

Senate Commerce Committee Nominee Questionnaire, 116th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):
 - Thomas Finch Fulton
 - Preferred name: "Finch"
2. Position to which nominated: Assistant Secretary for Transportation Policy
3. Date of Nomination: January 28, 2020
4. Address (List current place of residence and office addresses):
 - [REDACTED]
 - Work: 1200 New Jersey Ave SE, Washington, D.C. 20590
5. Date and Place of Birth: May 10, 1986, Mobile, Alabama
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).
 - Kerri West Fulton, Senior Policy Officer for Agriculture, Embassy of Australia in Washington D.C.
7. List all college and graduate degrees. Provide year and school attended.
 - Bachelor of Science in Commerce and Business Administration, Culverhouse College of Business, University of Alabama, 2008
 - Master of Business Administration, Carey Business School, Johns Hopkins University, 2013

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

- **Deputy Assistant Secretary for Transportation Policy, United States Department of Transportation, April 2017 – Present**
- **Special Advisor to the Secretary on Transportation Policy, United States Department of Transportation, January 2017 – April 2017**
- **Transportation & Infrastructure Policy Team / Department of Transportation Team, Presidential Transition Team, November 2016 – January 2017**
- Managing Supervisor, VOX Global, November 2016
- Agent for Macy's Retail, Macy's Inc, August 2015 – December 2015
- Account Supervisor, VOX Global, November 2014 – November 2016
- Senior Account Executive, VOX Global, October 2013 – November 2014
- Legislative Aide, National Defense Team, Senator Jeff Sessions, United States Senate, June 2012 – October 2013
- Legislative Correspondent, National Defense Team, Senator Jeff Sessions, United States Senate, February 2010 – June 2012
- Director of Constituent Services, Congressman John Fleming, M.D., United States House of Representatives, January 2009 – March 2010
- Legislative Correspondent, Congressman Jim McCrery, United States House of Representatives, July 2008 – December 2008

9. Attach a copy of your resume.

- Attached

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

- None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

- AT&T: during my time at VOX Global, I supported AT&T's Public Affairs department, organizing communications efforts for AT&T employees, retirees, customers and other stakeholders. These communications primarily sought to inform and engage stakeholders about AT&T corporate responsibility efforts. The communications occasionally sought to mobilize these stakeholders to engage local and State policymakers around numerous issues impacting AT&T, such as State and local taxes and fees, the location of cell towers, or other local issues. I worked on fewer Federal issues. However, I did work on involved issues around the rental of digital set-top boxes, the AT&T and DirecTV merger and Net Neutrality.
- Uber: Around 2014 while at VOX Global, I worked on a team developing communications materials and a mobilization website on behalf of Uber in support of statewide legislation that authorized operation across the State.
- PCIAA: In 2014 while at VOX Global, I worked to help develop a communications strategy for the America Property Casualty Insurance Association around Members of Congress in support of reauthorizing the Terrorism Risk Insurance Act.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

- Public Affairs Council (January 2014 – January 2017)
- Dallas Regional Chamber Young Professionals (January 2014 – January 2017)
- The Jefferson Islands Club (October 2017 – present)
- 45 Club (May 2018 – present)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

- Nothing outside of my USDOT work.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

- Dallas County Deputy Voter Registrar (August 2015 – January 2017)
- Precinct Chair #1015, Dallas County Republican Party (August 2016 – January 2017)
- Election Judge, Dallas County Republican Party (November 2016)
- Volunteer, Whip Team, Republican National Convention (July 2016)

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

- None

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

- None

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Publications

VOX Global:

