Luján_5 (as modified)

Ben Ray Luján

| AM | IENDMENT NO Calendar No | |
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| Pu | rpose: To amend sections 3 through 114 of the bill, and for other purposes. | |
| IN | THE SENATE OF THE UNITED STATES—117th Cong., 1st Sess. | |
| | S. 1260 | |
| То | To establish a new Directorate for Technology and Innovation in the National Science Foundation, to establish a regional technology hub program, to require a strategy and report on economic security, science, research, innovation, manufacturing, and job creation, to establish a critical supply chain resiliency program, and for other purposes. | |
| R | eferred to the Committee on and ordered to be printed | |
| | Ordered to lie on the table and to be printed | |
| M ar Viz | AMENDMENT intended to be proposed by r. Luján (for himself and Mrs. Blackburn, Mrs. Cantwell, Mrs. Capito, Mrs. Duckworth, and Mr. Thune). | |
| 1 | Strike sections 3 through 114 and insert the fol- | |
| 2 | lowing: | |
| 3 | SEC. 3. SENSE OF CONGRESS. | |
| 4 | It is the sense of Congress that— | |
| 5 | (1) the National Science Foundation, the De- | |
| 6 | partment of Energy and its National Laboratories | |
| 7 | (as defined in section 2 of the Energy Policy Act of | |
| 8 | 2005 (42 U.S.C. 15801)), and other key Federal | |

1 agencies have carried out vital work supporting basic 2 and applied research to create knowledge that is a 3 key driver of the economy of the United States and 4 a critical component of national security; 5 (2) openness to diverse perspectives and a focus 6 on freedom from censorship and political bias will 7 continue to make educational and research institu-8 tions in the United States beacons to thousands of 9 students from across the world; 10 (3) increasing research and technology transfer 11 investments, building regional capacity and reducing 12 geographic disparity, strengthening supply chains, 13 and increasing capabilities in key technology focus 14 areas will enhance the competitive advantage and 15 leadership of the United States in the global econ-16 omy; 17 (4) the Federal Government must utilize the 18 full talent and potential of the entire Nation by 19 avoiding undue geographic concentration of research 20 and education funding, encouraging broader partici-21 pation of populations underrepresented in STEM, 22 and collaborating with non-government partners to 23 ensure the leadership of the United States in techno-24 logical innovation; and

| 1 | (5) authorization and funding for investments |
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| 2 | in research, education, technology transfer, intellec- |
| 3 | tual property, manufacturing, and other core |
| 4 | strengths of the United States innovation ecosystem |
| 5 | should be done on a bipartisan basis. |
| 6 | SEC. 4. INTERAGENCY WORKING GROUP. |
| 7 | (a) Establishment.—The Director of the Office of |
| 8 | Science and Technology Policy, acting through the Na- |
| 9 | tional Science and Technology Council, shall establish or |
| 10 | designate an interagency working group to coordinate the |
| 11 | activities specified in subsection (d). |
| 12 | (b) Composition.—The interagency working group |
| 13 | shall be composed of the following members (or their des- |
| 14 | ignees), who may be organized into subcommittees, as ap- |
| 15 | propriate: |
| 16 | (1) The Secretary of Commerce. |
| 17 | (2) The Director of the National Science Foun- |
| 18 | dation. |
| 19 | (3) The Secretary of Energy. |
| 20 | (4) The Secretary of Defense. |
| 21 | (5) The Director of the National Economic |
| 22 | Council. |
| 23 | (6) The Director of the Office of Management |
| 24 | and Budget. |

| 1 | (7) The Secretary of Health and Human Serv- |
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| 2 | ices. |
| 3 | (8) The Administrator of the National Aero- |
| 4 | nautics and Space Administration. |
| 5 | (9) The Secretary of Agriculture. |
| 6 | (10) The Director of National Intelligence. |
| 7 | (11) The Director of the Federal Bureau of In- |
| 8 | vestigation. |
| 9 | (12) Such other Federal officials as the Direc- |
| 10 | tor of the Office of Science and Technology Policy |
| 11 | considers appropriate, including members of the Na- |
| 12 | tional Science and Technology Council Committee on |
| 13 | Technology. |
| 14 | (c) ACTIVITIES.—The interagency working group |
| 15 | shall— |
| 16 | (1) by not later than 180 days after the date |
| 17 | of enactment of this Act— |
| 18 | (A) conduct an initial review of Federal |
| 19 | programs and resources with respect to the key |
| 20 | technology focus areas identified pursuant to |
| 21 | section 5(a), in order to— |
| 22 | (i) assess current level of efforts and |
| 23 | characterize existing research infrastruc- |
| 24 | ture, as of the date of the review; |
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| 1 | (11) ensure that awards and research |
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| 2 | infrastructure investments authorized by |
| 3 | this Act are allocated and prioritized based |
| 4 | on the findings of the assessment under |
| 5 | clause (i); and |
| 6 | (iii) identify potential cross-agency |
| 7 | collaborations and joint funding opportuni- |
| 8 | ties; and |
| 9 | (B) submit a report regarding the review |
| 10 | described in subparagraph (A) to Congress; and |
| 11 | (C) seek stakeholder input and rec- |
| 12 | ommendations in the course of such review; |
| 13 | (2) shall carry out the annual reviews and up- |
| 14 | dates required under section 5; and |
| 15 | (3) shall, in coordination with the Office of |
| 16 | Management and Budget, submit as part of the an- |
| 17 | nual budget request to Congress a detailed descrip- |
| 18 | tion of the activities to be funded under this Act, in- |
| 19 | cluding an explanation of how the requested funding |
| 20 | is complementary and not redundant of programs, |
| 21 | efforts, and infrastructure undertaken or supported |
| 22 | by other relevant Federal agencies. |
| 23 | (d) Conflicts.—If any conflicts between Federal |
| 24 | agencies arise while carrying out the activities under this |

| 1 | section, the President shall make the final decision regard- |
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| 2 | ing resolution of the conflict. |
| 3 | SEC. 5. KEY TECHNOLOGY FOCUS AREAS. |
| 4 | (a) In General.— |
| 5 | (1) Initial list.—The initial key technology |
| 6 | focus areas are: |
| 7 | (A) Artificial intelligence, machine learn- |
| 8 | ing, autonomy, and related advances. |
| 9 | (B) High performance computing, semi- |
| 10 | conductors, and advanced computer hardware |
| 11 | and software. |
| 12 | (C) Quantum information science and |
| 13 | technology. |
| 14 | (D) Robotics, automation, and smart man- |
| 15 | ufacturing. |
| 16 | (E) Natural and anthropogenic disaster |
| 17 | prevention or mitigation. |
| 18 | (F) Advanced communications technology |
| 19 | and immersive technology. |
| 20 | (G) Biotechnology, medical technology, |
| 21 | genomics, and synthetic biology. |
| 22 | (H) Cybersecurity, data storage, data man- |
| 23 | agement, and distributed ledger technologies. |

| 1 | (I) Advanced energy generation, trans- |
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| 2 | mission, and storage, alternative fuels, and en- |
| 3 | ergy efficiency. |
| 4 | (J) Advanced materials science, including |
| 5 | composites and 2D materials. |
| 6 | (2) REVIEW AND UPDATES.—The interagency |
| 7 | working group established under section 4 shall an- |
| 8 | nually review, and update as required, the list of key |
| 9 | technology focus areas for purposes of this Act. |
| 10 | (b) Annual Review.—In annually reviewing and |
| 11 | updating (as necessary) the list of key technology focus |
| 12 | areas, the interagency working group established under |
| 13 | section 4— |
| 14 | (1) shall coordinate with and consider input |
| 15 | from relevant industry and labor organization lead- |
| 16 | ers; |
| 17 | (2) may consider the challenges and rec- |
| 18 | ommendations identified in the report required by |
| 19 | section 503 and in other relevant reports, such as |
| 20 | technology and global trend reports from the defense |
| 21 | and intelligence communities; |
| 22 | (3) shall consider the potential impact of the |
| | (5) shall consider the potential impact of the |
| 23 | key technology focus areas on addressing national |

| 1 | (A) competitive threats to the major indus- |
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| 2 | tries of the United States, including agriculture; |
| 3 | (B) preventing attacks on United States |
| 4 | security and infrastructure by hostile countries |
| 5 | or non-state actors; and |
| 6 | (C) increasing intense and frequent ex- |
| 7 | treme weather events; and |
| 8 | (4) subject to the limitation under subsection |
| 9 | (c), may add or delete key technology focus areas in |
| 10 | light of shifting national needs or competitive |
| 11 | threats to the United States (including for reasons |
| 12 | of the United States or other countries having ad- |
| 13 | vanced or fallen behind in a technological area). |
| 14 | (c) Limit on Key Technology Focus Areas.— |
| 15 | Not more than 10 key technology focus areas shall be in- |
| 16 | cluded on the list of key technology focus areas at any |
| 17 | time. Engineering and exploration relevant to the other |
| 18 | key technology focus areas described in this section shall |
| 19 | be considered part of the relevant key technology focus |
| 20 | area. |
| 21 | (d) Reporting.—The interagency working group |
| 22 | shall annually deliver a report to Congress detailing— |
| 23 | (1) the key technology focus areas and rationale |
| 24 | for their selection; |

