

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "No" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Richard Valiant Timme Jr;
Nickname: "Doc"

2. Position to which nominated: Rear Admiral (lower half)

3. Date of Nomination: 30 January 2019

4. Address (List current place of residence and office addresses):



Office: Commandant (CG-0923)
Washington, DC 20593

5. Date and Place of Birth: New York, New York 19FEB69

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Wife: Bettina Wolf Timme; Learning and development consultant for Big Wolf, LLC (Spouse's sole proprietorship). Spouse performs consulting work through her LLC for the National Retail Federation Foundation (NRFF).



7. List all college and graduate degrees. Provide year and school attended.

2013 National War College, Master of Science in National Security Strategy
2006 George Washington University, Master of Public Administration
1991 US Coast Guard Academy, BS in Government

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have been a Coast Guard active duty or reserve officer since graduating from the US Coast Guard Academy in 1991. During a temporary separation (August 2000-September 2001) to

serve as care-giver for a terminally ill parent, I was a drilling Reservist and held a civilian position at the Georgia-Pacific corporation, as a management intern in Lake Placid Florida. I held that position from Feb of 2001 until I was recalled to active duty on Sept 11th, 2001. My Coast Guard assignments are:

Jul 18	– Present	Secretary of Defense Executive Fellow (McKinsey & Co), CAPT
Jul 16	– Jul 18	CG-82, Chief, Office of Budget and Programs, CAPT
Jun 13	– Jul 16	Sector Ohio Valley, Sector Commander, CAPT
Aug 12	– Jun 13	National War College, Duty Under Instruction, CDR
Jun 09	– Jul 12	MSU Pittsburgh, Commanding Officer / COTP, CDR
Jan 07	– Jun 09	CG-821, AC&I Budget Coordinator & Program Reviewer, CDR
Jul 05	– Dec 06	George Washington University, Duty Under Instruction, LCDR
Aug 00	– Jul 05	MSO Tampa, Chief, Planning Department, LCDR
Jul 99	– Aug 00	MSO Portland, ME, Chief, Port Operations Department, LT
Jul 97	– Jul 99	Resident Inspection Office St. Croix, USVI, Supervisor, LT
Jul 95	– Jul 97	MSO New Orleans, Marine Inspector, LT
Jun 93	– Jul 95	El Paso Intelligence Center (EPIC), Watch Officer, LTJG
May 91	– Jun 93	USCGC MELLON, Deck Watch Officer, LTJG

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

Member, Board of Trustees – The Patton Museum Foundation, Ft Knox, KY

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. *Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.*

Various		U.S. Coast Guard Academy Alumni Association
2013	– Present	The Army and Navy Club
2013	– Present	The National War College Alumni Association
2006	– Present	Public Administration National Honor Society
2013	– 2016	The Propeller Club of Evansville, IN
2013	– 2016	The Port of Louisville Propeller Club

2016	– 2017	The Propeller Club, Port of Washington DC
2009	– 2012	The Pittsburgh Maritime Club
2016	– 2018	Association of Government Accountants
Various		American Society For Public Administration
Various		Military Officers Association of America (MOAA)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Honorary Chief, US Coast Guard
 Kentucky Colonel – Commonwealth of Kentucky
 Pi Alpha Alpha Honor Society – Public Administration
 Nominated – USCG Witherspoon Leadership Award

Military Awards include: Legion of Merit, Meritorious Service Medal (5), CG Commendation Medal (2), Army Commendation Medal, CG Achievement Medal (2), CG Commandant's Letter of Commendation and various unit and campaign awards.

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Memorial Day Speech 2011 at Cemetery of the Alleghenies, Bridgeville, PA

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am deeply humbled by the nomination to serve as a Flag officer in the United States Coast Guard. In over 27 years of commissioned service I have had the opportunity to meet challenges across the spectrum of our missions in the field. I have delivered results at the organizational and strategic levels of policy and budget, and have acquired formal training and education to compliment my time in leadership roles both in the field and at staff positions serving with the men and women of the Coast Guard.

My 18 years of operations and leadership in the field span the entirety of my career, from junior to senior ranks. I acquired and used an expertise in marine safety; and as a Prevention professional served in positions that interacted directly with all levels of government, industry and the maritime public, including 6 years in command of major shore units. In my operational career, I have responded to hurricanes, oil spills, and marine casualties acquiring an expertise in Response that is crucial to any future operational command at the Flag level.