- May 8, 2014: VOX Global newsletter “The Intersection”: The Price of Neglect: How our Government is Designed to Manage our National Debt, and Why it’s Failing.
<https://voxglobal.com/intersection/2014/05/the-price-of-neglect/>
- August 6, 2014: VOX Global Blog Post: Begun, the Drone Wars Have. <https://voxglobal.com/2014/08/begun-the-drone-wars-have/>
- February 17, 2015: VOX Global Blog Post: FAA Proposes New Drone Rules – But Will They Fly? <https://voxglobal.com/2015/02/faa-proposes-new-drone-rules-but-will-they-fly/>
- July 27, 2015: VOX Global Blog Post: Citizen advocate? Plan an advocacy campaign like the pros.
<https://voxglobal.com/2015/07/citizen-advocate-plan-an-advocacy-campaign-like-the-pros/>
- March 28, 2016: VOX Global Blog Post: Three Key Takeaways on Drone Policy from SXSW Interactive.
<https://voxglobal.com/2016/03/three-key-takeaways-on-drone-policy-from-sxsw-interactive/>
- November 2016: VOX Global newsletter “The Intersection”: The On-the-Ground Logic of Election Day.
<https://voxglobal.com/intersection/2016/11/the-on-the-ground-logic-of-election-day/>

BrightestYoungThings.com

- July 11, 2011: LIVEDC: RX BANDITS/ MAPS AND ATLASES/ ZECHS MARQUISE @ 930 CLUB.
<https://brightestyoungthings.com/articles/livedc-rx-bandits-maps-and-atlases-zechs-marquise-930-club>
- September 20, 2011: LIVEDC: CLAP YOUR HANDS SAY YEAH @ 930 CLUB. <https://brightestyoungthings.com/articles/livedc-clap-your-hands-say-yeah-930-club>
- October 21, 2011: LIVEDC: MINUS THE BEAR @ 930 CLUB.
<https://brightestyoungthings.com/articles/livedc-minus-the-bear-930-club-2>
- November 9, 2011: LIVEDC: COLD WAR KIDS/ YOUNG MAN @ 930 CLUB. <https://brightestyoungthings.com/articles/livedc-cold-war-kids-young-man-930-club>

- June 20, 2012: INTERVIEW: KAL TRAVER OF RUBBLEBUCKET.
<https://brightestyoungthings.com/articles/interview-kal-traver-of-rubblebucket>
- August 3, 2012: LIVEDC: BOMBAY BICYCLE CLUB @ 930 CLUB. <https://brightestyoungthings.com/articles/livedc-bombay-bicycle-club-930-club-2>
- August 12, 2012: LIVEDC: THE HOOD INTERNET W/ CAPITAL CITIES, MAGIC MAN @ U ST MUSIC HALL.
<https://brightestyoungthings.com/articles/livedc-the-hood-internet-w-capital-cities-magic-man-u-st-music-hall>
- March 27, 2012: LIVEDC: POLIÇA @ RED PALACE.
<https://brightestyoungthings.com/articles/livedc-polica-red-palace>

Speeches

To account for the speeches I've given while at USDOT, I have gone through my records. This list represents my best attempt to provide an exhaustive list. This list goes up to February 11, 2020.

Before USDOT

- ~Fall 2016: Dallas Young Professionals panel discussion on local education board elections

External-facing speeches, presentations and roundtable discussions include:

- June 7, 2017: Roundtable discussion with Securing America's Future Energy (SAFE) stakeholders on vehicle technologies
- June 13, 2017: Panel presentation regarding President's Infrastructure Initiative at the US P3 Infrastructure Forum 2017 in New York City
- June 14, 2017: Amazon Web Services Cybersecurity Roundtable
- August 8, 2017: Speech at American Dream Coalition Annual Conference on DOT priorities in DC
- August 16, 2017: 2017 Transportation Investment Summit in Irving, Texas
- September 19, 2017: Briefing with National Governors Association on ADS 2.0 in DC
- October 23, 2017: Briefing with US Conference of Mayors on AVs in DC
- October 24, 2017: Bloomberg Next: Panel on driverless transportation in DC

