Senate Commerce Committee Nominee Questionnaire, 117th Congress

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Robin Lee Wiessmann Former Name: Robin Wiessmann Dougherty (1978-1985; previous marriage)

2. Position to which nominated:

Director, AMTRAK Board of Directors

3. Date of Nomination:

April 29, 2022

4. Address (List current place of residence and office addresses):

211 North 2nd Street, Harrisburg, PA 17101 (Work)

5. Date and Place of Birth:

March 14, 1953; Glen Ridge, NJ

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Husband: Kenneth Malerman Jarin, Esquire; Partner at Ballard Spahr, LLP Son: Alexander Wiessmann Jarin, 30 years old Daughter: Karley Wiessmann Jarin, 27 years old

7. List all college and graduate degrees. Provide year and school attended.

BA, Lafayette College, 1975 JD, Rutgers School of Law- Camden, 1978

8. List all post-undergraduate employment, and highlight all management- level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

1975 – US Environmental Protection Agency – NPDES Division – June – August - Intern 1975-1977 – US Environmental Protection Agency, District 3 Philadelphia, PA; Legal Intern (Part-time)

- 1977-1978 White & Williams Law Firm, Philadelphia, PA; Legal Intern (Part-time)
- 1978-1980 Philadelphia National Bank, Philadelphia, PA; Management Trainee/Associate, Real Estate and Construction Lending
- 1980-1983 City of Philadelphia, Philadelphia, PA; Deputy Revenue Secretary, Deputy Director of Finance, & Deputy Managing Director; Management of City's Debt Issuance; Designee on various Boards and Commissions.
- 1984-1990 Goldman Sachs & Company, New York, New York; Associate & Vice-President, Municipal Finance; National and regional capital markets transactions.
- 1990-1999 Artemis Capital Group, New York, New York; Founding Principal and President; Investment Banking; First women owned broker dealer on Wall Street.
- 1999-2001 Dain Rauscher, Inc., New York, New York; Managing Director; National banking practice (acquired Artemis Capital Group).
- 2002-2006 Brown/Wiessmann Group (Self Employed), Bucks County, PA; Principal; Advisory Consulting
- 2006-2007 Merrill Lynch Capital Markets Division, New York, New York; Managing Director, municipal markets.
- 2007-2009 Commonwealth of Pennsylvania, Harrisburg, PA; State Treasurer; Appointed to fill remainder of Us Senator Bob Casey's term.
- 2009-2014 Self Employed; Variety of Board positions, such as: Met-Pro Corporation, Vantagepoint Funds Mutual Funds (Chair), Lumesis, Enertech, and the Municipal Securities Rulemaking Board.
- 2015-2020 Commonwealth of Pennsylvania, Harrisburg, PA; Secretary of Banking & Securities
- 2020-Present Pennsylvania Housing Finance Agency, Harrisburg, PA; CEO and Executive Director

9. Attach a copy of your resume.

Attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None

- 11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.
 - Met-Pro Corporation Board Member (2009-2013)
 - Vantagepoint Funds Board Member & Board Chair (~2003-2013)
 - Lumesis Board Member (~2012-early 2015)
 - The Municipal Securities Rulemaking Board (MSRB)– a self-regulatory board under the SEC (2012-2015)
 - As reflected on my resume, various boards, ex officio, as part of my work duties.
 - Gubernatorial designee on the PHEAA (PA Higher Education Assistance Authority) Board (2016-2021)
 - Bucks County Council of the Boy Scouts of America (2013-early 2015)
 - Duke University School of Public Policy Board of Visitors (2013-2019)

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

- Great Harbor Yacht Club Current Member; Treasurer (2011-2015)
- Duke University Sanford School of Public Policy Board of Visitors (2012-2019)
- Boy Scouts of America Bucks County Council Board Member (2013-2015

13. Have you ever been a candidate for and/or held a public office (elected, nonelected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

Though never a candidate for public office, I was appointed by Governor Edward G. Rendell, and confirmed by the PA State Senate, to serve as the Pennsylvania State Treasurer from April 2007 through January 2009.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

N/A.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

After a review of my records, and public records, to the best of my knowledge, I have made the contributions which follow.

