Senate Commerce Committee Coast Guard Nominee Questionnaire 117th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

William Gamble Dwyer III (Bill)

2. Position to which nominated:

Rear Admiral (Lower Half), U.S. Coast Guard

- 3. Date of Nomination: 13 October 2022
- 4. Address (List current place of residence and office addresses):
- Office: U.S. Coast Guard Headquarters Office of Maritime and International Law 2703 Martin Luther King Jr. Ave SE Washington, D.C. 20593

Home:

5. Date and Place of Birth:

11/18/1972, Somerville, NJ

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Erin W. Dwyer, English Teacher, Pembroke Pines Charter High School

7. List all college and graduate degrees. Provide year and school attended.

U.S. Coast Guard Academy, BS Government (1995) Rutgers Law School, JD (2004) St. Peter's College, MBA (2010) Naval Post Graduate School, MA (2013) U.S. Army War College, MA (2015)

8. List all post-undergraduate employment, and highlight all managementlevel jobs held and any non-managerial jobs that relate to the position for which you are nominated.

a. Aug 21-Present, Office Chief Maritime and International Law, USCG Headquarters (Managerial)

b. Aug 17-July 21, US Southern Command, Staff Judge Advocate (Chief Counsel), (Managerial)

c. July 15-July 17, US Indo-Pacific Command, Deputy Staff Judge Advocate (Managerial)

d. July 14-Jun 15, US Army War College, Student

e. Aug 11-Jun 14, USCG 17th District, Staff Judge Advocate (Managerial)

f. Jun 10-July 11, US Africa Command, Office of Legal Counsel Operational Law Division (Managerial)

g. Oct 08-Jun 10, USCG 17th District, Deputy Staff Judge Advocate (Managerial)

h. Aug 07- Oct 08, USCG Sector Long Island Sound, Waterways Management (Reserve Mobilization) (Managerial)

i. Jan 06-Aug 07, USCG Headquarters RPP-4, COOP/COG Planner (Managerial)

j. July 04-Dec 05 Healy and Baillie, LLP, Associate Attorney

k. Feb 03-Dec 05, USCG Sector New York, UCC Watch Supervisor (Reserve Mobilization) (Managerial)

1. Sep 01-Feb 03, USGC Air Station Atlantic City, Public Affairs Officer (Reserve)

m. Mar 00-Aug 04 Port Authority of NY/NJ, Management Associate (Managerial)

n. Sep 00-March 01, Sales and Marketing, Utility Trailer Manufacturing (Managerial)

o. Oct 98- Sep 00, USCGC WALNUT (WLB 305), Dive Officer (Managerial)

p. Feb 98-Oct 98, US Navy Diving and Salvage Training Center, Dive Student

q. June 97-Jan 98, Navy Flight School Student (medically disenrolled)
r. Sep 95-May 97, USCGC BASSWOOD (WLB 388), Deck Watch Officer (Managerial)

s. May 95-Sep 95, USCGA, Summer Leadership Ensign (Managerial)

t. I have served as a member of the U.S. Coast Guard since May 1995. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

A copy of my official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

a. Adjunct Professor- Texas A&M (2016-Present)

b. Adjunct Professor of Law- University of Miami Law School (2018-Present)

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political,

professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

a. Army Navy Club (member 1995-present)

b. Washington Campground Association (member 2020-present)

c. U.S. Coast Guard Academy Alumni Association (member 1995present)

- d. Veterans of Foreign Wars (member 2022-present)
- e. American Legion (not currently active member in 2002-2004)
- f. Army War College Foundation (member 2015-present)

g. Naval Postgraduate School- Center for Homeland Defense and Security (alumni board member 2018-2021)

- h. New York Bar (member 2004-present)
- i. New Jersey Bar (member 2004-present)

None of the clubs or organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

None.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Defense Superior Service Medal Defense Meritorious Service Medal (2) Meritorious Service Medal (3) USCG Commendation Medal USCG Achievement Medal Joint Service Achievement Medal Overseas Service Medal, Reserve Mobilization Service Medal National Defense Service Medal Secretary of Defense's Strategic Writing Award 2015 (3rd Place) Judge Advocate Association, Career Judge Advocate Award 2013

- 17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.
 - a. "China's Strategic Interest in the Arctic", US Army War College Quarterly 2016 <u>https://press.armywarcollege.edu/cgi/viewcontent.cgi?article=1201&c</u> <u>ontext=articles_editorials</u>
 - b. "New Frontier in the Last Frontier" CG Proceedings, Winter 2013
- 18. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an "alias" or "handle" you have used on each of the named platforms. Indicate whether the account is active,

deleted, or dormant. Include a link to each account if possible.

