

## Senate Commerce Committee Nominee Questionnaire, 116<sup>th</sup> Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

### A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

**Thomas B. Chapman**

2. Position to which nominated:

**Board Member, National Transportation Safety Board**

3. Date of Nomination:

**October 17, 2019**

4. Address (List current place of residence and office addresses):

[REDACTED]

**Office: Senate Committee on Commerce, Science, & Transportation  
[REDACTED] Hart Senate Office Building  
Washington, DC 20510**

5. Date and Place of Birth:

**Born December 18, 1954, Denver, Colorado.**

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

- **Married January 25, 1986, to Mary Scott O'Connell ("Scotty"). My wife retired in 2015 from the National Air & Space Museum. She was with the Smithsonian Institution for 25 years, nearly all of it at Air & Space.**

- **Proud father of** [REDACTED]

7. List all college and graduate degrees. Provide year and school attended.

**American University School of Law, Washington, D.C.**

**Attended September 1979 through May 1982.**

**Juris Doctor, May 1982.**

**Admitted to the practice of law in Washington, D.C. and Maryland.**

**C.W. Post College, Greenvale, N.Y.**

**Attended September 1973 through May 1978.**

**Bachelor of Fine Arts in Music, May 1978.**

**Full participant in Honors Program.**

**Areas of concentration: political science, literature, and education.**

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

**Counsel**

***Senate Subcommittee on Aviation Operations, Safety, & Security***

***Committee on Commerce, Science, & Transportation***

***July 2014 to Present.***

Joined Aviation Subcommittee staff in anticipation of legislative effort to reauthorize the Federal Aviation Administration. Worked

collaboratively with bipartisan Senate team throughout the 114<sup>th</sup> Congress to develop comprehensive FAA Reauthorization bill passed by the Senate in April of 2016. Subsequent negotiations with the House culminated in the *FAA Extension, Safety, and Security Act of 2016*, passed by Congress in July of 2016. Efforts to agree on long-term, comprehensive legislation continued in the 115<sup>th</sup> Congress, resulting in passage of the *FAA Reauthorization Act of 2018*, signed into law October 5, 2018. The five-year package negotiated on a bipartisan basis ensures long-term stability and various important reforms of the FAA. It also reauthorizes and reforms the Transportation Security Administration and the National Transportation Safety Board.

**Vice President for Government Affairs**  
***US Airways, Washington, D.C.***  
***October 2006 to January 2014.***

Recruited to manage Washington, D.C. office for the “new” US Airways. Newly established company resulted from 2005 merger of former US Airways and America West Airlines. Served as primary Washington representative on legislative matters, and contributed significantly to federal regulatory work and dealing with news media on government affairs matters. During tenure, launched enhanced internal fundraising campaign for *US Airways Political Action Committee*, doubling the rate of employee participation and tripling PAC financial resources. Heavily involved in developing and implementing government affairs strategies promoting industry consolidation. Work on that company priority culminated in successful agreement to merge US Airways and American Airlines, creating the world’s largest airline.

**Legislative Counsel**  
***Southwest Airlines Co., Washington, D.C.***  
***January 1998 to October 2006.***

Recruited to establish and manage new Washington, D.C. office to represent highly regarded Southwest Airlines. Establishment of

full-time Washington office significantly enhanced the effectiveness of the Company's government affairs efforts. Served as primary Washington representative on legislative and regulatory matters. Frequently called upon to represent the Company in state and local government affairs arena, particularly in the airline's newer east coast destination cities. Helped organize and launch the Company's first ever PAC, the *Southwest Airlines Freedom Fund*. Dealt with news media on government affairs matters.

**Senior Vice President for Government & Technical Affairs**  
***Aircraft Owners & Pilots Association, Washington, D.C.***  
***December 1996 to January 1998.***

Promoted to direct all federal, state, and local government affairs activities for AOPA – a major national association representing interests of over 340,000 members. Managed full-time professional staff of eighteen in AOPA's Washington, D.C. and Frederick, Maryland offices. Also managed state and local activities of twelve Regional Representatives. AOPA's government affairs program often cited as among the most active of all national membership associations.

**Vice President & Legislative Counsel**  
***Aircraft Owners & Pilots Association, Washington, D.C.***  
***November 1988 to December 1996.***

Promoted to direct federal and state legislative programs and PAC for AOPA. Managed staff of six in AOPA's Office of Legislative Affairs. During tenure, substantially increased effectiveness and organization of AOPA's legislative programs. Increased PAC fund-raising capability to secure it solidly among 50 largest PACs in the United States.

