A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

I. Name (Include any former names or nicknames used):

Diana Furchtgott-Roth (formerly Diana Roth, until June 21, 1983)

2. Position to which nominated:

Assistant Secretary for Research and Technology, US Department of Transportation

3. Date of Nomination:

October 2, 2017

4. Address (List current place of residence and office addresses):

(home)

Manhattan Institute, 1200 New Hampshire Avenue, Suite 570, Washington, DC 20036 (work)

5. Date and Place of Birth:

April 4, 1958, London, England

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Harold Furchtgott-Roth, President, Furchtgott-Roth Economic Enterprises, 1200 New Hampshire Avenue, Fourth Floor, Washington DC 20036. In addition, Senior Fellow, Hudson Institute, and Adjunct Professor, Brooklyn Law School

Leon Furchtgott (29); Francesca Furchtgott (27); Jeremy Furchtgott (26); Godfrey Furchtgott (23); Theodore Furchtgott (21); Richard Furchtgott (19).

7. List all college and graduate degrees. Provide year and school attended.

Swarthmore College, BA in Economics, 1975-1979 Oxford University, M.Phil. in Economics, 1979-1983

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

Manhattan Institute for Policy Research, 2009-present. (Managerial) Senior Fellow and Director, Economics 21. Manage Manhattan Institute's Washington office including Shadow Open Market Committee meetings and publications. Write papers, books, articles, and columns on a variety of economic issues, including taxation, economic growth, income inequality, and labor market regulation. Author of five books, including *Disinherited: How Washington Is Betraying America's Young* (Encounter Books, 2015), winner of Sir Antony Fisher International Memorial Award, 2016. Testify before congressional committees. Speak on college campuses. Appear on radio and TV, including CNBC, Fox Business News, and BBC's Business Matters.

George Washington University, 2016-present **(Relates to position) Adjunct Professor.** Teach Labor Economics and Public Policy in the Applied Economics MA program.

Friedberg Economics Institute, Jerusalem, Israel, 2015-2016 (**Relates to position**) **Lecturer.** Taught seminars on free-market economics to Israeli undergraduate and graduate students.

Trump Presidential Campaign and Transition, 2016 to 2017. (Relates to position)

Appeared frequently on radio and TV and wrote op eds to promote Mr. Trump's policy agenda. Assisted with economic advice. Recruited prominent women as surrogates for Women for Trump. As member of Labor Department Action Team and Landing Team, assisted on detailed plans for Day 1 and Day 100.

Hudson Institute, 2005-2011. (Managerial)

Senior Fellow and Director, Center for Employment Policy. Wrote papers on labor economics, including entrepreneurship, effects of financial policies, and education and job training, in the United States and overseas. Editor, *Overcoming Barriers to Entrepreneurship in the United States* (Lexington Books, 2008).

U.S. Department of Labor, 2003-2005. (Managerial)

Chief Economist. Performed and directed research on topics of interest to the Secretary and senior staff, such as regulatory changes, effects of regulation, minimum wage, pensions, health care, immigration, and the changing workforce. Provided regular reports and briefings to Secretary Elaine L. Chao about the economy, macroeconomic and microeconomic policy, and the employment situation in the United States, Europe, and Asia. Delivered speeches on the economy and administration policy. Organized and edited *America's Dynamic Workforce*, Department of Labor, 2004.

Council of Economic Advisers, Executive Office of the President, 2001-2003. (Managerial)

Chief of Staff; Special Adviser to the Council. Supervised production of the *Economic Report of the President*. Managed 35-person staff for the Chairman. Organized economists' representation at meetings within the Executive Office of the President and outside agencies and their interaction with White House staff. Coordinated recruiting of senior economists, staff economists, research assistants, and administrative assistants.

American Enterprise Institute, 1993-2001. (Relates to position)

Resident Fellow; Assistant to the President. Performed research on taxation, labor economics, and sex discrimination. Coauthor of two books on women in the workforce. Organized seminars and conferences on economic and regulatory issues.