Linked-In (active) https://www.linkedin.com/mwlite/in/w-d-890a98120

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

2013 Military Justice Sexual Assault Response Systems Panel

20. Given the current mission, major programs, and major operational objectives of the Coast Guard, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am a career Coast Guard Officer with experience afloat and ashore executing Coast Guard missions and national security operations for our nation. I have focused the last 18 years of my career on the practice of law in the military as a Judge Advocate. I have served from the tactical to the strategic level as a Staff Judge Advocate/legal advisor at various levels up to Principal Legal Adviser to a Combatant Commander. I have built strong teams throughout my career to successfully execute Coast Guard missions and broader national security objectives. I have the experience, legal acumen, and leadership skills to lead at the highest level of the service. I wish to continue serving the Coast Guard so I can advance the important work the service does for the nation as well as leading young Americans who choose to answer the call to service.

21. What do you believe are your responsibilities, if confirmed, to ensure that the Coast Guard has proper management and accounting controls, and what experience do you have in managing a large organization?

As a public servant and a commissioned officer, it is critical to ensure the best use of the resources provided and maintain the public trust. I have led large teams at the highest levels of the USCG and Department of Defense, both as an officer and judge advocate, to provide the best legal advice in the execution of U.S. national security interests.

- 22. What do you believe to be the top three challenges facing the Coast Guard, and why?
 - a. Recruitment- In order to remain the world's best Coast Guard, the USCG needs to attract young Americans to serve their country in a challenging and rewarding environment.
 - b. Mission Execution- There is a growing global demand for Coast Guard technical assistance, expertise and operations while there remains a requirement for Coast Guard assets and personnel to execute statutory missions in the United States. The Coast Guard needs to ensure we properly manage and maintain the limited resources it has to remain the invaluable and reliable service the American people rely upon.
 - c. Talent Management- The Coast Guard should be flexible to the needs of the modern workforce (civilian and military) while maintaining standards and mission execution. As a military service, we must look to maintain "good order and discipline" within the service to maintain public confidence.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR. I continue to be invested in the NY State Deferred Compensation Plan (457(b)) listed in Part 2. No. 1 of my PFDR. This account was opened in 2001 and is valued at a state of I will accept compensation through this plan once I reach retirement age. I no longer actively contribute to this plan, but the plan remains in place based upon my prior non-federal employment. The plan is independently managed by Fidelity Investment Company. I have been advised by Coast Guard ethics counsel that my participation in this deferred compensation plan does not pose a conflict of interest with my federal position.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

I am an adjunct professor at Texas A&M and University of Miami. I have received ethics advice from Coast Guard ethics counsel on these outside paid positions and was advised that no actual or apparent conflict of interest or other ethics concern exists with my continued participation.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but should one arise I will obtain advice from a Coast Guard ethics official and will follow that advice.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest. None, but should one arise I will obtain advice from a Coast Guard ethics official and will follow that advice.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None, but should one arise I will obtain advice from a Coast Guard ethics official and will follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

C. LEGAL MATTERS

- Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, an Inspector General, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

Fined for underage drinking in 1991 in Cedar Grove, NJ. This was before attending the U.S. Coast Guard Academy.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of race, color, national origin, religion, sex (including gender identity, sexual orientation, and

pregnancy), age, disability, genetic information, maritial status, parental status, political affiliation, engagement in a protected Equal Employment Opportunity/Equal Opportunity (EEO/EO) activity, whistleblower activity, or any other basis? If so, please explain.

No.

6. If you have ever served as a general court-martial convening authority involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

 Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, in accordance with law and DHS policy and guidance.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request?

