Contributions Chart |
| 3/25/2015 | Rubio Victory Committee (Marco Rubio) | \$400.00 | |
| 3/31/2015 | Rubio Victory Committee | \$10,000.00 | See Receipts for Joint Contributions Chart |
| 4/13/2015 | Boehner for Speaker | \$10,000.00 | See Receipts for Joint Contributions Chart |
| 4/27/2015 | Republican Governors Association | \$250,000.00 | |
| 5/21/2015 | Republican National Committee | \$33,200.00 | |
| 5/21/2015 | Republican National Committee | \$33,400.00 | |
| 6/5/2015 | Boehner for Speaker, National Republican Congressional Committee, Freedom Project | \$10,000.00 | |
| 6/12/2015 | Marco Rubio (Marco Rubio For President) | -\$2,700.00 | |
| 6/18/2015 | Friends of John McCain | \$5,400.00 | |
| 6/30/2015 | Rubio Victory Committee | -\$4,600.00 | |
| 7/13/2015 | Boehner for Speaker | \$20,000.00 | See Receipts for Joint Contributions Chart |
| 7/31/2015 | Boehner for Speaker | \$5,000.00 | |
| 8/21/2015 | Republican National Committee | \$500.00 | |
| 8/28/2015 | Republican National Committee | \$100,000.00 | |
| 9/1/2015 | Republican National Committee | \$50,000.00 | |

| Date | Recipient | Amount | Notes |
|-------------|---|---------------|---|
| 9/14/2015 | John S. McCain (Friends Of John McCain, Inc.) | \$2,700.00 | |
| 11/10/2015 | Friends of Jeb Hensarling | \$1,000.00 | |
| 11/16/2015 | John A. Boehner (Friends of John Boehner) | -\$2,700.00 | |
| 1/15/2016 | Team Ryan (Speaker Paul Ryan) (hosted event) | \$125,000.00 | |
| 3/21/2016 | Congressman Joe Heck | \$5,400.00 | |
| 3/22/2016 | Republican National Committee | \$50,000.00 | |
| 6/24/2016 | Donald J. Trump for President, Inc. | \$5,400.00 | |
| 6/24/2016 | Republican National Committee | \$33,400.00 | |
| 7/8/2016 | Marco Rubio For Senate | \$5,400.00 | |
| 7/18/2016 | Trump Victory | \$200,000.00 | See Receipts for Joint Contributions Chart |
| 9/30/2016 | Marco Rubio For U.S. Senate 2016 | \$2,700.00 | |

Receipts for Joint Contributions

| Date | Recipient | Amount of Contribution |
|-------------|---|-------------------------------|
| 5/31/2011 | National Republican Congressional Committee | \$20,000.00 |
| 6/9/2011 | John A. Boehner (Friends Of John Boehner) | \$2,500.00 |
| 6/9/2011 | John A. Boehner (Friends Of John Boehner) | \$2,500.00 |
| 4/23/2012 | Republican National Committee | \$30,800.00 |
| 4/23/2012 | Mitt Romney / Paul D. Ryan (Romney for President, Inc.) | \$2,500.00 |
| 7/31/2012 | Idaho Republican Party | \$4,175.00 |
| 7/31/2012 | Massachusetts Republican Party | \$4,175.00 |
| 7/31/2012 | Oklahoma Leadership Council | \$4,175.00 |
| 7/31/2012 | Vermont Republican Federal Elections Committee | \$4,175.00 |
| 9/4/2012 | National Republican Congressional Committee | \$20,000.00 |
| 11/2/2012 | National Republican Senatorial Committee | -\$8,350.00 |
| 11/2/2012 | National Republican Congressional Committee | -\$8,350.00 |
| 3/8/2013 | Marco Rubio (Marco Rubio For President) | \$2,400.00 |
| 3/8/2013 | Marco Rubio (Marco Rubio For President) | \$2,600.00 |

Receipts for Joint Contributions

| Date | Recipient | Amount of Contribution |
|-------------|--|-------------------------------|
| 3/31/2013 | Reclaim America PAC | \$5,000.00 |
| 5/31/2013 | John A. Boehner (Friends Of John Boehner) | \$2,600.00 |
| 5/31/2013 | John A. Boehner (Friends Of John Boehner) | \$2,600.00 |
| 5/31/2013 | National Republican Congressional Committee | \$19,800.00 |
| 6/6/2013 | John Cornyn (Texans For Senator John Cornyn, Inc.) | \$2,500.00 |
| 6/30/2013 | Republican Party of Kentucky | \$9,800.00 |
| 6/30/2013 | Mitch McConnell (McConnell Senate Committee) | \$2,600.00 |
| 6/30/2013 | Mitch McConnell (McConnell Senate Committee) | \$2,600.00 |
| 12/1/2014 | National Republican Senatorial Committee | \$23,700.00 |
| 12/6/2014 | William M. Cassidy (Bill Cassidy For U.S. Senate) | \$2,600.00 |
| 12/15/2014 | Republican National Committee | \$23,700.00 |
| 3/31/2015 | Marco Rubio (Marco Rubio For President) | \$300.00 |
| 3/31/2015 | Reclaim America PAC | \$5,000.00 |
| 4/13/2015 | John A. Boehner (Friends Of John Boehner) | \$2,700.00 |

