Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

## A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Daniel (Dan) Bernhard Abel

- 2. Position to which nominated: Vice Admiral (O-9); Deputy Commandant for Operations
- 3. Date of Nomination: April 23, 2018
- 4. Address (List current place of residence and office addresses):



Office:

Headquarters, U.S. Southern Command (J3) 9301 NW 33rd Street Doral, Florida 33172-1202

Date and Place of Birth:

May 11, 1961

Dayton, Ohio

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Wife: Courtney Lee Abel – At Home Spouse (Registered Nurse)

Son: Geoffrey Steven Abel - 30 Years of Age

Daughter: Rebecca Lee Abel - 26 Years of Age

7. List all college and graduate degrees. Provide year and school attended.

United States Coast Guard Academy: 1979-1983 BS of Civil Engineering with High Honors

The College of William and Mary: 1992-1994 Master of Business Administration,

National Defense University (Industrial College of the Armed Forces): 2004-2005, Master of Science in National Resource Strategy

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since 1983. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None

- 12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.
  - U.S. Coast Guard Academy Alumni Association (1983-Present)
  - The Military Officers' Association of America (1992-Present)

- American Association of Retired Persons (AARP) (2011-Present)
- Eagle Scout Board of Review Member, Cape and Islands Council, Boy Scouts of America (Cape Cod, MA). (2008-2010)
- Member Holy Cross Lutheran Church, Colorado Springs, CO (2010-2012)
- Member Our Savior Lutheran Church, Topsfield, MA (2012-2014)
- Member Resurrection Lutheran Church, Juneau, AK (2014-2016)
- Member Concordia Lutheran Church, Miami, FL (2016-Present)
- National Eagle Scout Association (2016-Present)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age or disability except that the Boy Scouts of America (BSA) has age and gender eligibility criteria and AARP has age eligibility criteria.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

I have made no political contributions in excess of \$500 and have not held any such political party positions.

- 15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.
  - Admiral Raphael Semmes Award Top Engineering Coast Guard Academy Graduate, 1983
  - Commodore's List with Distinction, Naval Flight Training 1986
  - Valedictorian, William and Mary MBA Class of 1994
  - Distinguished Graduate Industrial College of the Armed Forces (ICAF)
     2005
  - Defense Acquisition University's Excellence in Writing Award for ICAF Research Project 2005
  - Graduate ICAF/Defense Acquisition University Senior Acquisition Corps Curriculum

- Distinguished Citizen of the Year, The Cape and Islands Council, Boy Scouts of America, 2009
- Defense Superior Service Medal, 2012
- Legion of Merit, 2007, 2010, 2014 and 2016
- Meritorious Service Medal (Two), 2002, 2004
- Coast Guard Commendation Medal (Two) 1997, 1999
- Coast Guard Achievement Medal, 1992
- 16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.
- Rescue 21 Resident Project Office Commissioning Ceremony Address (2005)
- Quarterly "Commander's Column" for Otis Notice (Base newspaper serving joint Coast Guard/DoD Base with ~2000 residents) (2007 to 2010)
- Memorial Day Address, Otis Memorial Ceremony (2008, 2009)
- Memorial Day Address, Massachusetts Veteran's Cemetery (2008)
- Memorial Address, Hyannis, MA Korean War Memorial (2008)
- Distinguished Citizen Award Acceptance, Boy Scouts of America Award Ceremony (2009)
- Coast Guard City Recognition, Newburyport, MA (2012)
- Guest Lecturer, Hurricane Sandy Response Lessons Learned Harvard University (2013)
- Annual Eagle Scout Recognition Ceremony, Boston, MA (2014)
- Guest Lecturer, Leadership in National Level Crisis Endicott College (2014)
- Letter to the Editor, Juneau Empire, AK (2014)
- Memorial Day Ceremony, Dutch Harbor, AK (2015)
- Event Opening Speaker, International Arctic Council's "Arctic Zephyr" Mass Rescue Exercise (2015)
- Panelist & International Press Conference, Department of State/Presidential
   "GLACIER" International Arctic Conference (2015)
- Guest Speaker Panelist, University of Washington's "Arctic Encounter Symposium" (2015, 2016)
- Alaska/Arctic Coast Guard Operations Briefing Alaska State Legislature Joint Armed Services Committee (2015)
- Memorial Day Ceremony, Juneau, AK (2016)

