

Instructions for nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

## **A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS**

1. Name (Include any former names or nicknames used): Christopher A. Bartz
2. Position to which nominated: Rear Admiral, Lower Half
3. Date of Nomination: October 15, 2019
4. Address (List current place of residence and office addresses):

[REDACTED]  
[REDACTED]

5. Date and Place of Birth: February 8, 1967; South Bend, Indiana
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Polly R. Bartz (unemployed)

7. List all college and graduate degrees. Provide year and school attended.

2005 University of Alabama, Masters of Science in Aerospace Engineering  
1990 U.S. Coast Guard Academy, Bachelors of Science in Marine Engineering and Naval Architecture

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since May 23, 1990. Please see my official biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

None.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Coast Guard Academy Alumni Association – member since 1990

Coast Guard Academy Parents Association – member since 2016

*None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age or disability.*

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten

years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Military medals: Legion of Merit (2), Meritorious Service Medal (3), Coast Guard Commendation Medal (3), Coast Guard Achievement Medal (2).

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

None.

18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Chris Bartz – Facebook; the account is active

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am a career Coast Guard officer with 29 years of active duty service. I have benefitted from highly diverse assignments and leadership opportunities that have prepared me to take on greater levels of responsibility. I have a strong operational foundation, having served 16 years in aviation assignments which included worldwide deployments and contingency operations during major national crises such as Hurricane Katrina. I also

possess a strong business acumen demonstrated by successes in leadership assignments involving aeronautical engineering, acquisition, data center operations, and software development. I believe that this deep understanding of operational needs and mission support best practices make me uniquely qualified to deliver business services to Coast Guard operators and in turn the American public.

I'm humbled and honored to have been nominated to serve as a Rear Admiral in the U.S. Coast Guard. As a member of the Service's executive leadership, I will be highly motivated to contribute to the daily success of Coast Guard operations as well as the Commandant's, the Secretary's, and the President's long term strategic goals. After a lengthy and exciting career conducting and supporting worldwide operations, I can think of no greater calling than to continue public service in the executive ranks.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

The Coast Guard's concept of operations was built upon a multi-dimensional framework of authorities, competencies, partnerships and capabilities. These capabilities must have associated statutory or derived requirements, an appropriate resource level, and documented operational outcomes to ensure the Service maintains the highest degree of readiness. If confirmed, I will be bound as a steward of taxpayer dollars to maintain public trust and manage resources efficiently. I will do so by establishing management practices and metrics to ensure capability resources are used to attain the greatest operational effects while achieving Executive and Legislative Branch intent. I will also implement strict controls, diligently work to prevent waste, fraud and abuse and create reliable financial reports to ensure accountability and full auditability. My experience managing the Coast Guard's multi-million dollar data center and its largest software development organization has given me the experience and knowledge to ensure proper management and accounting as a Coast Guard executive.

22. What do you believe to be the top three challenges facing the department/agency, and why?

The Coast Guard must keep pace with a dynamic security environment and continually changing conditions within its maritime operating area. Transnational criminal organizations and other non-state actors operate to erode maritime governance and regional stability, leveraging capability gaps to smuggle drugs, weapons, and people into the United States. The maritime environment is also affected by the increasing importance of the cyber domain where adversaries have the ability to attack us virtually beyond the limits of physical borders. To defeat our adversaries, the Coast Guard needs continued support from the President and Congress to recapitalize its assets and meet these adaptive threats head-on. Fleet modernization needs to extend beyond the acquisition of new cutters and include the Command and Control, Communications,

Computers, Cyber and Intelligence (C5I) enterprise, new rotary winged aircraft, and other key mission enablers.

The Coast Guard needs a workforce that can understand and respond to maritime operations and mission support activities that are drastically increasing in magnitude and complexity. In order to maintain itself as a high performing military organization, the Coast Guard will need to continually hone its methods to hire and retain such a workforce. The Service must improve its ability to attract the best people from diverse populations throughout the country and it will do so in direct competition with other government agencies as well as industry. To retain these highly skilled workers, the Service may be required to adapt current human resource practices that include an increase in pay and benefits as well as an increase in the amount of technical education and training required to meet current and future requirements. Further, Coast Guard leaders must create a positive and nurturing environment that balances the need to achieve mission outcomes with the stressors our employees face in everyday life.

The Coast Guard must learn to adapt advanced technologies across all of its mission areas. The Service needs take its innovative culture to a higher level in order to improve operational capability and reduce costs. Investments in data analytics technologies and techniques will provide a means to analyze data sets, draw conclusions and understand risk, improving decision-making within the organization. The Coast Guard will also need to evaluate the impacts of emerging technologies such as unmanned platforms, block chain encryption, artificial intelligence, and human-machine collaboration. Besides leveraging these emerging technologies for our own internal use, it will be important to have a breadth of understanding since we will inevitably have to deal with these technologies in our regulatory capacity.

