Senate Commerce Committee Nominee Questionnaire, 116th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

- 1. Name (Include any former names or nicknames used): Stephen Marshall Dickson (nickname: Steve)
- 2. Position to which nominated: *FAA Administrator*
- 3. Date of Nomination: April 11, 2019
- 4. Address (List current place of residence and office addresses):
- 5. Date and Place of Birth: September 3, 1957; Lake Charles, Louisiana
- 6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).
 - a. My wife, Janice Elaine Dickson, is not currently employed outside the home.
 - b. Stepson: Daniel Louis Londrillo, 35 years old.
 - c. Stepson: Andrew Louis Londrillo, 34 years old.
 - d. Stepson: Benjamin Louis Londrillo, 31 years old.
 - e. Daughter: Elizabeth Ann Dickson, 29 years old.
 - f. Stepdaughter: Brianna Michele Strain (maiden name Londrillo), 29 years old.
 - g. Stepson: Nicholas Louis Londrillo, 26 years old.

- 7. List all college and graduate degrees. Provide year and school attended.
 - a. Bachelor of Science, United States Air Force Academy, 1979
 - b. Juris Doctor, Georgia State University College of Law, 1999
- 8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.
 - a. United States Air Force officer, instructor pilot and tactical fighter pilot, 1979-1991. Positions of increasing responsibility throughout my military career, including flight commander, instructor pilot and large-force employment mission commander.
 - b. Pilot, Delta Air Lines, 1991-2018. Qualified and flew line operations in passenger service on B-727, B-737, B-757, B-767 and A320 series aircraft.
 - c. Senior Vice President-Flight Operations, Delta Air Lines, 2006-2018. I began working in management in 1999 while continuing to maintain qualifications and fly in line operations. During the period from 1999-2006 I progressed through several positions of increasing responsibility, including: executive assistant to Director-Flight Operations, Manager-Pilot Crew Scheduling, Manager-Strategy and Communications, Chief Pilot-Atlanta, General Manager-Flight Operations and Director-Flight Operations. I assumed the leadership of the Flight Operations division as SVP-Flight Operations and became a senior officer of the company in September 2006 and remained in that role until my retirement on October 1, 2018.
- 9. Attach a copy of your resume. Resume attached.
- 10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.
 - a. Chairman, Air Traffic Management Advisory Committee (ATMAC), 2007-2010.
 - b. Chairman, RTCA Next Generation Mid-Term Implementation Task Force, 2009.
 - c. Chairman, NextGen Advisory Committee Working Subcommittee (NACSC), 2010-2013.
 - d. Member, NextGen Advisory Committee (NAC), 2015-2018.

- 11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years. Senior Vice President-Flight Operations, Delta Air Lines.
- 12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.
 - a. Vice Chairman, United States Air Force Academy Endowment, 2016-2018.
 - b. Member, Atlanta Botanical Garden.
 - c. Member, Oakland Cemetery Foundation.
- 13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? *No.* If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.
- 14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities. *None*.
- 15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

a. September 2018: Ted Cruz (TX) \$1000
 b. July 2018: Paul Mitchell (MI) \$1000
 c. March 2018: John Barrasso (WY) \$2000

d.	Dece	mber 2	017: Deb Fischer (NE)	\$1000
e.	Octob	ber 201	7: Bill Nelson (FL)	\$1000
f.	Augu	ist 2014	: David Perdue (GA)	\$500
g.	September 2013: Mitch McConnell (KY)\$1000			
ĥ.	Octob	ber 201	2: Mitt Romney	\$2500
i.	June	2008: 3	Saxby Chambliss (GA)	\$250
j.	2011-	-2018: <i>.</i>	Delta PAC	\$27,917
	i.	2018:	\$3,750	•
	ii.	<i>2017:</i>	\$5,000	
	iii.	2016:	<i>\$4,167</i>	
	iv.	<i>2015:</i>	\$3,000	
	<i>v</i> .	2014:	\$3,000	
	vi.	2013:	\$3,000	
	vii.	<i>2012:</i>	\$3,000	
	viii.	2011:	\$3,000	

- 16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.
 - a. Cadet Wing Commander, USAF Academy, Fall 1978
 - b. Superintendent's List, USAF Academy, all semesters 1975-1979
 - c. Distinguished Graduate, USAF Academy, Class of 1979
 - d. Outstanding Cadet in the French Language, USAFA 1979
 - e. Distinguished Graduate, USAF Pilot Training, Reese AFB, Texas, 1980
 - f. Top Gun, USAF F-15 Combat Pilot Training, Luke AFB, Arizona, 1984
 - g. Outstanding Pilot, United States Air Forces-Europe, 1989
 - h. Outstanding Flight Commander, 36 TFW, Bitburg AB, Federal Republic of Germany, 1989
 - i. Military decorations include: Meritorious Service Medal, Joint Service Commendation Medal, Air Force Commendation Medal (2 awards)
 - j. Associate Lead Articles Editor, Law Review, Georgia State University College of Law, 1998
 - k. Juris Doctor, magna cum laude, Georgia State University College of Law, 1999
 - 1. RTCA Achievement Award, 2010 and 2015
 - m. Chairman of several Federal Advisory Committees, including the Air Traffic Management Advisory Committee (ATMAC), 2009