**State Legislative Counsel**

***Aircraft Owners & Pilots Association, Washington, D.C.***

***June 1985 to November 1988.***

Promoted to expand and direct AOPA's state legislative program. Coordinated state legislative activities of twelve Regional Representatives.

**Associate Counsel, Office of the General Counsel**

***Aircraft Owners & Pilots Association, Washington, D.C.***

***May 1982 to June 1985.***

Established and coordinated successful state legislative program for AOPA. Drafted or reviewed all Association contracts and participated heavily in contract negotiations. Responsible for all trademark and copyright issues. Gained experience in broad spectrum of corporate legal matters.

9. Attach a copy of your resume.

**Attached.**

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

**None to report.**

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

**Vice President for Government Affairs**

**US Airways, Washington, D.C.**

**October 2006 to January 2014.**

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

**Member of the 116 Club . . . a private lunch club located at 234 3rd Street, NE, in Washington, DC. Member since 2012.**

**I have never been a member of nor have I associated with any organization which discriminates on the basis of sex, race, color, religion, national origin, age, or disability.**

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

**None to report.**

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

**None to report.**

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

**Political contributions during the past ten years:**

<b>1/26/2009</b>	<b>Citizens for Arlen Specter</b>	<b>\$500</b>
<b>7/6/2010</b>	<b>Friends of John McCain</b>	<b>\$500</b>
<b>6/15/2011</b>	<b>Schweikert for Congress</b>	<b>\$500</b>
<b>12/21/2011</b>	<b>Pat Meehan for Congress</b>	<b>\$500</b>
<b>2/24/2012</b>	<b>Jeff Flake for U.S. Senate</b>	<b>\$500</b>
<b>5/25/2012</b>	<b>Bill Nelson for U.S. Senate</b>	<b>\$1,000</b>
<b>5/28/2013</b>	<b>Team Graham</b>	<b>\$1,000</b>

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

**Recognized by the ARC of Frederick County, Maryland, for voluntary fundraising efforts in support of the organization's programs to help people with developmental disabilities.**

**Recognized by both the National Parks Service and the Federal Aviation Administration for efforts as one of nine members appointed by the Clinton Administration to serve on the National Park Overflights Working Group. Over a two-year period, the Working Group successfully forged a compromise that served as a foundation for the FAA to develop reasonable regulations applying to scenic air tours over National Parks.**

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

**Through internet research and a review of my personal files, I was able to identify several articles published in my name which are listed below. If requested, I am able to provide a copy of each article listed.**

**Additional articles were likely published in my name, especially during my tenure on the staff of the Aircraft Owners & Pilots Association. However, I no longer have access to that material, nor was I able to identify other publications in the course of my research.**

- **“Daschle is right about duplicate checks,” Sioux Falls Argus-Leader, June 3, 1995.**
- **“Election '94 – Aviation’s Political Spectrum,” AOPA Pilot Magazine, February 1, 1994.**
- **“Capitol Dispatch,” AOPA Pilot Magazine, February 1992.**
- **“Taxing Struggles: Legislative Update – 1991,” AOPA Pilot Magazine, February 1991.**
- **“State Affairs: 1987 in Review,” AOPA Pilot Magazine, January 1988.**

**Regarding formal speeches, I have delivered few during my career. However, I have participated in significant numbers of panel discussions, conferences, and question-and-answer sessions. The instances during which I participated in such events are too numerous to recall.**

18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

**I maintain a private Instagram account with a handful of followers, consisting of a few friends and family members. I am happy to arrange access to the account for review, if that would be helpful.**

**<https://www.instagram.com/tbchapman01/>**

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

**To the best of my recollection, I testified before Congress on two occasions – both prior to 1998, while I was still employed by the Aircraft Owners & Pilots Association.**

**I represented AOPA at a hearing before the Aviation Subcommittee of the House Committee on Public Works & Transportation. I testified regarding the impact of the so-called Wright Amendment, which limited flights out of Dallas Love Field in the State of Texas.**

**I also represented AOPA at a hearing of the Senate Transportation Appropriations Subcommittee and testified regarding funding priorities for the coming fiscal year.**