Receipts for Joint Contributions

| Date | Recipient | Amount of Contribution |
|-------------|---|-------------------------------|
| 4/13/2015 | John A. Boehner (Friends Of John Boehner) | \$2,700.00 |
| 4/13/2015 | National Republican Congressional Committee | \$4,600.00 |
| 7/13/2015 | National Republican Congressional Committee | \$20,000.00 |
| 7/18/2016 | Republican Party of Arkansas | \$5,545.45 |
| 7/18/2016 | Republican Party of Louisiana | \$5,545.45 |
| 7/18/2016 | Donald J. Trump / Michael R. Pence (Donald J. Trump For President, Inc.) | \$2,700.00 |
| 7/18/2016 | Donald J. Trump / Michael R. Pence (Donald J. Trump For President, Inc.) | \$2,700.00 |
| 7/18/2016 | Mississippi Republican Party | \$5,545.46 |
| 7/18/2016 | West Virginia Republican Party | \$5,545.45 |
| 7/18/2016 | Republican Party of Virginia, Inc. | \$5,545.45 |
| 7/18/2016 | Republican National Committee | \$33,400.00 |
| 9/27/2016 | South Carolina Republican Party | \$5,545.45 |
| 9/30/2016 | Tennessee Republican Party Federal Election Account | \$5,545.45 |
| 9/30/2016 | New Jersey Republican State Committee | \$5,545.45 |
| 9/30/2016 | Connecticut Republican Party | \$5,545.45 |

Attachment Five

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Speeches

1. CalPERS Speech, January 10, 2006
2. Detroit Auto Show, January 11, 2006
3. Keynote Address: 6th CoalTrans Americas Conference, January 31, 2006: *Investment and Growth in Basic Industries: The Case of Coal*
4. Japan Investor Conference, March 3, 2006: *“Changing Political and Corporate Governance Landscape in Japan”*
5. Taiyo CalPERS Conference, March 3, 2006:
6. Hong Kong Convention & Exhibition Centre, March 28, 2006: *“PRIME SOURCE, The Networking Event for the International Apparel Industry”*
7. Federal Reserve Bank of Chicago, Detroit Branch, April 18, 2006: *“Prospects for Automotive Suppliers”*
8. AlixPartners Conference, Key Biscayne, Florida, April 28, 2006: *“The Restructuring of American Basic Industries”*
9. Siguler Guff Annual Meeting, New York, New York, May 10, 2006: *“What Does It Take for Some U.S. Industries to Survive?”*
10. Third Annual Conference on Distressed Investing - Europe, May 18-19, 2006: *“Strategies for Restructuring Legacy Industries”*
11. Organization of Women in International Trade, June 7, 2006: *“The Restructuring of American Basic Industries”*
12. JP Morgan Auto Conference, August 8, 2006: *“Perspectives on the Automotive Supply Sector”*
13. OESA Conference Rationalizing the Auto Supplier Industry: Carving Out Profit from M&A Activity Detroit Marriott Pontiac at Centerpoint, Pontiac, Michigan, August 23, 2006: *“Realizing Profit From Consolidation: Assembling A Global Interior Supplier”*
14. Original Equipment Suppliers Association Conference, Detroit Marriott Pontiac at Centerpoint, Pontiac, MI, August 23, 2006: *“Rationalizing the Auto Supplier Industry”*
15. Credit Suisse Automotive Conference, September 7, 2006: *“Rationalizing the Auto Supplier Industry”*
16. NanoCon International – Mandalay Bay, Las Vegas, Nevada, September 20, 2006: *“Nanotechnology – Impacting Change Today”*
17. SAE Global Leadership Conference, The Greenbrier, West Virginia, October 13, 2006: *“Thoughts About the U.S. Auto Supply Industry”*
18. 20th Anniversary Dinner of the Program on International Financial Systems, October 19, 2006: *“Keynote Presentation”*
19. Amvescap Investors Forum, November 30, 2006

