Senate Commerce Committee Coast Guard Nominee Questionnaire 117th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Michael Emerson Platt

2. Position to which nominated:

Rear Admiral (Lower Half)

3. Date of Nomination: August 16, 2021

4. Address (List current place of residence and office addresses):

Office:

2703 Martin Luther King Junior Avenue, SE STOP 7000, Washington, DC 20593

5. Date and Place of Birth:

February 9, 1969, Clark Air Force Base Hospital, Republic of Philippines

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Christal L. Platt Licensed Professional Counselor Total Health Concepts, LLC Wife works from an office in our house since the COVID outbreak

7. List all college and graduate degrees. Provide year and school attended.

National War College, Master of Science in National Security Strategy, 2014-2015

American Military University, Master of Art in Homeland Security, 2006-2008

Hampton University, Bachelor of Science in Airway Science, 1987-1991

8. List all post-undergraduate employment, and highlight all managementlevel jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have been a Coast Guard Officer since 1992. Please see my Official Coast Guard biography

9. Attach a copy of your resume.

A copy of my official Coast Guard resume is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

None

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Life Member, Coast Guard Aviation Association – member for approximately five years, I have never held any positions as an officer or director

Life Member, National Naval Officers Association (NNOA) – member for more than ten years, I have never held any positions as an officer or director.

None of these organizations restrics membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

None

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Scholarship:

Coast Guard College Student Pre-commissioning Initiative.

Fellowship:

Massachusetts's Institute of Technology Seminar XXI Fellowship (2013-2014)

Military Personal Awards:

Distinguished Superior Service Medal Meritorious Service Medal (4) Coast Guard Commendation Medal (3) Coast Guard Achievement Medal (4) Commandant's Letter of Commendation

Other Special Recognition

Higgins and Langley Swift Water Rescue Incident Award (2005)

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

None

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on

each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Facebook account in my name: not active, I deactivated the account over a year ago.

Linkedin account in my name: not active, dormant for over 10 years.

I have no other social media accounts.

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None

20. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

My background and training as both a law enforcement officer and Coast Guard aviator has given me the opportunity to personally execute the Coast Guard's critical missions. If confirmed, I desire to continue contributing to the Service to improve both the culture and member experience and the platforms on which we operate. I am also one of the last Coast Guard aviators who was a member of Polar Operations Division, a unit responsible for deploying helicopters on our icebreakers. I served as a helicopter pilot for two six-month deployments on our medium icebreaker, USCGC HEALY. As we work to recapitalize our icebreaking fleet, my experience lends to this effort as I look forward to contributing to the Polar Security Cutter program of record.

21. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

I am a public steward and feel that the expenditure of public funds must be fully transparent and done in accordance with the higest standards of fiscal

5

accountability. Further, if confirmed as a Coast Guard Senior Leader, I will hold myself and others accountable to ensuring the appropriate expenditure and accounting of public funds entrusted to the Coast Guard.

I am confident of my abilities to fulfill this obligation. Previously, I was blessed with the opportunity and priviledge to command two Coast Guard Air Stations. As the Commanding Officer, I had absolute responsibility for managing all operations, leading more than 300 Coast Guard women and men, directing and managing all resources, including 10 aircraft (valued at \$435 million), \$483 million in real property, nearly \$20 million in aircraft parts, a \$5.7 million operations budget, on 51 acres with nearly 300,000 square feet of physical plant with six tenant commands. While only a fraction of the size and scale of the whole enterprise, I believe my experience in these responsibilities is transferable to larger segments of the Coast Guard.

22. What do you believe to be the top three challenges facing the Coast Guard, and why?

Management of our workforce is a perennial challenge, but one that come with great opportunity. We need to attract, recruit, and retain a diverse workforce to continue excelling in mission execution. As determined as we are, our Service is still challenged to maintain a workforce that represents the diverse range of backgrounds, talents, and experiences found in the American population. The pandemic has made this challenge even more complex.

Another challenge that our service faces is the struggle to allocate resources appropriated by Congress to recapitalize our assets and our shore infrastructure. I flew HH-65A helicopters, we are now flying MH-65E models – the fifth generation of the model I flew in the 1990s. Upgrading and modernizing aircraft in the Coast Guard inventory is an iterative process. This can put additional burden on members to keep up with the changes and requires resources to train and service a changing fleet. We must constantly striveto stay on track with our objectives to transition the Service to a single helicoption fleet.

The third challenge I believe our Service faces is getting the resources to sustain Coast Guard Statutory requirements and advance Department priorities at current levels. Inflationary growth for Operations & Support

6

funding is needed to counteract eroding purchasing power due to higher fuel, parts, and supply costs.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

None

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest or potential conflict of interest should arise I will seek the advice of a Coast Guard ethics attorney and follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest or potential conflict of interest should arise I will seek the advice of a Coast Guard ethics attorney and follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest or potential conflict of interest should arise I will seek the advice of a Coast Guard ethics attorney and follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

C. LEGAL MATTERS

- Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and pregnancy), age, disability, genetic information, maritial status, parental

status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity (EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

No

- 6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the
 - sentence adjudged by a court-martial, other than in connection with a pretrial agreement?