| 1 | (2) the role of the Foundation, the Department |
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| 2 | of Energy, and other Federal entities, as relevant, in |
| 3 | advancing the key technology focus areas; |
| 4 | (3) the impact, including to the academic re- |
| 5 | search community, of any changes to the key tech- |
| 6 | nology focus areas; |
| 7 | (4) methods for ensuring awards from different |
| 8 | agencies are not redundant of efforts being carried |
| 9 | out by another agency; and |
| 10 | (5) whether Federal investments in the key |
| 11 | technology focus areas have resulted in new domestic |
| 12 | manufacturing capacity and job creation. |
| 13 | (e) National Academies.—Not later than 5 years |
| 14 | after the date of enactment of this Act, the Director shall |
| 15 | contract with the National Academies of Sciences, Engi- |
| 16 | neering, and Medicine to conduct a review of the key tech- |
| 17 | nology focus areas. |
| 18 | TITLE I—NSF TECHNOLOGY AND |
| 19 | INNOVATION |
| 20 | SEC. 101. DEFINITIONS. |
| 21 | In this title: |
| 22 | (1) Designated Country.—The term "des- |
| 23 | ignated country" means a country that has been ap- |
| 24 | proved and designated in writing by the President |
| 25 | for purposes of this Act, after providing— |

| 1 | (A) not less than 30 days of advance noti- |
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| 2 | fication and explanation to the relevant con- |
| 3 | gressional committees before the designation; |
| 4 | and |
| 5 | (B) in-person briefings to such committees, |
| 6 | if requested during the 30-day advance notifica- |
| 7 | tion period described in subparagraph (A). |
| 8 | (2) LABOR ORGANIZATION.—The term "labor |
| 9 | organization" has the meaning given the term in |
| 10 | section 2(5) of the National Labor Relations Act (29 |
| 11 | U.S.C. 152(5)), except that such term shall also in- |
| 12 | clude— |
| 13 | (A) any organization composed of labor or- |
| 14 | ganizations, such as a labor union federation or |
| 15 | a State or municipal labor body; and |
| 16 | (B) any organization which would be in- |
| 17 | cluded in the definition for such term under |
| 18 | such section 2(5) but for the fact that the orga- |
| 19 | nization represents— |
| 20 | (i) individuals employed by the United |
| 21 | States, any wholly owned Government cor- |
| 22 | poration, any Federal Reserve Bank, or |
| 23 | any State or political subdivision thereof; |

| 1 | (ii) individuals employed by persons |
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| 2 | subject to the Railway Labor Act (45 |
| 3 | U.S.C. 151 et seq.); or |
| 4 | (iii) individuals employed as agricul- |
| 5 | tural laborers. |
| 6 | (3) National Laboratory.—The term "Na- |
| 7 | tional Laboratory' has the meaning given the term |
| 8 | in section 3 of the Energy Policy Act of 2005 (42 |
| 9 | U.S.C. 15801). |
| 10 | (4) Tribal college or university.—The |
| 11 | term "Tribal College or university" has the meaning |
| 12 | given the term in section 316(b)(3) of the Higher |
| 13 | Education Act of 1965 (20 U.S.C. 1059c(b)(3)). |
| 14 | SEC. 102. DIRECTORATE ESTABLISHMENT AND PURPOSE. |
| 15 | (a) Establishment of Directorate for Tech- |
| 16 | NOLOGY AND INNOVATION.—Subject to the availability of |
| 17 | appropriations and not later than 180 days after the date |
| 18 | of enactment of this Act, the Director shall establish a |
| 19 | Directorate for Technology and Innovation in the Founda- |
| 20 | tion. |
| 21 | (b) Purposes.—The Directorate shall further the |
| 22 | following purposes: |
| 23 | (1) Strengthening the leadership of the United |
| 24 | States in critical technologies, including as relevant |
| 25 | to the critical national needs described in section |

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- S.L.C. 12 7018 of the America COMPETES Act (42 U.S.C. 1 2 18620-5). 3 (2) Addressing and mitigating technology chal-4 lenges integral to the geostrategic position of the 5 United States through the activities authorized by 6 this title. 7 Enhancing the competitiveness the 8 United States by improving education in the key 9 technology focus areas and attracting more students 10 to such areas at all levels of education. 11 (4) Accelerating the translation and develop-12
 - ment of scientific advances in the key technology focus areas into processes and products in the United States.

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- (5) Utilizing the full potential of the United States workforce by avoiding undue geographic concentration of research and development and education funding across the United States, and encouraging broader participation in the key technology focus areas by populations underrepresented in STEM.
- (6) Ensuring the programmatic work of the Directorate and Foundation incorporates a workforce perspective from labor organizations and workforce training organizations.

| 1 | (c) ACTIVITIES.—The Directorate— |
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| 2 | (1) shall support basic and applied research, |
| 3 | and technology development of such research, includ- |
| 4 | ing through awards to individual researchers, enti- |
| 5 | ties, or consortia and through diverse funding mech- |
| 6 | anisms and models; |
| 7 | (2) shall identify and develop opportunities to |
| 8 | coordinate and collaborate on research, development, |
| 9 | and commercialization— |
| 10 | (A) with other directorates and offices of |
| 11 | the Foundation; |
| 12 | (B) with stakeholders in academia, the pri- |
| 13 | vate sector, and nonprofit entities; and |
| 14 | (C) with other Federal research agencies, |
| 15 | as well as State and local governments; |
| 16 | (3) shall provide awards for research and devel- |
| 17 | opment projects designed to achieve specific tech- |
| 18 | nology metrics or objectives; |
| 19 | (4) may support research and technology devel- |
| 20 | opment infrastructure, including testbeds, to ad- |
| 21 | vance the development, operation, integration, and |
| 22 | deployment of innovation; |
| 23 | (5) shall identify and develop opportunities to |
| 24 | reduce barriers for technology transfer, including in- |
| 25 | tellectual property frameworks between academia |

| 1 | and industry, nonprofit entities, and the venture |
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| 2 | capital communities; |
| 3 | (6) shall build capacity for research at institu- |
| 4 | tions of higher education across the United States; |
| 5 | (7) shall partner with other directorates and of- |
| 6 | fices of the Foundation for projects or research, in- |
| 7 | cluding— |
| 8 | (A) to pursue basic questions about nat- |
| 9 | ural, human, and physical phenomena that |
| 10 | could enable advances in the key technology |
| 11 | focus areas; |
| 12 | (B) to study questions that could affect |
| 13 | the design (including human interfaces), oper- |
| 14 | ation, deployment, or the social and ethical con- |
| 15 | sequences of technologies in the key technology |
| 16 | focus areas, including the development of tech- |
| 17 | nologies that complement or enhance the abili- |
| 18 | ties of workers and impact of specific innova- |
| 19 | tions on domestic jobs and equitable oppor- |
| 20 | tunity; and |
| 21 | (C) to further the creation of a domestic |
| 22 | workforce capable of advancing, using, and |
| 23 | adapting to key technology focus areas and un- |
| 24 | derstanding and improving the impact of key |
| 25 | technology focus areas on STEM teaching and |

| 1 | learning by advancing the key technology focus |
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| 2 | areas, including engaging relevant partners in |
| 3 | research and innovation programs; |
| 4 | (8) may make awards under the SBIR and |
| 5 | STTR programs (as defined in section 9(e) of the |
| 6 | Small Business Act (15 U.S.C. 638(e)); and |
| 7 | (9) may enter into and perform such contracts |
| 8 | make such financial assistance awards, carry out |
| 9 | such other transactions, or make such other ar- |
| 10 | rangements, or modifications thereof, as may be nec- |
| 11 | essary in the conduct of the work of the Directorate |
| 12 | and on such terms as the Director considers appro- |
| 13 | priate, in furtherance of the purposes of this title. |
| 14 | (d) Assistant Director.— |
| 15 | (1) APPOINTMENT.—The Director shall appoint |
| 16 | an Assistant Director for the Directorate, in the |
| 17 | same manner as other Assistant Directors of the |
| 18 | Foundation are appointed. |
| 19 | (2) QUALIFICATIONS.—Each Assistant Director |
| 20 | for the Directorate shall be an individual, who by |
| 21 | reason of professional background and experience, is |
| 22 | specially qualified to advise the Foundation on all |
| 23 | matters pertaining to research, development, and |
| 24 | commercialization at the Foundation, including part- |

| 1 | nerships with the private sector and other users of |
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| 2 | Foundation funded research. |
| 3 | (e) Considerations.—After completion of the stud- |
| 4 | ies regarding emerging technologies conducted by the Sec- |
| 5 | retary of Commerce under title XV of division FF of the |
| 6 | Consolidated Appropriations Act, 2021 (Public Law 116- |
| 7 | 260), the Director shall consider the results of such stud- |
| 8 | ies in carrying out the activities of the Directorate. |
| 9 | SEC. 103. PERSONNEL MANAGEMENT. |
| 10 | (a) Personnel.—The Director shall establish and |
| 11 | maintain within the Directorate a staff with sufficient |
| 12 | qualifications and expertise to enable the Directorate to |
| 13 | carry out its responsibilities under this title. |
| 14 | (b) Program Directors.— |
| 15 | (1) Designation.—The Director may des- |
| 16 | ignate employees to serve as program directors for |
| 17 | the programs established within the Directorate pur- |
| 18 | suant to the responsibilities established under para- |
| 19 | graph (2). The Director shall ensure that program |
| 20 | directors— |
| 21 | (A) have expertise in the key technology |
| 22 | focus areas; and |
| 23 | (B) come from a variety of backgrounds, |
| 24 | including industry, and from a variety of insti- |
| 25 | tutions of higher education. |

