Senate Commerce Committee Nominee Questionnaire, 119th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation (the "Committee") requests that you provide typed answers to each of the following questions. It is also requested that you type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to you. Begin each section (*i.e.*, "A", "B", etc.) on a new sheet of paper. Electronically submit your completed questionnaire to the Committee in PDF format and <u>ensure that sections A through E of the completed questionnaire are in a text searchable and that any hyperlinks are active and can be clicked.</u> Section F may be scanned for electronic submission and need not be searchable.

Incomplete questionnaires may delay the nomination process.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Michael John Kotsakas Kratsios

2. Position to which nominated:

Director of the Office of Science and Technology Policy

3. Date of Nomination:

January 22, 2025

4. Address (List current place of residence and office addresses):



5. Date and Place of Birth:

11/7/1986 Salisbury, Maryland

6. Provide the name, position, and place of employment for your spouse (if married) or domestic partner, and the names and ages of your children (including stepchildren and children by a previous marriage).

Alissa Stephanie Davies Public Policy Manager, Coinbase 7. List all college and graduate schools attended, whether or not you were granted a degree by the institution. Provide the name of the institution, the dates attended, the degree received, and the date of the degree.

AB in Politics, Princeton University, 2004-2008

8. List all post-undergraduate employment, including the job title, name of employer, and inclusive dates of employment, and highlight all management- level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

Analyst, Barclays Investment Bank, 2009-2010
Analyst, Lyford Group International, 2010
Associate, Clarium Capital Management, 2010-2011
Chief Compliance Officer, Clarium Capital Management, 2011-2014
Chief Compliance Officer, Thiel Capital, 2012-2014
Chief Compliance Officer, Thiel Macro, 2012-2014
Chief Financial Officer, Clarium Capital Management, 2014-2015
Principal and Chief of Staff, Thiel Capital, 2014-2017
Deputy Assistant to the President & Deputy U.S. Chief Technology Officer, The White House Office of Science and Technology Policy, 2017-2019
Chief Technology Officer of the United States and Associate Director, The White House Office of Science and Technology Policy, 2019-2021
Under Secretary of Defense for Research and Engineering (Acting), United States Department of Defense, 2020-2021
Managing Director, Scale AI, 2021-2025

9. Attach a copy of your resume.

See attachment 1

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above after 18 years of age.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution.

Trustee, Foundation for Student Communication Inc. (Business Today), 2007-2017, 2021-2025
Chief Compliance Officer, Clarium Capital Management, 2011-2014
Chief Compliance Officer, Thiel Capital, 2012-2014
Chief Compliance Officer, Thiel Macro, 2012-2014
Board of Directors, International Model United Nations Association, 2013-2016
Chief Financial Officer, Clarium Capital Management, 2014-2015

Consultant, HySpecIQ, 2021
Managing Director, Scale AI, 2021-2025
Manager, Board of Managers, Improbable U.S. Defense & National Security, 2022
Member, Strategic Research Advisory Board, University of Oklahoma, 2023-2024
Member of the Board, Foundation for American Innovation, 2023-2025
Senior Advisor, Dunes Point Capital, 2024-2025
Distinguished Visiting Fellow, Stanford Institute for Human-Centered Artificial Intelligence, 2024-2025

12. List all memberships you have had after 18 years of age or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization (You do not have to list your religious affiliation or membership in a religious house of worship or institution). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Member, American Hellenic Educational Progressive Association, 2018-present Member, Archons of the Ecumenical Patriarchate, 2021-present Member, 5 Hertford Street, 2024-present

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

Yes. I was appointed Deputy Assistant to the President and Deputy U.S. Chief Technology Officer by President Donald J. Trump in 2017. I was nominated by President Donald J. Trump and confirmed by the U.S. Senate as Chief Technology Officer of the United States and Associate Director of the Office of Science and Technology Policy in 2019. I was named Acting Under Secretary of Defense for Research and Engineering by President Donald J. Trump in 2020. I was appointed Assistant to the President for Science and Technology and nominated to serve as the Director of the Office of Science and Technology Policy by President Donald J. Trump in 2025.

