Senate Commerce Committee Nominee Questionnaire, 117th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Carlos Alberto Monje Jr.

2. Position to which nominated:

Under Secretary of Transportation for Policy, Department of Transportation

3. Date of Nomination:

April, 27, 2021

4. Address (List current place of residence and office addresses):

Home:

Office 1200 New Jersey Ave. NW Washington, DC 20590

5. Date and Place of Birth:

July 17, 1978 New Orleans, LA

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Anne Filipic Assistant to the President and Head of Management and Administration The White House 7. List all college and graduate degrees. Provide year and school attended.

Harvard University Bachelor of Arts 2000

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

Department of Transportation Senior Advisor Washington, DC January 2021 - Present

PT Fund, Inc (Biden -Harris Transition)

Deputy of Agency Review (Domestic and Economic) Washington, DC September 2020-January 2021

Twitter, Inc.

Director of Policy & Philanthropy, US & Canada Washington, DC March 2017 -September 2020

Beeck Center – Georgetown University

Fellow Washington DC February 2016-May 2016

Clinton-Kaine Transition

Director of Agency Review Washington, DC September 2016-November 2016

U.S. Department of Transportation

Acting Under Secretary of Transportation for Policy Assistant Secretary for Transportation Policy Counselor to the Secretary Washington, DC

White House Domestic Policy Council

Special Assistant to the President And Chief of Staff of the DPC Washington, DC January 2016-July 2016 March 2015-September 2016 February 2014-March 2015

January 2011-January 2014

White House Domestic Policy Council

Senior Policy Advisor Washington, DC February 2009-January 2011

Presidential Transition Project

National Security Policy Working Group Washington, DC November 2008-January 2009

Obama for America

Deputy Policy Director Chicago, IL March 2007-November 2008

U.S. Senator Barack Obama (D-IL)

Special Assistant for Policy and Communications Washington, DC January 2005-February 2007

U.S. Senator Ken Salazar (D-CO)

Legislative Assistant Washington, DC January 2005-December 2005

Erskine Bowles for U.S. Senate

Press Secretary Raleigh, NC March, 2004-November, 2004

John Edwards for President

Deputy Press Secretary Raleigh, NC August, 2003-March, 2004

U.S. Senator John Edwards (D-NC)

Deputy Press Secretary Washington, DC October 2001-August 2003

U.S. Senator Chuck Schumer (D-NY)

Deputy Press Secretary Washington, DC August-October 2001 Fleishman-Hillard Assistant Account Executive New York, NY November 2000-July 2001

Chris Petrini for State Representative Campaign Manager Framingham, MA June-September 2000

- 9. Attach a copy of your resume.
- List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

Not applicable

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

Unpaid Board member of:

Results for America (current) The Corps Network (current) Internet Association (2017- September 2020) Family Online Safety Institute (2017-December 2018)

Employer's Representative to:

Information Technology Industry Council (2018-2020)

* If I am confirmed, I will resign my unpaid nonprofit board positions as described in the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Unpaid Board member of:

Results for America (current) The Corps Network (current) Internet Association (2017- September 2020) Family Online Safety Institute (2017-December 2018)

Employer's Representative to:

Information Technology Industry Council (2018-2020)

* If I am confirmed, I will resign my unpaid nonprofit board positions as described in the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

CoChair: Biden-Harris Infrastructure Policy Committee May -November 2020

 Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

Joseph Biden for President: \$250; 2020 44Fund: \$500; 2018 Brian Forde D-CA for Congress: \$900; 2017 [Two Donations] Twitter PAC: \$4250: 2017-2018 [13 donations] Act Blue: \$200: 2018, 2019 [Two donations]

 List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Harvard College: Lyman Scholarship. Harvard College Scholarship. Harvard College Dean's List. Harvard College Research Fellowship.