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if anything arises in the future I will obtain advice from a Coast Guard ethics official and follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if anything arises in the future I will obtain advice from a Coast Guard ethics official and follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None, but if anything arises I will obtain advice from a Coast Guard ethics official and follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
  - a. Provide the name of agency, association, committee, or group;
  - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
  - c. Describe the citation, disciplinary action, complaint, or personnel action;
  - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

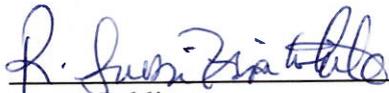
(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. Affidavit**

CHRISTOPHER BARTZ being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

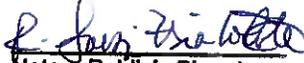
  
\_\_\_\_\_  
Signature of Nominee

Subscribed and sworn before me this 13 day of November, 2019.

  
\_\_\_\_\_  
Notary Public

District of Columbia: SS

Sworn to and subscribed before me on  
the 13 day of November, 2019

  
\_\_\_\_\_  
Notary Public's Signature  
My Commission Expires 01/31/2023



## *Captain Christopher A. Bartz*

**United States Coast Guard**  
**Senior Information Security Officer**



Captain Chris Bartz currently serves as the Senior Information Security Officer for the Coast Guard. He previously served as Executive Assistant to the Deputy Commandant for Mission Support from April 2017 to July 2019.

After graduating from the Coast Guard Academy in 1990, Captain Bartz was assigned as a Deck Watch Officer aboard Coast Guard Cutter DURABLE in St. Petersburg, Florida. In 1992, he was assigned to Naval Flight Training in Pensacola, Florida and received his wings in 1994. His aviation assignments included Air Station North Bend, Oregon; Polar Operations Division, Aviation Training Center, Mobile Alabama; Air Station Detroit, Michigan; and Air Station New Orleans, Louisiana.

From June 2012 to June 2014, Captain Bartz served as Chief, C4 and Sensors Capabilities (CG-761), U.S. Coast Guard Headquarters. There, he provided strategic vision and developed requirements for software and communications platforms for the operational fleet. He then commanded the Coast Guard's Operations Systems Center from June 2014 to April 2017. In this capacity, he was responsible for the Service's data center operations as well as maintaining and developing 52 enterprise software applications used to execute all Coast Guard missions.

During his career, Captain Bartz attained Deck Watch Officer qualifications on three cutter classes; accrued over 3,300 flight hours; and was designated as a Coast Guard Aeronautical Engineer. As a polar operations pilot, he served aboard the cutters POLAR SEA and POLAR STAR on deployments to Antarctica and Alaska. He also participated in the Coast Guard response to Hurricane Katrina, and the search and rescue and oil pollution response following the tragic explosion aboard the Deepwater Horizon Mobile Drilling Unit.

Captain Bartz holds a Bachelor of Science degree in Marine Engineering and Naval Architecture from the Coast Guard Academy, a Master of Science degree in Aerospace Engineering from the University of Alabama, and a Certificate of Enterprise Architecture from the National Defense University. He is also certified as a DHS Level III Program Manager.

Captain Bartz' personal awards include the Legion of Merit (2), the Meritorious Service Medal (3), the Coast Guard Commendation Medal (3), the Coast Guard Achievement Medal (2), and the Commandant's Letter of Commendation (4).

## **REAR ADMIRAL (sel) Christopher A. Bartz**

### **Current Assignment:**

Jul 19 – present COMDT (CG-62), Senior Information Security Officer

### **Assignment History**

May 17 – Jul 19 Executive Assistant for the Deputy Commandant for Mission Support

Jul 14 – Apr 17 Operations System Center Martinsburg WV, Commanding Officer

Jul 12 – Jun 14 COMDT (CG-761), Chief, Office of C4IT Capabilities

Jul 09 – Jun 12 Air Station New Orleans LA, Executive Officer

Jul 07 – Jul 09 COMDT (CG-4), Executive Assistant

Sep 05 – Jun 07 COMDT (CG-761), Program Manager

Jun 02 – Aug 05 Air Station Detroit MI, Engineering Officer, LCDR

Jun 99 – Jul 02 Aviation Training Center Mobile AL, Chief HH-65 Maintenance

Jun 98 – Jun 99 Polar Operations, Deployed Aviation Engineer

Jun 97 – Jun 98 Air Station North Bend OR, Student Engineer

Jun 94 – Jun 97 Air Station North Bend OR, Rotary Aviator

Jun 92 – Jun 94 U. S. Navy Flight School, Student

May 90 – Jun 92 USCGC DURABLE, Deck Watch Officer

### **Educational Summary**

2005 University of Alabama, Masters of Science in Aerospace Engineering

1990 U.S. Coast Guard Academy, Bachelors of Science in Marine Engineering and Naval Architecture

### **Military Personal Awards and Significant National Recognition or Achievement**

2019 Legion of Merit

2017 Meritorious Service Medal

2014 Legion of Merit

2012 Meritorious Service Medal

2009 Meritorious Service Medal

2007 Coast Guard Commendation Medal

2005 Coast Guard Commendation Medal

2005 Coast Guard Achievement Medal

2004 Commandant's Letter of Commendation

2002 Coast Guard Commendation Medal

1998 Commandant's Letter of Commendation

1998 Commandant's Letter of Commendation

1997 Coast Guard Achievement Medal

1997 Commandant's Letter of Commendation

### **Significant Current Professional Credentials or Recent Activities**

2017 DHS Level III Acquisition Certification: Program Manager

2014 National Defense University Enterprise Architecture Certificate

2009 Level I Joint Professional Military Education