- 2015, Hillary for America: \$2700
- 2016, Katie McGinty for Senate: \$1000
- 2017, Casey for Senate: \$1,000
- 2018, Scanlon for Congress: \$1,700
- 2018, Scanlon for Congress: \$1,000
- 2018, Gina M Raimondo: \$1,000
- 2019, Scanlon for Congress: \$1,500
- 2019, Biden for President: \$2,800
- 2021, Conor Lamb for Senate: \$2900
- 2021, Friends of Schumer: \$1,000
- 2021, Conor Lamb for Senate: \$2900
- 2021, Friends of Wendy Barish: \$1,000

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

- North American Securities Administrators Association Outstanding Service Award 2021
- Philadelphia Inquirer's Influencers of Finance Lifetime Achievement Award 2019
- Lifetime Achievement Award Women in Public Finance 2014
- The Arthur E. Armitage, Sr. Distinguished Alumni Award, Rutgers School of Law-Camden 2007

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

- Quarterly Newsletter for the Department of Banking and Securities, with updates on the Department, while Secretary of Banking & Securities. (2015-2020)
- Governing Magazine, 8/30/2018, Co-authored article: *The Financial Knowledge Inmates Need to Reenter Society*
- Harrisburg Patriot News, 9/14/2018, Authored article: Ten Years Later we can't forget the lessons that Lehman Brothers taught us.
- The Municipal Bond Handbook II, published 1983 by Dow Jones Irwin, Authored article: *State and Local Government Revenues, Taxpayer Revolts, and Crises.*

18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

- LinkedIn: <u>http://www.linkedin.com/in/rlwiessmann</u> (active) <u>http://www.linkedin.com/mwlite/company/pa-housing-finance-agency</u> (active; managed by PHFA staff)
- Twitter: <u>https://twitter.com/phfawiessmann</u> (active; managed by PHFA staff) <u>https://twitter.com/phfatweets</u> (active; managed by PHFA staff)
- Facebook: <u>https://www.facebook.com/robin.wiessmann</u> (active, primarily used to view, not post; personal)

Instagram: <u>https://www.instagram.com/robinwiessmann/?hl=en</u> (active, primarily used to view, not post; personal)

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

- Testimony for the House Financial Services Committee, 3/12/2008, about the impact on states and municipalities during the Financial Crisis.
- Written testimony submitted to the Senate Banking Committee for the record 7/24/2019, on regulations pertaining to state banking of marijuana.

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have pursued and enjoyed a unique professional career with both in-depth public sector experience, and deep private sector experience. This is particularly relevant for Amtrak which has public accountability, and private sector expectations. Throughout my career I have integrated both experiences, and applied them to financial analysis and operations, management, marketing and branding, and governance arenas

As a long time investment banker, skilled in financial markets, regulatory policies and requirements, and credit analysis, I have worked on formulating creative financing solutions, with practical applicability. This has ranged from some of the early structured and variable rate products, and credit enhancement structures on Wall Street, to implementing asset reallocation and portfolio diversification while the chief financial fiduciary of more than \$120 Billion of state assets while State Treasurer, and as a creator

of, and subsequent Chair of a Section 40 Act Mutual Fund Company with assets in excess of \$20Billion.

My primary focus as an investment banker, was in infrastructure, including extensive work for Cities and States nationally, such as New York City and state, Chicago, and Illinois, Miami and Florida, Austin, Houston, Dallas, and the State of Texas, Louisiana, Pittsburgh, Philadelphia and Pennsylvania, Seattle and San Francisco and Los Angeles, and California among others. I worked extensively on the General Obligation credits of these cities and states, as well as extensive revenue bond programs with the major transportation systems, including mass transit, aviation, and port authorities of a variety of cities and states.

I have significant, tested capabilities as a crisis manager having managed the Pa State Treasury during the Great Financial Crisis of 2008, and as CEO and Executive Director of the Pa Housing Finance Agency, with assets well in excess of \$6 Billion during The Pandemic of 2020, along with the reverberations continuing today.

Throughout all of this I have focused on Enterprise Risk Management, along with facile decision making.

In addition to being a decades long, often daily, consumer of Amtrak services, my interest in Amtrak is consistent with my career-long focus on infrastructure, the environment, operating systems, and financial management. The totality of my career has been focused on financial management, capital markets, good governance, and best practices, along with creative decision making.