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Twitter Blogs

https://blog.twitter.com/en_us/authors.CarlosMonjeJr.html

Twitter Blogs on election integrity and in support of immigration reform

NPR Interview

https://www.npr.org/2018/08/31/643582365/twitter-public-policy-director-on-how-company-monitors-content

Book appendix

https://www.amazon.com/Helping-Good-Do-Better-Advocates/do/1538700166

Wrote a short chapter appendix to a book about social interest issue advocacy. Chapter is about the reauthorization of the Corporation for National and Community Service.

DOT Civil Rights Event

https://connectdot.connectsolutions.com/p5okh5lo1bp/?launcher=false&fcsContent=true &pbMode=normal&proto=true

WH Speeches:

- Various informal stakeholder events to discuss GROW AMERICA Act (Spring 2014)
- Grantmakers Income Security Taskforce –Grantmakers for Children Youth and Families: Budget and Tax Briefing (March 19, 2013)
- White House Long-Term Disaster Recovery Working Group, Salt Lake City Session: Closing Remarks (January 18, 2012)
- Philanthropy Northwest 2009 Annual Conference Keynote Address (September 2009)
- Philanthropy and Rural America Conference speech: "The Social Innovation Fund and Rural America" (July 2009)
- Stanford Business School Silicon Valley Roundtable for White House Office of Social Innovation (June 23, 2009)
- Entrepreneurship in Education, Center for American Progress Roundtable (May 7, 2009)
- 10th Annual Social Enterprise Summit: Keynote Address "Harnessing Policy Opportunities at the National Level" (April 17, 2009)
- White House Champions of Change:
 - Southwest Border Region September (2011)
 - Space Coast Technology and Innovation: June (2011)
 - Gulf Coast Oil Spill July (2010)

Federal Publications Contributed to:

- Hurricane Sandy Rebuilding Strategy (August 2013) <u>http://portal.hud.gov/hudportal/documents/huddoc?id=hsrebuildingstrategy.pdf</u>
- National Disaster Recovery Framework (September 2011) http://www.fema.gov/national-disaster-recovery-framework
- Deepwater Horizon Recovery Plan (September 2010) http://www.restorethegulf.gov/sites/default/files/documents/pdf/gulf-recovery-sep-20 10.pdf
- Beyond Traffic 2045. (January 2017) <u>https://www.transportation.gov/policy-initiatives/beyond-traffic-2045-final-report</u>

Online Content:

- White House Video Message: Continuing to strengthen our economy in 2014 (January 11, 2014) <u>http://www.whitehouse.gov/the-press-office/2014/01/11/white-house-video-messagecontinuing-strengthen-our-economy-2014</u>
- A Special Moment for Service (March 27, 2009) <u>http://www.nationalservice.gov/newsroom/official-statements/2009/special-moment-service</u>
- Innovation with Crawfish Sauce: What a New Orleans Nonprofit Can Teach the Rest
 of the Country (October 30, 2009)
 http://www.whitehouse.gov/blog/2009/10/30/innovation-with-crawfish-sauce-what-a-new-orleans-nonprofit-can-teach-rest-country

Newspaper articles

I have numerous articles that that I authored due to my employment with The Boston Globe and The Times-Picayune and through my time volunteering with the Harvard Crimson. They are available online through the publications' respective archives and websites, <u>www.bostonglobe.com</u>, <u>www.nola.com</u>, and <u>https://www.thecrimson.com/sitemap/</u>

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Twitter:

https://twitter.com/carlosmonjejr?lang=en

Facebook:

https://www.facebook.com/carlos.monje.52

Instagram:

https://www.instagram.com/carlosmonje/?hl=en

LinkedIn:

https://www.linkedin.com/in/carlos-monje/

YouTube:

https://www.voutube.com/user/carlosmonje211

Fun and poorly done video with niece and nephews

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

Senate Commerce Committee

Transportation Safety Nominations Hearing December 3, 2014

Video

https://www.commerce.senate.gov/2014/12/transportation-safety-nominations-hearing

Testimony

https://www.commerce.senate.gov/services/files/271DFEA6-1EC9-4E69-B275-3F0B801 547D6

How the Internet of Things (IoT) Can Bring U.S. Transportation and Infrastructure into the 21st Century June 28, 2016