Yes, in accordance with law and DHS policy and guidance.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

with Durce being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

WC Vyra

Signature of Nominee

Subscribed and sworn before me this 14th day of October, 2022 AUTHORIZED TO ACT AS A NOTARY PUBLIC UNDER THE PROVISIONS OF SECTION 1044a OF TITLE 10 OF THE UNITED STATES CODE NO SEAL REQUIRED BY LAW otary Public STA



CAPTAIN Bill Dwyer

CAPT Dwyer is currently serving as the Chief of Maritime and International Law for the US Coast Guard. He oversees a team of legal advisors providing real time operational legal advice to support global operations. In this role, he also heads the US delegation to IMO for the Legal Committee. He previously served as the first USCG officer in the position of the Staff Judge Advocate (General Counsel) for U.S. Southern Command in Doral Florida. In this role, he served as the principal legal advisor for the DoD's Geographic Combatant Commander.



Formerly, he served as a Deputy Staff Judge Advocate, Operations

Law Attorney and the Chief of Legal Engagements for US Indo-Pacific Command, Camp Smith, Hawaii. In this role, he advised on a variety of operational issues involving the South China Sea and North Korea. He also led numerous legal engagements throughout the Indo-Asia-Pacific to advance the Rule of Law. Prior to that, he served as the Seventeenth District SJA in Juneau, Alaska. His duties included advising on operations, maritime security, military justice, and international law for Coast Guard units in Alaska and the Arctic. Earlier, he served in the Office of Legal Counsel, US Africa Command in Stuttgart, Germany. He provided operational legal advice on a variety of areas including piracy, maritime security, and targeting. Additionally, Captain Dwyer served as a legal advisor for Operation Odyssey Dawn in Libya. He conducted legal engagements and training throughout the African Continent. Prior to that, he served as the Deputy Staff Judge Advocate in Alaska serving as a legal advisor for Arctic Shield amongst other response operations.

M.A. 2015, Army War College
M.A. 2013, Naval Postgraduate School
M.B.A. 2010, Saint Peter's College
J.D. 2004, Rutgers Law School
B.S. 1995, U.S. Coast Guard Academy
U of Miami, Adjunct Faculty, J.D. Program
Texas A&M, Bush School, Adjunct Faculty

Captain William Gamble Dwyer III, USCG

Education

United States Coast Guard Academy, New London, CT Major: Government Bachelor of Science, May 1995

Rutgers School of Law, Newark, NJ Juris Doctor, May 2004 Admitted in NY and NJ

St. Peter's University, Jersey City, NJ Master of Business Administration, May 2010

Naval Postgraduate School, Monterey, CA Thesis: U.S Arctic Policy Analysis Master of Security Studies in Homeland Defense, September 2013

U.S. Army War College SRP Master of Strategic Studies, May 2015 SEC

SRP: China's Arctic Policy SECDEF Essay Award 3RD Place

Assignment History

Aug 21- Present Chief, Maritime and International Law (CG-LMI), Washington, DC July 17 –Aug 21 Staff Judge Advocate, US Southern Command, Doral, FL Jul 15- July 17 Deputy Staff Judge Advocate US Pacific Command, Camp Smith, HI Jul 14-June 15 Senior Service School, US Army War College, Carlisle, PA Aug 11-Jul 15 Staff Judge Advocate, USCG 17th District, Juneau, Alaska June 10-Aug 11 Operational Law Attorney, US Africa Command, Stuttgart, Germany Jul 08-June 10 Deputy Staff Judge Advocate, USCG 17th District, Juneau, Alaska Sep 07-Jul 08 Environmental Legal Advisor, USCG Sector Long Island Sound Jan 06-Sep 07 Deputy Office Chief COOP/COG, USCG HQ, Washington, DC Oct 02-Jan 06 Command Center Homeland Security Watch Supervisor- USCG Sector New York Sep 00-Oct 02 Public Affairs Officer Air Station Atlantic City, NJ Oct 98-Sep 00 Dive Officer/ Deck Watch Officer, USCGC WALNUT, Honolulu, HI Jan 98-Oct 98 US Navy Dive School, Panama City, FL May 97-Jan 98 Flight Training (Medically Disqualified) Sep 95-May 97 Deck Watch Officer, USCGC BASSWOOD, Guam

May 95-Sep 95 Summer Ensign, Leadership Instructor USCGA, New London, CT