| 1 | (2) Responsibilities.—A program director of |
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| 2 | a program of the Directorate shall be responsible |
| 3 | for— |
| 4 | (A) establishing research and development |
| 5 | goals for the program, including through the |
| 6 | convening of workshops and conferring with |
| 7 | outside experts and by publicizing the goals of |
| 8 | the program to the public and private sectors |
| 9 | (B) soliciting proposals from entities to |
| 10 | conduct research in areas of particular promise |
| 11 | within key technology focus areas, especially |
| 12 | areas that the private sector or the Federal |
| 13 | Government are not likely to undertake alone |
| 14 | (C) identifying areas for research and de- |
| 15 | velopment; |
| 16 | (D) building research collaborations for |
| 17 | carrying out the program; |
| 18 | (E) reviewing applications for projects to |
| 19 | be supported under the program, and consid- |
| 20 | ering— |
| 21 | (i) the novelty and scientific and tech- |
| 22 | nical merit of the proposed projects; |
| 23 | (ii) broader impacts criteria under |
| 24 | section 526 of the National Science Foun- |

| 1 | dation Authorization Act of 2010 (42 |
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| 2 | U.S.C. 1862p-14); |
| 3 | (iii) the demonstrated capabilities of |
| 4 | the applicants to successfully carry out the |
| 5 | proposed project; |
| 6 | (iv) the consideration by the applicant |
| 7 | of future commercial applications of the |
| 8 | project, including the feasibility of |
| 9 | partnering with 1 or more commercial enti- |
| 10 | ties; and |
| 11 | (v) such other criteria as are estab- |
| 12 | lished by the Director; and |
| 13 | (F) monitoring the progress of projects |
| 14 | supported under the program and recom- |
| 15 | mending program restructure or termination, as |
| 16 | needed. |
| 17 | (3) Terms.—Program directors of the Direc- |
| 18 | torate may be appointed by the Director for a lim- |
| 19 | ited term, renewable at the discretion of the Direc- |
| 20 | tor. |
| 21 | (c) Selection Criteria and Report.— |
| 22 | (1) Peer review.—The Directorate may use a |
| 23 | peer review process to inform the selection of award |
| 24 | recipients. |

| 1 | (2) REPORT.—Not later than 18 months after |
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| 2 | the establishment of the Directorate, the Director |
| 3 | shall prepare and submit a report to Congress re |
| 4 | garding the use of alternative methods for the selec |
| 5 | tion of award recipients and the distribution of fund |
| 6 | ing to recipients, as compared to the traditional peer |
| 7 | review process. |
| 8 | (d) Rule of Construction.—Nothing in this sec |
| 9 | tion shall be construed to modify the authority of the Di |
| 10 | rector or the National Science Board with respect to the |
| 11 | selection of recipients for funding from the Foundation |
| 12 | SEC. 104. INNOVATION CENTERS. |
| 13 | (a) University Technology Center Program.— |
| 14 | (1) In general.—From amounts made avail |
| 15 | able to the Directorate, the Director shall establish |
| 16 | a program in the Directorate to make awards |
| 17 | through a competitive selection process, to eligible |
| 18 | entities to establish university technology centers. |
| 19 | (2) Purpose.—The purpose of the university |
| 20 | technology centers shall be to— |
| _0 | |
| | (A) conduct multi-disciplinary, collabo |
| 21 22 | (A) conduct multi-disciplinary, collaborative basic and applied research, relevant to a |

| 1 | (B) leverage the expertise of multi-discipli- |
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| 2 | nary and multi-sector partners, including part- |
| 3 | ners from private industry; |
| 4 | (C) further the development, deployment, |
| 5 | and commercialization of innovations, including |
| 6 | inventions, in the key technology focus areas, |
| 7 | including those derived from the activities of |
| 8 | the university technology center; and |
| 9 | (D) support the development of scientific, |
| 10 | innovation, entrepreneurial, and educational ca- |
| 11 | pacity within the region of the university tech- |
| 12 | nology center. |
| 13 | (3) Use of funds.—University technology |
| 14 | centers established under this subsection may use |
| 15 | support provided— |
| 16 | (A) to carry out research to advance inno- |
| 17 | vation in the key technology focus areas; |
| 18 | (B) for technology development activities |
| 19 | such as proof-of-concept development, proto- |
| 20 | typing, design modification, experimental devel- |
| 21 | opment, and other actions to reduce the cost, |
| 22 | time, and risk of commercializing new tech- |
| 23 | nologies; |
| 24 | (C) for the costs of equipment and |
| 25 | cyberinfrastructure; |

| 1 | (D) for the costs associated with tech- |
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| 2 | nology transfer and commercialization, includ- |
| 3 | ing patenting and licensing; or |
| 4 | (E) for operations and staff. |
| 5 | (4) Selection process.—In selecting recipi- |
| 6 | ents under this subsection, the Director shall con- |
| 7 | sider, in addition to the scientific and technical |
| 8 | merit of the proposal— |
| 9 | (A) maximizing regional and geographic di- |
| 10 | versity of the university technology centers; |
| 11 | (B) the extent to which the applicant's |
| 12 | proposal would broaden participation by popu- |
| 13 | lations underrepresented in STEM; |
| 14 | (C) the capacity of the applicant to engage |
| 15 | industry, labor, and other appropriate organiza- |
| 16 | tions and, where applicable, contribute to |
| 17 | growth in domestic manufacturing capacity and |
| 18 | job creation; |
| 19 | (D) in the case of a consortium, the extent |
| 20 | to which the proposal includes institutions listed |
| 21 | in paragraph (7)(C)(ii); |
| 22 | (E) the amount of funds from industry or- |
| 23 | ganizations described in paragraph (5)(A)(ii) |
| 24 | the applicant would use towards establishing |
| 25 | the university technology center; |

| 1 | (F) the plan and capability of the appli- |
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| 2 | cant to take measures to prevent the inappro- |
| 3 | priate use of the research and technology of the |
| 4 | center, including research results, data, and in- |
| 5 | tellectual property, as appropriate and con- |
| 6 | sistent with the requirements of the relevant |
| 7 | award; and |
| 8 | (G) the plan and capability of the appli- |
| 9 | cant to support proof-of-concept development |
| 10 | and prototyping as well as technology transfer |
| 11 | and commercialization activities. |
| 12 | (5) Requirements.— |
| 13 | (A) IN GENERAL.—The Director shall en- |
| 14 | sure that any eligible entity receiving an award |
| 15 | under this subsection has— |
| 16 | (i) the capacity or the ability to ac- |
| 17 | quire the capacity to advance the purposes |
| 18 | described in section 102(b); and |
| 19 | (ii) secured contributions for estab- |
| 20 | lishing the university technology center |
| 21 | under this subsection from industry or |
| 22 | other non-Federal organizations in an |
| 23 | amount not less than 10 percent of the |
| 24 | total amount of the award the eligible enti- |
| 25 | ty would receive under this subsection. |

