## Senate Commerce Committee Coast Guard Nominee Questionnaire 117th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

# A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Jeffrey Keith Randall

2. Position to which nominated: Rear Admiral (lower half) (O-7)

3. Date of Nomination: 26 August 2022

4. Address (List current place of residence and office addresses):

I am currently transitioning between assignments. In September 2022, I will be assigned to a fellowship opportunity at the Council on Foreign Relations, in New York city. My office address will be:

58 East 68th Street, New York, New York 10065

I will not have a New York residence address until after September 1st.

5. Date and Place of Birth: Clark Air Force Base, Manila, Philippines, 19 February 1971. I was born to U.S. citizens stationed abroad.

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Linda Louise (Pennington) Randall – currently not employed. Child: Alyssa Teal Randall (22 yrs old) 7. List all college and graduate degrees. Provide year and school attended.

Bachelor of Science in Civil Engineering, U.S. Coast Guard Academy May 1993 Master of Marine Affairs, University of Washington Seattle June 2004 Graduate Certificate in Conservation Biology, University of Washington Seattle June 2004 Certificate of Public Leadership, Brookings Institution, Washington DC, May 2011

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since May of 1993. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

President, Surface Navy Association, Charleston Chapter – March 2021 – May 2022 Manager/Coach - Xplosion Softball organization, Centreville, VA – Dec 2010 – July 2013

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership

organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Coast Guard Academy Alumi Association May 1993-present Surface Navy Association – 2015-present

Neither of these organizations restricted membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, nonelected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

None.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Legion Of Merit (3 awards – 2018, 2020, 2022) Coast Guard Meritorious Service Medal (4 awards - 2007, 2010, 2013, 2015) Coast Guard Commendation Medal (3 awards – 2000, 2002, 2007) Coast Guard Achievement Medal Coast Guard Letter of Commendation (2 awards – 1995, 1998) Surface Navy Association 2019 Recipient of the Hopley Yeaton Best Major Cutter award Coast Guard CG-210 Large Intelligence Unit Afloat award 2019 Coast Guard Naval Engineering Lucas Plaque winner 2019 2019 Coast Guard Command and Operations School Newcombe Award recipient 2018 2<sup>nd</sup> Place - CG-wide Eight Bells Essay Contest sponsored by the Office of Cutter Forces 2010 CGC Walnut CG Environmental Sustainability Award winner 2007 Co-recipient-CDR Joel Magnussen Innovation Award for Management 2004 University of Washington School of Marine Affairs McKernan Prize Winner 2002 Finalist-CAPT David H. Jarvis Inspirational Leadership Award 2001 & 2000 CGC Staten Island Mid-Atlantic Fish Mgmt Council Achievement Award winner

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Primary Author - "A Marriage of Two Communities" - Coast Guard *Proceedings* magazine Spring 2020

https://www.dco.uscg.mil/Portals/9/DCO%20Documents/Proceedings%20Magazin e/Archive/2020/Vol77\_No1\_Spring2020.pdf

Primary Author - "Ready for the Future – The U.S. Coast Guard and 21<sup>st</sup> Century Law Enforcement on the High Seas" - Brookings Institution website July 2011 <u>https://www.brookings.edu/research/ready-for-the-future-the-u-s-coast-guard-and-21st-century-law-enforcement-on-the-high-seas/</u>

Contributing Author - "Fishery Dynamics and Fisherman's Behavior – Canadian Journal of Fisheries and Aquatic Sciences July 2006 <u>https://cdnsciencepub.com/doi/abs/10.1139/f06-072</u>

Primary Author - "Compliance in Federal Fisheries – An Enforcement Agency Perspective" - Ocean Development and International Law – October 2004 <u>https://www.tandfonline.com/action/doSearchtarget=default&ContribAuthorRaw=</u> <u>Randall,%20Jeffrey%20K</u>

Primary Author "U.S. Maritime Boundary Line Operations" University of Washington REECAS newsletter – No online link available.