**Despite efforts to identify the details, I am unable to reference the precise dates on which these hearings took place.**

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

**I am honored to be nominated to serve on the National Transportation Safety Board. Appointment to the NTSB would be a rewarding opportunity to apply my experience and skills in a role allowing me to continue giving back through public service.**

**My nearly four decades of experience in the aviation industry have prepared me well for such a task. I have been deeply involved in an extensive range of issues, often playing a key role in identifying and negotiating resolutions. In varying degrees, virtually every aspect of aviation involves considerations of safety. Consequently, an awareness and sensitivity to a pervasive culture of safety is imbedded in my professional approach, consistent with the critical safety mission of the NTSB.**

**In addition to my experience, I would bring at least two qualities to the position which I believe are valuable. First, I benefit from an established reputation for collegiality and consensus building. Second, I am committed to public service and believe that much is expected from those who are most fortunate.**

**I am an aggressive advocate for the policy positions I am professionally obliged or personally committed to represent. However, I strive to demonstrate respect and appreciation for the views of those with whom I may disagree. I believe in compromise, and I take pride in crafting solutions that will accommodate seemingly conflicting points of view. Many of those I consider my most valued professional friends and associates are also those with whom I have most significantly clashed over past policy disputes.**

**As for my commitment to service, I view it as a responsibility for those who are fortunate to have prospered from professional life in the private sector. During the last five years, I have been privileged to serve on the staff of the Senate Committee on Commerce, Science, & Transportation. I joined the Aviation Subcommittee staff in anticipation of the major legislative effort required to reauthorize the Federal Aviation Administration. I worked collaboratively with the bipartisan Senate team throughout the 114th Congress to develop a comprehensive FAA Reauthorization bill passed by the Senate in April of 2016. Subsequent negotiations with the House culminated in passage of the FAA Extension, Safety, and Security Act of 2016, passed by Congress in July of 2016.**

**Efforts to agree on long-term, comprehensive legislation continued in the 115th Congress, resulting in passage of the FAA Reauthorization Act of 2018, signed into law October 5, 2018. That five-year package**

**negotiated on a bipartisan basis ensures long-term stability and various important reforms of the FAA. It also reauthorizes and reforms the Transportation Security Administration and the National Transportation Safety Board.**

**I am proud of the major accomplishments to which I have contributed since joining the Commerce Committee staff. I would be honored to build on that effort through additional service as a member of the National Transportation Safety Board.**

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

**If confirmed, I will work collaboratively with the Chairman, my fellow Board Members, and the staff of NTSB to ensure the limited resources available to the agency are targeted efficiently and appropriately to fulfill the NTSB's legislative mandate and to achieve its priority objectives. As I understand those objectives, they include serving as a global leader in conducting independent accident investigations, promoting transportation safety through creation of relevant materials and products, stakeholder engagement in the advancement of transportation safety, and promoting NTSB staff involvement and innovation to ensure effectiveness of the agency.**

**My experience as an executive for three large entities has prepared me well for a leadership role at NTSB. I am familiar and experienced with budgeting and cost control practices, having been responsible for developing and managing budgets for the various departments I have lead throughout my career. Likewise, I have managed teams involving significant numbers of employees, focusing on establishment and fulfillment of team objectives, response and adaptation to changing circumstances, and growth and development of individual team members.**

**I welcome the opportunity to contribute my management experience and skills to ensure the continuing success and effectiveness of the world's premier transportation safety agency.**

22. What do you believe to be the top three challenges facing the department/agency, and why?

**Autonomous vehicles & new technology.** Advances in automation and the development of autonomous vehicles hold great promise for enhancing transportation safety. However, these advances will present new questions and challenges – some of which will be difficult to anticipate. NTSB must keep pace with the rapid changes. It must ensure that staff expertise is maintained and that appropriate tools and technical skills are available or developed to meet the challenge.

**Keeping pace with demand.** NTSB's reputation as the world's premier transportation agency is well deserved. With such a reputation comes high expectations, and meeting those expectations in a timely fashion is ever more important. The resources available to the agency are limited and may become more so. The agency has performed admirably in ensuring that resources are targeted effectively. Maintaining that discipline will remain essential.

**Maintaining & developing staff.** NTSB staff are renowned for their skill and expertise. The work of the agency is highly dependent on the dedication and unique abilities of its employees. Recruiting and retaining skilled team members is a challenge for any government agency, and particularly for one such as NTSB which requires such unique skillsets. Key to success in this area is ensuring the well-regarded culture and work environment of the agency is maintained.