- Annual Eagle Scout Recognition Ceremony, Anchorage, AK (2016)
- CG Arctic Operations Article, "Comments and Discussion" Section of Naval Institute Proceedings (2016)
- Coast Guard City Recognition, Ketchikan, AK (2016)
- Public Meetings with Civic Officials/Elders Various AK Towns (Kotzebue, Nome, Barrow) (2014-2016)
- Veteran's Day Celebration, Miami-Dade County, FL (2016)
- Veteran's Event, Freedom Veterans of America, Miami, FL (2016)
- 17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

As part of my official duties, from 2005-2007 As Project Manager, Rescue 21 Project (Major Coast Guard acquisition project to recapitalize and modernize the service's Distress Notification/Command and Control radio network) I provided repeated briefs to Coast Guard Authorization and Appropriations Committee Staffers. I never briefed or testified before Congressional members regarding this Program.

As District Commander in the First (Boston, MA) and Seventeenth District (Juneau, AK) I have made office calls on Senators and Congressman to discuss regional Coast Guard operations.

As Seventeenth District Commander I accompanied Senator Murkowski and the Coast Guard Commandant to observe Coast Guard Arctic operations and offshore exploratory drilling activity. (2015)

In my current role as Director of Operations, U.S. Southern Command I routinely brief Congressional Staffers and Members visiting the Command interested in military operations in the 31 nations of our area of operation.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am honored to be nominated for the Coast Guard's Deputy Commandant for Operations (DCO). Broadly stated, this position is responsible for the development of operational strategy, policy, guidance, and resources that address national priorities. An assignment as DCO would serve as a capstone to 35 years of either delivering or supporting Coast Guard or joint military operations.

As a junior officer, I was assigned to both afloat and aviation billets on the East, West and Gulf Coasts, including command of Air Station's San Francisco and Cape Cod. My aviation experience includes qualification in the smaller, shore and ship-based H65 helicopter and later the HU25 medium range search and reconnaissance aircraft. Between operational tours, I gained a view of strategic-level homeland security/Coast Guard issues. After completing my MBA, I served within the Office of Programs that crafts and defends the Coast Guard budget. This proved a valuable primer for the administration's budget build and the Congressional appropriations and authorization processes. Attendance at the Industrial College of the Armed Forces (ICAF) provided a senior-level, joint services perspective and, given my Senior Acquisition Corps track, an education for the marriage between industry and the military. Assigned as the Project Manager for the Coast Guard's Rescue 21 Project, I recapitalized the nation's maritime distress and Coast Guard's Command and Control radio network and earned my DHS Level II Project Manager Designation.

Later assignments as an admiral provided an even more hemispheric view of homeland safety and security. As Deputy Director of Operations for U.S. Northern Command (NORTHCOM) I played a key role in Homeland Defense/Security, military support of civil authorities and Theater Security Initiatives for North America. This DoD geographic command served proactive roles in secure national-level events (United Nations General Assembly, State of the Union Address, G8/NATO Summit, etc.) as well as no-notice crises (wildland fires, Midwest flooding, hurricanes, Deepwater Horizon oil spill response, etc.).

As the First District Commander, I ensured the maritime safety and security of the nation's waters from New Jersey to Canada. In addition to steady state maritime operations, I served as the Coast Guard's incident commander for response to Hurricane Sandy. My follow-on assignment placed me in a similar role commanding the Coast Guard's Alaskan/Arctic forces of the 17<sup>th</sup> District. This was a critical period with industry's safe return to Arctic drilling concurrent with rapid expansion of maritime traffic at the far latitudes. Such uses introduced tension between native coastal uses, dating back millennia, with high tech energy exploration and large capacity eco-tourism. The safe "opening of the Arctic Ocean" demanded expansive domestic and international stakeholder engagement.