The White House, 1991-1993. (Managerial)

Associate Director and Deputy Executive Secretary, Domestic Policy Council; Associate Director, Office of Policy Planning. Prepared and reviewed domestic policy options for President Bush in the areas of deregulation and taxation. Coordinated interagency working groups on domestic policy issues.

American Petroleum Institute, 1987-1991. (Relates to position)

Economist. Conducted studies, wrote papers, and directed research on tax, energy, and environmental issues.

Council of Economic Advisers, Executive Office of the President, 1986-1987. Junior Staff Economist. Provided support to the Chairman and Members of Council on microeconomic issues including labor, tax policy, and regulation.

Policy Economics Group, 1985-1986.

Economist. Analyzed the effects of proposed changes in tax laws on various industries including banking, railroad, and trucking.

Booz, Allen and Hamilton Inc., 1983-1984. Senior Consultant. The Urban Institute, Summer, 1981. Research Assistant.

- 9. Attach a copy of your resume.
- 1O.List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

Board Chair, In Shifra's Arms (Crisis Pregnancy Center).

- 12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.
- In Shifra's Arms, Chair of Board, 2014 to present. Board member, 2009 to 2014. Does not restrict membership.
- Ohev Sholom, The National Synagogue, 2005 to present. Restricts membership to Jews.
- Reform Club, London, UK. July 2017 to present. Club does not restrict membership.
- 13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14.Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

- 15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

 None.
- 16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated.

Do not attach copies of these publications unless otherwise instructed.

Books

Disinherited: How Washington is Betraying America's Young, with Jared Meyer, Encounter Books, May 2015. Winner of Sir Antony Fisher International Memorial Award, Atlas Foundation, 2016

Regulating to Disaster: How Green Jobs Policies Are Damaging America's Economy, Encounter Books, 2012.

Women's Figures: An Illustrated Guide to the Economic Progress of Women in America, AEI Press, 2012.

How Obama's Gender Policies Undermine America, Encounter Books, 2010.

Overcoming Barriers to Entrepreneurship in the United States (edited volume), Lexington Books, 2008.

The Feminist Dilemma: When Success Is Not Enough, with Christine Stolba, AEI Press, 2001.

Women's Figures: An Illustrated Guide to the Economic Progress of Women in America, with Christine Stolba, AEI Press and Independent Women's Forum, 1999.

Op Eds and Columns

"Working Wives Widen 'Income Gap'," Wall Street Journal, Tuesday, June 20, 1995.

"Congress Scores Again," *Investor's Business Daily*, Tuesday, November 28, 1995.

"Abuses of Income Distribution Tables in Tax Policy," *Tax Notes*, Volume 69, Number 11, Monday, December 11, 1995, pp. 1414-1416.

"Revolution of the Heart," review of *Revolution of the Heart* by Bill Shore, *IntellectualCapital.com*, October 3, 1996.

"Affirmative Action for Women: Just Don't Do It," *IntellectualCapital.com*, October 31, 1996.

"The Gender Gap in Taxation: Women Stand to Gain Most from Reform," *IntellectualCapital.com*, December 12, 1996.

"The Myth of the Glass Ceiling," IntellectualCapital.com, January 23, 1997.

"Should Women Worry About Glass Ceilings?" *Insight on the News,* February 10, 1997, pp. 25-27.

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"Put a Stop to Comp-Time Discrimination," *Investor's Business Daily*, Monday, June 2, 1997.

"Women's Work: Choice or Necessity?" review of *The Time Bind: When Work Becomes Home and Home Becomes Work* by Arlie Russell Hochschild, *IntellectualCapital.com*, June 26, 1997.

"A Working Woman's Retreat," review of *When Work Doesn't Work Anymore* by Elizabeth Perle McKenna, *IntellectualCapital.com*, September 18, 1997.

"The Skyline Tax," with Kevin Hassett, *The Weekly Standard*, September 29, 1997, pp. 13-14.

