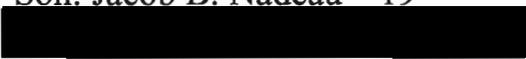


Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "No" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

## **A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS**

1. Name (Include any former names or nicknames used): John P. Nadeau
2. Position to which nominated: Rear Admiral Lower Half (O8)
3. Date of Nomination:
4. Address (List current place of residence and office addresses):  

5. Date and Place of Birth: 14 April 1967 in Manchester, NH
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).
  - Spouse: Karen J. Nadeau – Not currently employed
  - Son: John T. Nadeau – 21
  - Son: Jacob B. Nadeau – 19
  - 
7. List all college and graduate degrees. Provide year and school attended.
  - 2007 MA in Security Studies (Homeland Security & Defense) - Naval Postgraduate School, Center for Homeland Defense & Security
  - 1993 MS in Naval Architecture & Marine Engineering - University of Michigan
  - 1993 MS in Mechanical Engineering - University of Michigan
  - 1989 BS in Naval Architecture & Marine Engineering – U.S. Coast Guard Academy

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

My Coast Guard assignment history is attached. I have not had any other employers since graduating in 1989.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. *Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.*

2007 - 2010: Board Member, American Red Cross, Cape Fear Chapter

1989 - Present: Coast Guard Academy Alumni Association

2010 - Present: Society of Naval Architects and Marine Engineers

2010 - 2012: Marine Technology Society

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

My military personal awards include: three Meritorious Service Medals, three CG Commendation Medals, the 9/11 Medal, CG Achievement Medal, and Army Achievement Medal. I received the "Coast Guard Engineer of the Year Award" in 1998.

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Publications:

*"Lessons Learned" following Macondo – Safety Enhancement on the U.S. Outer Continental Shelf*, Offshore Technology Conference, 2012

*Improving the Resiliency of the Natural Gas Supply & Distribution Network*, Center for Homeland Defense & Security, Mar 2007

*Buffalo Marine Barges Continue to Impact the Inland Tank Barge Fleet*, Proceedings of the Marine Safety & Security Council, Vol. 61, No. 2, Summer 2004

*Longitudinally Stiffened Panels – A Comparative Analysis of the Comprehensive Strength of Three Common Construction Methods*, Society of Naval Architects & Marine Engineers, 1999

Relevant Speeches:

Presentation, Panel on "Facilitating Natural Gas Market Development for High Horsepower (HHP)," Natural Gas for High Horsepower Summit, Jacksonville, FL, November 7, 2017

Presentation, American Pilots Association, Board of Trustees Meeting, Washington DC, October 17, 2017

Presentation, "The Role of the Port State," IMO World Maritime Day Parallel Event, Panama City, PN, October 2, 2017

Presentation, "Incident Management During Salvage Operations," American Salvage Association National Maritime Salvage Conference, Arlington, VA, September 10, 2013

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

Since receiving my commission as a Coast Guard officer 28 years ago, I have dedicated myself to the Coast Guard and eagerly served with passion. In return, I have been rewarded with a challenging and tremendously rewarding career. Blessed with incredible support from my family, colleagues, and leaders, I have had the pleasure to serve and successfully distinguished myself, received several promotions, and consistently been given increasing levels of responsibility and authority.

I have sought and acquired specialized competencies and substantial expertise in multiple Coast Guard mission areas, including Marine Safety; Ports, Waterways, and Coastal Security; and Marine Environmental Protection. Working collaboratively with colleagues across the Coast Guard, the maritime industry, other US government agencies, and leaders and partners from nations around the world, we have harnessed synergies, tackled difficult issues, and developed

elegant solutions to complex problems. These efforts facilitated safe, secure and cost effective transportation of goods and people, as well as responsible exploration and development of our natural resources.

Having served as Commanding Officer, Captain of the Port (COTP), Federal On Scene Coordinator (FOSC), Federal Maritime Security Coordinator (FMSC), and Officer in Charge, Marine Inspection (OCMI), I've been entrusted with the responsibilities and successfully exercised the broad authorities associated with these positions. My leadership and executive management skills have been tested and proven under a variety of challenging circumstances.