Video

https://www.commerce.senate.gov/2016/6/how-the-internet-of-things-iot-can-brin g-u-s-tr ansportation-and-infrastructure-into-the-21st-century

Testimony

https://www.commerce.senate.gov/services/files/E68C638B-FED9-4E97-BC84-888DEE 86016D

Terrorism and Social Media: #IsBigTechDoingEnough? January 17, 2018

Video

https://www.commerce.senate.gov/2018/1/terrorism-and-social-media-isbigtechdoingeno ugh

Testimony

https://www.commerce.senate.gov/services/files/447E3321-1215-47BC-93EA-AD295CF 16D80

QFRs

https://www.commerce.senate.gov/services/files/144DB12A-56CD-469B-AE64-O61E4C 108495

Senate Judiciary Committee

Stifling Free Speech: Technological Censorship and the Public Disclosure April 10, 2019

Video

https://www.judiciary.senate.gov/meetings/stifling-free-speech-technological-censorshipand-the-public-discourse

Testimony

https://www.judiciary.senate.gov/imo/media/doc/Monje%20Testimony.pdf QFRs

https://www.judiciary.senate.gov/download/monje-responses-to-questions-for-the-record

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

The Department of Transportation's mission touches people every day. Transportation costs are the second-highest expense for American families and disinvestment in our network costs American businesses lost productivity. I was the Senate-confirmed head of policy at the Department of Transportation during the Obama administration and served as Chief of Staff of the Domestic Policy Council, working on an array of place-based initiatives to help local leaders accomplish goals for their communities.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Upholding the integrity of the Department is a solemn duty of all officials at the Department of Transportation. Maintaining proper management and accounting controls is key to maintaining the trust of this committee, Congress and the American People. I have managed very large organizations, including serving as Acting Under Secretary at the Department of Transportation, Chief of Staff of the Domestic Policy Council, and Deputy Director of Agency Review at the Biden-Harris Transition.

22. What do you believe to be the top three challenges facing the department/agency, and why?

Safety must always be the north star of the Department of Transportation. People expect to travel safely to their destination, every trip. Successfully addressing complex, multifaceted safety challenges requires a comprehensive approach utilizing all the authorities and resources at the Department.

COVID has devastated state departments of transportation and transit agencies. We do not yet understand how the pandemic will change freight and commuter traffic over the long-term. The Department not only needs to help impacted agencies by responsibly allocating the financial support provided by Congress, but by helping transport planners adjust to the new normal.

Successfully integrating new technologies into our transportation network will require continuously pushing the edges of our regulatory ability. This means being willing to adapt quickly while maintaining our focus on safety, equity and privacy. It also will mean helping our state and local partners develop the capacity to ensure their values are front and center as they integrate new technology onto their streets and in their skies.

B. POTENTIAL CONFLICTS OF INTEREST

 Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

None. My arrangements are fully described in Part 3 of my Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No. If I am confirmed, I will resign my unpaid nonprofit board positions as described in the terms of the ethics agreement I have entered into with DOT's Designated Agemcy Ethics Official and that has been provided to this Committee.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

In connection with the nomination process, I have consulted with the Office of the Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

In connection with the nomination process, I have consulted with the Office of the Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

In connection with the nomination process, I have consulted with the Office of the Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of the ethics agreement I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

I never qualified as a registered lobbyist in my role at Twitter, but I have provided advice related to the advocacy of certain executive and legislative outcomes, including passage of immigration reform and privacy protections.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional miscoinduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:

a. Provide the name of agency, association, committee, or group;

b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;

c. Describe the citation, disciplinary action, complaint, or personnel action;

d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

Not applicable

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

Not applicable

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

Not applicable

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

Not applicable

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

Not applicable

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

The men and women who work at the Department of Transportation are rightfully proud of the expertise and commitment to excellence in completing their mission, and it would be the honor of my career to serve in this role helping lead them.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to time ly comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

F. AFFIDAVIT

Ca(los hon c being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 6 day of M_{44} , 2021.

Notary Public