All of these elements are critical to the governance of Amtrak, and most importantly, to its long-term viability.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

As a qualified financial expert, and as an attorney, I have a deep sense of responsibility to ensure the that legal and fiduciary requirements are built into the conduct and culture of the organizations I serve. Executing principal based management has served me well over the course of my career, in the best of times, and in the most challenging of times. My years of management and board experience along with my genuine interest in best practices, has supported careful, thorough management, as wells as financial &n accounting controls. I support, and act on a business management philosophy of continuous improvement while ensuring efficiency and effectiveness.

I have served within large organizations, and at the top of large organizations, as well as creating both an investment banking firm, registered broker dealer from whole cloth, and a mutual fund. My successful tenure in all of my positions underscores my skills of creating organizations and managing large organizations.

As a board director, my role is to provide advice and counsel, and ensure that best practices are being met throughout the executive staff, policies, and procedures for the agency. I have deep, extensive experience managing private, public, and quasi-public organizations. I have managed large organizations through good economic circumstances, and during economic crises. As a strategic manager, my strengths include effectuating prudent governance, while embracing appropriate change.

22. What do you believe to be the top three challenges facing the department/agency, and why?

- Financial viability
- Transformation to a modern operating system
- Balance of geographic and demographic interests

The challenges for Amtrak are numerous and continuing. Amtrak must not only survive and prosper financially to ensure continuation of services for the Nation's economic and business viability and growth, but also to provide a truly economically effective mode of transportation for its users. It needs to do this through continuous transformation into a current, modern operating system with cost efficiencies, enhanced operating efficiencies, along with safety for passengers and employees.

These are significant challenges for any business, but particularly for such a capital intensive business, with accelerated depreciation and obsolescence of assets. The national geographic reach and need for equitable distribution of services for geographic and demographic interests, compounds the challenges.

These challenges can only be met with a collaborative, reasoned decision making process to ensure the best results possible.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

My arrangements are fully described in Part III of my Executive Branch Confidential Financial Disclosure Report (OGE Form 450). In connection with the nomination process, I have consulted with the designated Amtrak ethics representative to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the Amtrak Board of Directors' Code of Business Conduct and Ethics and the terms of Amtrak's Ethics Opinion Letter which has been provided to this Committee. I am not aware of any other potential conflicts of interest.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

My arrangements are fully described in Part III of my Executive Branch Confidential Financial Disclosure Report (OGE Form 450). In connection with the nomination process, I have consulted with the designated Amtrak ethics representative to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the Amtrak Board of Directors' Code of Business Conduct and Ethics and the terms of Amtrak's Ethics Opinion Letter which has been provided to this Committee. I am not aware of any other potential conflicts of interest.

- 3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest. In connection with the nomination process, I have consulted with the designated Amtrak ethics representative to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the Amtrak Board of Directors' Code of Business Conduct and Ethics and the terms of Amtrak's Ethics Opinion Letter which has been provided to this Committee. I am not aware of any other potential conflicts of interest.
- 4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

In connection with the nomination process, I have consulted with the designated Amtrak ethics representative to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the Amtrak Board of Directors' Code of Business Conduct and Ethics and the terms of Amtrak's Ethics Opinion Letter which has been provided to this Committee. I am not aware of any other potential conflicts of interest.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

In connection with the nomination process, I have consulted with the designated Amtrak ethics representative to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the Amtrak Board of Directors' Code of Business Conduct and Ethics and the terms of Amtrak's Ethics Opinion Letter which has been provided to this Committee. I am not aware of any other potential conflicts of interest.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

N/A

C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

NO. N/A.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

NO. N/A.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

In the course of normal business, while Treasurer of the Commonwealth of PA, and as Secretary of Banking and Securities, I have been named in matters pertaining to the respective agency.

4. Have you ever been convicted (including pleas of guilty or *nobo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

NO. N/A.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

NO. N/A.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

To the best of my knowledge, none.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 10^{th} day of June, 2022.