"Plato Speaks of Gender," review of *Cultivating Humanity: A Classical Defense* of *Reform in Liberal Education* by Martha Nussbaum, *Washington Times*, October 22, 1997.

"Uncle Sam's Dowry," with Kevin Hassett, *IntellectualCapital.com*, October 30, 1997.

"The Trial of the Unabomber: A Moral Guide," review of *Drawing Life:* Surviving the Unabomber by David Gelernter, *IntellectualCapital.com*, November 27, 1997.

"Tax Code Penalizes Work, Not Marriage," *Investor's Business Daily*, Friday, January 16, 1998.

"Women Don't Need Equal Pay Day," with Christine Stolba, *Investor's Business Daily*, Monday, April 6, 1998, A36.

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"Faster Than You Think," review of Why So Slow? The Advancement of Women by Virginia Valian, IntellectualCapital.com, October 8, 1998.

"Undoing Favoritism That Serves to Disfavor," with Christine Stolba, *Washington Times*, Thursday, November 12, 1998, A15.

"American Women Aren't Really So Cheap," with Christine Stolba, Wall Street Journal, Friday, November 20, 1998, A18.

"The Pitfalls of Politicizing Science," review of *The Baltimore Case: A Trial of Politics, Science, and Character* by Daniel Kevles, *IntellectualCapital.com*, January 7, 1999.

"'Comparable Worth' Makes a Comeback," with Christine Stolba, Wall Street Journal, Thursday, February 4, 1999, A22.

"Money Can't Buy Fulfillment," review of *What Our Mothers Didn't Tell Us:* Why Happiness Eludes the Modern Woman by Danielle Crittenden, IntellectualCapital.com, March 4, 1999.

"Sense and Sensibility in the Tax Reform Debate," review of *The Greedy Hand:* How Taxes Drive Americans Crazy and What to Do about It by Amity Shlaes, IntellectualCapital.com, March 25, 1999.

"Keep Goose That Lays Golden Eggs," Los Angeles Times, Thursday, April 1, 1999, A17.

"MIT Report Flunks Statistics 101," Investor's Business Daily, May 4, 1999, A22.

"Call Off the Troops," review of Ceasefire! Why Women and Men Must Join Forces to Achieve True Equality by Cathy Young, IntellectualCapital.com, July 15, 1999.

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"On One Tax Proposal, Clinton Has It Right," *Investor's Business Daily*, Thursday, February 10, 2000, A24.

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"Equal or Equivalent? Feminist Activists Ignore the Data about Women's Pay," *Investor's Business Daily*, Thursday, May 11, 2000, A24.

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"Susan Estrich's America: Why Can't a Woman Be More Like a Man?" *The Weekly Standard*, November 13, 2000, 32-34.

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"La France Peut Mieux Faire," ("France Can Do Better") *Le Figaro*, Friday, September 30, 2005.

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"10K Handout: Better Than Welfare," review of *In Our Hands* by Charles Murray, *New York Post*, Sunday, April 2, 2006.

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"No Honor, No Victory," review of *Honor: A History* by James Bowman, *New York Post*, Sunday, June 4, 2006.

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"Walls to No Avail," New York Sun, July 19, 2006.

"Friends for Life, Today and Then," review of *Friendship: An Exposé*, by Joseph Epstein, *New York Post*, August 20, 2006.

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"America, Job Frontier," New York Sun, October 9, 2006.