- NextGen Implementation Task Force, and the NextGen Advisory Committee working subcommittee
- n. Chairman, International Air Transport Association (IATA)
 Operations Committee, 2015-2017
- 17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.
 - a. September 9, 2009. Chairman's Message, RTCA NextGen Midterm Implementation Task Force Report: "The 'NowGen Next' Path to NextGen"
 - b. September 28, 2015. Delta External NewsHub Op-Ed: "Privatizing the Air Traffic Organization Threatens Customer Service"
 - c. November 14, 2015. Crain's New York Business Op-Ed: "Ground the Plan to Privatize the Nation's Air Traffic Control"
 - d. November 23, 2015. Cranky Flier Interview: "Across the Aisle from Delta's SVP of Operations on Why Privatizing Air Traffic Control Would be Bad"
 - e. February 1, 2016. USA Today Op-Ed: "Privatizing ATC Will Increase Traveler Costs"
 - f. August 14, 2018. National Training Aircraft Symposium (ERAU) Keynote: "Looking to the Future"
 - g. Numerous presentations as a panelist on aviation safety, training and air traffic control modernization at RTCA Symposium, IATA Safety and Operations Conference, ATCA and other aviation industry events
- 18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.
 - a. LinkedIn account: https://www.linkedin.com/in/steve-dickson-348b0311/-
 Active Account

- 19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.
 - a. On August 6, 2009 I testified before the Senate Committee on Commerce, Science and Transportation in a hearing to examine aviation safety, focusing on the relationship between large network airlines and regional airlines.
 - b. On February 27, 2014 I testified before the House Subcommittee on Aviation, as part of a roundtable discussion on the FAA's efforts to address the NextGen Advisory Committee's recommendations for implementing NextGen.
- 20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?
 - a. Safety focus. I presided over the safest period in Delta Air Lines' history, with improving safety metrics throughout my tenure. System safety is the single most important part of the FAA's mission across all lines of business, whether it is daily air traffic operations, aircraft certification, regulatory oversight or the integration of new entrants.
 - b. Experienced, values-driven leader with deep operational experience. I led the global flight operations of the most successful airline in the world for more than 12 years. I know how to set the vision for and motivate a large organization. I will lead by example and with a foundation of strong core values.
 - c. Continuous improvement and operational execution. I would put the same focus on operational performance improvement and execution that I ingrained in the culture of my operational team at the airline.
 - d. Broad industry experience. I have led industry efforts to advocate for aviation safety and innovation around the world. In doing so I developed strong relationships with aviation industry stakeholders in the US and internationally, including manufacturers, safety organizations, RTCA, IATA and ICAO.
 - e. Collaborative leadership approach. I have a strong reputation as a collaborative, transparent and non-parochial leader. For example, as Chairman of the IATA Operations Committee, I

- always acted from the perspective of what was best for the airline industry as a whole, not necessarily my own company. I took the same approach within the US as the RTCA Task Force 5 Chairman, acting in the best interest of the industry and the public, not just from the frame of reference of the airline industry.
- f. Change leadership in large organizations. I presided over the operational and cultural integration of the most successful merger in the history of the airline industry. In the coming years, the FAA and its people and processes will be faced with an accelerating pace of change in technology, process and people. The ability to lead change while not compromising safety will be foundational to success.
- g. I believe I have the experience and personal qualities to lead the FAA through an exciting time of tremendous change and opportunity. In doing so we would collaborate with industry stakeholders to serve the best interests of our country, the traveling public and all stakeholders.
- 21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization? As FAA Administrator I would be completely responsible for ensuring appropriate management and accounting controls. I have managed the flight operations of one of the largest and most complex air carriers in the world, during a period of tremendous change, including a highly successful merger and the integration of two operating cultures.
- 22. What do you believe to be the top three challenges facing the department/agency, and why?
 - a. Safety. Ensuring continued safe operations and improving the margin of safety in the National Airspace System, in an environment of accelerating changes in technology and in the workforce.
 - b. NextGen Implementation. Modernizing and improving the operational performance of the ATC system.
 - c. Innovation. Integrating new entrants such as unmanned systems and commercial space operations, doing so in a way that does not compromise system safety.