My time in the Office of Budget and Programs afforded me extensive experience with our senior leadership, DHS offices, OMB and Congress working policy and resource issues that had repercussions beyond just the Coast Guard. This valuable experience has given me insights into the challenges that will face DHS and the Coast Guard moving forward.

It is my deep desire to continue my career of public service to our Nation and Coast Guard so that I may put to use the learning and experience of nearly three decades. There is no other calling beyond our Coast Guard where I may have the direct impact and benefit to our public.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

The Coast Guard's enduring roles of safety, security and stewardship highlight the foundational commitment the Coast Guard has to our American public, and has been my career guide. My experience overseeing the GAO/IG audit process in the Coast Guard, as well as my work with Congressional budget/policy requests has indelibly impressed upon me the importance of personal attention to detail in ensuring internal controls are in place and verified. I will take that mindset of transparency and personal accountability into any role as a Flag officer.

In formulating the Coast Guard's \$12 billion annual request I was responsible for a process that reached every level of the organization both in the field and at staff offices. That responsibility meant shepherding a coherent strategic approach from the earliest stages of request on through to appropriations in a near 36 month timeline. It included being responsible to not just Coast Guard leadership but to DHS offices as well. Moreover, as a Sector Commander in the field, my area of responsibility included all or part of 10 states with 6 subunits and 6 ships, and 3000 miles of navigable waters. The leadership lessons of

balancing competing priorities of stakeholders across vast distances, and taking care of my own Coast Guard team will serve me well in any future role.

20. What do you believe to be the top three challenges facing the department/agency, and why?

Talent Management. We must continue to attract and retain the skilled and diverse workforce that represents the best our country has to offer. For those who aspire to public service we must be among their top choices, and we must give them every reason to stay and grow. This will be most evident in our IT, intelligence and other highly technical fields where we will compete directly with industry for top talent

Data Analytics: Making optimal decisions (fiscally sound, operationally effective) is the fundamental outcome of effective data analytics. To do so we must 'know what we know', i.e. be able to effectively capture, manage and analyze the vast and diverse inputs that drive our Coast Guard, both operationally, and from a policy level. Antiquated IT systems and resource constraints will challenge us to do this effectively.

Cybersecurity: We, like all organizations today, are reliant on a backbone of electronic systems to manage all aspects of what we do. The pace of change in the cyber domain will challenge our workforce and our resources to stay on top of the threats to those systems that come from agile adversaries.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

Serving as the budget director of the Coast Guard from 2016-2018 involved briefing administration, as well as Congressional staff and members on the Coast Guard budget submission, and associated resource issues. In particular, briefs were regularly delivered to Congressional Appropriations Committee staffs, as well as member staffs.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

In 2015 as a sitting Sector Commander, a complaint by a Reserve Officer alleged gender discrimination by the chain of command including myself, when the member's weekend drill location was moved from an outlying unit, to the main office. The complaint was resolved by the Eighth Coast Guard District / CG Regional Civil Rights / EEO staff to the member's satisfaction. No further action.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. Affidavit

Richard V. Timme Jr. being duly sworn, hereby states that he she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.


Signature of Nominee

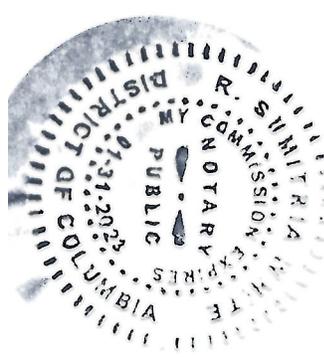
Subscribed and sworn before me this 5 day of February, 2019.


Notary Public

District of Columbia: SS

Sworn to and subscribed before me on
the 5 day of February 2019


Notary Public's Signature
My Commission Expires 01/31/2023





Captain Richard V. Timme

Secretary of Defense Executive Fellow
U.S. Coast Guard



Captain Richard Timme is assigned to the Secretary of Defense Executive Fellows program. In this one-year program, Fellows work with major corporations that are reshaping their operations to achieve innovative and competitive advantages. Fellows are able to glean the best of change management, innovation, and leading edge business practices that can be implemented in their own respective military Services.