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- "The Wrong Fire," New York Sun, July 13, 2007.
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- "Keep Our Money Here," New York Sun, July 27, 2007.
- "Wising Up," New York Sun, August 3, 2007.
- "Sick of SCHIP," New York Sun, August 10, 2007.
- "Farewell, Karl," New York Sun, August 17, 2007.
- "New York's Collapsible Bridges," New York Sun, August 24, 2007.
- "Labor Reconsidered," New York Sun, August 31, 2007.
- "Fred Can Win," New York Sun, September 7, 2007.
- "Ticking Time Bomb," review of *The Iranian Time Bomb: The Mullah Zealots'* Quest for Destruction, by Michael Ledeen, *New York Sun*, September 14, 2007.
 - "Fixing Airport Delays," New York Sun, September 21, 2007.
 - "A Prelude to Health," New York Sun, September 28, 2007.
 - "Keep Them Here," New York Sun, October 5, 2007.
 - "An Unnecessary Flub," New York Sun, October 8, 2007.
 - "Repeg the Peg," New York Sun, October 12, 2007.
 - "A Blind Eye to Prevention," New York Sun, October 22, 2007.
 - "A Subprime Debacle," New York Sun, October 26, 2007.

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- "Punishing the Consumer," New York Sun, November 16, 2007.
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- "Keeping Unions Accountable," New York Sun, December 19, 2007.
- "The Great Recession of 2008?" American.com, December 21, 2007.
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- "Desperately Seeking Visas," New York Sun, January 2, 2008.
- "FairTax's Plausible Solution," New York Sun, January 9, 2008.
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- "Who's Afraid of Expensive Petrol?" American.com, January 25, 2008.
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- "Opportunity Knocks for the GOP: A Winning Loss," *New York Sun*, February 8, 2008.

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"Foul Play on Fair Pay," New York Sun, April 30, 2008.

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"Union Leaders Should Disclose Their Compensation to the Public," *The Examiner*, May 20, 2008.

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- "\$2 Gas And \$1 Eggs," New York Sun, June 11, 2008.
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- "HIRAs Are the Future," New York Sun, June 25, 2008.
- "Geoengineering," New York Sun, July 2, 2008.
- "Holding Up a Mirror to the SEIU," New York Sun, July 9, 2008.
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"Regulatory and Statutory Barriers to Employment," testimony before the Joint Economic Committee, July 12, 2011.

"Social, Behavioral, and Economic Science Research: The Need for Federal Investments and Priorities for Funding," testimony before the Subcommittee on Research and Science Education of the House Committee on Science, Space, and Technology, June 2, 2011.

"The Future of Union Transparency and Accountability," testimony before the Subcommittee on Health, Employment, Labor, and Pensions of the House Committee on Education and the Workforce, March 31, 2011.

"The Gender Pay Gap," testimony before the Joint Economic Committee, September 28, 2010.

"Long-Term Unemployment: Problem and Solutions," testimony before the Joint Economic Committee, April 29, 2010.

"The American Samoa Protection of Industry, Resources, and Employment Act," testimony before the Subcommittee on Insular Affairs, Oceans, and Wildlife, of the House Committee on Natural Resources, November 4, 2009.

"Testimony on Medical Debt: Can Bankruptcy Reform Facilitate a Fresh Start?," testimony before the Senate Committee on the Judiciary, Subcommittee on Administrative Oversight and the Courts, October 20, 2009.

"Testimony on the Effects of Health Reform Proposals on Women," testimony before the Senate Committee on Health, Education, Labor and Pensions, October 15, 2009.

"Testimony on the Gender Pay Gap," testimony before the Joint Economic Committee, April 28, 2009.

"Testimony on the Growing Income Gap in the American Middle Class," testimony before the Subcommittee on Workforce Protections of the House Committee on Education and Labor, July 31, 2008.

"Promoting U.S. Worker Competitiveness in a Globalized Economy," testimony before the Subcommittee on Investigations and Oversight of the House Committee on Science, June 24, 2008.

"Consequences and Solutions to America's Energy Crisis," testimony before the House Committee on Natural Resources, June 18, 2008.

"Testimony on the Employment Status of Women," testimony before the Joint Economic Committee, June 4, 2008.

"Testimony on Promoting Worker Competitiveness in a Globalized Economy," testimony before the House Committee on Ways and Means, June 14, 2007.

"Testimony on the Future of Undocumented Immigrant Students," testimony before the House Judiciary Committee's Subcommittee on Immigration, Citizenship, Refugees, Border Security, and International Law, May 18, 2007.

"Testimony on the Paycheck Fairness Act," testimony before the House Committee on Education and Labor, April 24, 2007.

"Economic Security for Working Families," testimony before the House Committee on Education and Labor, January 31, 2007.

"Testimony on Behalf of Judge John Roberts to Be Chief Justice of the Supreme Court," testimony before the Senate Committee on the Judiciary, September 15, 2005.

"The Challenges of the 21st Century Workforce," testimony before the Senate Committee on Health, Education, Labor, and Pensions, May 26, 2005.

"The Statistically Misleading 74 Cent Wage Gap," testimony before the Equal Employment Opportunity Commission, Philadelphia, Pennsylvania, April 12, 1999.

"The Economic Effects of Capital Gains Taxation," testimony before the Senate Committee on Agriculture, Nutrition, and Forestry, February 26, 1997.

"The Working Families Flexibility Act," testimony before the House Committee on Education and the Workforce, Subcommittee on Workforce Protections, February 5, 1997.

"The Difficulty of Interpreting Income Distribution Tables," testimony before the National Commission on Economic Growth and Tax Reform, July 12, 1995.

- 16. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?
- I would be nominated for Assistant Secretary for Research and Technology. Nothing is more important to the economic health of America than getting the private sector involved in rebuilding the Nation's infrastructure. As an economist with over 30 years of experience, I have studied the provision of infrastructure and transportation extensively. I have written articles on transportation issues and on regulation. In addition, I have managed staffs at the Council of Economic Advisers, at the Department of Labor, and at the Manhattan Institute. I have reviewed hundreds of papers and articles to determine their quality and suitability for publication.
- 17. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?
- I would be responsible for managing the Office of the Assistant Secretary for Research and Technology. I would make sure that the programs in the Office are efficiently run and that the legal and technical responsibilities of the Office are met. I would ensure that Congress's appropriated funds were properly managed. I have managed the staff of the Council of Economic Advisers, a staff of 35 professionals at the White House. For the past several years I have managed the Washington office of the Manhattan Institute for Policy Research.
- 18. What do you believe to be the top three challenges facing the department/agency, and why?
- The first challenge is managing the Office of the Assistant Secretary and the research portfolio. The second challenge is making sure that the quality of the research is as high as possible. This is particularly difficult given the rapidly changing technology, such as autonomous vehicles and drones. The third challenge is getting the private sector involved in rebuilding America's infrastructure.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

I am currently paid a salary by the Manhattan Institute and I receive an annual bonus. The Manhattan Institute contributes to my retirement account. I am paid a salary by George Washington University for teaching labor economics if I teach a course, although I am not teaching at present. I would no longer be employed by the Manhattan Institute nor George Washington University if I were to be confirmed. I have no deferred compensation or continuing dealings with these institutions.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

I have never been a registered lobbyist. I have no consulting clients. I have never accepted funds for taking any positions. I have written many columns advocating lower taxes, less regulation, and lower government spending in an effort to change our government's policies. I wrote a paper for the Advanced Medical Technology Association measuring the employment effects of the Medical Device Tax, for which I was paid \$15,000 in 2009 and \$10,000 to update it in 2011.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group?

No.

If yes:

- a. Provide the name of agency, association, committee, or group;
- b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
- c. Describe the citation, disciplinary action, complaint, or personnel action;
- d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
- 2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

| I. | Will you ensure that your department/agency | complies | with | deadlines | for |
|----|--|----------|------|-----------|-----|
| | information set by congressional committees? | | | | |

Yes, to the best of my ability.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

Diana FurchtsoH-Roth being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

DE FurchtgoH-Robert Signature of Nominee

Subscribed and sworn before me this $\underline{0}$ day of $\underline{201}$.

Notary Public

EXP.

10-31-18

Tonya M. Butler
Notary Public, District of Columbia
My Commission Expires October 31, 2018