- ~October 26, 2017: Presentation at the Commercial UAV Expo on the Drone Integration Pilot Program in Nevada
- November 2, 2017: Panel at Mobility Unmanned in DC: DOT's Role in Autonomous Technology Deployment
- November 14, 2017: Presentation to CTIA-NASA UAS Working Group in DC about drone integration pilot program and drone initiatives
- December 2, 2017: Presentation at the Western Governors Association Winter Meeting in Arizona on Federal AV efforts
- December 7, 2017: Presentations during AV Data for Safety Roundtable at USDOT
- January 13, 2018: Panel at CES on The Key to UAS Integration
- January 23, 2018: AASHTO/AAMVA AV Policy Roundtable
- February 26, 2018: Speech at National Association for Business Economics Economic Policy Conference on the impact of technology and automation on transportation in DC
- March 1, 2018: Remarks at USDOT Public Listening Summit on Automated Vehicle Policy
- March 2, 2018: 2018 AASHTO Washington Briefing panel entitled "State DOTs Harnessing Connected and Autonomous Vehicles" in DC
- March 6, 2018: FAA UAS Symposium - Legislation and Regulation — Who's in Charge of What?
- March 6, 2018: FAA UAS Symposium - UAS Rulemaking – From Idea to Implementation panel
- April 12, 2018: Speech at Carnegie Mellon University Transportation Center Mobility Summit Conference on research in transportation
- April 20, 2018: Presentation at Schneider National, Inc., annual Customer Advisory Event (CAE), in US Capitol
- May 10, 2018: Roundtable discussion with stakeholders at the White House Artificial Intelligence summit
- May 15, 2018: Discussion at ITS America Smart Cities / Smart States Roundtable about the Department's point of view and priorities in tech, innovation, and infrastructure
- May 30, 2018: Speech at Drone Focus Conference in North Dakota
- June 14, 2018: Presentation at USDOT Safety Data Forum about DOT efforts around data
- June 27, 2018: Roundtable discussion with SMART Columbus in Columbus, Ohio stakeholders on their initiatives

- July 11, 2018: Introduction to USDOT's AV Data Principles and AV Data Framework / Approach to AV Data at the Automated Vehicle Symposium in California
- July 12, 2018: Plenary Speech on USDOT's automated vehicle research activities at the Automated Vehicle Symposium in California
- September 24, 2018: Presentation on New Policy Frontiers for Autonomous Vehicles, Smart Cities in DC for the American Planning Association
- October 3, 2018: Roundtable discussion with Global Automakers Safety Committee on automated vehicles and safety priorities
- October 3, 2018: Panel discussion with the McGuire Woods Consulting Emerging Technologies Group called "From the Surface to the Skies: The Future of Autonomy in the United States"
- October 4, 2018: Speech, Q&A and moderated a discussion at the USDOT during the event releasing Automated Vehicles 3.0: Preparing for the Future of Transportation (AV 3.0)
- October 17, 2018: Presentation on AV 3.0 in DC for Americans for Tax Reform stakeholders
- October 23, 2018: GPU Technology Conference DC Panel – the Keys to Deploying Self-Driving Cars
- October 24, 2018: Kickoff speech for ITS-America Hill briefing on the 5.9GHz spectrum for connected vehicles
- October 24, 2018: Presentation to U.S. Chamber's Autonomous Vehicle Workings Group on AV 3.0 and related initiatives
- October 26, 2018: Roundtable presentation with the Department of Labor's Disability Rights Education and Defense Fund (DREDF) information-gathering session on autonomous vehicles and deployment
- November 10-12, 2018: Roundtable discussions at the Annual Meeting of Global Future Councils 2018, Global Futures Council on Mobility in Dubai
- December 6, 2018: Led breakout session on "Unleashing Innovation – Reducing Barriers to Innovation / Enhancing Market Confidence" discussion paper at the G7 Multistakeholder Conference on Artificial Intelligence
- December 11, 2018: Presentation at the Unmanned Aerial System Integration Pilot Program (UAS IPP) Lead Participant Focus Meeting