No, I have no outstanding campaign debt.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

Blake Masters for Senate, 2021, \$5,800 Citizens for Will Scharf, 2022, \$2,650 Blake Masters for Congress, 2023, \$6,600

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

National Merit Scholarship Recipient American Hellenic Educational Progressive Association Educational Foundation Scholarship Recipient Hellenic Studies Senior Thesis Prize (Princeton University) Department of Defense Medal for Distinguished Public Service Greek Orthodox Archdiocese of America Archbishop Iakovos Leadership 100 Award for Excellence Distinguished Visiting Fellow, Stanford Institute for Human-Centered Artificial Intelligence Greek Orthodox Archdiocese of America Medal of Saint Paul

17. List all books, articles, columns, letters to the editor, Internet blog postings, or other publications you have authored, individually or with others. Include a link to each publication when possible. If a link is not available, provide a digital copy of the publication when available.

China Poses Security Threat at American Ports, *Newsweek*. September 11, 2023 https://www.newsweek.com/china-poses-security-threat-american-ports-opinion-1825423

End The STA: Stop Helping China Steal, *American Conservative*. August 22, 2023 <u>https://www.theamericanconservative.com/end-the-sta-stop-helping-china-steal</u>

U.S. State and Local Governments Still Buy Banned Chinese Tech, *Foreign Affairs*. November 1, 2022 https://foreignpolicy.com/2022/11/01/china-technology-huawei-zta-banned-us/

The Trump Administration Is Investing \$1 Billion in Research Institutes to Advance Industries of the Future, WhiteHouse.gov. August 26, 2020. <u>https://trumpwhitehouse.archives.gov/articles/trump-administration-investing-1-billion-research-institutes-advance-industries-future/</u>

Artificial Intelligence Can Serve Democracy, *Wall Street Journal*. May 27, 2020 https://www.wsj.com/articles/artificial-intelligence-can-serve-democracy-11590618319

Connecting Americans to Coronavirus Information Online, WhiteHouse.gov. April 15, 2020 <u>https://trumpwhitehouse.archives.gov/articles/connecting-americans-coronavirus-information-online/</u>

AI That Reflects American Values, *Bloomberg*. January 7, 2020 https://www.bloomberg.com/view/articles/2020-01-07/ai-that-reflects-american-values

How America Achieved 'Quantum Supremacy', *Fortune*. October 23, 2019 https://fortune.com/2019/10/23/google-sycamore-quantum-computer-supremacy/

We used to fly from New York to Paris in 3 hours. Let's do that again., *The Washington Post.* June 25, 2019

https://www.washingtonpost.com/opinions/the-us-should-lead-the-way-back-to-supersonic-flight/2019/06/24/f1b884e2-96b7-11e9-916d-9c61607d8190_story.html

High Speed Broadband Is Fostering a New Era of Innovation for Rural America, *Agri-Pulse*. February 14, 2019 <u>https://www.agri-pulse.com/articles/11905-opinion-high-speed-broadband-is-fostering-a-new-era-of-innovation-for-rural-america</u>

Why the US Needs a Strategy for AI, *WIRED*. Feb. 2, 2019 <u>https://www.wired.com/story/a-national-strategy-for-ai</u>

The future of American aviation is all about drones, CNN. June 6, 2018 <u>https://www.cnn.com/2018/06/06/opinions/trump-administration-drone-technology-kratsios/index.html</u>

America Will Win the Global Race to 5G, WhiteHouse.gov. October 25, 2018 <u>https://trumpwhitehouse.archives.gov/articles/america-will-win-global-race-5g/</u>

Donald Trump Embraces the Drone Age, *The Wall Street Journal*. October 25, 2017 https://www.wsj.com/articles/donald-trump-embraces-the-drone-age-1508947220

Economics and Voting in the Third Hellenic Republic: An Aggregate and Individual- Level Analysis of the Greek Electorate, 1985-2007. April 8, 2008. Princeton University Senior Thesis.

https://issuu.com/mkratsios/docs/economics_and_voting

18. List all speeches, panel discussions, and presentations (e.g., PowerPoint) that you have given on topics relevant to the position for which you have been nominated. Include a link to each publication when possible. If a link is not available, provide a digital copy of the speech or presentation when available.