I currently serve as Director of Operations, U.S. Southern Command. This tour serves as a perfect complement to my NORTHCOM time, ensuring first-hand experience across the entire Western Hemisphere. In this role I direct the operations of the 6000-7000 American service members who, on a daily basis, are deployed across the 31 nations of Central America, South America and the Caribbean to stabilize the region through partner nation capacity building, stand a ready guard to deploy for assistance after in natural or man-made disaster and seek to quell the threat of trans-national, transregional threat networks. As with all my flag officer billets, success is dependent on a whole of government approach that weaves other federal agencies and the military. Internationally, this teaming includes the partner

nation's agencies and military of and the U.S. embassy country team. Domestically, it relies heavily on local and state authorities.

Finally, the Deputy Commandant for Operations often serves as the Coast Guard's link to the Pentagon's force allocation and policy making functions. My four years as a flag officer serving at two DoD Geographic Combatant Commands provides a firm foundation for Coast Guard-Department of Defense dialog and decision making.

In summary, I have seen the nation's varied maritime safety, security and stewardship interest from the coca fields of Columbia to the thinning coastal ice off Barrow, Alaska. I am confident such experiences will prove valuable to the DCO role that seeks to optimize Coast Guard capabilities, capacities, authorities and partnerships to achieve national objectives. I am indeed honored to continue to serve in the Coast Guard's flag corps. I pledge to maintain our service's stellar reputation for being "Semper Paratus."

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Earning and maintaining the public's trust is at the core of any public official and central to my responsibilities.

My competence to manage cost, schedule and performance of the taxpayer's dollars was most formally demonstrated when serving Project Manager of "Rescue 21." This multi-decade acquisition project valued at \$926M, demanded clear transparency for responsible, accountable stewardship of the nation's resources. My leadership demanded close management of concurrent regional build outs of radio networks serving over 40 regional Sector Commanders. In addition, appropriations action had to be dovetailed to synchronize with system build-out preparation. Through a number of tracking tools, we ensured best possible adherence to project milestones, funding flows, met lifesaving performance standards as a distress alerting system and remained in strict conformance with federal acquisition regulations.

Subsequent roles as District Commanders, in the Northeast and Alaska provided further opportunities to closely manage funds. In addition to executing funds to meet steady state missions, as the First District Commander I was confronted with Hurricane's Sandy's battering of coastal Coast Guard stations. Quickly relying on temporary facilities to return to lifesaving and homeland security operations I embarked on a plan to evaluate future requirements to restore these aging stations. Teaming with Coast Guard engineers and planners, \$120M of emergency supplemental Congressional relief was requested and invested in storm hardened, upgraded, state of the art multi-missioned Coast Guard stations in the Northeast. While serving in Alaska, I fielded Arctic Shied each summer—the Coast Guard's

push to the North Slope to meet emerging requirements of much more active Arctic waters. This operation, demanding \$3M each year, relied on forward deployed locales and seasonal aviation afloat and ashore support assets. Annually we optimized funds to field necessary lifesaving and environmental protection presence. Faced with hugely expensive and long lead time construction at high latitudes, I teamed with the Alaska National Guard to repurpose their excess hangar and save the Coast Guard \$20M on a near turn-key ready forward operating seasonal presence close to the Bering Straits.

Full transparency is a must when investing both the nation's funds and committing its sons/daughters. Whether operational or in the acquisition regime, metrics and accounting controls (with outside audit validation) are the only avenues to speak with facts and confidence about the organization's status. When circumstances are less than desired, timely and honest reporting is a must. Unquestionably, the sooner I can approach the administration and Congressional leadership, in crisis or steady state operations, with forthright reports of our status and firmly armed with a recovery plan, the better our nation is served.

- 20. What do you believe to be the top three challenges facing the department/agency, and why?
  - CHALLENGE: MAINTAINING PREDICTABLE AND SUFFICIENT FUNDING IN THE MIDST OF RISING PUBLIC EXPECTATIONS

Addressing years of underfunding with rising expectations for Coast Guard capacity/capability will be among the largest challenges for the Department of Homeland Security and our service.

The Coast Guard's fleet and support facilities struggle to remain "Semper Paratus" due to years of downward budget pressure. We currently stand in the midst of a vitally necessary recapitalization of our afloat, aviation and shore assets. As such, the Coast Guard is carefully balancing investment in new hardware with escalating maintenance costs of aging cutters, aircraft, boats and shore facilities. While new assets are vastly more capable then those retired, this boosted performance comes with a higher price tag of enduring support costs. This will further stress future budgets. Additionally, a focus on operational assets (small boats, aircraft, helicopters and cutters) has resulted in deteriorated, yet necessary, shore infrastructure. These ashore facilities fail to meet the needs of our crews and fall short of proper quality of life for our military families. Recent budget increases above past spending levels and investment in our short infrastructure from supplemental funding are greatly appreciated and are beginning to rebuild our service. However, predictable and sufficient funding levels, which can be depended upon during long acquisition timelines, will be key to success.

Regarding public expectations, in the Post-Katrina, Harvey, Matthew environment, the American public has begun to consider federal assets as the *primary* resource for natural and man-made disaster response and consequence management. This message to our public, plus their local/state officials, must be that of reliance on individual and local responsibility for resiliency. Reinforcing this hierarchy of response must be realistic disaster drills that involve the stand-up of unified local, state and federal incident command to "dry run" the true balance of initial local leadership actions with possible federal assistance.

## CHALLENGE: OUR MILITARY RESPONSIBILITIES ARE MISMATCHED WITH OUR MILITARY RESOURCING

The Coast Guard enjoys a strong reputation of excellence in our lifesaving, law enforcement and environmental missions. That said, we are equally proud of our role as the fifth branch of the armed forces. Our dual legal authorities as both law enforcement officers (Title 14) and members of the armed forces (Title 10) are unique in the Federal government. This authority duality is our strength and central to our operational agility and effectiveness, internationally and domestically. Unquestionably, placement amongst other homeland security agencies in DHS is appropriate and should remain unchanged. However, this departmental placement should not prove a hindrance to appropriate funding. In DHS, as "law enforcement," we receive the majority of funding under the federal "discretionary" budget versus an appropriate segment of funding from "defense" spending. As this administration seeks to "rebuild the military," the duty falls on senior Coast Guard, Department of Homeland Defense and Department of Defense leaders to address any resourcing disconnect with the Administration and Congress. Looking to the future, our military role in the Arctic, is vital for national security, but will be expensive. Given our unique safety, security and stewardship authorities and roles, the Coast Guard is the correct choice for military leadership in our Arctic waters. That said, funding for contemporary ice breakers, the associated crews and supporting shore infrastructure must be properly funded for this military function, regardless of the funding source. It must never be forgotten that two departments are responsible for National Security: DOD and DHS.

# • CHALLENGE: APPLYING A HEMISPHERIC, THREAT NETWORK VIEW OF HOMELAND SECURITY

Defending our homeland must be viewed as a hemisphere-wide endeavor. Past strategies that intercept threats along our land or maritime borders are ineffective and overly risky. Effective threat mitigation must address both threat sources and their networked nature. Many of the poorly or ungoverned nations of Central and South America and Caribbean islands provide safe haven and operating space to a variety of threats in our own back yard. Bolstering local partner-nation ability to safeguard their own borders, implement rule of law and levy judicial consequences

free from corruption are key steps to thwarting these threats well clear of our Nation. Additionally, these threats increasingly blur the lines between dealings in narcotics, other criminal activity (human trafficking, money laundering, weapons running, and prostitution) and terrorism. They connect increasing leveraged pathways that lead to our border and are relatively agnostic to commodity or threat. Effective homeland defense actions call for a similar "counter network" approach that targets illegal networks at their funding sources, banking hubs, logistics nodes, communications cells, planning and operations regardless of commodity conveyed. It also demands a tightly woven "friendly network." No single nation or U.S. federal agency can succeed in safeguarding this hemisphere. It will take a healthy network of committed nations, militaries, US and partnernation law enforcement agencies, woven tightly together with unity of effort, to defeat these nefarious networks. Security of our homeland starts hundreds and thousands of miles off our shores and border.