20. GAIM USA 2007, January 24, 2007: *"Thoughts on Distressed Investing Today"*
21. 2007 Turnaround Management & Distressed Investing Forum, February 6-7, 2007: *"Thoughts on Private Equity Distressed Investing Today"*
22. AIM Analyst Symposium, March 6, 2007: *"Are We Distressed Yet?"*
23. Taiyo Pacific Partners 2nd Annual Investor Conference, March 29, 2007: *"Welcoming Remarks"*
24. Textile and Apparel Products from Vietnam, Import Monitoring Program, Public Hearing, April 24, 2007: *"Prepared Testimony"*
25. Blue Cloud Award from the China Institute: June 11, 2007:
26. INVESCO Private Capital – Private Equity Educational Summit, New York, NY, June 12, 2007: *"Value Creation Through Distressed Investing"*
27. 2007 Japan – U.S. Symposium, Harvard Law School, September 15, 2007: *Topic 3: Retrospective Views on 10 Years of the Symposium and of Financial Reform in the Japan and the U.S*
28. Financial Services MandA Keynote, September 25, 2007: *"Recent tightening of credit markets has caused investors to wonder if this is..."*
29. The Fall Investment Management Forum for Endowments & Foundations, September 25, 2007: *"The Future of the Credit Markets"*
30. INVESCO Board of Directors Meeting, October 9, 2007: *"Are We Distressed Yet?"*
31. CoalTech Asia, December 3-4, 2007: *"Overcoming Challenge to the Growth of Coal"*
32. 2008 Automotive News World Congress, January 22, 2008
33. Automotive News Speech, January 2008
34. AIM Analyst Symposium, March 5, 2008: *"Are We Distressed Yet?"*
35. KPMG and Partnership for New York City, March 13, 2008: *"India in the 21st Century"*
36. FINAL CNBC, March 31, 2008: *"How We Operate"*
37. North Carolina Investment Forum, March 31, 2008: *"Distressed Opportunities in the Financial Services Sector"*
38. Asian Venture Capital Journal, Middle East 2008: Private Equity & Venture Forum, Emirates Palace, Abu Dhabi, April 21, 2008: *"The Financial Crisis in the U.S."*
39. DuPont, April 24, 2008: *"The Financial Crisis in the U.S."*
40. Long Island Chapter of Turnaround Management Association, April 24, 2008: *"The Financial Crisis in the U.S."*
41. GPL US Financial Crisis, April 25, 2008
42. Florida Atlantic University/ Harry & Anna Trust, Video Conference, April 25, 2008: *"The Financial Crisis in the U.S."*
43. Siguler Guff Annual Meeting, May 6, 2008: *"The Financial Crisis in the U.S."*
44. ABI Sub-Prime Panel, May 12, 2008: *"Potential Topics"*
45. California Bankruptcy Forum Annual Conference, May 16, 2008: *"The Financial Crisis in the U.S."*
46. FRB Story AIM Trimark, California Bankruptcy Forum Annual Conference, May 28, 2008: *"The Financial Crisis in the U.S."*
47. Wards 2008 Auto Interiors Show, June 5, 2008: *"The Outlook for Auto Suppliers"*
48. Invesco Perpetual's Investment Summit, Henley-on-Thames, UK, July 10, 2008: *"The Implications & Opportunities of Major Economic Problems"*
49. August 20, 2008: *"Institutional Sales"*

50. The United States Bankruptcy Court for the District of Delaware, September 11, 2008: *"Delaware Bankruptcy"*
51. Huaneng Signing Ceremony, September 16, 2008: *"Remarks"*
52. Invesco Investors' Forum 2008, Tokyo, September 18, 2008
53. Institutional Investor 5th Annual India Forum, New York, September 23, 2008
54. September 2008: *"CIO Statement"*
55. The Post, September 25, 2008
56. Invesco Global Headquarters, October 2, 2008: *"The Outlook for the Economy Post the TARP Bill Failure"*
57. Minister of Coal, Government of India, October 9, 2008: *"His Excellency Santosh Bagrodia"*
58. October 12, 2008: *"James Doan"*
59. Invesco Private Capital Annual Partners Meeting, October 23, 2008
60. November 19, 2008: *"Malcolm Gladwell Intro"*
61. 2008: *"Mike Gibbons"*
62. Museum of American Finance, January 21, 2009: *"President Obama's Economic Programs"*
63. Tuck School of Business at Dartmouth, February 6, 2009: *"Private Equity"*
64. Taiyo's 4th Annual Investors Conference, February 25, 2009: *"Speech"*
65. 2009 Wharton Restructuring Conference, February 27, 2009:
66. M&A Advisor Lifetime Achievement Award Ceremony, March 16, 2009: *"2009 M&A Advisor Lifetime Achievement Award Ceremony"*
67. Securities Conference, March 19, 2009: *"SIFMA Insurance & Risk-Linked"*
68. PLATTS Coal Conference videotaped, March 20, 2009:
69. Skadden Arps Restructuring Group, April 21, 2009: *"Distressed Investments & Turnarounds in the Current Economic Environment"*
70. Housing Policy Council, May 6, 2009: *"Keynote Address"*
71. Sixth Annual Distressed Investing Europe Conference, May 7, 2009: *"What Have We Learned from this Crisis About Distressed Investing?"*
72. International Bar Association 15th Annual Global, May 18, 2009: *"Insolvency & Restructuring Conference - Crash of the Titans Keynote Speech"*
73. 14th Annual NEPC Client Conference, May 20, 2009: *"Where Do We Go From Here?"*
74. International Insolvency Institute, June 1, 2009: *"Keynote Address"*
75. The Collier Institute of Private Equity, June 4, 2009
76. Allen & Co. LLC Sun Valley Conference, July 8, 2009: *"The State of Finance"*
77. AIM Investment Symposium, June 24 2009
78. Auto Conference Frankfurt Germany, September 17, 2009: *"New Directions for Auto Suppliers: A Radical Industry Reconfiguration?"*
79. Invesco AIM Focus 2009 Investment Symposium, September 24, 2009: *"Keynote Address"*
80. International Insolvency Institute 9th Annual Conference – New York, October 22, 2009: *"Keynote Address"*
81. 15th Annual ABS East Conference, October 27, 2009
82. Goldman Sachs Alternative Investments Conference, October 29, 2009
83. BAB Awards Dinner, November 3, 2009

