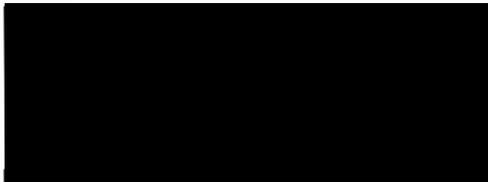


Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): **David Grant Throop**
2. Position to which nominated: **Rear Admiral (O-8)**
3. Date of Nomination:
4. Address (List current place of residence and office addresses):



5. Date and Place of Birth: **19 February, 1963; Flint, MI**
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Marina C. Throop; Volunteer in Community

Children: Rachel Throop King, 28

Benjamin D. Throop, 26

Dominique M. Throop, 24

Keegan J. Throop, 21

7. List all college and graduate degrees. Provide year and school attended.
1981-1985, US Coast Guard Academy, Bachelor of Science, Civil Engineering;
1997-1998, John F. Kennedy School of Government, Harvard University,
Cambridge, MA, Master of Public Administration; 2004-2005, Naval War

College, Newport, RI, Masters Degree in National Security and Strategic Studies.

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated. **I have been on active duty in the Coast Guard since graduation from the Coast Guard Academy in 1985. I have held a number of positions in the Coast Guard as shown in my Chronology of Work History.**
9. Attach a copy of your resume.

My official Coast Guard biography is attached.

List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

April 2015 – Present: Member, CGA Board of Trustees

April 2015- April 2017: Chair, CG Cape May Board of Advisors

10. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years. **None.**
11. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.
Coast Guard Aviation Association – (1990 –present)
National Naval Officers Association – 2008-2011; 2016 Member
Association of Naval Sea Officers – 2015-2016; Member
None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.
12. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt. **No.**
13. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period. **None.**

14. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Legion of Merit (3)

Meritorious Service Medal with Operational distinguishing device (5)

Coast Guard Commendation Medal

Coast Guard Achievement Medal

Army Commendation Medal

Air Force Commendation Medal

9/11 Medal

National Defense Service Medal (2)

See Chronology of Work history for additional medals.

15. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Wrote quarterly articles for the base newspaper, the "OTIS NOTICE," under the "Commander's Column" from 2010-2013 highlighting Coast Guard work on the Massachusetts Military Reservation (now Joint Base Cape Cod); delivered a number of speeches from 2010-2013 for Veteran's Day, Memorial Day, and on the tenth anniversary of Sept 11, 2001. Deliver speeches routinely associated with official duties for change of commands, retirements, and maritime organizations emphasizing the people, missions, and importance of the Coast Guard to the US.

16. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony. None.
17. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have had the privilege to serve in the Coast Guard for the past 32 years in operations, planning, policy, personnel, strategy, and command positions. My background and experiences from front line aviation operations to serving as the Executive Assistant to the Vice Commandant and the Commandant to leading the Coast Guard's Force Readiness Command and now to leading the Coast Guard's Thirteenth District have given me a unique perspective from the top of the organization at the strategic level to the tactical level in the execution and support of Coast Guard missions.

If confirmed, I would be honored to continue to serve our nation as a Rear Admiral in order to continue to improve the Coast Guard's service to the nation through strategy development, strong partnerships within DHS and the interagency and development of policy and resources to better serve the American public through our amazing Coast Guard people and their families.

18. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

If confirmed, I will ensure the programs, assets, policies and personnel provide best value to the American people and that we have a transparent system and internal controls to ensure proper accounting for our the scarce resources and appropriate investment in our people and platforms without unnecessary risk. Senior executives are responsible to provide vision, develop strategy and ensure efficient execution of the Coast Guard's missions; if confirmed I look forward to serving in this capacity.

My previous position as the Force Readiness Commander for the Coast Guard has allowed me to lead a large organization consisting of 41 units across 17 states that impacted every mission and member of the service through training and leadership development, readiness assessments, exercise support and development of tactics, techniques and procedures for the service. With a total complement of approximately 3000 people, we ensured mission success by not only ensuring technical competency through training, but by developing leaders for the future. From our accession training at Boot Camp in Cape May and Officer Candidate School in New London to the advanced training for our officers and enlisted members at our major training centers, the Force Readiness Command efficiently ensured our workforce is Semper Paratus – Always Ready - for the challenges today and into the future.

Previously serving as the Executive Assistant (EA) to the Vice Commandant of the Coast Guard, and then as the EA to the Commandant, I have had the privilege of serving the two highest ranking members of the Coast Guard. In these positions, I was able to make a positive impact at the service level through implementation of the Commandant's vision and strategy, and being able to lead, coordinate and collaborate on acquisition, policy, resource and operational matters.

What do you believe to be the top three challenges facing the department/agency, and why?

The top three challenges facing the Coast Guard are aging operational assets, reduced personnel strength, and aging support infrastructure.

While the Coast Guard has the National Security Cutters and the Fast Response Cutters in production, the fleet of Medium Endurance Cutters have exceeded their service life (some as old as 50 years). The award of the Offshore Patrol Cutter acquisition is great news for the Coast Guard, but it will still be several years before they will be in service. The service life for most of the aviation fleet has been extended, and while the addition of the C-27J to the fleet will greatly assist in filling the Maritime Patrol Aircraft gap in protecting our borders, the rotary wing (helicopter) fleet will need to be recapitalized in the next 10-15 years. We currently only have one heavy ice breaker, and with the changes in the Arctic, having a second heavy ice breaker needs to be a national priority to assert sovereignty and respond to incidents resulting from increased traffic in the Polar regions. Further, the Coast Guard has a fleet of inland tenders which have also far exceeded their service life, and with the current changes in domestic energy production, the traffic on the inland rivers will be increasing. For example, the Columbia River system is the number one export gateway for wheat, number two for soy and minerals in the nation, generating over \$24 billion in economic impact for the nation. The CG is responsible for ensuring safe navigation for this critical waterway, and our buoy tenders are a key element of safeguarding commerce. Recapitalizing the operational Coast Guard is essential for the safety and security of the United States – from the Arctic, to the inland rivers, to interrupting criminal networks in the transit zone shipping illegal drugs, weapons, or migrants.

In order to operate a modernized fleet of ships and aircraft, the Coast Guard will continue to need highly trained people who understand the systems they operate and the environment they are operating in. The current trend line in our overall personnel strength has reduced the Coast Guard by over 2900 people since 2009. Our people must have the time, training, and tools to be able to be proficient in their jobs. As the resources for personnel continue to be constrained, proficiency suffers and operational risk increases, as well as the consequences of increasing risk. The Coast Guard must increase personnel strength in order to maintain a professional, proficient workforce to conduct our daily missions as well as surge for contingency operations, such as from the consequences of hurricanes, oil spills or other significant maritime events.

Finally, our people and their families need to have appropriate support systems and infrastructure including efficient and effective shore facilities, training centers, secure IT infrastructure and safe housing, proper healthcare and affordable childcare in order for them to best serve our nation. Shore infrastructure, IT and housing investment have historically lagged behind

recapitalization of our ships, boats and aircraft. However, the ability of our workforce to accomplish their mission in an effective manner is often degraded because of the inadequate shore facilities or outdated IT infrastructure. With the current cyber threat continuing to increase, investing in our IT infrastructure will become a survival exercise throughout the federal government. Coast Guard families often are assigned to high cost, coastal communities where our units are properly positioned. I am concerned that housing allowances, access to healthcare and affordable childcare are often inadequate in many of these locations.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain. **No.**
3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. **None.**
4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. **None.**
5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. **None.**
6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain. **No.**
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain. **No.**
3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain. **No.**
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain. **No.**
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

After I initially submitted my nomination questionnaire, I became aware that a former Officer Candidate, who was disenrolled from the Officer Candidate program for engaging in an inappropriate relationship, disobeying an order to not discuss the investigation, and knowingly making false official statements to impede the investigation, initiated a Military Civil Rights Complaint alleging that her discharge was motivated by discrimination based on sex and sexual orientation. I served as the Commander, Force Readiness Command. The Commanding Officer of the Leadership Development Center reported to me. The Commanding Officer of the Leadership Development Center made a recommendation to discharge the Officer Candidate based on misconduct found during the investigation. As the Commander, Force Readiness Command I concurred in the recommendation and the Officer Candidate was subsequently discharged. The Complainant's allegation of discrimination was investigated and, based on a review of the investigative file, the Office of Civil Rights issued a Final Agency Decision finding that the facts and evidence did not support the claims of discrimination."

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement? **No.**
7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination. **None.**

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees? **Yes.**
2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures? **Yes.**
3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee? **Yes.**
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so? **Yes.**

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

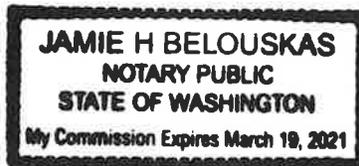
David G. Throop, being duly sworn, hereby states that he has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this 14th day of November, 2017.

Jamie H Belouskas
Notary Public
City: Seattle
State: Washington





Rear Admiral David G. Throop
Commander
Thirteenth Coast Guard District
U.S. Coast Guard



Rear Admiral David Throop serves as the Commander of the Thirteenth Coast Guard District headquartered in Seattle. He is responsible for all Coast Guard operations throughout the Pacific Northwest which includes protecting life and property, enforcing federal laws and treaties, preserving the living marine resources, and promoting national security. The Thirteenth District spans over the states of Washington, Oregon, Idaho, and Montana and includes more than 4,400 miles of coastline, 600 miles of inland waterways, and a 125 nautical mile international border with Canada.



In order to accomplish the Coast Guard's missions in the Pacific Northwest, the Thirteenth District is home to approximately 1,746 active duty, 133 civilian, and 456 reserve personnel, three Sectors, three Air Stations, a Marine Safety Unit, a Maritime Force Protection Unit, 15 multi-mission small boat stations, four Aids to Navigation Teams, 12 patrol boats, and three Aids to Navigation Cutters. In addition to maintaining operational control over all Coast Guard activities in the district, Rear Admiral Throop is responsible for cultivating efficient and effective relationships with numerous other federal, state, and local agencies, elected officials, the tribal nations located in the Pacific Northwest, and with the Coast Guard's international counterparts.

Earning his naval aviator wings in 1988 at Naval Flight Training in Pensacola, Florida, his operational aviation assignments include tours as the Commanding Officer of Air Station Cape Cod, Massachusetts; Commanding Officer of Air Station Traverse City, Michigan; Operations and Executive Officer at Coast Guard Air Station Savannah, Georgia; H65 helicopter standardization and instructor pilot at the Coast Guard's Aviation Training Center in Mobile, Alabama; and as a duty pilot at Coast Guard Group/Air Station San Diego, California.

His other assignments include Commander of Coast Guard Force Readiness Command (FORCECOM), Executive Assistant to the Commandant, Executive Assistant to the Vice Commandant, Chief of officer personnel at the Coast Guard Personnel Service Center, a policy analyst for the Office of Plans, Policy and Evaluation at Coast Guard Headquarters; platform manager for the C130J aircraft during initial acquisition and as a Deck Watch Officer aboard the Coast Guard Cutter Vigilant (WMEC-617) in New Bedford, Massachusetts.

A native of Burton, Michigan, RDML Throop graduated from the U.S. Coast Guard Academy with a Bachelor of Science degree in Civil Engineering. He has a Master of Public Administration from the John F. Kennedy School of Government at Harvard University and a Master of Arts in National Security and Strategic Studies from the Naval War College.

His personal awards include three Legions of Merit, five Meritorious Service Medals with "O" device, the Coast Guard Commendation Medal, the Army Commendation Medal, the Air Force Commendation Medal, the Coast Guard Achievement Medal, and various unit and service commendations. He has accumulated over 3,900 flight hours and has an FAA commercial pilot's license in both fixed wing and rotary wing aircraft.

Rear Admiral David G. Throop

Current Assignment

April 2015 – Present Commander, Force Readiness Command

Assignment History

May 2014 – March 2015 Executive Assistant to the Commandant
June 2013 – May 2014 Executive Assistant to the Vice Commandant
April 2010 – June 2013 Commanding Officer, Air Station Cape Cod
May 2008 – April 2010 Chief, Officer Personnel, Personnel Support Command
July 2007 – May 2008 Assistant Chief, Officer Personnel, Personnel Support Command
June 2005 – June 2007 Commanding Officer, Air Station Traverse City
June 2001- June 2004 Operations/Executive Officer, Air Station Savannah
June 1998 – May 2001 CG HQ, Plans, Policy and Evaluation, Analyst; C130J PORD
June 1992 – May 1998 Aviation Training Center Mobile, AL, H65 Branch Instructor Pilot
August 1988 – May 1992 Group/Air Station San Diego, H65 Duty Pilot
February 1987 – June 1988 Naval Flight Training, Pensacola, FL
June 1985 – February 1987 Coast Guard Cutter VIGILANT, Deck Watch Officer

Educational Summary

1981-1985 US Coast Guard Academy, Bachelor Degree in Civil Engineering
1997-1998 Harvard, John F. Kennedy School of Government, Master Degree
in Public Administration
2004-2005 Naval War College, Master Degree in National Security and
Strategic Studies

Military Personal Awards and Significant National Recognition or Achievement

2014, 2015 Legion of Merit
2001, 2004, 2007, 2010 & Meritorious Service Medal (5 total)
2013
2001 Coast Guard Commendation Medal
2013 Army Commendation Medal
2013 Air Force Commendation Medal
2005 Global War on Terror Service Medal
1997 Coast Guard Achievement Medal
1991, 2002 National Defense Service Medal
Various Service Medals and Ribbons

Significant Current Professional Credentials or Recent Activities

2013 FAA Commercial Pilot's license in fixed and rotary wing aircraft,
Multi-engine instrument rating and Falcon 20 certification
2015 CAPSTONE Class 15-4, NDU