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

**Pursuant to an Executive Change in Control & Severance Benefits Agreement with US Airways Group, Inc. & US Airways, Inc., my family and I receive lifetime flight privileges on American Airlines.**

**I will provide a copy of the Change in Control Agreement for review, if needed. Counsel for the Senate Ethics Committee reviewed the Agreement in 2014 at the time I joined the staff of the Committee on Commerce, Science, and Transportation.**

**This information is described fully in my Form 278e Public Financial Disclosure Form.**

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

**I have no commitments or agreements to maintain employment, affiliation, or practice with any business, association, or other organization during my appointment.**

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

**In connection with the nomination process, I have consulted with the Office of Government Ethics and the NTSB's DAEO to identify potential conflicts of interest. I agreed to take those actions that are outlined in the letter to the DAEO. A copy is attached. These**

**commitments constitute my ethics agreement as identified in the ethics regulations.**

**My family and I receive lifetime flight privileges from American Airlines pursuant to an Executive Change in Control & Severance Benefits Agreement with US Airways Group, Inc. & US Airways, Inc. Because we will continue to receive these benefits, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the ability or willingness of American Airlines to provide these benefits, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1). Because of this ongoing financial relationship, I will not participate personally and substantially in any particular matter involving specific parties in which I know American Airlines is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).**

**Additionally, I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).**

**If I have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.**

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

**In connection with the nomination process, I have consulted with the Office of Government Ethics and the National Transportation Safety Board's DAEO to identify potential conflicts of interest. I agreed to take those actions that are outlined in a letter to the DAEO. A copy is attached. These commitments constitute my ethics agreement as identified in the ethics regulations. I am not aware of any other potential conflicts of interest.**

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

**In connection with the nomination process, I have consulted with the Office of Government Ethics and the NTSB's DAEO to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the NTSB's DAEO and that has been provided to this Committee. Pursuant to that agreement:**

- **I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse and minor child; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.**
- **My family and I receive lifetime flight privileges from American Airlines pursuant to an Executive Change in Control & Severance Benefits Agreement with US Airways Group, Inc. & US Airways, Inc. Because we will continue to receive these**

**benefits, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the ability or willingness of American Airlines to provide these benefits, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1). Because of this ongoing financial relationship, I will not participate personally and substantially in any particular matter involving specific parties in which I know American Airlines is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).**

- **If have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.**
- **I will meet in person with the DAEO during the first week of my confirmation in order to complete the ethics briefing required under 5 C.F.R. § 2638.305. Within 90 days of my confirmation, I will document my compliance with the ethics agreement by notifying the NTSB's DAEO in writing when I have completed the steps described in the ethics agreement.**

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

- **Served as Vice President for Government Affairs with US Airways from October 2006 to January 2014.**
- **Since July 2014, have served continuously as Counsel to the Senate Subcommittee on Aviation & Space (formerly, the Subcommittee on Aviation Operations, Safety, & Security).**

## C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
  - a. Provide the name of agency, association, committee, or group;
  - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
  - c. Describe the citation, disciplinary action, complaint, or personnel action;
  - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

**I have never been disciplined or cited for a breach of ethics, professional misconduct, or retaliation, nor have I been the subject of any complaints alleging such conduct.**

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

**I have never been investigated, arrested, charged, or held by any law enforcement authority for anything other than for a minor traffic offense.**

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

**I have not personally been a party to or otherwise involved in any administrative agency proceeding, criminal proceeding, or civil litigation. Each of the private sector organizations which has employed me during my professional career was involved in business related litigation typical of any large and sophisticated corporate**

**entity. While I was often aware of such proceedings, I was never a party in interest nor did I actively participate in the litigation.**

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

**I have never been convicted of any criminal violation other than a minor traffic offense.**

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

**I have never been accused of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis.**

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

**None to report.**

## **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

**In collaboration with fellow members of the Board, I will ensure that NTSB complies with deadlines for information set by congressional committees and endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority.**

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

**In collaboration with fellow members of the Board, I will ensure that NTSB does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures.**

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

**In collaboration with fellow members of the Board, I will cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee.**

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

**I am willing to appear and testify before any duly constituted committee of the Congress on such occasions as I may be reasonably requested to do so.**

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(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. AFFIDAVIT**

**Thomas B. Chapman**, being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

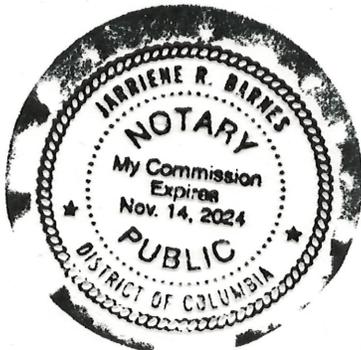


Signature of Nominee

Subscribed and sworn before me this 22<sup>nd</sup> day of November, 2019.