I have never served as a general court-martial convening authority involving sexual misconduct or assault

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

D. RELATIONSHIP WITH COMMITTEE

 Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, in accordance with law and DHS policy and guidance.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request? Yes, in accordance with law and DHS policy and guidance.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

<u>MIGNEL E. PLAN</u> being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 20th day of September , 2021.

Notary Public

Eugene F. Adams II Notary Public, District of Columbia My Commission Expires 9/30/2023



Captain Michael E. Platt

Capt. Michael Platt assumed duties as Executive Assistant to the Commandant in June 2021. He is a native of Boston. Massachusetts and entered the Coast Guard through the Minority Officer Recruitment Effort (MORE), now known as the College Student Pre-Commissioning Initiative (CSPI). He graduated from Hampton University with a Bachelor of Science, American Military University with a Master's of Science, and the National War College with a Master of Science in National Security Strategy. Capt. Platt was also a 2014 MIT Seminar XXI Fellow.

Capt. Platt previously served at six Coast Guard aviation units including command of Air Station Detroit (2010-2012) and Air Station Miami (2017-2019). During the course of his career, he accumulated over 3,600 hours of flight time in the MH-65 helicopter and the HC-144 airplane.



Prior to attending flight training, Capt. Platt was as a boarding officer and deployable officer in charge with Law Enforcement Detachment One, and after completing National Search and Rescue School, served as a Command Duty Officer at Coast Guard Greater Antilles Section (GANTSEC) Command Center in San Juan, Puerto Rico.

Capt. Platt's staff tours include the First Coast Guard District's Incident Management Branch in Boston; Special Assistant to the 24th Commandant of the Coast Guard; Chief of the Homeland Defense Division and Coast Guard Liaison Officer to the Joint Chiefs of Staff, J3 Directorate for Operations; Executive Assistant to the Director of Governmental and Public Affairs; and Executive Assistant to the 31st Vice Commandant

Capt. Platt has earned various personal, unit, and service awards.

CAPT Michael E. Platt

Current Assignment

JUN 21 - Present Executive Assistant to the Commandant, CAPT

Assignment History

0	
JUN 20 – JUN 21	Executive Assistant to the Vice Commandant, CAPT
JUL 19 – JUN 20	Executive Assistant, Director of Governmental and Public Affairs
	(CG-092), CAPT
JUL 17 – JUL 19	Air Station Miami, Commanding Officer, CAPT
JUN 15 – JUL 17	Joint Chiefs of Staff, Coast Guard Liaison Officer, CAPT
JUL 14 – JUN 15	National War College, Duty Under Instruction, CAPT
MAY 12 – JUN 14	Office of the Commandant, Special Assistant, CDR
JUN 10 – MAY 12	Air Station Detroit, Commanding Officer, CDR
JUL 08 – JUN 10	Air Station Los Angeles, Executive Officer, CDR
JUN 06 – JUL 08	First District, Incident Management Branch, LCDR
JUN 03 – MAY 06	Air Station San Francisco, Instructor Pilot, LCDR
MAY 01 – JUN 03	Polar Operations Division, Aircraft Commander, LT
APR 97 – MAY 01	Air Station Los Angeles, Aircraft Commander, LT
JUL 95 – JAN 97	Flight Training, Duty Under Instruction, LTJG
JAN 94 – JUN 95	GANTSEC, Command Center Controller, LTJG
AUG 92 – DEC 93	GANTSEC, Team Leader, LEDET One, ENS
	, , , , , , , , , , , , , , , , , , , ,

Educational Summary

2014 - 2015 National War College, Master of Science in National Security Strategy

2013 - 2014 Air Command and Staff College Distance Learning, Diploma

2006 - 2008 American Military University, Master of Art in Homeland Security

1987 - 1991 Hampton University, Bachelor of Science in Airway Science

Military Personal Awards and Significant National Recognition or Achievement

2017 Defense Superior Service Medal
2012, 2014, 2019, 2020 Meritorious Service Medal
2003, 2008, 2010 Coast Guard Commendation Medal
1995, 2001, 2006, 2006 Coast Guard Achievement Medal
2005 Higgins and Langley Swift Water Rescue Incident Award
2001, 2002 Arctic Service Medal
2000 Commandant's Letter of Commendation

Significant Current Professional Credentials or Recent Activities

- 2019 Capitol Hill Workshop
- 2019 Honorary Chief Petty Officer
- 2018 Advanced Mishap Analysis and Reporting Course (AMARC) Graduate
- 2014 MIT Seminar XXI Fellow
- 2003 FAA Airline Transport Pilot License

Former Flight Instructor/Flight Examiner HH/MH-65A/B/C Helicopters