Speeches	
May 1, 2017	27th National Science Bowl (Department of Energy)
June 5, 2017	United States of America Mathematical Olympiad Awards Dinner
September 27, 2017	G7 Industry and Information and Communication Technologies
	Ministerial Meeting
November 2, 2017	Drone Integration Pilot Program Launch (Department of
	Transportation)

November 13, 2017 December 13, 2017 January 9, 2018 February 20, 2018 March 14, 2018 March 29, 2018 April 10, 2018 April 19, 2018	Virtuous Circle Summit (Internet Association)* Department of Health and Human Services Innovation Day Administration's Approach to Innovation and Tech Policy (CES)* <u>New Work Summit</u> (New York Times)* <u>ARPA-E Energy Innovation Summit</u> <u>Center for Democracy & Technology Annual Dinner</u> U.S. Chamber of Commerce Tecglobal 2018 Unleashing American Innovation Launch Event (Department of Commerce)
May 9, 2018	Commerce) U.S. Air Force – National Science Foundation Collaboration Announcement Event
May 10, 2018 June 5, 2018 July 10, 2018	White House AI for American Industry Summit EmTech Next (MIT Tech Review)* AI Summit: Investing in Innovation and Tomorrow's Workforce
August 21, 2018 August 28, 2018 September 9, 2018	(Business Software Alliance)* <u>FedTalks</u> <u>University of Mississippi Technology Summit</u> Tech Olympus Summit*
September 21, 2018 September 24, 2018 September 28, 2018	<u>Reboot 2018</u> (Lincoln Network)* White House Quantum Information Sciences Summit White House 5G Summit
October 2, 2018 November 8, 2018	<u>Commercial UAV Expo</u> * <u>Technology 202 Live: View from the White House Technology</u> <u>Team</u> (Washington Post Technonomy)*
November 11, 2018 November 15, 2018 December 6, 2018 January 19, 2019	<u>Global Entrepreneurship Summit Launch Event</u> <u>Business Roundtable CEO Innovation Summit</u> * NSF Expeditions in Computing Event <u>State of the Net</u>
January 29, 2019 February 27, 2019	Congressional Hispanic Leadership Institute Tech Talk <u>The White House: Accelerating America's Leadership in Artificial</u> <u>Intelligence</u> (Center for New American Security)
February 28, 2019 March 1, 2019 March 7, 2019 March 12, 2019	Preparing for the G20 (US Chamber of Commerce)* <u>DARPA AI Colloquium</u> <u>Safeguarding the Crown Jewels of U.S. Innovation</u> (SXSW)* <u>Consumer Technology Association Innovation Policy Day</u> (SXSW)
March 19, 2019 March 27, 2019 April 9, 2019	The AI Agenda (The Economist)* <u>Blueprint York</u> Domestic Drone Safety and Security Series*
April 18, 2019 April 30, 2019 May 21, 2019	2nd Annual Choctaw Nation Emerging Aviation Conference 2019 Digital Patriots Dinner <u>OECD Ministerial Council Meeting</u>
September 10, 2019 September 17, 2019 November 4, 2019	<u>Center for Data Innovation Forum on AI</u> <u>Samsung Solve for Tomorrow STEM Contest's 10th Year Launch</u> <u>Stanford Institute for Human-Centered Artificial Intelligence's</u> (HAI) 2019 Fall Conference on Ethics, Policy and Governance*

Web Summit		
US Approach to AI, CES*		
Conversation with WIRED, CES*		
Hudson Institute: America's Global Tech Leadership: A		
Conversation with U.S. CTO Michael Kratsios*		
U.S. Tech Leadership in an Era of Competition*		
DARPA Electronics Resurgence Initiative 2.0*		
SACC-USA Executive Forum 2020*		
Innovative Greeks*		
Tools of the Trade: Enabling Public Purpose in Science and		
Technology Policy*		
Key Technology Focus Areas: Where's Our Advantage and How		
Do We Capture It?*		
POLITICO AI Summit Interview*		
2021 Accelerate AI adoption & Importance of AI Models Update o		
Emerging Tech Horizons*		
Harnessing the power of AI*		
POLITICO AI and Tech Summit*		
FII7 Priority Summit*		
Understanding AI: AlixTalks with Simon Freakley, Michael		
Kratsios and Amna Nawaz		
DeepFest*		
Advancing America's Leadership in AI*		
RAISE Summit		
The Ultimate Disrupter: AI's Impact on Geopolitics & Global		
Governance*		
Role of Business: Policy Implications of Industry Leadership in		
<u>AI</u> *		
POLITICO AI & Tech Summit*		
Oxford Generative AI Summit 2024*		

* Armchair conversation (Q&A)

19. List all public statements you have made during the past ten years, including statements in news articles and radio and podcasts and television appearances, which are on topics relevant to the position for which you have been nominated, including dates. Include a link to each statement when possible. If a link is not available, provide a digital copy of the statement when available.