- February 28, 2019: International Consumer Product Health and Safety Organization (ICPHSO) panel: Agencies Stand United for Consumer Product Safety - Evolving Approaches for Innovation & Technology
- February 28, 2019: Presentation to Chamber of Commerce Autonomous Vehicles working group on ADS Demonstration grants, and related DOT AV initiatives
- March 9, 2019: Panel discussion at Smart Mobility Summit in Austin, TX titled How Robo-Taxis Will Revolutionize Urban Transport
- March 9, 2019: Participated in Autonocast Podcast “Robotaxis In The Urban Environment” after Smart Mobility Summit panel
- March 10, 2019: SXSW panel on Urban Aerial Mobility in Austin, Texas
- March 11, 2019: SXSW panel called “Transportation Innovation at a Crossroads” in Austin, Texas
- March 12, 2019: SXSW fireside discussion with Secretary Elaine Chao introducing the New and Emerging Transportation Technology (NETT) Council
- March 20, 2019: Multiple speeches and panel moderation at the stakeholder feedback workshop on the study on the impact of automated vehicle technologies on workforce
- April 2, 2019: Briefing with Transport Canada and the Global Automakers at the Canadian Embassy on the US approach towards safety in automated vehicles
- April 29, 2019: Roundtable discussion at USDOT on the current and future uses of the 5.9GHz spectrum for transportation safety purposes
- May 1, 2019: Briefing Siouland Chamber of Commerce on Department of Transportation infrastructure programs at the Library of Congress
- May 7, 2019: Roundtable discussion with the Greater Phoenix Economic Council (GPEC) at USDOT on infrastructure and automated vehicles
- May 7, 2019: Panel presentation on the Future of the Vehicle Cabin and How People will Interact with Continuously Evolving Technology in DC with the U.S. – Israel Future Mobility Center
- May 29, 2019: Roundtable participation in the World Economic Forum Drones and Aerial Mobility Global Council in California
- June 4, 2019: Panel discussion at the National Governors Association Technology Workshop during the ITS America Annual Meeting in Washington DC on connected and automated vehicles

- June 5, 2019: Panel discussion at USDOT with Eno Leadership Development Program students on How USDOT Keeps the Nation in Motion
- June 5, 2019: Panel discussion at FedScoop's FedTalks: AI Panel in DC on the Department's actions around artificial intelligence
- June 5, 2019: Panel discussion at the ITS America conference on "How Innovative Mobility Solutions Are Improving Accessibility For All Users"
- June 6, 2019: Guest Speaker for CTA Self-Driving Meeting in Arlington, Virginia
- June 24, 2019: Speech at United Spinal Association annual event in Washington DC on accessibility initiatives
- June 26, 2019: Presentation at the NIST Workshop on Consensus Safety Measurement Methodologies for ADS Equipped Vehicles
- July 11, 2019: Speech on automated vehicle efforts at DOT at the State of Autonomy: Intelligent Machines At-Scale: Transforming Mission Delivery event in Arlington, Virginia
- July 15, 2019: Hosted and presented the USDOT sponsored Consumer Education and Communications around AV Technologies event at the Automated Vehicle Symposium in Florida
- July 17, 2019: Podcast discussion with The Mobility Podcast on USDOT initiatives around automated vehicles at the AV Symposium in Florida
- July 18, 2019: Plenary presentation on USDOT automated vehicle initiatives at the AV Symposium in Florida
- July 18, 2019: Presented and hosted Q&A at the USDOT/FMCSA Multi-modal listening session on automated vehicles at the AV Symposium in Florida.
- July 19, 2019: Presentation at the Auto Alliance Workshop Series on "Technologies for Providing Increased Vehicle Accessibility to People with Disabilities and Older Adults"
- July 30, 2019: Presentation on being a young professional in transportation to a group of students from Historically Black Universities and Colleges at the USDOT
- August 27, 2019: Presentation at the U.S. DOT-Transport Canada AV Workshop on USDOT AV-related initiatives
- September 5, 2019: AUVSI UAS Advocacy Committee Meeting discussion about the UAS IPP, upcoming rulemakings and other drone-related initiatives