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my completed PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No

 Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None

 Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

My official duties for the Coast Guard and Department of Defense (while to assigned to both U.S. Northern Command and U.S. Southern Command) have, from time to time, required me to make recommendations to officials regarding Coast Guard and DoD policy and related legislation.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

#### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

None

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

DHS OIG received a complaint in 2014 from a former service member about an alleged physical interaction with me when the member was facing a court-martial I ordered. OIG referred the complaint to the Coast Guard. After an investigation, the Vice Commandant found the allegation unsubstantiated in 2015 and closed the matter.

## D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

## F. AFFIDAVIT

DANIEL B. ABEL being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 24 day of April, 2018.

Motary Public

SUZANNE K, ESTES
Notary Public - State of Florida
Commission # FF 985514
My Comm. Expires Aug 18, 2020
Bonded through National Notary Assn.



## Daniel B. Abel

Rear Admiral, United States Coast Guard Director of Operations United States Southern Command

Rear Admiral Abel serves as U.S. Southern Command's Director of Operations directing the U.S. military operations across the Caribbean Basin plus Central and South America. Previously, he was Commander of 17th Coast Guard District, headquartered in Juneau, Alaska, that confronted an evolving Arctic and was responsible for multi-missioned Coast Guard operations in North Pacific Ocean, Arctic Ocean and Bering Sea. Additional flag assignments include Command of the 1st Coast Guard District, headquartered in Boston, Massachusetts, where he directed Coast Guard operations in the Northeast and led responses to Superstorm Sandy and the Boston Marathon Bombings. His first admiral assignment was as Deputy Director of Operations for United States Northern Command.



Other senior-level assignments include Commanding Officer of Air Station Cape Cod and Project Manager for "Rescue 21" that recapitalized the nation's maritime distress alerting and Coast Guard command and control network. He also served as the Coast Guard's Chief of Aviation Safety.

Prior operational tours include command of Air Station San Francisco, Operations Officer for Air Station Houston, Aviation Training Center standardization/instructor pilot for the H-65 Dolphin helicopter and his initial aviation tour as a search and rescue/law enforcement helicopter pilot in Miami. His initial officer tour was as a deck watch officer aboard the Coast Guard Cutter Dauntless homeported in Miami Beach.

His education includes a Bachelor of Science in Civil Engineering, with High Honors, from the United States Coast Guard Academy in 1983. He also served as valedictorian of the College of William and Mary's Master of Business Administration class of 1994. In 2005, he was a distinguished graduate of the Industrial College of the Armed Forces (ICAF) earning a Master of Science in National Resource Strategy. While at ICAF, Rear Admiral Abel completed the Department of Defense Senior Acquisition Corps syllabus, and subsequently earned his Department of Homeland Security Acquisition Program Manager Designation. He has earned qualifications in both the H-65 helicopter and the HU-25 jet.

Rear Admiral Abel's personal awards include the Defense Superior Service Medal, four Legions of Merit, and two Meritorious Service Medals. Admiral Abel is also an Eagle Scout.

Updated: 03/18/18

#### Daniel B. Abel

Rear Admiral, United States Coast Guard

## **Current Assignment**

| Jul 2016 – Present | Director of Operations, U.S. Southern Command |
|--------------------|---|
|--------------------|---|

## **Assignment History**

| Jun 14 – Jun 16 Commander, Seventeenth Coast Guard District (Juneau, Al   | $\mathcal{S}$ |
|---|---------------|
| May 12 – Jun 14 Commander, First Coast Guard District (Boston, MA)        |               |
| Apr 10 – May 12 Deputy Director of Operations, U.S. Northern Command      |               |
| Jul 07 – Apr 10 USCG Air Station Cape Cod, Commanding Officer             |               |
| Jul 05 – Jun 07 Acquisition Directorate (G-A), Rescue 21 Project Manager  |               |
| Aug 04 – Jun 05 Industrial College of the Armed Forces, Duty Under Instru | ction         |
| Jul 02 – Jul 04 Commanding Officer, Air Station San Francisco, CA         |               |
| Jul 99 – Jun 02 G-WKS-1, Chief, Aviation Safety Division                  |               |
| Jul 97 – Jun 99 USCG Air Station Houston, Operations Officer              |               |
| May 94 – Jun 97 CGHQ Budget Prog Reviewer (Engineering/EC&R Account       | nts)          |
| Jun 92 – May 94 College of William & Mary, Duty Under Instruction         | -             |
| Sep 89 – Jun 92 Aviation Training Center Mobile, H65 Instructor Pilot     |               |
| Mar 86 – Aug 89 USCG Air Station Miami, H65 Duty Standing Pilot           |               |
| Aug 84 – Feb 86 Naval Flight Training, Duty Under Instruction             |               |
| Jun 83 – Aug 84 USCGC DAUNTLESS, Deck Watch Officer                       |               |

#### **Educational Summary**

| 2005 Industri | al College of the Armed Forces (ICAF); Distinguished Graduate         |
|---------------|---|
| 1994 College  | of William & Mary, Master of Business Administration; Valedictorian   |
| 1988 Naval P  | ostgraduate School, Aviation Safety Officer's Program; Honor Graduate |
|               | larine Flight Training; Commodore's List With Distinction             |

1983 United States Coast Guard Academy, BS-Civil Engineering; Top Engineer Graduate

## Military Personal Awards and Significant National Recognition or Achievement

Defense Superior Service Medal, Legion of Merit (4), Meritorious Service Medal (2), Commendation Medal (2), Achievement Medal, Defense Acquisition University's Excellence in Writing Award for ICAF Research Project, DOT Secretary's Team Award for Aviation Workforce Restructuring, Beta Gamma Sigma, Honor Society of Collegiate Schools of Business, Cape & Islands Boy Scouts of America Council "Citizen of the Year"

## Significant Current Professional Credentials or Recent Activities

Leadership of DoD Response to islands hit by Hurricanes Matthew, Irene and Maria (2016-2017) Rolled out vigorous "Polar Code" shipping standards to best regulate Arctic Traffic (2014-2016) Advanced Polar Access Route Study leading to international Arctic maritime routing to decrease risk, harmonize native with emerging traffic and safeguard the environment. (2014-2016) Establish Arctic Waterways Safety Committee to unify opening Arctic Ocean users (2014-2016) Led Arctic Council's high latitude mass rescue exercise - "Arctic Zephyr" (2015) Coast Guard Head of Delegation, North Atlantic Coast Guard Forum (2013 & 2014) USCG Incident Commander, Hurricane Sandy Response to New York and New Jersey (2012)

Updated: 03/18/18

Retooled CONUS DoD Chemical/Biological/Nuclear response force (6,700 troops) (2012)
Deployed CONUS-based DoD nuclear assistance capable forces to Japan/Evacuated 5,265 US military dependents after earthquake/tsunami (2011)
Managed DoD assist to Hurricanes Irene, Alex, Bonnie and Earl (2010-2012)
Coordinated DoD Support to Deepwater Horizon Response (2010)
Level II Project Manager, DHS Acquisition Certification
Completed ICAF/Defense Acquisition University Senior Acquisition Corps Curriculum
Senior Member, Commandant's Aviation Mishap Analysis Board

HH65 Instructor Pilot & HU25 Aircraft Commander