In addition to those covered in question 18:

July 17, 2017	Construction begins on international mega-science experiment to
	understand neutrinos (Fermilab)
October 25, 2017	Trump administration to allow quick and dramatic expansion of
	drone use (Washington Post)
April 19, 2018	The Trump administration is bullish on tech transfer (Fedscoop)

May 18, 2018	White House announces creation of Select Committee on Artificial Intelligence (Fedscoop)
December 16, 2018	Trump signs National Quantum Initiative into law (<u>Fedscoop</u>)
February 10, 2020	Trump Wants to Double Spending on AI, Quantum Computing
1001001 10, 2020	(<u>WSJ</u>)
February 26, 2020	White House's top techie explains how AI initiative will help
	Seattle tech community (Geekwire)
March 23, 2020	White House Announces New Partnership to Unleash U.S.
,	Supercomputing Resources to Fight COVID-19 (The White
	House)
July 21, 2020	NSF establishes 3 new institutes to address critical challenges in
-	quantum information science (NSF)
August 10, 2020	DOD Developing Small, Unmanned Aerial System for Warfighters
	(Department of Defense)
September 30, 2020	US Chief Technology Officer Michael Kratsios Eyes Greece as
	Technology Hub (Greek Reporter)
August 14, 2020	White House Aims To Boost Funding For AI And Quantum Tech
	By 30% In 2021 (<u>Fortune</u>)
August 26, 2020	White House Announces \$1 Billion Plan to Create AI, Quantum
	Institutes (<u>WSJ</u>)
October 5, 2020	What, exactly, is a U.S. CTO? (<u>Fedscoop</u>)
October 8, 2020	DOD Announces \$600 Million for 5G Experimentation and
	Testing at Five Installations (<u>Department of Defense</u>)
October 30, 2020	U.S. Transportation Secretary Elaine L. Chao Announces
	Successful Completion of Drone Pilot Program, Announces New
D 1 0 0000	Program Called BEYOND (Department of Transportation)
December 2, 2020	Australia and US unveil joint hypersonic program (ADM)
December 3, 2020	White House pushes civilian agencies to use trustworthy AI in new
I 5 2021	executive order (<u>Fedscoop</u>)
January 5, 2021	DOD's Inaugural Foray Into 5G Experimentation on Track
January 12, 2021	(Department of Defense) National AL Initiative Office Jourshad by White House (Federace)
January 12, 2021	National AI Initiative Office launched by White House (<u>Fedscoop</u>)
May 27, 2021	Scale AI Hires Former U.S. CTO Michael Kratsios as Head of Strategy (WSJ)
October 26, 2022	Hundreds of state, local government entities vulnerable to Chinese
0010001 20, 2022	espionage: report (<u>Fox News</u>)
October 19, 2023	US must act 'very carefully' to ensure China doesn't steal our AI
00000119,2025	strategy: Michael Kratsios (<u>Fox Business</u>)
November 6, 2023	Newscast Radio (<u>BBC</u>)
August 6, 2024	You Might be Right (<u>podcast</u>)
	r on ment of reflec (Former)

20. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the full name of an "alias" or "handle", including the complete URL and username with hyperlinks, you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each

account if possible.

Facebook: Michael Kratsios [dormant] Instagram: mkratsios [active] <u>https://www.instagram.com/mkratsios/</u> Linkedln: Michael Kratsios [active] <u>https://www.linkedin.com/in/michaelkratsios</u> Snapchat: scgreek [deleted] Tumblr: mkratsios [deleted] Twitter: michaelkratsios [active] <u>https://twitter.com/michaelkratsios</u> Twitter: USCTO45 [dormant/archived]: http://twitter.com/USCTO45

21. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date, committee, and subject matter of each testimony.

