Senate Commerce Committee Coast Guard Nominee Questionnaire 118th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation (the "Committee") asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper. Electronically submit your completed questionnaire to the Committee in PDF format and ensure that sections A through E of the completed questionnaire are in a text searchable and that any hyperlinks can be clicked. Section F may be scanned for electronic submission and need not be searchable.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Nathan A. Moore (Nate)

2. Position to which nominated:

Coast Guard Vice Admiral (O-9) and Atlantic Area Commander, a position of importance and responsibility under 14 U.S.C. § 305.

3. Date of Nomination:

08 January 2024

4. Address (List current place of residence and office addresses):

Residence:

Office: 431 Crawford Street Portsmouth, VA 23704

5. Date and Place of Birth:

09/05/1970, Akron, OH

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Susan J. Moore is employed as a math and computer science teacher at Norfolk Collegiate school in Norfolk, VA.

Son: Nathan T. Moore (23) is an ensign in the U.S. Navy with orders to the USS DELAWARE homeported in Groton, CT.

Daughter: Zoe B. Moore (21) is a college senior at Georgetown University in Washington, DC.

7. List all college and graduate schools attended, whether or not you were granted a degree by the institution. Provide the name of the institution, the dates attended, the degree received, and the date of the degree.

U.S. Coast Guard Academy (1992) - Bachelor of Science in Naval Architecture and Marine Engineering

University of Michigan (1998) – Masters of Science in Naval Architecture and Marine Engineering

University of Michigan (1998) – Masters of Business Administration

National Defense University (2013) – Eisenhower School, Masters of Science in National Resource Strategy

8. List all post-undergraduate employment, including the job title, name of employer, and inclusive dates of employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

All of my post-graduate employment is with the United States Coast Guard. A list of assignments I have held is included in my official Coast Guard biography and attached chronology of work history..

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above after 18 years of age.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution.

None.

12. Please list each membership you have had after 18 years of age or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

President, Bay Area Surface Navy Association (2016-2019) Member, U.S. Coast Guard Academy Alumni Association (1992-present) Member, Eisenhower School Alumni Association (2013-present) Member, U.S. Naval Institute (1996-present) Member, American Society of Naval Engineers (1998-present) Member, National Ship Structures Committee (2013-2015) Member, National Naval Officers Association (2018-present)

13. Have you ever been a candidate for and/or held a public office (elected, nonelected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

None.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Legion of Merit Medal (4)

Meritorious Service Medal (5)

USCG Commendation Medal (2)

- 2017 Intelligence Meritorious Unit Commendation DNI
- 2015 D11 "Top Seizure" Award SPSS seizure of 16,876lbs cocaine

2015 - Coast Guard Foundation, Salute to the Coast Guard Unit Award

1997 - Benford/Zimmie Scholar – University of Michigan

17. List each book, article, column, letter to the editor, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. If a link is not available, provide a digital copy of the publication when available.

"The U.S. Government's Role in the Ship Repair"- Naval Engineers Journal, Volume 127, Number 4 (01Dec15) (I do not have a digital copy)

"The Coast Guard Should Get a Break at Navy Shipyards" – U.S, Naval Institute Proceedings Magazine Vol 135/4/1,274 (Apr 09) (I do not have a digital copy) 18. List all speeches, panel discussions, and presentations (e.g., PowerPoint) that you have given on topics relevant to the position for which you have been nominated. Include a link to each publication when possible. If a link is not available, provide a digital copy of the speech or presentation when available.

"Countering Subversive Strategic Competition through Regulatory Enforcement" Panel discussion at National Defense University, Washington DC (18SEP23) (I do not have a digital copy)

"After Typhoon Merbok: An Arctic Anomaly?" – Panel Discussion at Arctic Circle Assembly 2023, Reyjavik, Iceland (21OCT23) (I do not have a digital copy)

"U.S. Coast Guard, Canadian Coast Guard multi mission collaborated event held in Canada" online article of the Alaska Native News <u>U.S. Coast Guard, Canadian Coast Guard multi-mission collaborated event</u> <u>held in Canada - Alaska Native News (alaska-native-news.com)</u>

"The Importance of Chiefs" – Video recording made for the Coast Guard Academy Maritime Center of Excellence (23JUN22) Importance Of Chiefs (2:58) - RADM Nathan Moore - YouTube