| 1 | (B) Consortium eligibility.—To be eli- |
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| 2 | gible to receive an award for the establishment |
| 3 | and operation of a university technology center, |
| 4 | a consortium shall be composed of not fewer |
| 5 | than 2 entities as described in paragraph (7)(C) |
| 6 | and operate subject to a binding agreement, en- |
| 7 | tered into by each member of the consortium, |
| 8 | that documents— |
| 9 | (i) the proposed partnership agree- |
| 10 | ment, including the governance and man- |
| 11 | agement structure of the university tech- |
| 12 | nology center; |
| 13 | (ii) measures the consortium will un- |
| 14 | dertake to enable cost-effective implemen- |
| 15 | tation of activities under paragraph (3); |
| 16 | (iii) a proposed budget, including fi- |
| 17 | nancial contributions from non-Federal |
| 18 | sources; and |
| 19 | (iv) the plan for ownership and use of |
| 20 | any intellectual property developed by the |
| 21 | center. |
| 22 | (6) Support of regional technology |
| 23 | HUBS.—Each university technology center estab- |
| 24 | lished under this subsection may support and par- |
| 25 | ticipate in, as appropriate, the activities of any re- |
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| 1 | gional technology hub designated under section 28 of |
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| 2 | the Stevenson-Wydler Technology Innovation Act of |
| 3 | 1980 (15 U.S.C. 3701 et seq.), as amended by sec- |
| 4 | tion 401 of this Act. |
| 5 | (7) ELIGIBLE ENTITY.—In this subsection, the |
| 6 | term "eligible entity" means— |
| 7 | (A) an individual institution of higher edu- |
| 8 | cation; |
| 9 | (B) a nonprofit entity; or |
| 10 | (C) a consortium that— |
| 11 | (i) shall include and be led by an in- |
| 12 | stitution of higher education or by a non- |
| 13 | profit entity, designed to support tech- |
| 14 | nology development; |
| 15 | (ii) shall include 1 or more institution |
| 16 | that is— |
| 17 | (I) a historically Black college or |
| 18 | university; |
| 19 | (II) a Tribal College or Univer- |
| 20 | sity; |
| 21 | (III) a minority-serving institu- |
| 22 | tion; |
| 23 | (IV) an institution that partici- |
| 24 | pates in the Established Program to |
| 25 | Stimulate Competitive Research under |
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| 1 | section 113 of the National Science |
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| 2 | Foundation Authorization Act of 1988 |
| 3 | (42 U.S.C. 1862g); |
| 4 | (V) an emerging research institu- |
| 5 | tion; or |
| 6 | (VI) a community college; and |
| 7 | (iii) may include 1 or more— |
| 8 | (I) additional entities described |
| 9 | in subparagraph (A) or (B); |
| 10 | (II) industry entities, including |
| 11 | startups, small businesses, and public- |
| 12 | private partnerships; |
| 13 | (III) economic development orga- |
| 14 | nizations or venture development or- |
| 15 | ganizations, as such term are defined |
| 16 | in section 28(a) of the Stevenson- |
| 17 | Wydler Technology Innovation Act of |
| 18 | 1980 (15 U.S.C. 13701 et seq.), as |
| 19 | amended by section 401 of this Act; |
| 20 | (IV) National Laboratories; |
| 21 | (V) Federal laboratories, as de- |
| 22 | fined in section 4 of the Stevenson- |
| 23 | Wydler Technology Innovation Act of |
| 24 | 1980 (15 U.S.C. 3703); |
| 25 | (VI) Federal research facilities; |

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| 1 | (VII) labor organizations; |
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| 2 | (VIII) entities described in sub- |
| 3 | paragraph (A) or (B) from allied or |
| 4 | partner countries; |
| 5 | (IX) other entities if determined |
| 6 | by the Director to be vital to the suc- |
| 7 | cess of the program; and |
| 8 | (X) binational research and de- |
| 9 | velopment foundations and funds, ex- |
| 10 | cluding foreign entities of concern, as |
| 11 | defined in section 307. |
| 12 | (b) Innovation Institute.— |
| 13 | (1) In general.—The Director may establish |
| 14 | innovation institutes to further the research, devel- |
| 15 | opment, and commercialization of innovation in the |
| 16 | key technology focus areas. |
| 17 | (2) Partnerships.— |
| 18 | (A) In general.—Each innovation insti- |
| 19 | tute shall be comprised of a partnership includ- |
| 20 | ing 2 or more of the following entities: |
| 21 | (i) An institution of higher education. |
| 22 | (ii) A for-profit company. |
| 23 | (iii) A nonprofit organization. |
| 24 | (iv) A Federal agency. |

| 1 | (v) Another entity, if that entity is de- |
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| 2 | termined by the Director to be vital to the |
| 3 | success of the program. |
| 4 | (B) Co-equal.—Each entity comprising |
| 5 | the institute shall, to the extent practicable |
| 6 | work as co-equal partners in terms of funding |
| 7 | and research efforts in support of the institute |
| 8 | (C) Institutional or organizational |
| 9 | LEVEL.—The Director shall work to ensure that |
| 10 | such partnerships exist at the institutional or |
| 11 | organization level, rather than solely at the |
| 12 | principal investigator level. |
| 13 | (3) Cost share.—To the extent practicable |
| 14 | not less than half of the funding for an institute |
| 15 | shall be provided by non-Federal entities. |
| 16 | (c) Number of Centers and Institutes Estab- |
| 17 | LISHED.—The Director shall endeavor to establish a bal- |
| 18 | ance in the number of university technology centers and |
| 19 | innovation institutes. |
| 20 | SEC. 105. TRANSITION OF NSF PROGRAMS. |
| 21 | The Director may transition the management of ex- |
| 22 | isting programs of the National Science Foundation that |
| 23 | conduct activities in addition to basic research to the Di- |
| 24 | rectorate, including— |
| 25 | (1) Convergence Accelerator; |

| 1 | (2) Industry-University Cooperative Research |
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| 2 | Centers; |
| 3 | (3) National AI Research Institutes; |
| 4 | (4) Innovation Corps (I-Corps), as described in |
| 5 | section 601 of the American Innovation and Com- |
| 6 | petitiveness Act (42 U.S.C. 1862s-8); and |
| 7 | (5) any other programs that the Director con- |
| 8 | siders appropriate. |
| 9 | SEC. 106. PROVIDING SCHOLARSHIPS, FELLOWSHIPS, AND |
| 10 | OTHER STUDENT SUPPORT. |
| 11 | (a) IN GENERAL.—The Director, acting through the |
| 12 | Directorate, shall fund undergraduate scholarships (in- |
| 13 | cluding at community colleges), graduate fellowships and |
| 14 | traineeships, and postdoctoral awards in the key tech- |
| 15 | nology focus areas. |
| 16 | (b) Implementation.—The Director may carry out |
| 17 | subsection (a) by making awards— |
| 18 | (1) directly to students; and |
| 19 | (2) to institutions of higher education or con- |
| 20 | sortia of institutions of higher education, including |
| 21 | those institutions or consortia involved in operating |
| 22 | university technology centers established under sec- |
| 23 | tion 104(a). |
| 24 | (c) Broadening Participation.—In carrying out |
| 25 | this section, the Director shall take steps to increase the |

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participation of populations that are underrepresented in 2 STEM, which may include— 3 (1) establishing or augmenting programs tar-4 geted at populations that are underrepresented in 5 STEM; 6 (2) supporting traineeships or other relevant 7 programs at minority-serving institutions; 8 (3) addressing current and expected gaps in the 9 availability or skills of the STEM workforce, or ad-10 dressing needs of the STEM workforce, including by 11 increasing educational capacity at institutions and 12 by prioritizing awards to United States citizens, per-13 manent residents, and individuals that will grow the 14 domestic workforce; and 15 addressing geographic diversity in the 16 STEM workforce. 17 (d) Innovation.—In carrying out this section, the 18 Director shall encourage innovation in graduate education, including through encouraging institutions of higher edu-19 20 cation to offer graduate students opportunities to gain ex-21 perience in industry or Government as part of their graduate training, and through support for students in profes-23 sional masters programs related to the key technology 24 focus areas.

| 1 | (e) Areas of Funding Support.—Subject to the |
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| 2 | availability of funds to carry out this section, the Director |
| 3 | shall— |
| 4 | (1) issue— |
| 5 | (A) postdoctoral awards, |
| 6 | (B) graduate fellowships and traineeships, |
| 7 | inclusive of the NSF Research Traineeships |
| 8 | and fellowships awarded under the Graduate |
| 9 | Research Fellowship Program; and |
| 10 | (C) scholarships, including undergraduate |
| 11 | scholarships, research experiences, and intern- |
| 12 | ships, including— |
| 13 | (i) scholarships to attend community |
| 14 | colleges; and |
| 15 | (ii) research experiences and intern- |
| 16 | ships under sections 513, 514, and 515 of |
| 17 | the America COMPETES Reauthorization |
| 18 | Act of 2010 (42 U.S.C. 1862p–5; 1862p– |
| 19 | 6; 1862p-7); |
| 20 | (2) ensure that not less than 10 percent of the |
| 21 | funds made available to carry out this section are |
| 22 | used to support additional awards that focus on |
| 23 | community college training, education, and teaching |
| 24 | programs that increase the participation of popu- |
| 25 | lations that are underrepresented in STEM, includ- |

1 ing technical programs through programs such as 2 the Advanced Technological Education program; 3 (3) ensure that not less than 20 percent of the 4 funds made available to carry out this section are 5 used to support institutions of higher education, and 6 other institutions, located in jurisdictions that par-7 ticipate in the program under section 113 of the Na-8 tional Science Foundation Authorization Act of 1988 9 (42 U.S.C. 1862g); and 10 (4) if funds remain after carrying out para-11 graphs (1), (2), and (3), make awards to institutions 12 of higher education to enable the institutions to fund 13 the development and establishment of new or spe-14 cialized programs of study for graduate, under-15 graduate, or technical college students and the eval-16 uation of the effectiveness of those programs of 17 study. 18 (f) Existing Programs.—The Director may use or 19 augment existing STEM education programs of the Foun-20 dation and leverage education or entrepreneurial partners 21 to carry out this section. 22 SEC. 107. RESEARCH AND DEVELOPMENT.

23 (a) In General.—From amounts made available for

the Directorate, the Director shall make awards, on a

- 1 competitive basis, for research and technology develop-
- 2 ment within the key technology focus areas.
- 3 (b) Purpose.—The purpose of the awards under this
- 4 section shall be to demonstrate revolutionary technological
- 5 advances in the key technology focus areas, including ad-
- 6 vances that expedite short-term technology deployment.
- 7 (c) Recipients.—Recipients of funds under this sec-
- 8 tion may include institutions of higher education, research
- 9 institutions, nonprofit entities, private sector entities, con-
- 10 sortia, or other entities as defined by the Director.
- 11 (d) Metrics.—The Director may set metrics, includ-
- 12 ing goals and deadlines, for development of such tech-
- 13 nology as determined in the terms of the award, and may
- 14 use such metrics to determine whether an award recipient
- 15 shall be eligible for continued or follow-on funding.
- 16 (e) Selection Criteria.—In selecting recipients
- 17 for an award under this section, the Director shall con-
- 18 sider, at a minimum—
- 19 (1) the relevance of the project to the key tech-
- 20 nology focus areas;
- 21 (2) the current status of the technology, the
- limits of current practice, and the likelihood of the
- private sector to independently demonstrate a simi-
- lar technological advance;

| 1 | (3) the potential of the project to generate a |
|----|--|
| 2 | revolutionary technological advance, including ad- |
| 3 | vances that can expedite short-term technology de- |
| 4 | ployment; |
| 5 | (4) the potential impact of the project on the |
| 6 | economic security, national security, or technological |
| 7 | competitiveness of the United States; |
| 8 | (5) the likelihood of the project's success; |
| 9 | (6) the cost and time associated with the |
| 10 | project; |
| 11 | (7) whether the project would duplicate existing |
| 12 | programs, efforts, and infrastructure supported by |
| 13 | other relevant Federal agencies; |
| 14 | (8) the appropriateness of quantitative goals |
| 15 | and metrics for evaluating the project and a plan for |
| 16 | evaluating those metrics; and |
| 17 | (9) the path for developing and, as appropriate |
| 18 | commercializing, the technology. |
| 19 | SEC. 108. TEST BEDS. |
| 20 | (a) Program Authorized.— |
| 21 | (1) In general.—From amounts made avail- |
| 22 | able for the Directorate, the Director, in coordina- |
| 23 | tion with the Director of the National Institute of |
| 24 | Standards and Technology and other Federal agen- |
| 25 | cies, as determined appropriate by the Director, |

1 shall establish a program in the Directorate to make 2 awards, on a competitive basis, to institutions of 3 higher education, nonprofit organizations, or con-4 sortia (as defined in section 104(a)(7)(C)) to estab-5 lish and operate test beds, which may include fab-6 rication facilities and cyberinfrastructure, to advance 7 the development, operation, integration, deployment, 8 and, as appropriate, demonstration of new, innova-9 tive technologies in the key technology focus areas, 10 which may include hardware or software. 11 (2) Coordination.—In establishing new test 12 beds under this section, the Director shall ensure co-13 ordination with other test beds supported by the 14 Foundation or other Federal agencies to avoid dupli-15 cation and maximize the use of Federal resources. 16 (b) Proposals.—An applicant for an award under 17 this section shall submit a proposal to the Director, at 18 such time, in such manner, and containing such informa-19 tion as the Director may reasonably require. The proposal 20 shall, at a minimum, describe— 21 (1)(A) the technology or technologies that will 22 be the focus of the test bed; and 23 (B) the goals of the work to be done at the test 24 bed;

| 1 | (2) how the applicant will assemble a workforce |
|----|--|
| 2 | with the skills needed to operate the test bed; |
| 3 | (3) how the applicant will ensure broad access |
| 4 | to the test bed; |
| 5 | (4) how the applicant will collaborate with firms |
| 6 | in the key technology focus areas, including through |
| 7 | coordinated research and development and funding, |
| 8 | to ensure that work in the test bed will contribute |
| 9 | to the commercial viability of any technologies and |
| 10 | will include collaboration from industry and labor or- |
| 11 | ganizations; |
| 12 | (5) how the applicant will encourage the partici- |
| 13 | pation of inventors and entrepreneurs and the devel- |
| 14 | opment of new businesses; |
| 15 | (6) how the applicant will increase participation |
| 16 | by populations that are underrepresented in STEM; |
| 17 | (7) how the applicant will demonstrate that the |
| 18 | commercial viability of any new technologies will |
| 19 | support the creation of high-quality domestic jobs; |
| 20 | (8) how the test bed will operate after Federal |
| 21 | funding has ended; |
| 22 | (9) how the test bed will disseminate lessons |
| 23 | and other technical information to United States en- |
| 24 | tities or allied or partner country entities in the |
| 25 | United States; and |

- 36 1 (10) how the applicant plans to take measures 2 to prevent the inappropriate use of research results, 3 data, and intellectual property, as applicable and 4 consistent with the requirements of the award. 5 (c) AUTHORIZED USE OF FUNDS.—A recipient of an award under this section may, in order to achieve the pur-6 7 poses described in subsection (a), use the award for the 8 purchase of equipment and for the support of students, 9 faculty and staff, and postdoctoral researchers. 10 (d) Priority.—In selecting award recipients under 11 this section, the Director shall give priority to applicants 12 with proposals that maximize the geographic diversity of test beds. 13 14 (e) Interagency Annual Meetings.—The Direc-15 tor, the Secretary of Commerce, and the heads of other Federal departments and agencies, or their designees, with 16 17 test bed related equities shall hold an annual meeting to 18 coordinate their respective test bed related investments, 19 future plans, and other appropriate matters, to avoid con-20 flicts and duplication of efforts. Upon request by Con-21 gress, Congress shall be briefed on the results of the meet-22 ings.
- 23 SEC. 109. ACADEMIC TECHNOLOGY TRANSFER.
- 24 (a) In General.—From amounts made available to
- 25 the Directorate, the Director, in coordination with the Di-

| 1 | rector of the National Institute of Standards and Tech- |
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| 2 | nology and other Federal agencies as determined appro- |
| 3 | priate by the Director, shall make awards, on a competi- |
| 4 | tive basis, to eligible entities to advance the development |
| 5 | and commercialization of technologies, particularly those |
| 6 | in the key technology focus areas. |
| 7 | (b) Eligible Entities.—To be eligible to receive an |
| 8 | award under this section, an entity shall be— |
| 9 | (1) an institution of higher education, which |
| 10 | may be a community college; |
| 11 | (2) a nonprofit entity that is either affiliated |
| 12 | with an institution of higher education or designed |
| 13 | to support technology development or entrepreneur- |
| 14 | ship; or |
| 15 | (3) a consortium that includes— |
| 16 | (A) an entity described in paragraph (1) or |
| 17 | (2) as the lead award recipient; and |
| 18 | (B) one or more additional individuals or |
| 19 | entities, which shall be— |
| 20 | (i) an economic development organiza- |
| 21 | tion or similar entity that is focused pri- |
| 22 | marily on improving science, technology |
| 23 | innovation, or entrepreneurship; |

| 1 | (ii) an industry organization or firm |
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| 2 | in a relevant technology or innovation sec- |
| 3 | tor; |
| 4 | (iii) an industry-experienced executive |
| 5 | with entrepreneurship experience that is |
| 6 | focused primarily on de-risking tech- |
| 7 | nologies from both a scientific and a busi- |
| 8 | ness perspective; or |
| 9 | (iv) an individual or entity with |
| 10 | industry- and startup- experienced busi- |
| 11 | ness expertise, including a mentor network, |
| 12 | across relevant technology or innovation |
| 13 | sectors. |
| 14 | (c) Proposals.—An eligible entity desiring an award |
| 15 | under this section shall submit a proposal to the Director |
| 16 | at such time, in such manner, and containing such infor- |
| 17 | mation as the Director may require. The proposal shall |
| 18 | include, at a minimum, a description of— |
| 19 | (1) the steps the applicant will take to enable |
| 20 | technology transfer and to reduce the risks for com- |
| 21 | mercialization for new technologies and why such |
| 22 | steps are likely to be effective; |
| 23 | (2) how the applicant will encourage the train- |
| 24 | ing and participation of students and potential en- |
| 25 | trepreneurs and the transition of research results to |

| 1 | practice, including the development of new busi- |
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| 2 | nesses; |
| 3 | (3) as relevant, potential steps to drive eco- |
| 4 | nomic growth in a particular region, by collaborating |
| 5 | with industry, venture capital entities, nonprofit en- |
| 6 | tities, and State and local governments within that |
| 7 | region; and |
| 8 | (4) background information that the Director |
| 9 | determines is relevant to demonstrate the success of |
| 10 | the innovation and entrepreneurship support models |
| 11 | proposed by the applicant to commercialize tech- |
| 12 | nologies. |
| 13 | (d) Academic Technology Transfer Enhance- |
| 14 | MENT PROGRAM.— |
| 15 | (1) In general.—The Director, in coordina- |
| 16 | tion with the Director of the National Institute of |
| 17 | Standards and Technology, shall make awards, on a |
| 18 | competitive basis, to support eligible entities in |
| 19 | building sustainable technology transfer capacity. |
| 20 | (2) Use of funds.—An eligible entity that re- |
| 21 | ceives an award under this subsection shall use |
| 22 | award funds to carry out one or more of the fol- |
| 23 | lowing: |
| 24 | (A) Identifying academic research with the |
| 25 | potential for technology transfer and commer- |

| 1 | cialization, particularly as relevant to the key |
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| 2 | technology focus areas. |
| 3 | (B) Providing training and support to sci- |
| 4 | entists, engineers, and inventors on technology |
| 5 | transfer, commercialization, and research pro- |
| 6 | tection. |
| 7 | (C) Offsetting the costs of patenting and |
| 8 | licensing research products, both domestically |
| 9 | and internationally. |
| 10 | (D) Revising institution policies, including |
| 11 | policies related to intellectual property and fac- |
| 12 | ulty entrepreneurship, and taking other nec- |
| 13 | essary steps to implement relevant best prac- |
| 14 | tices for academic technology transfer. |
| 15 | (E) Ensuring the availability of staff, in- |
| 16 | cluding technology transfer professionals, entre- |
| 17 | preneurs in residence, and other mentors as re- |
| 18 | quired to accomplish the purpose of this sub- |
| 19 | section. |
| 20 | (F) Identifying and facilitating relation- |
| 21 | ships among local and national business lead- |
| 22 | ers, including investors, and potential entre- |
| 23 | preneurs to encourage successful commercializa- |
| 24 | tion. |

| 1 | (G) Creating and funding competitions to |
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| 2 | allow entrepreneurial ideas to illustrate their |
| 3 | commercialization potential, including through |
| 4 | venture funds of institutions of higher edu- |
| 5 | cation. |
| 6 | (H) Creating or supporting entities that |
| 7 | could enable researchers to further develop new |
| 8 | technology, through capital investment, advice |
| 9 | staff support, or other means. |
| 10 | (I) Building technology transfer capacity |
| 11 | at institutions of higher education. |
| 12 | (3) Limitations on funding.—In awarding |
| 13 | funding under this subsection, the Director shall— |
| 14 | (A) award not more than \$1,000,000 per |
| 15 | fiscal year to an eligible entity; |
| 16 | (B) in determining the duration of fund- |
| 17 | ing, endeavor to ensure the creation of sustain- |
| 18 | able technology transfer practices at the eligible |
| 19 | entity; and |
| 20 | (C) ensure that grants under this sub- |
| 21 | section shall not support the development or op- |
| 22 | eration of capital investment funds. |
| 23 | (e) Collaborative Innovation Resource Cen- |
| 24 | TER PROGRAM.— |

1 (1) IN GENERAL.—The Director shall make 2 awards under this subsection to eligible entities to 3 establish collaborative innovation resource centers 4 that promote regional technology transfer and tech-5 nology development activities available to more than 6 one institution of higher education and to other enti-7 ties in a region. 8 COLLABORATION PRIORITY.—In making 9 awards under this subsection, the Director shall give 10 priority to eligible entities that are consortia de-11 scribed in subsection (b)(3) and that have a cost 12 share, which may include an in-kind cost share, from 13 members of a consortium, at levels as required by 14 the Director. 15 (3) Use of funds.—An eligible entity that re-16 ceives an award under this subsection shall use 17 award funds to carry out one or more of the fol-18 lowing activities, to the benefit of the region in 19 which the center is located: 20 (A) Providing start-ups and small business 21 concerns (as defined in section 3 of the Small 22 Business Act (15 U.S.C. 632)) within the re-23 gion with access to facilities, scientific infra-24 structure, personnel, and other assets as re-

quired for technology maturation.

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| 1 | (B) Supporting entrepreneurial training |
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| 2 | for start-up and small business personnel. |
| 3 | (C) Providing engineering and entrepre- |
| 4 | neurial experiences and hands-on training for |
| 5 | students enrolled in participating institutions of |
| 6 | higher education. |
| 7 | (f) Reporting on Commercialization Based on |
| 8 | Metrics.—The Director shall establish— |
| 9 | (1) metrics related to commercialization for an |
| 10 | award under this section; and |
| 11 | (2) a reporting schedule for recipients of such |
| 12 | awards that takes into account both short- and long- |
| 13 | term goals of the programs under this section. |
| 14 | (g) Geographic Diversity.—The Director shall en- |
| 15 | sure regional and geographic diversity in issuing awards |
| 16 | under this section. |
| 17 | (h) Supplement Not Supplant.—The Director |
| 18 | shall ensure that funds made available under this section |
| 19 | shall be used to create additional support for technology |
| 20 | transfer activities at eligible entities. For the duration of |
| 21 | the awards, recipients shall be required to maintain fund- |
| 22 | ing for such activities at similar levels as the funding for |
| 23 | those activities for the 2 fiscal years preceding the award |
| | |

| 1 | SEC. 110. CAPACITY-BUILDING PROGRAM FOR DEVEL- |
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| 2 | OPING UNIVERSITIES. |
| 3 | (a) In General.—The Director shall establish a pro- |
| 4 | gram in the Directorate to make awards, on a competitive |
| 5 | basis, to eligible institutions described in subsection (b) |
| 6 | to support the mission of the Directorate and to build in- |
| 7 | stitutional research capacity at such eligible institutions. |
| 8 | (b) Emerging Institution.—To be eligible to re- |
| 9 | ceive an award under this section, an institution shall be |
| 10 | a historically Black college or university or a minority- |
| 11 | serving institution, with not more than \$50,000,000 in an- |
| 12 | nual federally-financed research and development expendi- |
| 13 | tures for science and engineering as reported through the |
| 14 | National Science Foundation Higher Education Research |
| 15 | and Development Survey. |
| 16 | (c) Proposals.—To receive an award under this sec- |
| 17 | tion, an eligible institution shall submit an application to |
| 18 | the Director at such time, in such manner, and containing |
| 19 | such information as the Director may require, including |
| 20 | a plan that describes how the eligible institution will estab- |
| 21 | lish or expand research office capacity and how such |
| 22 | award would be used to— |
| 23 | (1) conduct an assessment of capacity-building |
| 24 | and research infrastructure needs of the eligible in- |
| 25 | stitution; |

| 1 | (2) enhance institutional resources to provide |
|----|--|
| 2 | administrative research development support to fac- |
| 3 | ulty at the eligible institution; |
| 4 | (3) bolster institutional research competitive- |
| 5 | ness to support grants awarded by the Directorate; |
| 6 | (4) support the acquisition of instrumentation |
| 7 | necessary to build research capacity in research |
| 8 | areas directly associated with the Directorate; |
| 9 | (5) increase capability of the eligible institution |
| 10 | to move technology into the marketplace; |
| 11 | (6) increase engagement with industry to exe- |
| 12 | cute research through the SBIR and STTR pro- |
| 13 | grams (as defined in section 9(e) of the Small Busi- |
| 14 | ness Act (15 U.S.C. 638(e)) and direct contracts; |
| 15 | (7) provide student engagement and research |
| 16 | training opportunities at the undergraduate, grad- |
| 17 | uate, and postdoctoral levels at the eligible institu- |
| 18 | tion; |
| 19 | (8) further faculty development initiatives and |
| 20 | strengthen institutional research training infrastruc- |
| 21 | ture, capacity, and competitiveness; or |
| 22 | (9) address plans and prospects for long-term |
| 23 | sustainability of institutional enhancements resulting |
| 24 | from the award including, if applicable, how the |
| | |

1 award may be leveraged by the eligible institution to 2 build a broader base of support. 3 (d) AWARDS.—Awards made under this section shall 4 be for periods of 3 years, and may be extended for periods 5 of not more than 5 years. 6 (e) AUTHORIZATION OF APPROPRIATIONS.—There is 7 authorized to be appropriated to carry out this section 8 \$150,000,000 for each of fiscal years 2022 through 2026. SEC. 111. TECHNICAL ASSISTANCE. 10 The Director may— (1) coordinate with other Federal agencies to 11 12 establish interagency and multidisciplinary teams to 13 provide technical assistance to recipients of, and pro-14 spective applicants for, awards under this title; 15 (2) by Federal interagency agreement and not-16 withstanding any other provision of law, transfer 17 funds available to carry out this title to the head of 18 another Federal agency to facilitate and support the 19 provision of such technical assistance; and 20 (3) enter into contracts with third parties to 21 provide such technical assistance. 22 SEC. 112. COORDINATION OF ACTIVITIES. 23 (a) In General.—In carrying out the activities of the Directorate, the Director and the heads of other Fed-25 eral research agencies, as appropriate, shall work coopera-