84. State Administration of Foreign Exchange 2010 Perspective, Hainan Island China, November 13, 2009: "*Distressed Environment Today, Opportunities & Pitfalls*"
85. November 17, 2009 "*Welcome Address*"
86. WL Ross & Co. Limited Partners' Meeting, November 17, 2009
87. State of South Carolina Retirement System Investment Commission Investment Retreat, November 18, 2009:
88. Town of Palm Beach United Way Business and Professional Breakfast, December 10, 2009
89. Norton Annual Review of International Insolvency, December 15, 2009: "*Keynote Address*"
90. Temasek Annual Offsite Speech, January 7, 2010
91. Detroit Auto Show, January 13, 2010: "*2010 Automotive News World Congress*"
92. 1st Annual Private Equity Symposium, January 21, 2010: "*The New Private Equity Paradigm*"
93. Bank of America Merrill Lynch Auto Conference Speech, March 26, 2010
94. Bank of America Merrill Lynch's Inaugural New York Automotive Summit, March 31, 2010
95. Australian Investor Conference, April 12, 2010
96. Investor Conference 2010, Taiyo Pacific Partners LP, April 14, 2010: "*Sovereign Debt Considerations for Investors in Japanese Equities*"
97. Reference: Greece, May 10, 2010
98. May 11, 2010: "*The Crisis Two Years Later: Opportunities in the Distressed & Where We Go From Here*"
99. HBS Honor, May 17, 2010
100. Siguler Guff Annual Meeting, May 20, 2010
101. Japan Society Annual Dinner, May 24, 2010
102. Invesco Investment Symposium, May 26, 2010
103. Invesco Investment Forum, June 8, 2010: "*The Latest Crisis*"
104. July 21, 2010: "*WL Ross & Co. and Invesco Private Capital Presentation to the Invesco Ltd. Board of Directors*"
105. PFNYC Geithner Meeting, August 2, 2010
106. KBW Insurance Conference, September 7, 2010: "*Thoughts About the Mortgage Crisis*"
107. INVESCO Board Meeting, October 11, 2010
108. Japan Society Board of Directors Dinner, October 20, 2010: "*Remarks*"
109. Bank of America/Merrill Lynch 4th Annual Private Company Conference, October 28, 2010: "*What's Next?*"
110. The Chinese Finance Association Conference, October 30, 2010: "*Global Recovery and Financial Structural Changes after Crisis: Opportunities and Challenges for China*"
111. Invesco Real Estate Conference, November 3, 2010: "*Distressed Investment Opportunities*"
112. 9th Annual M&A Advisor Awards & Summit, December 14, 2010: "*Keynote & Roundtable*"
113. National Retirement Fund Investment Committee, December 14, 2010
114. Automotive News World Congress, January 11, 2011: "*National/Industrial Manufacturing Policy: Do we need one? How do we create and/or save jobs?*"