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Facebook – jeffrey keith randall Linkedin – Jeffrey Randall

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

20. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am extremely honored to have this opportunity to continue serving our nation and our Coast Guard. While no singular qualification, assignment, training or educational opportunity was the catalyst for this nomination to flag rank, I do believe my professional experiences, demonstrated leadership, and ability to deliver results, both in crisis and steady state operations, throughout my career has well-prepared me to take this next step. I am ready to support our Commandant's and larger DHS and national priorities to continue advancing U.S. maritime interests, countering threats in the maritime domain, and promoting the safe and secure flow of maritime commerce that is central to sustaining our national economy and standing as a global power. With a focus on taking initiative, being a good team player, and delivering superb mission execution across nearly 30 years of active duty service, I have consistently tried to challenge myself, and those who I have had the privilege to lead, to be better each day than we were the day before. As a leader, I consistently put service above self and the needs of others before my own, while working to increase my own leadership abilities and self-awareness to maximize my effectiveness. I have and will continue to put significant energy into preparing the next generation of Coast Guard leaders, both officers and enlisted, to achieve their professional goals and excel in their respective careers. I choose to not measure success by what I have achieved, but rather through the achievements of those who I have had the privilege to lead while consistently holding all to the highest standards of personal and professional conduct.

Across my multiple duty assignments on both coasts, I gained invaluable leadership experience directly supporting and leading various aspects of the responses to 9/11, Deepwater Horizon, and Hurricanes KATRINA, HARVEY, IRMA, MARIA, and DORIAN, Haiti earthquakes, COVID-19, and more recently, maritime migration surges in the Caribbean. Each of these responses taught me about the value and importance of interagency and international cooperation and how to work effectively at all levels to achieve the desired end state in support of our national priorities. I used each of these events to improve myself as a Coast Guard leader and officer, build my professional network, and increase the Coast Guard's capability and ability to respond to these types of crises in the future.

Throughout my career, particularly in my last three assignments, I have organized, led, and participated in multiple Congressional and staff delegation visits to various units and Coast Guard regions. If confirmed, I will continue seeking these opportunities to highlight the work of our Coast Guard and to members of Congress and provide authorizers opportunities to identify areas where they may be able to help sharpen our execution of Coast Guard missions in service to our Nation. I will also look for opportunities to showcase our newest assets and capabilities to our appropriators along with our continued recapitalization needs to ensure we are postured to be responsive to the needs of our Nation both domestically and abroad.

If confirmed, I will continue leading with a focus on delivering results that support Coast Guard and national priorities, working collaboratively with international and interagency partners, while continually looking ahead to ensure the Coast Guard is well-postured to meet future needs of our Nation. I will be a leader of character who holds myself and others accountable to the high standards of personal and professional conduct expected from our Nation's armed forces. I will continue supporting current efforts to shape our workforce and promote a culture that enables all to achieve their full potential. I am honored to be considered for flag rank, and if confirmed, grateful for the opportunity to continue to serve the Coast Guard and our Nation.

21. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

If confirmed, my responsibility will be to remain an exceptional steward of the Coast Guard's human capital, financial, and physical resources. In both my roles as the Chief of Operational Forces for the Atlantic region and most recently, as the Chief of Staff for the Seventh Coast Guard District in Miami, I managed multimillion dollar budgets, a significant number of capital assets, several thousand Coast Guard personnel, and oversaw operations across expansive geographic areas. These recent experiences, along with many others throughout my career, will help guide my decision-making, enable me to make the tough resource and trade-off decisions, when necessary, and be the best steward possible of the resources appropriated by Congress.

The Coast Guard has made tremendous progress in recent years to secure clean financial audits, improve internal controls, and be a better steward our resources. This will continue to improve with the modernization of our financial systems and internal control processes. While some budget growth will be needed to support and sustain service readiness, I do recognize that continuous improvement is always needed to promote efficiency, reduce waste where possible, and deliver the maximum return on each dollar invested in the U.S. Coast Guard.

22. What do you believe to be the top three challenges facing the Coast Guard, and why?

Sustaining Coast Guard Readiness – Readiness requires that the Coast Guard has the personnel, materiel, and governance necessary to meet the needs of our Nation. First, we must have the personnel and right force construct to be ready to meet Coast Guard mission demands. Our Commandant's current initiatives to better shape and manage our workforce is taking us in the right direction to ensure our readiness on the personnel front as we compete for talent and face increasing recruitment and retention challenges. Our ability to recruit, retain, and train talented individuals, both officer and enlisted, will be at the core of our readiness to serve the nation. Ensuring our materiel readiness means that we have the right assets, with the right capabilities and sustainment funding to ensure these assets are ready to meet the needs of our nation, both domestically and abroad. This requires the necessary levels of fiscal support and incremental budget growth to support these assets through the designated service life and continued support for our shipbuilding, infrastructure, aviation, and cyber needs. Finally, we need to have the right authorities and governance to meet emerging mission demands in areas such as cyberspace and illegal high seas fishing (IUU-F) to ensure we can protect our national interests and sustain good governance in the maritime domain. Collectively, ensuring Coast Guard readiness across these three fronts ensure we remain ready to meet global Coast Guard mission demand.