I'm deeply honored and humbled by my selection as a Flag officer in the world's greatest Coast Guard. The U.S. Coast Guard's global reach and local impact bring tremendous value to the American people. Today, we face many new and emerging challenges. I desire an opportunity to serve this great Nation as a Rear Admiral (O-8) and help provide the men and women of the Coast Guard the leadership, support, and tools they need to overcome challenges and successfully execute our missions, and meet the needs of the nation.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Every member of the Coast Guard has a duty to be responsible stewards of our Nation's resources. Coast Guard senior leaders must set high standards and serve as examples to the men and women of the Service.

Throughout my career, I have been entrusted with managing hundreds of personnel and significant responsibilities. These assignments have varied from operational positions with a tactical focus to senior staff positions, where I managed the development of national and international standards and policies impacting the safety and security of commercial vessels and mariners operating around the globe.

The Coast Guard prides itself on our ability to achieve as much as we can with the resources we are given, and I have extensive experience doing just that at the strategic and tactical levels. It is my responsibility as a Coast Guard Flag officer to ensure we continue to allocate resources based on a rigorous assessment of risks and benefits; implement systems and processes to track, account for and

allocate resources; and ensure leaders at all levels apply and monitor those systems and processes.

20. What do you believe to be the top three challenges facing the department/agency, and why?

Three of the greatest challenges facing the Coast Guard today are: keeping pace with, and adjusting to, the rapid evolution of complex technology; recruiting, training, retaining, and empowering our workforce; and, meeting the increasing demand for services given anticipated budget pressure and constraints.

In recent years, technology has evolved at an incredible rate. The Coast Guard cutters, small boats, and aircraft we operate, the commercial ships, facilities and ports we regulate, and the tools and methods used by those who threaten us are all becoming increasingly complex. Technology changes expectations and realities. It presents new challenges and vulnerabilities, such as cyber-attacks, and great opportunities to improve the value and effectiveness of our communications, knowledge management, operational intelligence, and means by which we prosecute our missions. We must continuously monitor and adapt to emerging technologies, adjust our strategies, provide our people with the appropriate equipment and training, and modify our tactics and procedures.

Given the broad scope of our 11 statutory missions and extensive areas of operation, the Coast Guard is relatively small. Fortunately, the Coast Guard workforce is the finest in the world. But we are not immune to externally driven workforce challenges. An increasingly competitive work environment, a new Blended Retirement System, and generational and societal influences require the Service to adapt. The diverse and talented men and women who chose to voluntarily serve are crucial to mission success, and deserve only the best from Coast Guard leaders.

Lastly, the demand for services provided by the Coast Guard is continually increasing and, like most other Federal agencies, we face significant budget and resource constraints. The population is growing, placing a greater strain on our waterways, coastline, and ports. The volume and scope of our services steadily grow, as more people participate in recreational activities, more goods are moved in and out of our ports, more natural resources are produced, bad actors continue to pursue drug smuggling and other illicit behavior, and

more activity expands into the remote, vast, and pristine Arctic region. This incredibly complex network is intricately linked and fragile. As demonstrated by a labor strike at West coast ports, an oil spill closing the Mississippi River, or a tsunami striking a nuclear power plant in Japan, one perturbation in one node of this network can have significant higher order effects on the entire system. The Coast Guard must focus on realizing every possible efficiency in all aspects of management and operations so that we may continue to protect the maritime economy and the environment, defend our maritime borders, and save those in peril.

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

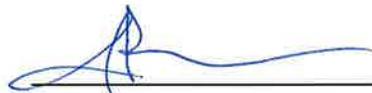
Yes.

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(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. Affidavit**

JOHN P. NADEAU being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this 21st day of November, 2017.



Notary Public

R. SUMITRIA WHITE  
NOTARY PUBLIC DISTRICT OF COLUMBIA  
My Commission Expires January 14, 2018





## Rear Admiral John P. Nadeau

Assistant Commandant for Prevention Policy  
U.S. Coast Guard



Rear Admiral John Nadeau assumed the duties as the Assistant Commandant for Prevention Policy in July of 2017. In this capacity, he leads development of national policy, standards, and programs to promote Marine Safety, Security and Environmental Stewardship. He oversees three Directorates: Inspections and Compliance, Marine Transportation Systems, and Commercial Regulations and Standards. The programs under his leadership include waterways management, navigation and boating safety, ports and facilities, merchant mariner credentialing, vessel documentation, marine casualty investigation, commercial vessel inspections, and port state control.