115. 2011 Leadership in the Distressed Markets Argyle Executive Forum, January 13, 2011: *"Where Are the Distressed Investment Opportunities?"*
116. Japan Society, January 19, 2011: *"What's Next for the Global Economies?"*
117. Tiger 21 Annual Conference, January 27, 2011: *"Where Are the Distressed Investment Opportunities?"*
118. BankUnited Closing Dinner, February 10, 2011: *"A Rhyming Thank You For BKU"*
119. Atlantic Trust Client Conference, February 11, 2011: *"Where Are the Distressed Investment Opportunities?"*
120. February 16, 2011: *"An Ode to Mario"*
121. Palm Beach Civic Association Community Forum, February 28, 2011
122. March 1, 2011: *"USIBC: India's Annual Budget Briefing"*
123. New York State Common Retirement Fund Investment Conference, March 23, 2011: *"Distressed Investment Opportunities"*
124. Partnership For New York City China – U.S. Business Leaders Roundtable, April 7, 2011
125. Siguler Guff Annual Meeting, May 17, 2011
126. J.P. Morgan China Conference 2011, June 1, 2011
127. Bloomberg Markets 50 Summit, September 15, 2011: *"Austerity and Other Growth Obstacles"*
128. Marcum LLP, September 21, 2011: *"Alternative Assets and the Outlook on the Capital Markets"*
129. CICC Forum Panel II, September 26, 2011
130. September 28, 2011: *"Extra Pages"*
131. Tokyo Nikkei Forum, October 24, 2011: *"Facing Challenges with Superior Management Vision"*
132. Blenheim Foundation, October 27, 2011
133. Marine Money 12th Annual Ship Finance Forum, November 10, 2011: *"An Investor's Perspective on the Shipping Industry"*
134. WL Ross & Co. LLC 2011 Annual Partners Meeting, November 16, 2011
135. 17th Annual Beard Group Distressed Investing Conference, November 28, 2011
136. The M&A Advisor, December 12, 2011: *"Deal of the Decade Awards"*
137. January 19, 2012: *"Kappa Beta Phi Speech"*
138. Meeting with Bank of Ireland, January 27, 2012
139. Meeting with NTMA Brian Murphy & National Pension Reserve Fund, January 27, 2012
140. Daiwa Capital Markets America Luncheon, February 8, 2012: *"What's Next for Investors in Japan?"*
141. The Ireland Funds, February 16, 2012
142. Ireland-US Council Lunch, February 17, 2012
143. Yale SOM Leaders Forum, April 10, 2012
144. 40 Under 40 Awards Commentary, May 1, 2012
145. Invesco Investment Symposium, May 2, 2012
146. NYU Economic Forum, May 10, 2012
147. Marine Money Week, May 2012
148. 2nd Annual American Banker M&A Symposium, May 21, 2012
149. Siguler Guff & Company 2012 Conference, May 23, 2012: *"A Tale of Two Cities: Athens & Dublin"*

150. June 23, 2012: *"Transcription of IPI Keynote Interview with Consuelo Mack"*
151. June 2012: *"IPI Summary"*
152. Japan Society, June 7, 2012: *"Remarks"*
153. Marine Money, June 20, 2012: *"The Shipping Industry in 2015"*
154. Marine Money Singapore, September 25, 2012: *"A Marine Transport Investor's Point of View"*
155. Invesco U.S. Retail Client Investment Symposium, September 27, 2012
156. Invesco Board Speech, October 7, 2012
157. Invesco Board Meeting, October 7, 2012
158. Japan Society Evening, January 15, 2013: *"Banker to the World- Lessons from the Front Lines of Global Finance"*
159. Automotive News Congress, January 16, 2013: *"Our Changing Industry"*
160. YPO Economic Summit V, January 18, 2013: *"Keynote Speech"*
161. Yale SOM Leaders Forum Speakers Series, January 29, 2013: *"A Conversation with Wilbur Ross"*
162. The Ireland-U.S. Council Winter Meeting, February 15, 2013: *"Presentation to The Ireland-U.S. Council Winter Meeting"*
163. Worldwide Ireland Fund Leadership Forum: February 26, 2013
164. NMS Family Office Forum, March 5, 2013; *"A Look Ahead"*
165. BritishAmerican Business CEO Roundtable, March 13, 2013: *"Lessons Learned from Corporate Restructuring"*
166. The Ireland Day Pre Summit Dinner, March 14, 2013: *"Outstanding Contribution to Business in Ireland"*
167. Ireland Day 2013 at the NYSE, March 15, 2013: *"Opportunities for Ireland Inc."*
168. Gatestone Luncheon, March 21, 2013: *"How to Jumpstart the Economy"*
169. American Bankruptcy Institute Commission Hearing to Study Reform of Chapter 11, April 19, 2013
170. Berkeley Point Annual Customer Conference, May 2, 2013: *"Thoughts on Current Major Events Affecting Global Economies"*
171. May 22, 2013: *"Lessons from Other Banking Crises"*
172. Japan Society Annual Dinner, June 3, 2013: *"Chairman's Remarks"*
173. June 19, 2013: *"Private Equity in Shipping"*
174. Tokyo Speech Investment Strategies, July 18, 2013: *"Our Investment Strategies"*
175. Finance Panel Discussion Boehner for Speaker Team Retreat, August 29, 2013
176. RBS Credit & Asset-Backed Conference, September 5, 2013: *"Opportunities Created by the Financial Crisis"*
177. Invesco Board Meeting, October 9, 2013
178. October 18, 2013: *"WLR Speech"*
179. Marine Money Conference, February 27, 2014: *"2014 Private Equity and Shipping"*
180. Capital Link Shipping Forum Luncheon Keynote, March 20, 2014: *"Private Equity View of Marine Transport"*
181. WL Ross & Co. LLC 2014 Annual Meeting, March 25, 2014: *"WL Ross Organizational Changes"*
182. The Ben Graham Centre Value Investing Conference, April 8, 2014: *"Lessons from the Bank of Ireland Saga"*

183. 15th Anniversary of Leading Brands of Spain Association, April 24, 2014: "*Competing Globally: Perspectives from a Leading Investor*"
184. Invesco Canada Client Event Luncheon Keynote, May 18, 2014
185. St. George's Society of New York, May 21, 2014: "*St. George's Medal Acceptance Speech*"
186. Daiwa Capital Markets Speech, May 27, 2014: "*What's Next for Investors in Japan?*"
187. Japan Society, September 10, 2014: "*The Central Banks of U.S., Europe and Japan and Their Impact on Each Economy*"
188. Daiwa 50th Anniversary, September 18, 2014
189. Quarterly Call, October 15, 2014
190. American Irish Historical Society, November 6, 2014
191. Marine Money, November 12, 2014
192. December 11, 2014: "*Oil-OPEC*"
193. The Yale CEO Leadership Summit, December 18, 2014: "*Legends of Leadership Award*"
194. Aegon Annual Meeting, January 16, 2015 "*Where Is Oil Going?*"
195. Palm Beach Pundits Luncheon Keynote Speech, March 2, 2015: "*Income Inequality and Upward Mobility in the U.S.*"
196. National Institute of Social Sciences, March 6, 2015: "*Income Inequality and Upward Mobility in the U.S.*"
197. James Gorman Japan Society Lunch Intro, March 12, 2015
198. Ireland Day Keynote, March 13, 2015: "*The Irish Economy: Laying Foundations for Sustainability and Success*"
199. March 23, 2015: "*Capital Link Shipping Conference Keynote Speech*"
200. March 23, 2015: "*WL Ross Limited Partner Annual Dinner Speech*"
201. NY Common Videoconference, April 15, 2015
202. Invesco Canada Client Event Keynote Speech, May 21, 2015: "*The Economic Outlook for the U.S. Europe, Japan, China and Canada*"
203. June 4, 2015: "*Questions for Rutgers Business School Panel*"
204. June 10, 2015: "*Macro Environment*"
205. Japan Society Annual Dinner, June 11, 2015
206. Invest Cyprus Awards, July 1, 2015
207. The Vietnam Conference, July 1, 2015: "*Why U.S. Corporate and Private Equity Investors Should Look at Vietnam Now*"
208. Wall Street Journal Europe, July 3, 2015: "*The Lessons Greece Didn't Learn*"
209. Ascension Health Meeting, July 16, 2015: "*Three Complex Topics: Greece, Oil and China*"
210. BritishAmerican Business, July 20, 2015: "*Is the Sovereign Debt Crisis Over?*"
211. NYSSA, July 27, 2015: "*Greece*"
212. SentryWorld Badger/Gopher Roundtable, July 31, 2015: "*Three Puzzles: Greece, Oil and China*"
213. August 26, 2015: "*Governor Kuroda Introduction*"
214. August 26, 2015: "*Potential Initial Questions for Governor Kuroda*"
215. The Future of Finance Conference, September 9, 2015: "*IT and Regulatory Challenges and Opportunities Facing Bank Managements*"