- September 9, 2019: NAM Transportation and Infrastructure Policy Committee Meeting discussion on infrastructure investments in the US, FAST Act Reauthorization, and a handful of other USDOT initiatives
- September 10, 2019: Keynote at Auto Alliance Workshop 3: Broader Impacts of Assistive Transportation Technologies around USDOT accessibility initiatives and the Oct. 29 accessibility event at USDOT
- September 12, 2019: Speech at this Thursday edition of the APTA “Transportation Tuesdays” event about automated vehicles impact on transit
- September 19, 2019: Keynote at the 2019 UIC Urban Forum at the University of Illinois, Chicago called “Are We There Yet? The Myths and Realities of Autonomous Vehicles”
- September 26, 2019: Panel discussion during The Hill's Future of Mobility Summit on automated vehicle safety
- September 26, 2019: speech at the PAVE Annual Meeting in Baltimore on USDOT’s complimentary work with Consumer Reports, SAE, AAA and PAVE on better determining how to communicate different levels of automated vehicle capabilities
- October 3, 2019: participated in the 21st Century Truck Partnership (21CTP) Senior Executive Steering Committee (SESC) - Fall 2019 Meeting to discuss energy efficiency initiatives for the trucking industry
- October 24, 2019: panel on Regulatory Perspectives on Autonomous Mobility at Autonomous Mobility Summit in Singapore, comparing international approaches towards automated vehicle regulations
- October 25, 2019: participant in 2nd AV Regulators Forum in Singapore, discussing international approaches towards automated vehicle regulations
- October 25, 2019: speech at ITS World Congress 2019, highlighting the US activities to support the safe and full integration of automated vehicles, and the usage of the 5.9GHz safety band for connected vehicle activities.
- October 28, 2019: presentation and Q&A with the Geosynthetic Materials Association (GMA) Reception and Dinner on surface transportation reauthorization and ongoing USDOT initiatives
- October 29, 2019: introduction and charge for the Inclusive Design Challenge breakout session at the USDOT Access and Mobility for All Summit

- October 29, 2019: introduction of the Inclusive Design: Vehicle Technologies that Increase Access panel discussion at the USDOT Access and Mobility for All Summit
- November 22, 2019: discussion at the Young Men’s Leadership Event at USDOT with students of Ron Brown High School regarding innovative technologies and the future of careers in transportation
- January 6, 2020: Speech at GO-NV Summit on innovative transportation technologies and solutions.
- January 6, 2020: Panel discussion on the use of data and innovative mobility solutions at the GO-NV Summit.
- January 8, 2020: stakeholder panel at CES titled “What’s Next for Vehicle Automation” discussing AV 4.0 and the safe testing and deployment of automated vehicles into our national transportation system.
- January 13, 2020: Panel discussion on Automation Technology and Transportation at the Transportation Research Board in Washington DC.
- January 24, 2020: Participated in a roundtable discussion regarding automated vehicle development and data sharing at the Governors Meeting: Automotive and Autonomous Mobility Policy at the World Economic Forum in Davos.

18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Active:

- LinkedIn: Finch Fulton: <https://www.linkedin.com/in/finchfulton/>
- Facebook: Finch Fulton: <https://www.facebook.com/finch.fulton>
- Twitter: TFinchF: <https://twitter.com/TFinchF>
- Instagram: tfinchf
- Snapchat: finchfulton

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

To account for the major congressional interactions, including testimonials, I have been involved in while at USDOT, I have gone through my records. This list represents my best attempt to provide an exhaustive list.

- May 2, 2019: Roundtable with Members of the House Science Committee on Administration initiatives around artificial intelligence, my focus was on USDOT actions
- While I have only participated in a roundtable briefing (noted above) U.S. Congress, not a formal testimony, I have testified before the Canadian Standing Senate Committee on Transport and Communications on October 17, 2017.
- I have also briefed staff of Congressional Committees numerous times, including:
 - June 28, 2017: INFRA Pre-briefs with House and Senate Authorizing and Appropriation staff
 - July 18, 2017: Briefing with Senate Appropriations staff on TIGER
 - July 19, 2017: Briefing with Senate Commerce staff on AV legislation
 - July 20, 2017: Briefing with House Energy and Commerce staff on AV legislation
 - September 5, 2017: Briefing with House and Senate Appropriators on TIGER NOFO
 - September 8, 2017: Meeting with House Energy and Commerce on AV legislation
 - September 11, 2017: Senate and House appropriator and authorizer briefs on ADS 2.0.
 - September 25, 2017: Briefing for U.S. Senate Transportation Legislative Assistants on ADS 2.0
 - September 26, 2017: Briefing for U.S. House of Representative Transportation Staff on ADS 2.0
 - October 24, 2017: Briefing with the Robotics Caucus Advisory Committee and the Congressional Robotics Caucus for Congressional staff on the anticipated arrival and integration of automated vehicles
 - November 28, 2017: Briefing for Senate Banking staff on AV 3.0 and Federal Transit policy around automated vehicles

- December 8, 2017: Briefing with Senate EPW minority staffer on infrastructure needs for AVs.
- April 27, 2018: Better Utilizing Investments to Leverage Development (BUILD) transportation discretionary grant briefing for Senate Commerce staff
- September 28, 2018: Briefings with House and Senate Authorizers and Appropriators on the AV Proving Grounds
- October 4, 2018: AV 3.0 Pre-brief with House and Senate Authorizers and Appropriators
- October 4, 2018: AV 3.0 Brief with legislative assistants in the House of Representatives and Senate
- March 1, 2019: Senate Commerce Subcommittee staff and Member transportation legislative assistant briefings on Transportation and Safety 101
- February 5, 2020: Senate THUD Appropriations Staff briefing on automated vehicle developments and the use of appropriated funds.

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I believe my background qualifies me well for the position of Assistant Secretary for Transportation Policy. I have been involved in transportation issues my entire career, which allows me to better understand and approach challenges the Department faces. In my work, I strive to think first of the end-users of our transportation system, the American citizens.

Growing up, my family owned a small trucking, logistics and warehousing business. Every summer from 1996 to 2006 I worked in these warehouses, which served as a connection between the Port of Mobile, rail lines and commercial motor vehicles using the I-10 and I-65 interstate connections. I grew up performing odd jobs maintaining these warehouses, but in time grew into roles where I packaged supplies, managed inventory, and used a forklift to load train cars and 18-wheelers. I understand first-hand the important role strong logistical centers have for the health of our economy and our country's competitiveness.

After graduating from the University of Alabama, I moved to Washington D.C., where I served as a staffer in both the House of Representatives and the Senate. While working in the Senate, I took night and weekend classes to earn an MBA from Johns Hopkins University. In these roles, I learned to be an advocate for constituents baffled by the complexity of Washington, D.C., helping them navigate complicated bureaucracies to achieve results.

After receiving my MBA, I left the Senate and moved to Dallas, Texas where I worked for a public affairs company called VOX Global. There, I worked on advocacy campaigns focused on the state and local integration of technology. While my primary focus was around telecommunication issues, I used this as an opportunity to get more involved in a number of innovative technologies and services, namely drones, ride-share and automated vehicles. My experience thinking through the challenges of locally elected officials helped me bridge their responsibilities and the appropriate role of the Federal government.