Meeting Global Coast Guard Mission Demand – Both domestically and abroad, there is increasing demand for U.S. Coast Guard capabilities. Emerging Arctic, cyber, and IUU-F mission demands, supporting offshore wind energy and the space industries, all while continuing efforts to facilitate safe and secure maritime commerce in our nation's ports and waterways and counter threats in the maritime domain will challenge our abilities. While our new assets provide us with the capability to be a more global Coast Guard, we must prioritize these demands and apply our limited resources where we can deliver maximum results while being careful to not overextend ourselves and risk not being responsive to national contingencies or domestic mission demands. We will not be able to do this alone but rather much continue to seek opportunities to leverage interagency and international partnerships to improve service delivery and maximize the effects delivered to advance our U.S. maritime interests around the globe.

Advancing U.S. Maritime Interests – We are in an era of increasing strategic competition. The U.S. Coast Guard is a unique instrument of national seapower, often operating below that level of armed conflict, that can be used to be advance our national interests. We face a multi-polar world where sustaining and expanding U.S. influence is a strategic imperative to counter other malign actors seeking to expand their influence in many regions around the globe. With our expansive authorities and capabilities, many other counties seek to model their own coast guards and navies after the U.S. Coast Guard. We must continue to find

ways to leverage the Coast Guard to advance U.S. national interests and strategic priorities and retain our global standing as an international partner of choice.

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# **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest or potential conflict of interest arises I will seek the advice of a Coast Guard ethics attorney and will follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest or potential conflict of interest arises I will seek the advice of a Coast Guard ethics attorney and will follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest or potential conflict of interest arises I will seek the advice of a Coast Guard ethics attorney and will follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any

legislation or affecting the administration and execution of law or public policy.

None.

# C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
  - a. Provide the name of agency, association, committee, or group;
  - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
  - c. Describe the citation, disciplinary action, complaint, or personnel action;
  - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

Yes. My wife and I were a subject of a complaint filed in Honolulu civil court regarding a billing dispute with my daughter's private school (Island Pacific Academy) after we moved her to a different school on the island. The matter was taken to arbitration and successfully resolved.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and pregnancy), age,

disability, genetic information, maritial status, parental status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity (EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

Yes, a crew member in my command (USCGC WALNUT) filed a 2008 military equal opportunity (MEO) complaint alleging that his failure to qualify as an underway deck watch officer was based on race. This complaint was investigated and not substantiated. A similar unsuccessful complaint was investigated by my superior commander earlier in 2008. The member was involuntarily separated in 2015, six years after he departed WALNUT, after a three-board process found that his performance and conduct on WALNUT and at three subsequent units was unacceptable. In 2021, the member sued the Coast Guard alleging his discharge was unjust. I am not a party. That case pends.

6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

# D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, in accordance with law and DHS policy and guidance.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight

jurisdiction, even absent a formal Committee request?

Yes, in accordance with law and DHS policy and guidance.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

TETTIEY KETT Manual Decing duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this  $\frac{30M}{10}$  day of  $\frac{A_{v_1v_2}t_1}{100}$ , 20  $\frac{22}{22}$ .

Notary Public





## Captain Jeffrey K. Randall

United States Coast Guard





CAPT Randall serves as the Coast Guard's military fellow at the Council of Foreign Relations. He most recently served as the Chief of Staff for the Seventh Coast Guard District (D7), headquartered in Miami, Florida.

In this capacity, he oversaw all Coast Guard operations in the Southeast United States and the Caribbean basin and lead a combined workforce of over 9,000 members. The Seventh District encompasses 1.8 million square miles and engages with 34 foreign nations and territories as well as U.S. Southern Command.

Before serving as the D7 Chief of Staff, Captain Randall commanded United States Coast Guard Cutter (USCGC) *James*, one of the Coast Guard's newest and most capable warships from June 2018 to July 2020. Captain Randall is a career afloat officer who also commanded Coast Guard Cutters *Diligence, Walnut* and *Staten Island* and served aboard cutters *Rush* and *Ironwood*.