216. Brookings Dinner for the President of Cyprus, September 24, 2015: *"President of Cyprus Intro"*
217. NYSSA Annual Dinner, October 10, 2015: *"NYSSA Lifetime Achievement Award"*
218. JP Morgan Private Client Event, November 20, 2015
219. 2015: *"The strategic issue of steel versus other materials"*
220. Stifel Transportation & Logistics Conference, February 9, 2016: *"Keynote Speech"*
221. Foreign Policy Association, February 10, 2016: *"China & Oil: Two BIG Issues"*
222. Mayor and Town Council, Palm Beach, Florida, March 30, 2016, *"Pension Fund Presentation"*
223. Marriott Essex House, April 11, 2016: *"Fund VI & Tactical Energy Meeting with Pepperdine University"*
224. Capital Roundtable Conference, April 14, 2016: *"Capital Roundtable PE in Distressed Companies"*
225. IPI Spring Forum, May 17, 2016
226. Market Groups Private Equity Americas Forum, May 18, 2016: *"Macro Outlook on Private Equity"*
227. June 1, 2016: *"Introduction of Brian Moynihan, BOA"*
228. Japan Society Annual Dinner, June 1, 2016: *"Chairman Remarks"*
229. June 28, 2016: *"Brexit and Trump"*
230. July 6, 2016: *"How to Create 200,000 High-paying Jobs While Lowering the Federal Deficit by \$50 Billion"*
231. Town Hall of Palm Beach, Florida, July 12, 2016: *"Retirement Fund Information"*
232. July 13, 2016: *"How to Fix Our Trade Policy"*
233. July 27, 2016: *"Donald Trump's Repatriation Solution for Infrastructure Funding"*
234. August 3, 2016 Speech
235. August 17, 2016 Speech
236. August 22, 2016: *"Hillary's Plan Doesn't Work"*
237. August 30, 2016 Speech
238. September 6, 2016: *"Wilbur L. Ross Background Information"*
239. September 12, 2016 Speech
240. China Institute, September 27, 2016: *"China and Trump"*
241. October 14, 2016 Speech
242. October 15, 2016: *"Speech for Teddy"*
243. 2016 IIF Annual Membership Meeting, October 3, 2016: *"International Trade"*
244. 2016 IIF Annual Membership Meeting, October 8, 2016: *"Free Trade Agreement"*
245. 2016 IIF Annual Membership Meeting, October 8, 2016: *"Panel Presentation to the Institute for International Finance"*
246. October 13, 2016: *"TPC Panel Speech"*
247. Real Clear Policy, October 30, 2016: *"Donald Trump's Contract with the American Voter"*
248. November 7, 2016: *"Marine Money Speech (If Trump Wins)"*
249. November 16, 2016
250. 2016: *"Regulatory and Trade Talking Points"*

Books

1. Workouts and Bankruptcy Reorganization Workshop (1986)
2. My One Big Break, Spotlight Press (2004)
3. 10-Year Treasury Runs Risk of 25% Decline (2013)
4. Afterword: Opportunity Knocking: Lessons from Business Leaders (2014)

Articles/Columns/Publications

1. The Wall Street Journal Online, October 25, 2016, OPINION, 1008 words, A Vote for Trump Is a Vote for Growth; The Republican's policies will create 25 million new jobs, boost incomes and generate trillions in additional tax revenues.
2. Wall Street Journal Abstracts, October 14, 2016 Friday, A; Pg. 10, 26 words, TRUMP'S RIGHT: NAFTA HAS BEEN LOSER FOR US.
3. September 29, 2016, Scoring the Trump Economic Plan: Trade, Regulatory and Energy Policy Impacts
4. Washingtonpost.com, September 27, 2016 Tuesday 4:11 AM EST, , Editorial; Pg. A19, 863 words, How Trump would boost the U.S. economy.
5. Financial Times, August 28, 2016, Trade Imbalances
6. Wall Street Journal Abstracts, August 26, 2016 Friday, A; Pg. 10, 32 words, WILBUR ROSS RESPONDS TO HIS CRITICS ON TRADE.
7. Wall Street Journal Abstracts, August 15, 2016 Monday, A; Pg. 10, 28 words, MR TRUMP MAKES SOME GOOD POINTS ON TRADE.
8. Detroit Free Press (Michigan), August 12, 2016 Friday, 1 Edition, OPINION; Pg. A12, 725 words, Clinton is taking the wrong approach to trade deals.
9. Toledo Blade (Ohio), August 2, 2016 Tuesday, FINAL Edition, PAGES OF OPINION; Pg. A6, 666 words, Unions won't support Clinton's flip-flop.
10. Pittsburgh Post-Gazette, August 1, 2016 Monday, SOONER EDITION, EDITORIAL; Pg. A-9, 607 words, CLINTON'S BAIT-AND-SWITCH ON TRADE; WHY HILLARY CLINTON IS GOING TO BE A TOUGH SELL IN THE UNION HALLS.
11. The Wall Street Journal Online, July 2, 2015, OPINION, 622 words, The Lessons Greece Didn't Learn; If Athens had implemented reforms swiftly, it would be on the road to prosperity today.
12. Welath Arabia, June 2012, How I made it.
13. WALL STREET JOURNAL ABSTRACTS, June 25, 2009 Thursday, Section A; Column 4; Pg. 14, 16 words, NOTHING DARWINIAN ABOUT GM BANKRUPTCY.
14. Avenue Magazine, April 2009, MoneyTalk.
15. The New York Post, November 6, 2008 Thursday, All Editions; Pg. 37, 511 words, O'S JOB NO. 1: AMERICAN JOBS.
16. The New York Post, October 2, 2008 Thursday, All Editions; Pg. 36, 589 words, A PLAN FOR EVERY HOMEOWNER - A FEDERAL INSURANCE SCHEME TO BENEFIT WALL STREET AND MAIN STREET.
17. The New York Post, September 28, 2008 Sunday, All Editions; Pg. 35, 666 words, PASS THE PLAN NOW! WARTS AND ALL, \$700B BAILOUT IS BEST STEP FORWARD: ROSS.