In my over two and a half years at the US Department of Transportation, these experiences have served me well. The Department seeks to engage and empower State and local authorities. By working alongside our partners at all levels of government, including the Congress, we can ensure that local priorities are not lost in Federal actions. This approach is appropriate whether dealing with questions about safety, infrastructure and permitting or engaging with new technologies and services.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

- I believe my responsibilities as the Assistant Secretary for Transportation Policy would be to ensure a culture of good stewardship on behalf of the American people. This means:
 - Ensuring that safety remains the “North Star” for all of the Department’s actions;
 - Working with Congress and the accountability agencies to ensure DOT continues to improve and strengthen its planning, recommendation and acquisition processes;

- Relying on and empowering the work of the dedicated career staff that DOT has, and continuing our focus on operating as one integrated DOT team;
 - Effectively communicating the vision, reasoning and purpose for all decisions, and
 - Working to include and empower the State and local partners that know their communities best.
- I have been working at the Department in an official capacity since January 2017. In that time, I have managed large, multi-modal teams of hundreds to take an intermodal approach to innovative technologies and infrastructure investments. Two recent examples include teams of over 200 each for both the *Automated Vehicles 3.0: Preparing for the Future of Transportation* guidance document, and for the process of making recommendations for the 2018 \$1.5 billion BUILD Transportation grant program.
 - I am also currently serving as the lead advisor for the Non-Traditional and Emerging Transportation Technology (NETT) council, which is working in an intermodal fashion to determine which authorities to bring to projects that do not fall neatly within the authorities of one mode of transportation or another, such as hyperloop.
 - In these efforts and others, I have worked to recruit the brightest minds at DOT while structuring a process that ensures inputs – both internally and externally – are considered as the Department produces guidance and makes recommendations on issues of national importance.

22. What do you believe to be the top three challenges facing the department/agency, and why?

- Safety is and will remain the top priority. In 2018, the most recent year reported by NHTSA's Fatality Analysis Reporting System, the number of US transportation-related fatalities reached 36,560. Each life lost is a tragedy. The Department must continue to prioritize safety outcomes in all of its actions, including grant making, updating regulations, enforcement and engagement actions and as a focus for engaging with new technologies. By keeping safety as the focus for all of the Department's actions, I believe we can make significant steps towards the goal of zero fatalities.

- As we move towards working with Congress on a new surface transportation reauthorization, we must use this opportunity to improve the efficiency and effectiveness of the American transportation system and also to test and validate better ways to invest in, manage and oversee our transportation assets.
- Technology holds great potential to improve the safety, efficiency, mobility – especially for the elderly and those with disabilities – and the economic impact of our transportation system. Developments such as automated vehicles, drones, hyperloop, commercial space and data initiatives can dramatically change the way people and goods are moved about the country and world. Many of these technologies challenge the Department in new or more sophisticated ways on methods to both prove and improve levels of safety, or in determining what mechanisms are best suited in the Department for providing oversight. I believe that we must utilize the public processes and mechanisms that are in place to consider these questions, and include input from all relevant sources, to ensure the long-term health of, and realize the great potential for, these new technologies.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.
 - None
2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.
 - No
3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.
 - In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's

Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.
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5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.
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6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.
 - AT&T: during my time at VOX Global, I supported AT&T's Public Affairs department, organizing communications efforts for AT&T

employees, retirees, customers and other stakeholders. These communications primarily sought to inform and engage stakeholders about AT&T corporate responsibility efforts. The communications occasionally sought to mobilize these stakeholders to engage local and State policymakers around numerous issues impacting AT&T, such as State and local taxes and fees, the location of cell towers, or other local issues. I worked on fewer Federal issues. However, I did work on involved issues around the rental of digital set-top boxes, the AT&T and DirecTV merger and Net Neutrality.

- **Uber:** Around 2014 while at VOX Global, I worked on a team developing communications materials and a mobilization website on behalf of Uber in support of statewide legislation that authorized operation across the State.
- **PCIAA:** In 2014 while at VOX Global, I worked to help develop a communications strategy for the America Property Casualty Insurance Association around Members of Congress in support of reauthorizing the Terrorism Risk Insurance Act.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
 - No
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.
 - In 2006, when I was 20, I was charged with being a minor in possession of alcohol at a beach in Escambia County, Florida. I had to pay a \$50 fine and take an educational class about the risks of alcohol. The charge was dismissed *nolle prosequi* on 12/21/2006.
3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.
 - No
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.
 - No
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

- No
6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.
- None

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?
 - Yes
2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?
 - Yes
3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?
 - Yes
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?
 - Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

Fuch Felton being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



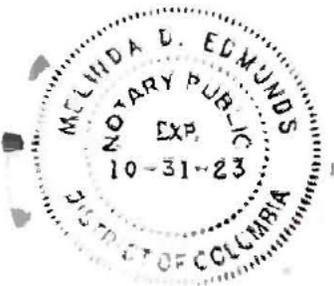
Signature of Nominee

Subscribed and sworn before me this 18th day of Feb, 2020.