His previous flag officer assignment was the Assistant Commandant for Capability, where he was responsible for identifying and providing service-wide capabilities and developing standards for staffing, training, equipping, sustaining, maintaining, and employing forces to meet all U.S. Coast Guard mission requirements.



Other assignments include Commanding Officer of the Coast Guard Marine Safety Center; Commanding Officer of Marine Safety Unit Wilmington, North Carolina, where he served as the Captain of the Port, Federal On Scene Coordinator, and Officer in Charge, Marine Inspection; Chief of Inspections at Marine Safety Office Corpus Christi, Texas; Senior Investigating Officer at Activities Baltimore, Maryland; and Chief of the Office of Design and Engineering Standards at U.S. Coast Guard Headquarters.

A native of Saco, Maine, Rear Admiral Nadeau graduated from the U.S. Coast Guard Academy with a Bachelor of Science degree in Naval Architecture & Marine Engineering and obtained advanced degrees in Mechanical Engineering and Naval Architecture & Marine Engineering from the University of Michigan. He earned a Master of Arts degree in Homeland Security and Defense from the Naval Postgraduate School and is licensed as a Professional Engineer in Virginia.

His military awards include the Legion of Merit, Meritorious Service Medal, the Coast Guard Commendation Medal, the Coast Guard Achievement Medal, the Army Achievement Medal, and the Transportation 9/11 Medal.

**REAR ADMIRAL John P. Nadeau**

**Current Assignment**

Apr 15 – Present      Assistant Commandant for Capability (CG-7)

**Assignment History**

Jul 12 – Apr 15      CG Marine Safety Center, Commanding Officer  
Jul 10 – Jul 12      COMDT (CG-521), Chief, Office of Design & Engineering Standards  
Jul 07 – Jul 10      MSU Wilmington, Commanding Officer (COTP/OCMI)  
Aug 06 – Jun 07      Marine Safety Center, Chief Hull Division  
Jul 04 – Aug 06      Marine Safety Center, Chief Tank Vessel & Offshore Division  
Aug 03 – Jul 04      Marine Safety Center, Asst Chief Tank Vessel & Offshore Division  
Jul 02 – Aug 03      MSO Corpus Christi, Chief Inspection Department (CID)  
Jun 01 – Jul 02      MSO Corpus Christi, Chief Port Operations Department  
Jun 99 – Jun 01      Activities Baltimore, Chief Investigations & Intelligence Division, Senior Investigating Officer  
Jun 97 – Jun 99      Activities Baltimore, Chief Domestic & Foreign Vessel Section  
Jun 93 – Jun 97      Marine Safety Center, Staff Engineer in Domestic Tank Vessel Branch  
Jun 91 – Jun 93      Duty Under Instruction, University of Michigan  
May 89 – Jun 91      CGC DEPENDABLE, Student Engineer

**Educational Summary**

2005 - 07      Naval Postgraduate School (Center for Homeland Defense & Security), Master of Arts in Security Studies (Homeland Security & Defense)  
1991 - 93      University of Michigan, Master of Science in Naval Architecture & Marine Engineering  
1991 - 93      University of Michigan, Master of Science in Mechanical Engineering  
1985 - 89      US Coast Guard Academy, Bachelor of Science in Naval Architecture & Marine Engineering

**Military Personal Awards and Significant National Recognition or Achievement**

2015      Meritorious Service Medal  
2012      Meritorious Service Medal  
2010      Meritorious Service Medal  
2007      Coast Guard Commendation Medal  
2005      Department of Transportation 9/11 Medal  
2003      Coast Guard Commendation Medal  
2003      Army Achievement Medal  
2001      Coast Guard Commendation Medal  
1998      Coast Guard "Engineer of the Year"  
1997      Coast Guard Achievement Medal  
1997      Coast Guard Letter of Commendation  
1996      Coast Guard Letter of Commendation  
1996      Coast Guard Letter of Commendation  
1990      Coast Guard Letter of Commendation

**Significant Current Professional Credentials or Recent Activities**

2008-10 Member of Commandant's Marine Board of Investigation for  
the "ALASKA RANGER"

1997-Present Licensed Professional Engineer, State of Virginia