18. WALL STREET JOURNAL ABSTRACTS, March 10, 2008 Monday, Section A; Column 1; Pg. 14, 42 words, REVIEW & OUTLOOK -- THE MUNI PLAY.
19. WALL STREET JOURNAL ABSTRACTS, September 24, 2007 Monday, Section A; Column 5; Pg. 10, 37 words, IN BRIEF -- ROSS OFFERS TO ACQUIRE MAJOR MORTGAGE SERVICE,
20. WALL STREET JOURNAL ABSTRACTS, November 21, 2006 Tuesday, Section C; Column 5; Pg. 5, 36 words, LEAR CORP.
21. The News & Observer (Raleigh, North Carolina), August 15, 2006 Tuesday, Final Edition, EDITORIAL/OPINION; Point of View; Pg. A9, 16 words, Textiles' stake in trade with Vietnam.
22. The Sunday Telegraph (LONDON), July 30, 2006 Sunday, CITY; Pg. 7, 1414 words, 'Here comes a bankruptcy boom' As leveraged deals get ever larger, Wilbur Ross expects a rapid rise in the number of companies falling into difficulty.
23. The Wall Street Journal Asia, June 8, 2006 Thursday, Pg. 13, 827 words, Who Does Arcelor Belong To?
24. The Wall Street Journal Europe, June 7, 2006 Wednesday, Business Europe; Pg. 12, 931 words, A Raw Deal for Shareholders.
25. WALL STREET JOURNAL ABSTRACTS, June 7, 2006 Wednesday, Section A; Column 4; Pg. 14, 57 words, WHO DOES ARCELOR BELONG TO?
26. Information Bank Abstracts, WALL STREET JOURNAL ABSTRACTS, August 12, 2003, Tuesday, Section A; Page 13, Column 1, 24 words, BUSH MUST STAY THE COURSE ON STEEL TARIFFS.
27. The Bond Buyer, February 26, 1996, Monday Correction Appended, OPINION; Pg. 30, 726 words, Theory Aside, Privatize When the Numbers Show Consumers Will Benefit.
28. The Bond Buyer, April 3, 1995, Monday, OPINION; Pg. 31, 557 words, How an Internal Revenue Service Rule Is Standing in the Way of Privatization.
29. Information Bank Abstracts, WALL STREET JOURNAL, June 6, 1991, Thursday, Section A; Page 19, Column 1, 29 words, LETTERS TO THE EDITOR.

Attachment Six

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

Following are matters of which I am aware, based on my records and an electronic search. As noted in the response to Question C.3, I also have been associated with a number of corporations and other entities and, from time to time, such entities (including, in some instances, their directors, officers and employees) have been involved, in the ordinary course, in judicial or regulatory proceedings relating to their businesses.

| Caption | Dates(s) Filed | Description | Resolution |
|--|--|--------------------|--------------------------|
| <i>Storper v. Ross</i> , No. 652772/2016 (N.Y. Sup. Ct.) | May 23, 2016 | Contract | Dropped as defendant |
| <i>Lowinger v. Ross</i> , No. 651440/2015 (N.Y. Sup. Ct.) | Apr. 29, 2016 | Contract | Discontinued |
| <i>Kramer v. Ross</i> , No. 6470 (Del. Chancery) | May 11, 2011 | Fiduciary duty | Settled |
| <i>Lusk v. Ross</i> , No. 603825/2005 (N.Y. Sup. Ct.) | Oct. 27, 2005 | Contract | Settled |
| <i>Ross v. Caraher</i> , No. 18898/2003 (N.Y. Sup. Ct.) | Aug. 21, 2003 | Zoning | Remanded for new hearing |
| <i>Ross v. McCaughey Ross</i> , Nos. 108031/2000, 350272/1999, 350723/1998 (N.Y. Sup. Ct.) | Apr. 11, 2000 Apr. 21, 1999 Nov. 4, 1998 | Divorce | Settled/divorce granted |
| <i>Ross v. Ross</i> , Nos. 128305/1995, 300010/1993 (N.Y. Sup. Ct.) | Nov. 29, 1995 Jan. 5, 1993 | Divorce | Divorce granted |
| <i>Ross v. Commissioner of IRS</i> , No. 008580-85 (U.S.T.C.) | Apr. 1, 1986 | Tax | Settled |