A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

- 1. Name (Include any former names or nicknames used): Chad Lawrence Jacoby
- 2. Position to which nominated: Rear Admiral, Lower Half
- 3. Date of Nomination: 08 September 2020
- 4. Address (List current place of residence and office addresses):
- 5. Date and Place of Birth: 27 June 1970 Corning, NY
- 6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage; do not provide birth dates).

Adrienne B. Jacoby, Freelance Public Relations Consultant.

- 7. List all college and graduate degrees. Provide year and school attended.
 - 2015 Massachusetts Institute of Technology (MIT), MS, Eng Management 2015 MIT, Certificate, MIT Kaufman Teaching Cert.
 - 2004 Old Dominion University, ME, Mech Eng Design & Manufacturing
 - 1992 US Coast Guard Academy, Bachelor of Science, Civil Engineering
- List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.
 - 1992 Present: Coast Guard Officer
 - 2019 Present: Teaching Assistant MIT
- 9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

None

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.) Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

2017 - 2018: American Society of Naval Engineers (ASNE), Member

This organization does not restrict membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

None.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Coast Guard Legion of Merit (2)
Coast Guard Meritorious Service Medal (3)

Coast Guard Commendation Medal (3), Coast Guard Achievement Medal (3) 2014, ASNE Engineer of the Year, CAPT Richard Poore Award 2014, ASNE Lucas Plaque, Naval Engineering Unit of the Year 2000, ASNE Lucas Plaque, Naval Engineering Unit of the Year

17. Please list each book, article, column, Internet blog posting, or publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

International Patent #10315659: <u>Hybrid Supercar Transmission Design</u>, 11Jun2019

Article: <u>Employing Systems Thinking to Reduce Emissions While Increasing</u>

Supercar Performance, <u>MIT Pulse</u>, <u>Spring 2017</u>

Author: <u>Design of a Clutchless Hybrid High Performance Transmission</u>
Author: <u>A Systems Approach to US Coast Guard Patrol Boat Maintenance</u>

18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

LinkedIn: linkedin.com/in/cjacoby

Facebook: https://www.facebook.com/chad.jacoby.104

Twitter: @drwahoo1790 Snapchat: drwahoo Pintrest: cdrjacoby Instagram: drwahoo1

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

18 April 2007 - Testimony: House Transportation & Infrastructure Committee – Coast Guard Fleet Modernization Program

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

The Coast Guard is in a period of building and deploying highly capable aircraft, ships, shore facilities, and IT systems. As a Civil, Mechanical and Systems

Engineer as well as a Level III certified acquisition Program Manager and Cybersecurity professional, I am well positioned to lead the advancement of these critical programs. I was fortunate to have been deeply involved in the modernization of mission support to the Surface fleet, the maturity of the CG Acquisitions directorate over the past decade, and the development and implementation of modern mission support processes for global logistics, engineering and personnel support. If I am confirmed to be a Rear Admiral, I look forward to the opportunity to bring continued life to the integration of asset capability, technology and organizational efficiency to advance the missions of the world's best Coast Guard.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

As a member of the Coast Guard Flag and Senior Service Executive (SES) corps, I would champion the collaborative application of departmental management and accounting controls. The majority of my career has been spent in positions that implemented departmental management and accounting controls including Chief Financial Officer (CFO) compliance, Senior Procurement Executive directives, Office of Program Accountability and Risk Management (PARM) oversight, and DHS/DoD Chief Information Officer (CIO) compliance in relation to Coast Guard Cyber Security. My Coast Guard career has been a constant progression of responsibility for and leadership of larger organizations. As shipboard engineer, a shore-based Executive Officer and a Commanding Officer of Military Personnel, my responsibilities grew from a small afloat command to management of Coast Guard personnel across the Pacific Islands and the Gulf Coast of Florida. From 2017-2020, as Commander of the Surface Forces Logistics Center (SFLC), I managed the Coast Guard's largest field command with 1,800 globally distributed members spread across 18 timezones to maintain the CG's fleet of 255 Cutters and 1755 Boats.

- 22. What do you believe to be the top three challenges facing the department/agency, and why?
 - 1. **Diversity and Inclusion**: The profile of today's Coast Guard does not reflect the same diversity that exists in the communities where we serve. As a member of the Coast Guard executive leadership corps, I will further the service's efforts to attract and retain a diverse workforce and increase representation of women and minorities at all levels of the organization. By encouraging all members to learn about other's backgrounds, draw on their unique experiences and provide diverse perspectives, the Coast Guard will be better equipped to apply innovative and broadly sourced solutions to the complex problems that we face every day.
 - 2. Recruiting and retaining a skilled and motivated workforce: As the world economy and national industrial base evolve, traditional approaches to military service may not attract a diverse, skilled and energized workforce that wants to

- serve for 20-30 years in the same organization. The Coast Guard may need to redesign career pathways to capitalize on the talents of the 21st century workforce.
- 3. Developing an agile workplace environment which can thrive throughout extended disruptions to normal operations. The Coast Guard has a long history of quick response to natural disasters and national priorities. The nation's response to COVID-19 has shown that agencies need to be adept at tailoring the workplace environment to local conditions to protect the workforce while meeting all operational commitments. The Coast Guard should leverage best practices from the COVID-19 pandemic as enduring efficiencies to enhance daily operations and provide proven flexibility during future contingencies.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None.

5. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action.
 - d. Provide the results of the citation, action, complaint, or personnel action

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No.

4. Have you ever been convicted of any criminal violation? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement.

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

1.	Will you ensure that your department/agency complies with deadlines for information
	set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

Chad L. Jacoby being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this _____ day of September, 2020

District of Columbia: SS

Sworn to and subscribed before me on day of eplember 25

Notary Public's Signature My Commission Expires

CAPTAIN CHAD L. JACOBY, USCG



Captain Jacoby assumed the duties as Chief of Mission Support Integration at U.S. Coast Guard Headquarters in Washington, D.C. on June 29th 2020. As Chief of Mission Support Integration, Captain Jacoby is responsible for integration of logistics, engineering support, training, and personnel support for the Coast Guard's global operations.

Previously, Captain Jacoby served as Commander, Surface Forces Logistics Center (SFLC) in Curtis Bay, MD. CAPT Jacoby headed the single logistics center for the Coast Guard surface fleet providing engineering, maintenance, casualty repair, supply, and technical information services to 242 cutters and over 1,800 boats stationed throughout the United States.

Captain Jacoby's career has been a mix of cutter engineering afloat, cutter support ashore, and new cutter design and acquisition. He served as Assistant Engineering Officer aboard CGC SHERMAN (WHEC-720) and Engineering Officer aboard CGC MORGENTHAU (WHEC-722). He was the Engineer Officer at Group Key West, Executive Officer at Naval Engineering Support Unit Honolulu, Logistics Department Head and Commanding Officer of enlisted personnel at Sector St. Petersburg, Patrol Boat Product Line Manager at Surface Forces Logistics Center, as well as Deputy Surface Program Manager and Assistant Program Executive Officer (PEO) for C4ISR and Cyber Acquisitions in the acquisition directorate at Coast Guard Headquarters.

A native of Corning, New York, he graduated from the U.S. Coast Guard Academy in 1992 with a Bachelors of Engineering and holds a Masters of Mechanical Engineering Design and Manufacturing from Old Dominion University and a Masters of Engineering Management from the Massachusetts Institute of Technology.

Captain Jacoby's military awards include two Legion of Merit awards, two Meritorious Service Medals, four Coast Guard Commendation Medals, three Coast Guard Achievement Medals, and multiple unit awards.

Updated: 08/17/20

CAPTAIN Chad L. Jacoby, USCG

Current Assignment

29 June 2020 - Present Chief, Mission Support Integration (DCMS-5), CAPT

Assignment History

Jul 17 – Jun 20	Surface Forces Logistics Center, SFLC Commander, CAPT
Jul 15 – Jul 17	CG-933, Assistant PEO for C4ISR and Cyber, CAPT
Jun 14 – Jul 15	MIT System Design and Management, Systems Eng, CAPT
Jul 11 – Jun 14	SFLC Patrol Boat Product Line Manager, CDR
Jul 08 – Jul 11	Sector St. Petersburg, Logistics Officer - CO MILPERS, CDR
Sep 06 – Jul 08	DHS S&T, Program Mgr – High Risk Ship Prototypes, LCDR
Jul 05 – Sep 06	CG-932, Program Manager – Patrol Boats, LCDR
Jul 04 – Jul 05	CG-932, Deputy Surface Program Manager, LCDR
Jul 02 – Jul 04	Old Dominion University, Mechanical Eng Masters, LCDR
Jul 00 – Jul 02	USCGC MORGENTHAU, EO, LT
Jun 97 – Júl 00	NESU Honolulu, XO, LT
Jun 94 – Jun 97	Group Key West, EO, LTJG
Jun 92 – Jun 94	USCGC SHERMAN, AEO, ENS

Educational Summary

2015 Massachusetts Institute of Technology (MIT), Masters of Science, Eng Management 2015 Massachusetts Institute of Technology (MIT), Certificate, MIT Kaufman Teaching Cert. 2004 Old Dominion University, Masters of Engineering, Mech Eng Design & Manufacturing 1992 US Coast Guard Academy, Bachelor of Science, Civil Engineering

Military Personal Awards and Significant National Recognition or Achievement

LOM (2), MSM (3), COM (3), ACH (3) 2014, ASNE Engineer of the Year, CAPT Richard Poore Award 2014, ASNE Lucas Plaque, Naval Engineering Unit of the Year 2000, ASNE Lucas Plaque, Naval Engineering Unit of the Year

Significant Current Professional Credentials or Recent Activities

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