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Commonwealth of Pennsylvania - Notary Seal Susan D Morris, Notary Public Philadelphia County My Commission Expires April 4, 2023 Commission Number 1115933

ROBIN L. WIESSMANN

CHIEF EXECUTIVE OFFICER

Financial Markets – Investment Management – Investment Banking Pension Investments – Policy Development – Regulatory Compliance Risk Management – Qualified Financial Expert – Crisis Management



As head of the Pennsylvania Housing Finance Agency (PHFA), CEO and Executive Director Robin L. Wiessmann oversees a staff of 16 divisions that work to finance and develop single and multi-family housing across Pennsylvania. As CEO, she manages the day-to-day operations of the agency and directs multiple initiatives with a variety of financing mechanisms, including allocation of federal housing tax credits, housing trust funds, a variety of Pennsylvania funds, along with accessing the capital markets for single family mortgage bonds. Wiessmann administered CARES funding for mortgage and rent relief.

Wiessmann's previous public service positions includes Secretary of the Pennsylvania Department of Banking and Securities, and Treasurer of Pennsylvania during the Financial Crisis. Much earlier she served as Deputy Director of Finance of the City of Philadelphia.

A career investment banker, Wiessmann was a founding Principal and President of Artemis Capital Group, the first women-owned investment banking broker dealer on Wall Street, and held leadership positions at Goldman Sachs and Merrill Lynch, and served as a Director of the Met-Pro Corporation. Wiessmann served as Chairman of the Board for Vantagepoint Funds Mutual Fund, an Act 40 company for pension investments, and on the Board of the Municipal Securities Rulemaking Board (MSRB) under the SEC.

Wiessmann serves on the US Federal Reserve, Region Three, Economic and Community Advisory Council (ECAC), on the Board of the National Council of State Housing Agencies (NCSHA) Secretary/Treasurer. Prior to her position at PHFA, Wiessmann served as Secretary of the Conference of State Bank Supervisors (CSBS), and Chair of the Non-Depository Supervisory Committee of CSBS, and served as a member of eight other economic development, policy, and supervisory boards, including the Public School Employees' Retirement System and State Employees' Retirement System. She served as Trustee of the Investor Protection Trust.

In 2019, she received a lifetime achievement award as a Philadelphia Inquirer Influencer of Finance. She is a recipient of the Arthur E. Armitage, Sr. Distinguished Alumni Award from Rutgers Law School, from which she earned a law degree, and is a graduate of Lafayette College. Wiessmann is a Qualified Financial Expert.

ROBIN L. WIESSMANN

CHIEF EXECUTIVE OFFICER

Financial Markets – Investment Management – Investment Banking Pension Investments– Policy Development – Regulatory Compliance Risk Management – Qualified Financial Expert – Crisis Management

Chief Executive Officer successful in operations management, investment management, strategic development, and public policy. Leader in re-engineering existing organizations to reflect modern business practices, developing new organizational standards, and creation of forward-looking organizations and programs. Unique understanding of diverse public policy issues, corporate business practices, as well as financial challenges through a career working for, advising, and consulting, for governments and investment banking firms. Founding Principal and President of first national women-owned investment banking broker dealer on Wall Street. Broad experience in, and highly developed comprehension of financial sector practices and federal and state regulatory policy.

PROFESSIONAL EXPERIENCE

Pennsylvania Housing Finance Agency, Harrisburg, PA2020 – PresentChief Executive Officer and Executive Director, PHFA, Commonwealth of Pennsylvania

Overseeing changes, during the pandemic, to optimize operations and instill a forward-looking approach emphasizing new opportunities for financial growth and public service. Manages operations of a selffunded public corporation with 16 divisions, charged with expanding affordable housing across the state. Oversees a number of programs including: the statewide allocation of federal low-income housing tax credits for funding the construction of multifamily housing; servicing a loan portfolio of more than 60,000 mortgages with an unpaid principal balance of \$4.9 billion; accessing the capital markets; guiding a statewide housing counseling network of 71 agencies; and managing a pioneering foreclosure prevention program approaching 50,000 loans to distressed homeowners. Administration of CARES relief.

Pennsylvania Department of Banking and Securities, Harrisburg, PA2015 - 2020Secretary of Banking and Securities, Commonwealth of Pennsylvania2015 - 2020

Obtained enactment of licensing and oversight of non-bank mortgage servicers. Emphasis on cybersecurity and fintech. Provided overall leadership to an agency that supervises 200 state-chartered banks, credit unions, and trust companies; licenses more than 20,000 consumer lenders, including mortgage lenders, auto sales finance companies, consumer discount companies, and debt services companies; and registers more than 200,000 investment advisers, investment adviser representatives, investment adviser notice filers, broker-dealers, and broker-dealer agents. Created and implemented a strategic plan that shaped the efforts, priorities, and mission of the department. Revised and modernized regulations.