When serving ashore, Captain Randall served in policy, strategy, and programmatic oversight roles. He served as Chief, Atlantic Area Operational Forces from July 2015 through May 2018 where he oversaw the scheduling, employment, maintenance, and administration of all Coast Guard ships, Deployable Specialized Forces, boat forces, and aviation forces supporting Coast Guard missions from the Rocky Mountains to the Arabian Gulf, spanning five Coast Guard Districts and 40 states. Captain Randall's other ashore assignments include tours as the Coast Guard Liaison Officer to the Chief of Naval Operations, Operations, Plans and Strategy staff, Assistant Branch Chief for Enforcement in the Coast Guard's Fifth District, and the Executive Officer of the North Pacific Regional Fisheries Training Center.

His personal decorations include three Legion of Merits, four Coast Guard Meritorious Service Medals, three Coast Guard Commendation Medals, one Coast Guard Achievement Medal, two Commandant Letters of Commendation, and the Military Outstanding Volunteer Service Medal along with numerous unit and service level awards.

Captain Randall is from Abilene, Texas, and he received his commission from the U.S. Coast Guard Academy in 1993, earning a Bachelor of Science degree in Civil Engineering. He holds a Master of Marine Affairs degree from the University of Washington and also served as the Coast Guard's Federal Executive Fellow to the Brookings Institution.

Captain Randall has been married to his wife Linda since 1995 and has one daughter, Alyssa.

### **CAPT Jeffrey K. Randall**

### **Current Assignment**

Jul 22 - present

Military Fellow, Council on Foreign Relations

### **Assignment History**

Chief of Staff, Seventh Coast Guard District, Miami, FL
Commanding Officer, USCGC James, Charleston, SC
Chief, Operational Forces CG Atlantic Area, Portsmouth, VA
Commanding Officer, USCGC Diligence, Wilmington, NC
CG Liaison to OPNAV Strategy and Policy Division, Pentagon
Federal Executive Fellow, Brookings Institution, Washington, DC
Commanding Officer, USCGC Walnut, Honolulu, HI
Asst Enforcement Chief, Fifth District, Portsmouth, VA
Graduate Student, Univ of Washington, Seattle, WA
Commanding Officer, USCGC Staten Island, Atlantic Beach, NC
Executive Officer, N. Pacific Regional Fisheries Tracen, Kodiak, AK
Weapons Officer, USCGC Rush, Honolulu, HI
Operations Officer, USCGC Ironwood, Kodiak, AK

### **Educational Summary**

- 2010 Brookings Institution Certificate in Public Leadership
- 2004 University of Washington, Masters in Marine Affairs
- 2004 University of Washington, Graduate Certificate in Conservation Biology
- 1993 Coast Guard Academy, Bachelor of Science in Civil Engineering

#### Military Personal Awards and Significant National Recognition or Achievement

- 2022 Legion of Merit
- 2020 Legion of Merit
- 2020 Coast Guard Lucas Plaque Award Winner Naval Engineering USCGC James
- 2020 Coast Guard Large Unit Intel Award USCGC James
- 2019 Surface Navy Association's Hopley Yeaton Award Large Cutter USCGC James
- 2018 Legion of Merit
- 2018 USCG Command and Operations School Newcombe Award Winner
- 2015 Meritorious Service Medal
- 2013 Meritorious Service Medal
- 2010 Meritorious Service Medal
- 2010 CG Environmental Sustainability Award Winner USCGC Walnut
- 2007 Meritorious Service Medal
- 2007 Coast Guard Commendation Medal
- 2007 Coast Guard CDR Joel Magnussen Innovation Award for Management
- 2004 University of Washington School of Marine Affairs McKernan Prize Winner
- 2002 Coast Guard Commendation Medal
- 2002 Finalist CAPT David H. Jarvis Inspirational Leadership Award
- 2001 Coast Guard Permanent Cutterman Insignia
- 2001 & 2000 Mid-Atlantic Fisheries Mgmt Council Achievement Award CGC Staten Island
- 2000 Coast Guard Commendation Medal
- 1997 Coast Guard Achievement Medal

## Significant Current Professional Credentials or Recent Activities

Aug 21Oversaw and directed efforts for Haiti earthquake responseJul 20 - Sep 21Oversaw M/V Golden Ray salvage operationAug 19Offshore Task Force Commander for Hurricane DORIAN response in TheBahamasBahamas