1 tively to further the goals of this title in the key technology

- 2 focus areas.
- 3 (b) Coordination With NIST and Department
- 4 OF ENERGY.—The Director shall, as appropriate, work in
- 5 coordination with—
- 6 (1) the Director of the National Institute of
- 7 Standards and Technology; and
- 8 (2) the Secretary of Energy, including in any
- 9 key technology focus area in which the Department
- of Energy or the National Laboratories (as defined
- in section 2 of the Energy Policy Act of 2005 (42)
- 12 U.S.C. 15801)) have significant expertise, experi-
- ence, or existing research infrastructure.
- (c) Avoid Duplication.—The Director shall ensure,
- 15 to the greatest extent practicable, that activities carried
- 16 out by the Directorate are not duplicative of activities sup-
- 17 ported by other parts of the Foundation or other relevant
- 18 Federal agencies. In carrying out the activities prescribed
- 19 by this Act, the Director and heads of other Federal re-
- 20 search agencies shall cooperate to avoid duplication of ef-
- 21 fort and to ensure the responsible stewardship of funds.
- 22 (d) Comptroller General Report.—Not later
- 23 than 2 years after the date of enactment of this Act, the
- 24 Comptroller General of the United States shall prepare
- 25 and submit a report to Congress, and shall simultaneously

| 1 | submit the report to the Director and the Director of the |
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| 2 | Office of Science and Technology Policy, describing the |
| 3 | interagency cooperation that occurred during the pre- |
| 4 | ceding years pursuant to this section, including a list of— |
| 5 | (1) any funds provided from the Directorate to |
| 6 | other directorates and offices of the Foundation; and |
| 7 | (2) any instances in which unnecessary duplica- |
| 8 | tion of effort may have occurred. |
| 9 | SEC. 113. REPORTING REQUIREMENTS. |
| 10 | (a) Reports.—Not later than 1 year after the date |
| 11 | of enactment of this Act and annually thereafter, the Di- |
| 12 | rector, in coordination with the heads of relevant Federal |
| 13 | agencies, shall prepare and submit to Congress— |
| | |
| 14 | (1) a strategic vision and spending plan for the |
| | (1) a strategic vision and spending plan for the next 5 years for the Directorate, including a descrip- |
| 15 | |
| 15 16 | next 5 years for the Directorate, including a descrip- |
| 14 15 16 17 | next 5 years for the Directorate, including a description of how the Foundation will increase funding for |
| 15 16 17 | next 5 years for the Directorate, including a description of how the Foundation will increase funding for research and education for populations underrep- |
| 15 16 17 18 | next 5 years for the Directorate, including a description of how the Foundation will increase funding for research and education for populations underrepresented in STEM and geographic areas; |
| 15 16 17 18 | next 5 years for the Directorate, including a description of how the Foundation will increase funding for research and education for populations underrepresented in STEM and geographic areas; (2) in coordination with the Secretary of State, |
| 115 116 117 118 119 220 | next 5 years for the Directorate, including a description of how the Foundation will increase funding for research and education for populations underrepresented in STEM and geographic areas; (2) in coordination with the Secretary of State, a description of any funds the Foundation may plan |
| 115 116 117 118 119 220 221 | next 5 years for the Directorate, including a description of how the Foundation will increase funding for research and education for populations underrepresented in STEM and geographic areas; (2) in coordination with the Secretary of State, a description of any funds the Foundation may plan to receive from— |

| 1 | (3) a description of the planned activities of the |
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| 2 | Directorate to secure federally funded science and |
| 3 | technology pursuant to section 1746 of the National |
| 4 | Defense Authorization Act for Fiscal Year 2020 |
| 5 | (Public Law 116–92; 42 U.S.C. 6601 note) and sec- |
| 6 | tion 223 of William M. (Mac) Thornberry National |
| 7 | Defense Authorization Act for Fiscal Year 2021 |
| 8 | (Public Law 116–283) and the requirements under |
| 9 | title III. |
| 10 | (b) Annual Briefing.—Each year, the Director |
| 11 | shall formally request a briefing from the Secretary of De- |
| 12 | fense, the Secretary of Commerce, the Director of the Fed- |
| 13 | eral Bureau of Investigation, the Director of National In- |
| 14 | telligence, and as appropriate the heads of other Federal |
| 15 | agencies regarding their efforts to preserve the United |
| 16 | States' advantages generated by the activity of the Direc- |
| 17 | torate. |
| 18 | (e) Providing Authority To Disseminate Infor- |
| 19 | MATION.—Section 11 of the National Science Foundation |
| 20 | Act of 1950 (42 U.S.C. 1870) is amended— |
| 21 | (1) in subsection (j), by striking "and" after |
| 22 | the semicolon; |
| 23 | (2) in subsection (k), by striking the period at |
| 24 | the end and inserting "; and; and |
| 25 | (3) by adding at the end the following: |

| 1 | "(l) to provide for the widest practicable and |
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| 2 | appropriate dissemination of information within the |
| 3 | United States concerning the Foundation's activities |
| 4 | and the results of those activities.". |
| 5 | SEC. 114. AUTHORIZATION OF APPROPRIATIONS FOR THE |
| 6 | FOUNDATION. |
| 7 | (a) FISCAL YEAR 2022.— |
| 8 | (1) In general.—There is authorized to be |
| 9 | appropriated to the Foundation \$11,210,000,000 for |
| 10 | fiscal year 2022. |
| 11 | (2) Specific allocations.—Of the amount |
| 12 | authorized under paragraph (1)— |
| 13 | (A) \$8,910,000,000 shall be made avail- |
| 14 | able to carry out the activities of the Founda- |
| 15 | tion outside of the Directorate, of which |
| 16 | \$1,030,000,000 shall be for STEM education |
| 17 | and related activities, including workforce ac- |
| 18 | tivities under section 202; and |
| 19 | (B) \$2,300,000,000 shall be made avail- |
| 20 | able to the Directorate, of which— |
| 21 | (i) \$800,000,000 shall be for the in- |
| 22 | novation centers under section 104; |
| 23 | (ii) \$500,000,000 shall be for scholar- |
| 24 | ships, fellowships, and other activities |
| 25 | under section 106; |

| 1 | (iii) \$400,000,000 shall be for aca- |
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| 2 | demic technology transfer under section |
| 3 | 109; |
| 4 | (iv) \$300,000,000 shall be for test |
| 5 | beds under section 108; and |
| 6 | (v) \$300,000,000 shall be for research |
| 7 | and development activities under section |
| 8 | 107. |
| 9 | (b) FISCAL YEAR 2023.— |
| 10 | (1) In general.—There is authorized to be |
| 11 | appropriated to the Foundation \$13,810,000,000 for |
| 12 | fiscal year 2023. |
| 13 | (2) Specific allocations.—Of the amount |
| 14 | authorized under paragraph (1)— |
| 15 | (A) $$9,410,000,000$ shall be made avail- |
| 16 | able to carry out the activities of the Founda- |
| 17 | tion outside of the Directorate, of which |
| 18 | \$1,490,000,000 shall be for STEM education |
| 19 | and related activities, including workforce ac- |
| 20 | tivities under section 202; and |
| 21 | (B) \$4,400,000,000 shall be made avail- |
| 22 | able to the Directorate, of which— |
| 23 | (i) \$1,600,000,000 shall be for the in- |
| 24 | novation centers under section 104; |

| 1 | (ii) \$900,000,000 shall be for scholar- |
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| 2 | ships, fellowships, and other activities |
| 3 | under section 106; |
| 4 | (iii) \$700,000,000 shall be for aca- |
| 5 | demic technology transfer under section |
| 6 | 109; |
| 7 | (iv) \$500,000,000 shall be for test |
| 8 | beds under section 108; and |
| 9 | (v) $$700,000,000$ shall be for research |
| 10 | and development activities under section |
| 11 | 107. |
| 12 | (c) FISCAL YEAR 2024.— |
| 13 | (1) In general.—There is authorized to be |
| 14 | appropriated to the Foundation \$18,700,000,000 for |
| 15 | fiscal year 2024. |
| 16 | (2) Specific allocations.—Of the amount |
| 17 | authorized under paragraph (1)— |
| 18 | (A) \$9,900,000,000 shall be made avail- |
| 19 | able to carry out the activities of the Founda- |
| 20 | tion outside of the Directorate, of which |
| 21 | \$2,010,000,000 shall be for STEM education |
| 22 | and related activities, including workforce ac- |
| 23 | tivities under section 202; and |
| 24 | (B) \$8,800,000,000 shall be made avail- |
| 25 | able to the Directorate, of which— |

| 1 | (i) \$3,300,000,000 shall be for the in- |
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| 2 | novation centers under section 104; |
| 3 | (ii) \$1,700,000,000 shall be for schol- |
| 4 | arships, fellowships, and other activities |
| 5 | under section 106; |
| 6 | (iii) \$1,300,000,000 shall be for aca- |
| 7 | demic technology transfer under section |
| 8 | 109; |
| 9 | (iv) \$1,000,000,000 shall be for test |
| 10 | beds under section 108; and |
| 11 | (v) $$1,500,000,000$ shall be for re- |
| 12 | search and development activities under |
| 13 | section 107. |
| 14 | (d) Fiscal Year 2025.— |
| 15 | (1) In general.—There is authorized to be |
| 16 | appropriated to the Foundation \$22,090,000,000 for |
| 17 | fiscal year 2025. |
| 18 | (2) Specific allocations.—Of the amount |
| 19 | authorized under paragraph (1)— |
| 20 | (A) \$10,490,000,000 shall be made avail- |
| 21 | able to carry out the activities of the Founda- |
| 22 | tion outside of the Directorate, of which |
| 23 | \$2,570,000,000 shall be for STEM education |
| 24 | and related activities, including workforce ac- |
| 25 | tivities under section 202; and |