Pennsylvania Treasury Department, Harrisburg, PA State Treasurer, Commonwealth of Pennsylvania

Successfully navigated asset management and operations during the Great Recession. Fiduciary responsible for safeguarding \$122 billion in state assets and investing \$18 billion of state funds. Led a 520-employee department with an annual budget of \$60 million. Established the strategic direction of the department, implemented major asset reallocation plan, served as ex-officio on 25 state boards, developed and promoted policies including ESG Investing, represented the department before the media, governmental entities, and the public.

2007 - 2009



PROFESSIONAL EXPERIENCE CONT'D

Municipal Securities Rulemaking Board (SEC) Chair - Governance Committee

Vantagepoint Funds Mutual Fund Section 40 Act Company Chair and Member

Met-Pro Corporation Board Director Chair- Benefits Committee

Merrill Lynch, New York, NY Managing Director, Capital Markets Division

RBC Dain Rauscher, Inc., New York, NY Managing Director—Manager Artemis Capital Group, New York, NY Founding Principal and President

Goldman, Sachs & Co., New York, NY Vice President - Manager of the Mid- Atlantic Region

City of Philadelphia Department of Finance Deputy Managing Director and Deputy Director of Finance

Philadelphia National Bank Associate – Construction and Mortgage Lending

BOARDS AND COMMISSIONS

Economic and Community Advisory Council National Council of State Housing Agencies – Board Member Commonwealth Cornerstone Group - Chairman Pennsylvania Higher Education Assistance Agency Board Investor Protection Trust - Trustee Duke Sanford School of Public Policy Board of Visitors Municipal Securities Rulemaking Board (SEC) International City Managers Association Retirement Corporation - Vantagepoint Funds, Chair National Association of State Treasurers - Legislative Committee Delaware River Joint Toll Bridge Commission, Vice Chairman National Bond Market Association Board, Executive Committee Member, Municipal Division Citizen's Budget Commission of New York, Trustee Rockefeller Fellow - New York City Partnership U.S. EPA Financial Advisory Board, Chair; Clinton Administration Small Business Administration National Advisory Council - Clinton Administration Budget and Efficiency Savings Team for the Governor of New Jersey New York City Public/Private Initiative, Inc., Board Member Women's Venture Fund, Board Member Women's Campaign Fund Leadership Trustee Lafayette College Board of Trustees and Leadership Council Council of Lafayette Women, Founder North American Securities Administrators Association - Federal Legislation and Fintech Committees Conference of State Bank Supervisors - Non-Depository Supervisory Committee, Chair Pennsylvania Housing Finance Agency - Board of Directors, Chair Pennsylvania Banking and Securities Commission - Vice Chair **Commonwealth Financing Authority** Pennsylvania Economic Development Financing Authoring Pennsylvania Industrial Development Authority Pennsylvania Energy Development Authority Pennsylvania Public School Employees' Retirement System Board Pennsylvania State Employees' Retirement System Board

ROBIN L. WIESSMANN

AWARDS AND RECOGNITION

North American Securities Administrators Association, Inc. Outstanding Service Award 2021 Philadelphia Inquirer's Influencers of Finance Lifetime Achievement Award 2019 Innovative Executive 2019 - 3 Gold Stevie Awards Recognized as one of Pennsylvania's "50 over 50" by City and State Pennsylvania 2017 Lifetime Achievement Award Women in Public Finance 2014 The Arthur E. Armitage, Sr. Distinguished Alumni Award, Rutgers School of Law- Camden 2007 National Women Executives in State Government "Breaking the Glass Ceiling Award" 1999 Women's City Club of New York Civic Spirit Award 1996 Named "Business History Maker, Among Twenty" by *Crain's New York Business* Magazine 1995

EDUCATION

JD, Rutgers University BA, Lafayette College

PROFESSIONAL LICENSES

Pennsylvania Bar Securities Licenses Obtained – Series 7, 24, 53, 63, 65