B. POTENTIAL CONFLICTS OF INTEREST

- 1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts. My arrangements are fully described in part 3 of my Public Financial Disclosure report.
- 2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? *No.* If so, please explain.
- 3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest. In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I entered into with that Designated Agency Ethics Official and that was provided to this Committee. I am not aware of any other potential conflicts of interest.
- 4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest. In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I entered into with that Designated Agency Ethics Official and that was provided to this Committee. I am not aware of any other potential conflicts of interest.

- 5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest. In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I entered into with that Designated Agency Ethics Official and that was provided to this Committee. I am not aware of any other potential conflicts of interest.
- 6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. As noted above, during the process leading up to FAA reauthorization, I have supported Delta's position opposed to the spinoff of the Air Traffic Organization. In late 2016 the company took a more neutral approach that supported ATC spin-off, which highlighting certain considerations. Additionally, the major US network carriers advocated for fair Open Skies and against allowing some of the Middle East carriers to unfairly benefit from government subsidies and support to gain access to US markets under conditions that would undermine US industry and workers.

C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? *No.* If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

- 2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? *No.* If so, please explain.
- 3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? *Yes.* If so, please explain.
 - a. Yes, I have been a party to one civil litigation matter. I am a named defendant in Meryl Dickstein v. Fairbank Construction, Inc., Stephen Dickson and Janice Dickson, Case No. CAK16269, currently pending in Monroe County Circuit Court, Florida. This is a disputed residential premises liability ("slip and fall") claim for which I am insured.
 - b. In addition, I was employed at Delta Air Lines, Inc., from February 1991 until October 2018, where I served as a corporate officer from September 2006 until October 2018. During my Delta employment, from time to time and in the ordinary course of business, Delta was involved in various judicial, administrative or regulatory proceedings relating to its business, although I was not a named party in any such actions.
- 4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? *No.* If so, please explain.
- 5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? *No.* If so, please explain.
- 6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination. *None.*

D. RELATIONSHIP WITH COMMITTEE

- 1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority? *Yes.*
- 2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures? *Yes.*
- 3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee? *Yes.*
- 4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so? *Yes.*

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

STEPHEN M. Dices being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 19day of Apr. 1, 2019.

Notary Public

Notary Public State of Florida Ekaterina Lopez My Commission GG 209062 Expires 04/18/2022

STEPHEN M. DICKSON Nominee for FAA Administrator

Work History

USAF

Undergraduate Pilot Training, Reese AFB, Texas, 1979-80

T-38 Instructor Pilot, Euro-NATO Joint Jet Pilot Training, Sheppard AFB, Texas, 1980-83

F-15 Flight Commander and Instructor Pilot, 7th Tactical Fighter Squadron, Holloman AFB, New Mexico, 1984-86

Politico-Military Planner, European Division, J5, Organization of the Joint Chiefs of Staff, the Pentagon, 1986-87 (ASTRA—Air Staff Training Program)

F-15 Flight Commander, Instructor Pilot and Flight Examiner, 22d Tactical Fighter Squadron, Bitburg AB, Federal Republic of Germany, 1988-90

Delta Air Lines

B-727 Flight Engineer and Check Second Officer, 1991-96

B-727 First Officer, 1996-99

Executive Assistant to Director-Flight Operations, 1999-2000

Manager-Pilot Crew Scheduling, 2000-01

B-767 First Officer, 2002

Manager-Flight Operations Strategic Planning and Communications, 2002-04

B-737 Captain, 2004

Chief Pilot-ATL, 2004-05

General Manager-Flight Operations, 2005

B757/767 Captain, 2005

Director-Flight Operations, 2005-06

Senior Vice President-Flight Operations, 2006-2018

Formal Education

B.S., Engineering Sciences, USAF Academy, Colorado, 1979 (Distinguished Graduate, 17/900)

J.D., Georgia State University College of Law, 1999 (magna cum laude)

Special Honors and Awards

Cadet Wing Commander, USAF Academy, Fall 1978

Superintendent's List, all semesters

Distinguished Graduate, USAF Academy, 1979

Distinguished Graduate, USAF Pilot Training, Reese AFB, Texas, 1980

Top Gun, USAF F-15 Combat Pilot Training, Luke AFB, Arizona, 1984

Outstanding Pilot, United States Air Forces-Europe, 1989

Outstanding Flight Commander, 36 TFW, Bitburg AB, Germany, 1989

Associate Lead Articles Editor, Law Review, GSU College of Law, 1998

Juris Doctor, magna cum laude, Georgia State University College of Law, 1999

RTCA Achievement Award, 2010 and 2015

Chairman of several Federal Advisory Committees, including the Air Traffic Management Advisory Committee, 2009 NextGen Implementation Task Force, and the NextGen Advisory Committee

Chairman, International Air Transport Association (IATA) Operations Committee

Personal Data

Date of Birth: September 3, 1957

Family: Married (Janice), children (Dan, Andrew, Ben, Elizabeth, Brianna, and Nick)

Address: