

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Nathan A. Moore (Nate)

2. Position to which nominated: Rear Admiral Lower Half (O-7)

3. Date of Nomination: 23 October 2017

4. Address (List current place of residence and office addresses):

[REDACTED]

5. Date and Place of Birth: 05 Sep 1970, Akron, OH

Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Susan J. Moore – Teacher at Bishop O’Dowd High School, Oakland, CA

[REDACTED]

6. List all college and graduate degrees. Provide year and school attended.

U.S. Coast Guard Academy (1992) - Bachelor of Science in Naval Architecture and Marine Engineering

University of Michigan (1998) – Masters of Science in Naval Architecture and Marine Engineering

University of Michigan (1998) – Masters of Business Administration

National Defense University (2013) – Eisenhower School, Masters of Science in National Resource Strategy

7. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

All of my post-graduate employment is with the United States Coast Guard. A list of assignments I have held is included in my official Coast Guard biography and chronology of work history is attached.

8. Attach a copy of your resume.

My official Coast Guard biography is attached

9. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None

10. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

I am a trustee, along with my wife, on a family trust that we created for the benefit of our children.

11. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. *Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.*

President, Bay Area Surface Navy Association (2016-present)
Member, U.S. Coast Guard Academy Alumni Association (1992-present)
Member, Eisenhower School Alumni Association (2013-present)
Member, U.S. Naval Institute (1996-present)
Member, American Society of Naval Engineers (1998-present)
Member, National Ship Structures Committee (2013-2015)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age or disability.

12. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

13. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None

14. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Legion of Merit Medal (1)

Meritorious Service Medal (5)

USCG Commendation Medal (2)

2017 - Intelligence Meritorious Unit Commendation - DNI

2015 - D11 "Top Seizure" Award – SPSS seizure of 16,876lbs cocaine

2015 - Coast Guard Foundation, Salute to the Coast Guard Unit Award

1997 - Benford/Zimmie Scholar – University of Michigan

15. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

2014 – Published Article, "U.S. Government's Role in the Ship Repair"- ASNE Symposium

2009 – Published Article, "The Coast Guard Should Get a Break," USNI Proceedings

16. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None

17. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

The Coast Guard is a sea going service and I am a career shipboard officer and naval engineer. My tours in command of ships at sea and assignments working to maintain and repair them in port have positioned me well for senior leadership in the Coast Guard. For example, my most recent experience commanding a National Security Cutter conducting counternarcotics operations directly aligns with the Coast Guard's Western Hemisphere Strategy. I also have extensive formal education in engineering and business management as well as practical leadership experience on staff and in the wardroom of five ships. As the Coast Guard continues to roll out new ships and boats in our effort to recapitalize the fleet, my education and experience will be invaluable.

I am honored to have been selected and nominated for the position of rear admiral lower half. After almost 25 years in the Coast Guard, I have a strong commitment to our people and to our mission. Being confirmed to the rank of admiral will position

me to make lasting contributions to the betterment of the organization at the highest levels. I am honored to have been selected and nominated and am dedicated to continuing to serve at the executive level.

18. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

The Coast Guard must continue to focus on maintaining the public trust that we have earned over decades of faithful service. Proper controls and management of business processes are critical to this effort. In my previous assignment as chief of naval engineering of the Coast Guard, I was responsible for all ship maintenance and repair including our only organic shipyard, the Coast Guard Yard in Baltimore, Maryland. This extensive enterprise of over 2,500 workers completed hundreds of millions of dollars of maintenance and repair contracts and included inventory control points with over \$150 million in inventory. My experience running that large organization, including the contracting and inventorying functions, provided me invaluable experience for positions of even greater responsibility. As an engineer and process driven thinker, I clearly understand that proper management and accounting controls are essential to efficient operations and will work to ensure they are maintained in the Coast Guard.

19. What do you believe to be the top three challenges facing the department/agency, and why?

Supporting our people and their families is a top priority. Coast Guard men and women are our most important assets, but we must continue to provide programs and policies that support their needs. Pay, allowances, healthcare, benefits, and family programs are all vitally important to maintaining a strong and healthy workforce.

Recapitalizing our fleet of ships and aircraft will remain a challenge moving forward. The Coast Guard has experienced success fielding new assets, but we continue to operate an aging fleet of ships and aircraft that need to be replaced. The Coast Guard's shore facilities are also badly in need of recapitalization in many places after years of unfunded maintenance and repairs have taken their toll.

Addressing critical deficiencies in cyber security is essential to our operational success in the future. As systems become more automated and interconnected, the need for cyber security will become even more important. Operations of port security systems and security of online communications systems are just two examples where defense against hacking and a coordinated response in the event of an attack is needed to ensure the execution of our missions.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. Affidavit

NATHAN A. MOORE being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this 24 day of October, 20 17.



Notary Public

R. SUMITRIA WHITE
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires January 14, 2018





Captain Nathan A. Moore

Chief of Staff
Pacific Area
U.S. Coast Guard



Captain Moore reported as Pacific Area Chief of Staff following assignment as commanding officer of USCGC STRATTON, where the STRATTON's primary missions included Law Enforcement (Counter Narcotics and Living Marine Resources), Search and Rescue, Defense Operations and Homeland Security.

Captain Moore was born in Ohio and raised in Gadsden, Alabama. He graduated from the United States Coast Guard Academy with a Bachelor of Science degree in Naval Architecture and Marine Engineering and was commissioned in May 1992.



His first assignment was as an Engineer Officer in Training (EOIT) and Damage Control Assistant (DCA) onboard USCGC POLAR STAR (WAGB-10) in Seattle, WA. From 1994–1996, CAPT Moore was a ship stability instructor at the Navy Surface Warfare Officer School in Newport, Rhode Island. From 1996–1998, he attended the University of Michigan where he earned both a Master's of Science in Naval Architecture and Marine Engineering and a Masters of Business Administration

His subsequent assignment was as the Engineer Officer onboard USCGC HARRIET LANE (WMEC 903) in Portsmouth, Virginia. In 2001, Captain Moore reported to the Coast Guard Personnel Command Center in Washington, DC where he served as Chief of the Officer Promotion Boards Section. From 2004-2006 Captain Moore served as the Executive Officer of the USCGC VENTUROUS (WMEC 625) in St. Petersburg, Florida.

From 2006-2008 Captain Moore was the Commanding Officer of Naval Engineering Support Unit Honolulu, Hawaii. He then served as Commanding Officer of USCGC RESOLUTE (WMEC 620) in St Petersburg, Florida from 2008-2010. From 2010-2012 he served as the Chief of the Officer Assignment Branch (OPM-2) at the Personnel Services Center in Arlington, Virginia. Following completion of a year of Senior Service School at the Eisenhower School where he earned a Master's of Science in National Resource Strategy, he served from 2013-2015 as the Chief, Office of Naval Engineering at Coast Guard Headquarters.

Captain Moore's personal awards include four Meritorious Service Medals, two Coast Guard Commendation Medals, the Navy Commendation Medal, and the Coast Guard Achievement Medal. He has been a registered Professional Engineer in the state of Michigan since 1999.

REAR ADMIRAL Nathan A. Moore

Current Assignment

Jun 17 – Present Pacific Area, Chief of Staff/CAPT

Assignment History

Jun 15 – Jun 17 USCGC STRATTON, Commanding Officer/CAPT
Jul 13 – Jun 15 CG-45, Chief, Office of Naval Engineering/CAPT
Aug 12 – Jun 13 Eisenhower School (formerly ICAF) DUINS/CAPT
Jul 10 – Jul 12 PSC-opm-2, Officer Assignment Branch Chief/CDR
May 08 – Jun 10 USCGC RESOLUTE, Commanding Officer/CDR
Jun 06 – May 08 NESU Honolulu, Commanding Officer/LCDR
Jul 04 – Jun 06 USCGC VENTUROUS, Executive Officer/LCDR
Jul 01 – Jul 04 PSC-opm-1, Boards Section Chief/LCDR
Jun 98 - Jul 01 USCGC HARRIET LANE, Engineer Officer/LT
Jun 96 – May 98 University of Michigan, Duty Under Instruction/LT
May 94 – Jun 96 USN Surface Warfare Officers School Instructor/LTJG
May 92 – May 94 USCGC POLAR STAR, Student Engineer/ENS

Educational Summary

2013 ICAF/Eisenhower, Master of Science in National Resource Strategy & JPME-2
2012 Air Command and Staff College, Non-Resident Course Graduate & JPME-1
1998 University of Michigan, Master of Science in Naval Arch/Marine Engineering
1998 University of Michigan, Master of Business Administration
1992 USCGA, Bachelor of Science in Naval Arch/Marine Engineering

Military Personal Awards and Significant National Recognition or Achievement

Medals - Legion of Merit (1), Meritorious Service (5), USCG Commendation (2)
2017 Intelligence Meritorious Unit Commendation - Director of National Intelligence
2015 D11 "Top Seizure" Award – SPSS seizure of 16,876lbs cocaine
2015 Coast Guard Foundation, Salute to the Coast Guard Unit Award
1997 Benford/Zimmie Scholar – University of Michigan

Significant Current Professional Credentials or Recent Activities

2016 President, Bay Area Surface Navy Association
2014 Published, "U.S. Government's Role in the Ship Repair"- ASNE Symposium
2014 Fleet Engineering Executive Member, National Ship Structures Committee
2009 Level II Acquisition Certification: Program Management
2009 Published, "The Coast Guard Should Get a Break," USNI Proceedings
2004 Certified Level I Contracting Warrant, 2004
2001 Member, U.S. Naval Institute
2000 Member, American Society of Naval Engineers (ASNE)
1999 Professional Engineer License (Mechanical), State of Michigan
1995 Certified U.S. Navy Master Training Specialist, 1995