Arctic Encounter Symposium – Panel discussion on security in the Arctic post Ukraine invasion. Anchorage, AK (03APR23) <u>Despite Russia's post-invasion isolation, some narrow openings for Arctic</u> <u>cooperation remain - Alaska Beacon</u>

AFCEA West – Panelist discussion on acquiring and upgrading systems and platforms for national defense. San Diego CA, (03MAR20) <u>Panel: Are We Able to Procure, Upgrade, and Adapt to Meet the Demands -</u> <u>YouTube</u>

"Campaign to Connect" Suicide Prevention campaign kickoff video filmed in Juneau, AK (11May22) DVIDS - Video - Campaign to Connect 2022 (dvidshub.net)

"Month of the Military Family" Campaign Video filmed in Juneau, AK (14NOV22) <u>DVIDS - Video - Military Family Appreciation Month (dvidshub.net)</u> "Suicide Prevention" Video to promote suicide prevention filmed in Juneau, AK (08SEP22) DVIDS - Video - Suicide Prevention (dvidshub.net)

"Always Ready, Always Present, U.S. Coast Guard in the Arctic" Online interview for Strait Science Seminar Series by University of Alaska Fairbanks (20Oct22)

<u>Always Ready, Always Present: US Coast Guard in the Arctic - Strait Science</u> <u>Oct 20, 2022 - YouTube</u>

Kodiak Chamber of Commerce – Coast Guard Appreciation Dinner Address, Kodiak, AK (01FEB23) (I do not have a digital copy)

District Seventeen Women's Leadership Symposium – Opening Address, Juneau AK (08MAR23) (I do not have a digital copy) Coast Guard Foundation Dinner – Opening Remarks, Anchorage AK (23Aug22) (I do not have a digital copy)

Memorandum of Agreement Renewal Ceremony – Opening Remarks, University of Alaska Southeast, Juneau AK (25JUL22) (I do not have a digital copy)

District Seventeen Women's Leadership Symposium – Opening Address, Juneau AK (25MAR22) (I do not have a digital copy)

Speech to commemorate Memorial Day - Mountain View Cemetery, Oakland CA (29May16) (I do not have a digital copy)

19. List all public statements you have made during the past ten years, including statements in news articles and radio and television appearances, which are on topics relevant to the position for which you have been nominated, including dates. Include a link to each statement when possible. If a link is not available, provide a digital copy of the statement when available.

"The Coast Guard's Top Man in the North", Alaska Magazine (25MAY22) Alaska Magazine | The Coast Guard's Top Man in the North

"America's Military Trails Russia and China in Race for the Melting Arctic" Wall Street Journal (30JUL23) https://www.wsj.com/articles/americas-military-falls-behind-russia-chinarace-for-melting-arctic-2a71dfac

"U.S. Coast Guard in Review", Naval Institute Proceedings Magazine Vol. 149/3/1,441 (Mar 23)

U.S. Coast Guard in Review | Proceedings - March 2023 Vol. 149/3/1,441 (usni.org)

"Cold War", Full Measure Television Program Interview (12DEC21) Cold War | Full Measure

20. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the full name of an "alias" or "handle," including the complete URL and username with hyperlinks, you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

LinkedIn account (active) - Nathan A. Moore

<u>https://www.linkedin.com/in/nathan-moore-pe-</u> 27349567?utm_source=share&utm_campaign=share_via&utm_content=profi le&utm_medium=ios_app

Twitter account (active) - @Nathan_A_Moore x.com/nathan a moore?s=11&t=8XAOWTBWJoqIndU663-SpQ

21. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

I testified orally before the House Subcommittee on Coast Guard and Maritime Transportation on: "Coast Guard and Port Infrastructure: Built to Last?" (25SEP19)

22. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I believe that I am affirmatively qualified for appointment to a position of importance and responsibility in the grade of Vice Admiral (O-9) per 14 U.S.C. Section 305 based on a strong record of leading women and men to conduct operations across all Coast Guard mission sets. I have also demonstrated successful senior leadership skills and the ability to work with joint and interagency partners from federal, tribal, state, and local governments, the private sector, and with allies and other foreign governments. My most recent experience commanding the Coast Guard's forces in Alaska showcased my ability to oversee complex operations under dynamic and challenging environmental conditions, including evolving threats and fiscal constraints.

I wish to serve in the position of importance and responsibility in the grade of Vice Admiral (O-9) per 14 U.S.C Section 305 because I have a strong commitment to our people and the missions of the Coast Guard. I believe that I can continue to make lasting contributions to the betterment of the organization at the highest levels. I am honored to have been selected for nomination and am dedicated to continuing to serve at the executive level.

23. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

Proper controls and management of business processes are critical to the Coast Guard maintaining the public trust that we have earned over decades of faithful service. In one of my previous assignments as chief of naval engineering of the Coast Guard, I was responsible for all ship maintenance and repair including our only organic shipyard, the Coast Guard Yard in Baltimore, Maryland. This extensive enterprise of over 2,500 workers completed hundreds of millions of dollars of maintenance and repair contracts and included inventory control points with over \$150 million in inventory. My experience running that large organization, including the contracting and inventorying functions, provided me invaluable experience for positions of even greater responsibility. As an engineer and process driven thinker, I clearly understand that proper management and accounting controls are essential to efficient operations and will work to ensure they are maintained in the Coast Guard. 24. What do you believe to be the top three challenges facing the Coast Guard, and why?

The need to attract and retain young women and men to serve in the Coast Guard is clearly the most pressing challenge facing us today. The situation has become severe enough that we are experiencing gaps in our junior workforce that have even impacted our ability to perform our daily missions. To mitigate the effects of these personnel shortages, we have increased our recruiting and retention efforts and reorganized some units to reallocate personnel to focus on the highest mission priorities. Taking these actions and overhauling our human resources system is a multi-year challenge that requires innovative thinking and decisive action.

Eliminating sexual assault and sexual harassment is a challenge that is being addressed head on. We must continue to place the highest priority on responding to incidents, holding predators accountable, and caring for victims. Everyone is entitled to a workplace free from assault, harassment, hazing, bullying, hate, retaliation, and retribution. In addition, suicide prevention and mental health care are other crucial issues that require our full attention. Life in the Coast Guard is inherently demanding and the harsh remote environment that defines much of the maritime environment has exacerbated mental health concerns that can lead to suicide.

The third top challenge facing the Coast Guard today is preparing for the future with the resources, assets, authorities that we need. Protecting the marine transportation system, countering transnational organized crime, exerting sovereignty and ensuring national defense during the coming years will become even more challenging. This has been defined as the decisive decade by some where the world order may be challenged up to even major conflict. How does the Coast Guard prepare to perform our statutory missions in the future? Are we adequately prepared with the ongoing recapitalization of our fleet of ships and aircraft to do what will be required of us? It will take increased emphasis on strengthening maritime governance internationally, improved interoperability with allies and partners, and large investments in capital assets and shore infrastructure to ensure that the Coast Guard is ready to meet the challenges of the future.

B. POTENTIAL CONFLICTS OF INTEREST

 Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts, such as a 401(k) or pension plan.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association, or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but should one arise, I will obtain advice from a Coast Guard ethics official and will follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but should one arise, I will obtain advice from a Coast Guard ethics official and will follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None, but should one arise, I will obtain advice from a Coast Guard ethics official and will follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or

indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group?

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and pregnancy), age, disability, genetic information, marital status, parental status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity (EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

No.

6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

I am aware of a complaint that alleged that an officer subordinate to me was the subject of retaliation by other officers subordinate to me and by me. I am aware that the Commander, Pacific Area, initiated an investigation and I was informed that he took final action in the investigation that did not substantiate misconduct by me.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, in accordance with law and DHS policy and guidance.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request?

Yes, in accordance with law and DHS policy and guidance.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

NATHAN A. MOORE being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this $[\underline{O}^{\text{TH}} \text{ day of } \underline{20_{24}}$.

Notary Public





Rear Admiral Nathan A. Moore Deputy Commander, Atlantic Area U.S. Coast Guard



Rear Admiral Moore assumed the duties of Deputy Commander, Atlantic Area in July 2023. As Deputy Commander, he is responsible for all U.S. Coast Guard missions within a geographic region spanning from the Rocky Mountains to the Arabian Gulf and encompassing five Coast Guard Districts and 40 states.

RADM Moore's previous flag assignments include serving as Commander, 17th Coast Guard District where he was responsible for Coast Guard operations throughout Alaska, the North Pacific Ocean, the Arctic Ocean, and the Bering Sea. He served as the Assistant Commandant for Engineering and Logistics (CG-4), responsible for all naval, aeronautical, civil, and industrial engineering and logistics for the Service. Additionally, RADM Moore served as the Deputy Commander Pacific Area where he was responsible for all Coast Guard mission from the Rocky Mountains west to the eastern coast of Africa.



As a cutterman, Rear Admiral Moore served as a Student Engineer aboard USCGC Polar Star (WAGB 10), Engineer Officer aboard USCGC Harriet Lane (WMEC 903), Executive Officer of USCGC Venturous (WMEC 625), Commanding Officer aboard USCGC Resolute (WMEC 620), and most recently Commanding Officer of USCGC Stratton (WMSL 752).

Previous staff assignments include: Chief of Staff of U.S. Coast Guard Pacific Area, Chief of the Office of Naval Engineering at Coast Guard Headquarters (CG-45), Chief of the Officer Assignment Branch (OPM-2) at Personnel Services Center, Commanding Officer of Naval Engineering Support Unit Honolulu, and ship stability instructor at the Navy Surface Warfare Officer School in Newport, Rhode Island.

Rear Admiral Moore graduated from the United States Coast Guard Academy with a Bachelor of Science degree in Naval Architecture and Marine Engineering in 1992. He holds both a Masters of Naval Architecture and Marine Engineering and a Masters of Business Administration from the University of Michigan and Masters of National Resource Strategy from the Eisenhower School. His military decorations include four Legions of Merit, five Meritorious Service Medals, two Coast Guard Commendation Medals, and the Navy Commendation Medal. He has been a registered Professional Engineer in the state of Michigan since 1999.

REAR ADMIRAL Nathan A. Moore

Current Assignment Jul 23 – Present	Atlantic Area, Deputy Commander/RADM
Assignment History	
Apr 21 – Jun 23	District Seventeen, Commander/RADM
Jul 19 – Apr 21	Assistant Commandant for Engineering & Logistics/RDML
Jun 18 – Jun 19	Pacific Area, Deputy Commander/RDML
May 17 – Jun 18	Pacific Area, Chief of Staff/CAPT
Jun 15 – Jun 17	USCGC STRATTON, Commanding Officer/CAPT
Jul 13 – Jun 15	CG-45, Chief, Office of Naval Engineering/CAPT
Aug 12 – Jun 13	Eisenhower School (formerly ICAF) DUINS/CAPT
Jul 10 – Jul 12	PSC-opm-2, Officer Assignment Branch Chief/CDR
May 08 – Jun 10	USCGC RESOLUTE, Commanding Officer/CDR
Jun 06 – May 08	NESU Honolulu, Commanding Officer/LCDR
Jul 04 – Jun 06	USCGC VENTUROUS, Executive Officer/LCDR
Jul 01 – Jul 04	PSC-opm-1, Boards Section Chief/LCDR
Jun 98 - Jul 01	USCGC HARRIET LANE, Engineer Officer/LT
Jun 96 – May 98	University of Michigan, Duty Under Instruction/LT
May 94 – Jun 96	USN Surface Warfare Officers School Instructor/LTJG
May 92 – May 94	USCGC POLAR STAR, Student Engineer/ENS

Educational Summary

- 2013 ICAF/Eisenhower, Master of Science in National Resource Strategy & JPME-2
- 1998 University of Michigan, Master of Science in Naval Arch/Marine Engineering
- 1998 University of Michigan, Master of Business Administration
- 1992 USCGA, Bachelor of Science in Naval Arch/Marine Engineering

Military Personal Awards and Significant National Recognition or Achievement

Medals - Legion of Merit (4), Meritorious Service (5), USCG Commendation (2)

- 2017 Intelligence Meritorious Unit Commendation Director of National Intelligence
- 2015 D11 "Top Seizure" Award SPSS seizure of 16,876lbs cocaine
- 2015 Coast Guard Foundation, Salute to the Coast Guard Unit Award
- 1997 Benford/Zimmie Scholar University of Michigan

Significant Current Professional Credentials or Recent Activities

- 2022 National Preparedness Leadership Initiative Harvard University
- 2016 President, Bay Area Surface Navy Association
- 2014 Published, "U.S. Government's Role in the Ship Repair" ASNE Symposium
- 2014 Fleet Engineering Executive Member, National Ship Structures Committee
- 2009 Level II Acquisition Certification: Program Management
- 2009 Published, "The Coast Guard Should Get a Break," USNI Proceedings
- 2004 Certified Level I Contracting Warrant, 2004
- 1999 Professional Engineer License (Mechanical), State of Michigan