July 17, 2019. Confirmation Hearing for Associate Director of the Office of Science and Technology Policy. Senate Committee on Commerce, Science, and Transportation. https://www.commerce.senate.gov/2019/7/committee-announces-nominations-hearing

January 15, 2020. Hearing on Industries of the Future. Senate Committee on Commerce, Science, and Transportation. https://www.commerce.senate.gov/services/files/B7184908-E657-441C-967A-871D8A80B0F0

October 18, 2023. Hearing on Balancing Knowledge and Governance: Foundations for Effective Risk Management of Artificial Intelligence. Joint Oversight & Investigations and Research & Technology Subcommittee of the House Committee on Science, Space, and Technology.

https://republicans-science.house.gov/_cache/files/7/1/711d0748-8914-45e2-ab30-2b1ae3e4753f/BF3123DB9859C190F2A8F72344BAC68B.mr.-kratsios---testimony.pdf

22. Given the current mission, major programs, and major operational objectives of the department/agency/commission/corporation to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

In the previous Trump Administration, I had the honor of serving as the fourth Chief Technology Officer of the United States and the Associate Director of OSTP for Technology, where I was confirmed by unanimous consent by the U.S. Senate. In this capacity, I led national technology policy initiatives on critical emerging technologies, including the bipartisan National AI Initiative and National Quantum Initiative Acts. I played a key role in establishing the National AI Initiative Office and the National Quantum Coordination Office at OSTP, drafting the Administration's research and development (R&D) budget priorities, and securing a historic doubling of Federal AI and quantum research funding. Additionally, I spearheaded the creation of a billion-dollar program to establish a network of AI and quantum research institutes nationwide, and developed pioneering regulatory principles for AI governance. On the global stage, I led U.S. technology efforts at the G7, G20, and OECD, where I helped shape the world's first intergovernmental AI policy guidelines.

Having spent four years leading OSTP's technology efforts, I deeply understand the office's role within the Executive Office of the President and how to effectively support the President's science and technology agenda. I also served as the acting Under Secretary of Defense for Research and Engineering, where I oversaw the Department of Defense's \$60 billion R&D budget–the largest R&D budget for a single organization globally. I managed the DoD's laboratory enterprise, supervising all research, engineering, development, and prototyping at the Pentagon. I understand the importance of science and technology to our national security and how to work across the interagency to successfully coordinate national S&T policy.

For the past four years, I have worked as the Managing Director of Scale AI, one of the largest privately held AI companies in the world. Given the central role that artificial intelligence will play in the coming decade, and with much of the innovation driven by the private sector, I believe my background uniquely qualifies me to bridge the gap between government and industry in this critical technology area. Additionally, I have served as a Distinguished Visiting Fellow at the Stanford Institute for Human-Centered AI, where I've further deepened my understanding of the intersection between AI, policy, and the Nation. Maintaining American leadership in AI and other emerging technologies will require the U.S. to leverage the totality of its S&T enterprise, fostering collaboration among industry, academia, and government.

I am driven by the belief that embracing technological innovation and developing new technologies rooted in American values is key to securing a stronger future for our country. I wish to serve in this role to continue advancing these goals and help ensure that the U.S. remains at the forefront of science and technology for the benefit of all Americans.

23. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency/commission/corporation has proper management and accounting controls, and what experience do you have in managing a large organization?

As Director of OSTP, I would be responsible for ensuring that the office's resources are managed effectively and in alignment with its mission and strategic priorities. This includes overseeing that all management processes, financial controls, and reporting procedures meet established laws and regulations. It is critical that we maintain transparency and accountability in all aspects of OSTP's operations, ensuring that taxpayer resources are used efficiently to advance our national science and technology agenda. During my previous tenure at OSTP, I led the technology policy team, managing the development and dissemination of numerous reports, executive orders, presidential memoranda, and initiatives across a wide range of fields—from artificial intelligence and quantum computing to STEM education and rural broadband. In doing so, I worked closely with cross-functional teams to ensure that our work was executed on time and within budget, meeting the highest standards of quality and compliance.

In addition to my work at OSTP, I have substantial experience managing large, fast-growing organizations. As Managing Director of Scale AI, I was part of a team that helped grow the company to nearly \$14 billion valuation, while significantly increasing the workforce to support this rapid expansion in under four years. My role required overseeing complex operational and strategic decisions, managing large budgets, and ensuring that the company's operations were aligned with our long-term goals. This experience has given me a strong understanding of how to scale an organization while maintaining efficiency, financial integrity, and accountability.

Earlier in my career, I served as Principal and Chief of Staff at Thiel Capital, where I worked across various affiliated entities, including venture capital funds, hedge funds, and a philanthropic organization. In this capacity, I provided strategic support and ensured that projects were executed efficiently and effectively. Additionally, as Chief Financial Officer and Chief Compliance Officer of Clarium Capital Management, a global macro hedge fund, I oversaw all operations, financial reporting, and compliance functions.

24. What do you believe to be the top three challenges facing the department/agency/commission/corporation, and why?

The first challenge facing OSTP today is ensuring American leadership in the development and deployment of critical and emerging technologies—such as artificial intelligence and quantum information science—to strengthen our economic and national security. In an era of geopolitical competition increasingly centered on technology rather than just military power, it's essential that the United States maintain technological superiority and that these technologies are developed in alignment with American values. Achieving this will require proactive policies to maximize the effectiveness of the federal government's role in America's research and development (R&D) ecosystem, in partnership with academia and industry. OSTP plays a crucial role in identifying key federal R&D investment priorities and improving the coordination of these investments across the government. We must think creatively about how the federal government can help catalyze the American S&T enterprise, pairing R&D with necessary investments in infrastructure, workforce development, and technology governance to ensure these innovations serve national interests.

The second challenge is ensuring that all Americans benefit from the scientific and technological advances we make in developing these emerging technologies. This requires sound federal policies to facilitate technology transfer from federally funded research to the commercial sector, and to ensure that such research is disseminated widely across both academic and industry spheres. As OSTP's authorizing statute outlines, it is the government's responsibility to "facilitate the close coupling of institutional scientific research with the commercial application of the useful findings of science." By promoting stronger collaboration between the government and the private sector, we can turn the discoveries from federal labs into technologies that benefit all Americans—and the world. In certain areas, a deregulatory agenda will be necessary to eliminate barriers that stifle innovation, enabling the brightest minds in our nation to thrive. This approach will also

foster the testing and evaluation of new technologies, which, along with responsible oversight, will build public trust and accelerate technology adoption. As technology increasingly permeates every facet of our lives, we must engage a broader set of stakeholders to ensure the benefits of scientific and technological achievements are felt by all Americans.

Finally, a strong OSTP is critical for informing the President's economic and national security policies through independent, expert judgment. To be effective, OSTP must be staffed with a team of highly skilled professionals capable of providing well-researched scientific and technical analysis. This team must work closely with other White House entities, such as the National Economic Council and the National Security Council, to develop integrated, cross-cutting policies. Additionally, effective staffing of the National Science and Technology Council (NSTC)—which coordinates federal science and technology policy across the interagency—will allow OSTP to leverage expertise across the government and drive innovative solutions that serve both national security and economic strategy. Building OSTP's capacity to foster strong interagency collaboration and providing necessary expertise to the NSTC will be vital in advancing the President's agenda and leading efforts to address critical technology challenges.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts, such as a 401(k) or pension plan.

Thiel Capital: As approved by OSTP Ethics Counsel and OGE, I will continue to participate in my former employer's 401(k) plan. The former employer no longer makes contributions to this plan.

Scale AI: My employment at Scale AI ended on January 17, 2024. As approved by OSTP Ethics Counsel and OGE, I will continue to participate in my former employer's 401(k) plan and HSA. The former employer no longer makes contributions to this plan or HSA.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association, or other organization during your appointment? If so, please explain.

No

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

I hold equity and options in Scale AI, bitcoin, and ethereum. I will divest of these assets if

confirmed, per my ethics agreement. I will follow all recusal obligations as described in my ethics agreement.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

I worked for Scale AI from March 2021 until January 17, 2024. I am no longer an employee and will divest of all of my Scale assets, per my ethics agreement. I will follow all recusal obligations as described in my Ethics Agreement.

5. Identify any other potential conflicts of interest and explain how you will resolve each potential conflict of interest.