Previously he served as the Coast Guard's Budget Director from 2016 to 2018, where he was responsible for the formulation and justification of the Coast Guard's \$12 billion budget. Duties included advising senior leadership on all resource issues, as well as coordination with the Office of Management and Budget, and Congressional staff for the submission of the annual budget and all required Congressional reports, audits and inquiries.



His operational experience includes as Commander of Coast Guard Sector Ohio Valley where he coordinated with federal, state and local government agencies to carry out all Coast Guard missions across 10 states, on over 3000 miles of waterways. He also served as Captain of the Port and Commanding Officer of Marine Safety Unit Pittsburgh, and during the 2010 DEEPWATER HORIZON oil spill response, was the Deputy Incident Commander and Federal On-Scene Coordinator Representative for the state of Alabama.

Additional assignments include as a program reviewer in the Office of Budget and Programs; as Chief of Planning at Marine Safety Office Tampa; Chief of Port Operations at Marine Safety Office Portland, Maine; Supervisor, Resident Inspection Office St. Croix, U.S. Virgin Islands; and as a Marine Inspector at Marine Safety Office New Orleans. He also served as a Deck Watch Officer on the Coast Guard Cutter MELLON, and at the Department of Justice's El Paso Intelligence Center.

Captain Timme holds master degrees from the National War College in National Security Strategy and from the George Washington University in Public Administration. He is a 1991 graduate of the United States Coast Guard Academy where he received a Bachelor of Science in Government. He is a Certified Government Financial Manager (CGFM), and a Coast Guard Type 1 Incident Commander.

A Connecticut native, his awards include the Legion of Merit, Meritorious Service Medal, Coast Guard Commendation Medal, Army Commendation Medal, Coast Guard Achievement Medal, Commandant's Letter of Commendation Ribbon, and various unit awards.

CAPT Richard V. Timme

Current Assignment

Jul 18 – Present Secretary of Defense Executive Fellow, Duty Under Instruction

Assignment History

Jul 16 – Jun 18 CG-82, Chief, Office of Budget and Programs, CAPT
Jun 13 – Jul 16 Sector Ohio Valley, Sector Commander, CAPT
Aug 12 – Jun 13 National War College, Duty Under Instruction, CDR
Jun 09 – Jul 12 MSU Pittsburgh, Commanding Officer / COTP, CDR
Jan 07 – Jun 09 CG-821, AC&I Budget Coordinator & Program Reviewer, CDR
Jul 05 – Dec 06 George Washington University, Duty Under Instruction, LCDR
Aug 00 – Jul 05 MSO Tampa, Chief, Planning Department, LCDR
Jul 99 – Aug 00 MSO Portland, ME, Chief, Port Operations Department, LT
Jul 97 – Jul 99 Resident Inspection Office St. Croix, USVI, Supervisor, LT
Jul 95 – Jul 97 MSO New Orleans, Marine Inspector, LT
Jun 93 – Jul 95 El Paso Intelligence Center (EPIC), Watch Officer, LTJG
May 91 – Jun 93 USCGC MELLON, Deck Watch Officer, LTJG

Educational Summary

2012 – 2013 National War College, Master of Science in National Security Strategy
2005 – 2006 George Washington University, Master of Public Administration
1987 – 1991 USCGA, BS in Government

Military Personal Awards and Significant National Recognition or Achievement

2018 Legion of Merit
2016 Honorary Chief Petty Officer, USCG
2012 Army Commendation Medal
2005 – 2016 Meritorious Service Medal (5)
2004 CG Witherspoon Inspirational Leadership Award Nominee
1999 & 2009 Coast Guard Commendation Medal
1999 & 2000 Coast Guard Achievement Medal
1993 Commandants Letter of Commendation

Significant Current Professional Credentials or Recent Activities

2016 Certified Government Financial Manager – Association of Government Accountants
2016 Type 1 Incident Commander (IC) – USCG
2015-2018 Member, Board of Trustees – The General George Patton Museum Foundation
2010 Deputy Incident Commander & FOSCR (Alabama) - Deepwater Horizon Response
2007 Member, American Society for Public Administration
2007 Member, Pi Alpha Alpha – Honor Society for Public Affairs and Administration