  
\_\_\_\_\_  
Notary Public

District of Columbia  
Subscribed and sworn to before  
me this 22<sup>nd</sup> day of  
November 2019  
\_\_\_\_\_  
Notary Public



**THOMAS B. CHAPMAN**



**PROFESSIONAL HISTORY**

***Counsel***

*Senate Subcommittee on Aviation Operations, Safety, & Security  
Committee on Commerce, Science, & Transportation  
July 2014 to Present.*

Joined Aviation Subcommittee staff in anticipation of legislative effort to reauthorize the Federal Aviation Administration. Worked collaboratively with bipartisan Senate team throughout the 114<sup>th</sup> Congress to develop comprehensive FAA Reauthorization bill passed by the Senate in April of 2016. Subsequent negotiations with the House culminated in the *FAA Extension, Safety, and Security Act of 2016*, passed by Congress in July of 2016. Efforts to agree on long-term, comprehensive legislation continued in the 115<sup>th</sup> Congress, resulting in passage of the *FAA Reauthorization Act of 2018*, signed into law October 5, 2018. The five-year package negotiated on a bipartisan basis ensures long-term stability and various important reforms of the FAA. It also reauthorizes and reforms the Transportation Security Administration and the National Transportation Safety Board.

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## **PROFESSIONAL HISTORY (Cont.)**

### ***Legislative Counsel***

*Southwest Airlines Co., Washington, D.C.  
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## PROFESSIONAL HISTORY (Cont.)

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Aircraft Owners & Pilots Association, Washington, D.C.  
May 1982 to June 1985.*

Established and coordinated successful state legislative program for AOPA.  
Drafted or reviewed all Association contracts and participated heavily in contract negotiations. Responsible for all trademark and copyright issues. Gained experience in broad spectrum of corporate legal matters.

## EDUCATION

*American University School of Law, Washington, D.C.  
Juris Doctor, May 1982.  
Admitted to the practice of law in Washington, D.C. and Maryland.*

*C.W. Post College, Greenvale, N.Y.  
Bachelor of Fine Arts in Music, May 1978.  
Full participant in Honors Program.  
Areas of concentration: political science, literature, and education.*

## PERSONAL INFORMATION

Born December 18, 1954, Denver, Colorado.

Married January 25, 1986, to Mary Scott O'Connell ("Scotty").

Proud father of [REDACTED]

November 12, 2019

Ms. Kathleen Silbaugh  
Designated Agency Ethics Official  
National Transportation Safety Board  
490 L'Enfant Plaza East, SW, Sixth Floor  
Washington, DC 20594

**Re: Ethics Agreement – Thomas B. Chapman**

Dear Ms. Silbaugh,

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of Board Member of the National Transportation Safety Board.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

My family and I receive lifetime flight privileges from American Airlines pursuant to an Executive Change in Control & Severance Benefits Agreement with US Airways Group, Inc. & US Airways, Inc. Because we will continue to receive these benefits, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the ability or willingness of American Airlines to provide these benefits, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1). Because of this ongoing financial relationship, I will not participate personally and substantially in any particular matter involving specific parties in which I know American Airlines is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

If I have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.

Ms. Kathleen Silbaugh  
November 12, 2019  
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I will meet in person with you during the first week of my service in the position of Board Member in order to complete the initial ethics briefing required under 5 C.F.R. § 2638.305. Within 90 days of my confirmation, I will document my compliance with this ethics agreement by notifying you in writing when I have completed the steps described in this ethics agreement.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

I understand that as an appointee I will be required to sign the Ethics Pledge (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

Sincerely,

A handwritten signature in blue ink, consisting of several loops and a long horizontal stroke at the end, representing the name Thomas B. Chapman.

Thomas B. Chapman