Notary Public

MELINDA D. EDMUNDS
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires October 31, 2023



Thomas “Finch” Fulton

PROFILE

- Passion for working to advance smart policies aimed at integrating and utilizing emerging technologies to generate positive safety, efficiency and economic impacts for our Nation’s transportation system.
- Process-oriented mindset around working to solve the transportation challenges our country faces.
- Experience serving in both the Executive and Legislative Branches, as well as in the private sector, planning and executing integrated advocacy campaigns at local, State and Federal levels.

EDUCATION

JOHNS HOPKINS UNIVERSITY, Carey Business School Washington, DC
Master of Business Administration May 2013

UNIVERSITY OF ALABAMA, Culverhouse College of Business Tuscaloosa, AL
Bachelor of Science in Commerce and Business Administration May 2008
President, Alpha Kappa Psi Professional Business Fraternity

EXPERIENCE

DEPARTMENT OF TRANSPORTATION (USDOT) Washington, DC
Deputy Assistant Secretary for Policy (SES, TS/SCI) Apr. 2017 – Present
Special Advisor to the Secretary on Transportation Policy Jan. 2017 – Apr. 2017
Presidential Transition Team Nov. 2016 – Jan. 2017

- Develop USDOT’s automated vehicle policies, including the publication of the first multi-modal guidance document for automation, *Preparing for the Future of Transportation: Automated Vehicles 3.0*, and the first whole of government approach aligning Federal agencies around key research, policy and regulatory developments, *Ensuring American Leadership in Automated Vehicle Technologies: AV 4.0*
- Developing the administration’s multi-modal surface transportation reauthorization proposal.
- Updated and manage the INFRA and BUILD discretionary grant programs, providing recommendations for over \$3.5 billion in transportation infrastructure discretionary grants.
- Initiated and advanced the President’s Unmanned Aerial Systems (drone) Integration Pilot Program.
- Represent the U.S. at public events, including leading the 2018 G7 Multistakeholder Conference on Artificial Intelligence in Montréal on behalf of the White House Office of Science and Technology Policy.

VOX GLOBAL Dallas, TX
Managing Supervisor Oct. 2016 – Nov. 2016
Account Supervisor Oct. 2014 – Oct. 2016
Senior Account Executive Oct. 2013 – Nov. 2014

- Designed and executed outreach strategies around key policy issues to inform and engage decision makers for clients, including AT&T, VISA, Uber, Bank of America and the Small UAV Coalition.
- Organized cross-functional teams to reach employees, retirees, customers, media and other external stakeholders worldwide as part of advocacy campaigns to influence narratives around complex issues, including connectivity, cybersecurity, data governance, privacy and workforce impacts.

UNITED STATES SENATE, Senator Jeff Sessions Washington, DC
Legislative Aide June 2012 – Oct. 2013
Legislative Correspondent Feb. 2010 – June 2012

- Provided support to Senator on issues around U.S. Armed Services, NASA, Foreign Relations and Veterans Affairs, authoring resolutions, talking points, vote recommendations and other products.
- Responded to over 70,000 constituent inquiries and completed at least 75 other unique projects.

UNITED STATES HOUSE OF REPRESENTATIVES, CONGRESSMAN JOHN FLEMING, M.D. Washington, DC
Director of Constituent Services/ Systems Administrator Jan. 2009 – Feb. 2010

- Provided written products and external-facing support while managing digital assets.