I served as a Distinguished Visiting Fellow at Stanford University and a Board Member of the Foundation for American Innovation, as well as on an advisory board at the University of Oklahoma. I will follow all recusal obligations as described in my Ethics Agreement.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, an Inspector General, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of the court, agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, municipal, or foreign government entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

I am named as a defendant in a putative class action filed on December 10, 2024 against a former employer by individuals alleging California labor law claims related to their status as independent contractors.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual assault, sexual harassment, or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

Not applicable

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency/commission/corporation complies with deadlines for information set by congressional committees, and that your department/agency/commission/corporation endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes

2. Will you ensure that your department/agency/commission/corporation does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

E. FINANCIAL DATA & OTHER CONFIDENTIAL MATTERS (Will not be released to the public.)





(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

Mich ael Kratsis being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this <u>IIL</u>day of <u>Feb</u>, 20<u>25</u>.

Hallinge

Notary Public



Michael J.K. Kratsios

EXPERIENCE

Scale AI

Managing Director

- Executive at \$14bn ventured-back AI company, supporting frontier large language model builders and developing AI solutions for global institutions & governments
- Led Scale's business strategy and global affairs. Oversaw enterprise and public sector business, international expansion, and strategic engagements with governments and policymakers

Executive Office of the President of the United States, The White House

Chief Technology Officer of the United States

- Served as principal technology policy advisor to the President of the United States
- Reoriented U.S. national technology policy towards an explicit primary focus on ensuring continued American leadership in emerging technologies
- Served as Cabinet-level representative of the United States in international fora, including the G7, G20, and OECD
- Led team of policy advisors driving U.S. policy and priorities on a range of technology issues, including artificial intelligence, quantum computing, telecommunications, rural broadband, unmanned aerial systems, autonomous vehicles, entrepreneurship, the digital economy, cybersecurity, advanced manufacturing, and STEM education
- Architected the United States' first National Strategies on Artificial Intelligence and Quantum Information Science
- Oversaw implementation of bipartisan National AI Initiative and National Quantum Initiative Acts
- Established America's first National AI and National Quantum Research Institutes with \$1bn plus in funding
- Issued the world's first AI regulatory guidance; led international effort to establish the Global Partnership on AI
- Launched the American Broadband Initiative, awarding \$2bn in grants to support rural connectivity
- Co-founded COVID-19 HPC Consortium, the largest public-private computing partnership ever created
- Unanimously confirmed by the U.S. Senate

United States Department of Defense

Under Secretary of Defense for Research and Engineering (Acting)

- Served as the principal advisor to the Secretary of Defense for technology, supervising all defense research and engineering, technology development, transition, prototyping, experimentation, and developmental testing activities
- Led ten-thousand-person organization than included DARPA, the Missile Defense Agency, the Defense Innovation Unit, the Space Development Agency, and the DoD laboratory enterprise
- Managed an over \$60bn budget, the largest R&D budget for a single organization in the world
- Oversaw the DoD's 5G portfolio; launched world's largest full-scale 5G test at military instillations across the U.S.
- Served as third highest ranking official at the Pentagon

Thiel Capital

San Francisco, CA Principal & Chief of Staff, Thiel Capital 2014 - 2017 • Oversaw investment subsidiaries and all initiatives involving Mr. Thiel, investing in and advising tech companies 2010 - 2015

Chief Financial Officer and Chief Compliance Officer, Clarium Capital Management • Managed operations, financial reporting, & regulatory compliance of \$1bn SEC-registered global macro hedge fund

Barclays	New York, NY
Analyst, Investment Banking Division	Summer 2007 & 2008 - 2009
EDUCATION	

Princeton University (A.B. in Politics, magna cum laude, 2008)

Tsinghua University, School of Economics and Management (Visiting Scholar, 2010)

Princeton, NJ Beijing, China

OTHER

Clearance: Top Secret / Sensitive Compartmented Information

Affiliations: Distinguished Fellow at Stanford Institute for Human-Centered AI and at the Council on Competitiveness Other: Recipient of Department of Defense Distinguished Public Service Medal (highest honorary award conferred by DoD), Fortune 40 Under 40, fluent in modern Greek, marathon runner & Boston Marathon qualifier, published in The Wall Street Journal, The Washington Post, WIRED, Bloomberg, Newsweek, & Fortune

Washington, D.C. 2017 - 2021

2021 - 2025

San Francisco & Washington D.C.

Washington, D.C.

2020 - 2021