| 1 | (B) \$11,600,000,000 shall be made avail- |
|----|---|
| 2 | able to the Directorate, of which— |
| 3 | (i) \$4,300,000,000 shall be for the in- |
| 4 | novation centers under section 104; |
| 5 | (ii) \$2,300,000,000 shall be for schol- |
| 6 | arships, fellowships, and other activities |
| 7 | under section 106; |
| 8 | (iii) \$1,700,000,000 shall be for aca- |
| 9 | demic technology transfer under section |
| 10 | 109; |
| 11 | (iv) \$1,300,000,000 shall be for test |
| 12 | beds under section 108; and |
| 13 | (v) $$2,000,000,000$ shall be for re- |
| 14 | search and development activities under |
| 15 | section 107. |
| 16 | (e) FISCAL YEAR 2026.— |
| 17 | (1) In general.—There is authorized to be |
| 18 | appropriated to the Foundation \$23,890,000,000 for |
| 19 | fiscal year 2026. |
| 20 | (2) Specific allocations.—Of the amount |
| 21 | authorized under paragraph (1)— |
| 22 | (A) \$11,090,000,000 shall be made avail- |
| 23 | able to carry out the activities of the Founda- |
| 24 | tion outside of the Directorate, of which |
| 25 | \$3,190,000,000 shall be for STEM education |

| 1 | and related activities, including workforce ac- |
|----|--|
| 2 | tivities under section 202; and |
| 3 | (B) \$12,800,000,000 shall be made avail- |
| 4 | able to the Directorate, of which— |
| 5 | (i) \$4,700,000,000 shall be for the in- |
| 6 | novation centers under section 104; |
| 7 | (ii) \$2,600,000,000 shall be for schol- |
| 8 | arships, fellowships, and other activities |
| 9 | under section 106; |
| 10 | (iii) \$1,900,000,000 shall be for aca- |
| 11 | demic technology transfer under section |
| 12 | 109; |
| 13 | (iv) $$1,400,000,000$ shall be for test |
| 14 | beds under section 108; and |
| 15 | (v) $$2,200,000,000$ shall be for re- |
| 16 | search and development activities under |
| 17 | section 107. |
| 18 | (f) Allocation and Limitations.— |
| 19 | (1) Allocation for the office of inspec- |
| 20 | TOR GENERAL.—From any amounts appropriated |
| 21 | for the Foundation for a fiscal year, the Director |
| 22 | shall allocate for necessary expenses of the Office of |
| 23 | Inspector General of the Foundation an amount of |
| 24 | not less than \$33,000,000 in any fiscal year for |
| 25 | oversight of the programs and activities funded |

1 under this section in accordance with the Inspector 2 General Act of 1978 (5 U.S.C. App.). 3 (2) SUPPLEMENT AND NOT SUPPLANT.—The 4 amounts authorized to be appropriated under this 5 section shall supplement, and not supplant, any 6 other amounts previously appropriated to the Foun-7 dation or Office of Inspector General of the Founda-8 tion. 9 (3) No New Awards.—The Director shall not 10 make any new awards for the activities under the 11 Directorate for any fiscal year in which the total 12 amount appropriated to the Foundation (not includ-13 ing amounts appropriated for the Directorate) is less 14 than the total amount appropriated to the Founda-15 tion (not including such amounts), adjusted by the 16 rate of inflation, for the previous fiscal year. 17 (4) No funds for construction.—No funds 18 provided to the Directorate under this section shall 19 be used for construction. TITLE II—DOE TECHNOLOGY 20 AND INNOVATION 21 22 SEC. 201. SENSE OF CONGRESS. 23 It is the sense of Congress that the Department of Energy and its 17 National Laboratories—

| 1 | (1) are an essential component of the Nation's |
|----|--|
| 2 | innovation ecosystem; |
| 3 | (2) are at the forefront of advancing emerging |
| 4 | technologies, including both fundamental and use-in- |
| 5 | spired research and development of applied energy |
| 6 | technologies; |
| 7 | (3) regularly assemble and nurture multi-dis- |
| 8 | ciplinary teams of scientific experts to meet Federal |
| 9 | needs and address national priorities by attacking |
| 10 | research and development challenges at scale; |
| 11 | (4) support university research and industrial |
| 12 | partnerships that are responsible for cutting-edge |
| 13 | science and technology research and development; |
| 14 | and |
| 15 | (5) manage and maintain one-of-a-kind, world- |
| 16 | class research capabilities that are leveraged broadly |
| 17 | by over 36,000 university and industrial researchers |
| 18 | every year. |
| 19 | SEC. 202. AUTHORIZATION OF APPROPRIATIONS FOR DOE. |
| 20 | (a) REDUCTION IN TECHNOLOGY DIRECTORATE.— |
| 21 | Notwithstanding section 114 or any other provision of this |
| 22 | Act— |
| 23 | (1) each amount authorized under such section |
| 24 | for the Directorate for Technology and Innovation |

| 1 | for fiscal years 2022 through 2026 shall be reduced |
|----|---|
| 2 | by 35 percent; and |
| 3 | (2) the overall amount authorized under each of |
| 4 | subsections (a)(1), (b)(1), (c)(1), (d)(1), and (e)(1) |
| 5 | of section 114 shall be reduced to reflect the reduc- |
| 6 | tions under paragraph (1). |
| 7 | (b) FISCAL YEAR 2022.— |
| 8 | (1) In general.—There are authorized to be |
| 9 | appropriated to the Department of Energy |
| 10 | \$2,850,000,000 for fiscal year 2022. |
| 11 | (2) Specific allocations.—Of the amount |
| 12 | authorized under paragraph (1)— |
| 13 | (A) $$1,250,000,000$ shall be made avail- |
| 14 | able to carry out basic research and develop- |
| 15 | ment activities in support of the key technology |
| 16 | focus areas; |
| 17 | (B) $$1,250,000,000$ shall be made avail- |
| 18 | able to carry out applied research and develop- |
| 19 | ment activities in support of the key technology |
| 20 | focus areas; and |
| 21 | (C) \$350,000,000 shall be made available |
| 22 | for non-construction research infrastructure. |
| 23 | (c) FISCAL YEAR 2023.— |

| 1 | (1) In General.—There are authorized to be |
|----|--|
| 2 | appropriated to the Department of Energy |
| 3 | \$3,350,000,000 for fiscal year 2023. |
| 4 | (2) Specific allocations.—Of the amount |
| 5 | authorized under paragraph (1)— |
| 6 | (A) $$1,500,000,000$ shall be made avail- |
| 7 | able to carry out basic research and develop- |
| 8 | ment activities in support of the key technology |
| 9 | focus areas; |
| 10 | (B) \$1,500,000,000 shall be made avail- |
| 11 | able to carry out applied research and develop- |
| 12 | ment activities in support of the key technology |
| 13 | focus areas; and |
| 14 | (C) \$350,000,000 shall be made available |
| 15 | for non-construction research infrastructure. |
| 16 | (d) FISCAL YEAR 2024.— |
| 17 | (1) In general.—There are authorized to be |
| 18 | appropriated to the Department of Energy |
| 19 | \$3,850,000,000 for fiscal year 2024. |
| 20 | (2) Specific allocations.—Of the amount |
| 21 | authorized under paragraph (1)— |
| 22 | (A) $$1,750,000,000$ shall be made avail- |
| 23 | able to carry out basic research and develop- |
| 24 | ment activities in support of the key technology |
| 25 | focus areas; |

| 1 | (B) 1,750,000,000 shall be made available |
|----|---|
| 2 | to carry out applied research and development |
| 3 | activities in support of the key technology focus |
| 4 | areas; and |
| 5 | (C) \$350,000,000 shall be made available |
| 6 | for non-construction research infrastructure. |
| 7 | (e) FISCAL YEAR 2025.— |
| 8 | (1) In general.—There are authorized to be |
| 9 | appropriated to the Department of Energy |
| 10 | \$4,350,000,000 for fiscal year 2025. |
| 11 | (2) Specific allocations.—Of the amount |
| 12 | authorized under paragraph (1)— |
| 13 | (A) \$2,000,000,000 shall be made avail- |
| 14 | able to carry out basic research and develop- |
| 15 | ment activities in support of the key technology |
| 16 | focus areas; |
| 17 | (B) \$2,000,000,000 shall be made avail- |
| 18 | able to carry out applied research and develop- |
| 19 | ment activities in support of the key technology |
| 20 | focus areas; and |
| 21 | (C) \$350,000,000 shall be made available |
| 22 | for non-construction research infrastructure. |
| 23 | (f) Fiscal Year 2026.— |

| 1 | (1) In general.—There are authorized to be |
|----|--|
| 2 | appropriated to the Department of Energy |
| 3 | \$4,865,000,000 for fiscal year 2026. |
| 4 | (2) Specific allocations.—Of the amount |
| 5 | authorized under paragraph (1)— |
| 6 | (A) \$2,250,000,000 shall be made avail- |
| 7 | able to carry out basic research and develop- |
| 8 | ment activities in support of the key technology |
| 9 | focus areas; |
| 10 | (B) \$2,250,000,000 shall be made avail- |
| 11 | able to carry out applied research and develop- |
| 12 | ment activities in support of the key technology |
| 13 | focus areas; and |
| 14 | (C) \$365,000,000 shall be made available |
| 15 | for non-construction research infrastructure. |
| 16 | (g) Supplement and Not Supplant.—The |
| 17 | amounts authorized to be appropriated under this section |
| 18 | shall supplement, and not supplant, any other amounts |
| 19 | previously authorized to be appropriated to the Depart- |
| 20 | ment of Energy. |