Senate Commerce Committee Federal Maritime Commission Nominee Questionnaire, 119th Congress

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation (the "Committee") requests that you provide typed answers to each of the following questions. It is also requested that you type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to you. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper. Electronically submit your completed questionnaire to the Committee in PDF format and ensure that sections A through E of the completed questionnaire are in a text searchable and that any hyperlinks are active and can be clicked. Section F may be scanned for electronic submission and need not be searchable.

Incomplete questionnaires may delay the nomination process.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Robert John Harvey

2. Position to which nominated:

Commissioner, Federal Maritime Commission

3. Date of Nomination:

September 3, 2025

4. Address (List current place of residence and office addresses):



5. Date and Place of Birth:

Joliet, IL

6. Provide the name, position, and place of employment for your spouse (if married) or domestic partner, and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Debra A. Jenks; Owner of Debra A. Jenks, PA.

We have no children.

7. List all college and graduate schools attended, whether or not you were granted a degree by the institution. Provide the name of the institution, the dates attended, the degree received, and the date of the degree.

Southern Illinois University School of Law, 1983-1986, J.D. awarded 1986

Eastern Illinois University, 1981-1983, B.S. Business, awarded 1983

Joliet Junior College, 1979-1981, Associate in Arts

8. List all post-undergraduate employment, including the job title, name of employer, and inclusive dates of employment, and highlight all management- level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

All post-graduate employment includes:

President & Executive Director, Florida Opportunity Fund, Inc. (FOF) * 01/2023- Present

Executive Director, Florida Development Finance Corporation (FDFC) * 07/2023 - Present

General Counsel, Enterprise Florida, Inc. (EFI) * 11/2022 - 12/2023

Owner, Jenks & Harvey LLP * 04/2015 - 11/2022

Partner, Klayman & Toskes 11/2014 -04/2015

Partner, Ciklin Lubitz Martens & O'Connell 03/2013 - 11/2014

Partner, Schwed Kahle & Jenks 11/2010 - 02/2013

Attorney, Frank White-Boyd 11/2009 - 11/2010

Owner, Law Firm of Robert J. Harvey, P.A. 07/2009 - 04/2023

Managing Member, KCF Management LLC, Kensington Capital Fund LP 06/2003 - 01/2014

Managing Member, Bateleur LLC 06/2001 - 07/2008

Chief Operating Officer, Imperial Software * 05/2000 - 06/2001

^{*} denotes management-level jobs and non-managerial jobs that relate to the position for which I have been nominated.

Director of Operations, MBIA Muniservices * 05/1999 - 05/2000

Senior Vice President of Operations & General Counsel * Meridian VAT Reclaim, North America 09/1992 - 05/1999

Attorney, James Hoffman & Associates 04/1990 - 08/1992

Lieutenant Commander, Judge Advocate General's Corps, United States Navy * 08/1986 - 12/1986 (Officer Indoctrination School-Temporary Active Duty) 01/1987 - 03/1990 (Active Duty) 04/1990 - 08/2001 (Reserves)

9. Attach a copy of your resume.

See attached resume.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above after 18 years of age.

Listed below in reverse chronological order are the advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above to which I have served after 18 years of age:

State of Florida, 15th Circuit Judicial Nominating, Commission 2015 - Present, Chair (2019 - 2023), Vice-Chair (2015 - 2019)

Impact Fee Review Committee, Vice Chair, Palm Beach County (2021 - Present)

West Palm Beach Federal Courthouse Committee (2018 - Present)

Land Development Regulation Advisory Board, Palm Beach County (2017 - 2023)

Equestrian Preserve Committee, Wellington, Florida (2007 - 2008)

Planning Board, Town of East Hampton, New York (2003 - 2005)

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution.

None

12. List all memberships you have had after 18 years of age or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization (You do not have to list your religious affiliation or membership in a religious house of worship or institution). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

Listed below are all the memberships I have had after 18 years of age or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization:

Florida Bar (2009 - Present)

Palm Beach County Bar (2009 - Present)

Ocean Reef Club (2012 - Present)

Palm Beach Yacht Club (2011 - Present)

Palm Beach County Bar Securities Law Committee, Chair (2015 - 2016)

Securities Industry and Financial Markets Association, Compliance & Legal Society (2010 -2018)

Florida Securities Dealers Association (2014 - 2018)

Arbitrator, Financial Industry Regulatory Authority (2015 - Present)

Republican National Lawyers Association (2022 - Present)

Federalist Society (2013 - Present)

None of the above restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify

the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

Listed below are all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years:

Bobby Powell	\$200
Andrew Watt	\$200
Al Jacquet	\$200
Hal Valeche	\$500
Dave Kerner	\$200
Mac Bernard	\$200
Melissa McKinley	\$500
Adam Putnam	\$500
Rick Roth	\$200
Ron DeSantis	\$1,000
Brian Mast	\$250
Michael Caruso	\$1,000
Rick Scott	\$1,000

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

The following list includes all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements:

Navy Achievement Medal Leadership New York IX

17. List all books, articles, columns, letters to the editor, Internet blog postings, or other publications you have authored, individually or with others. Include a link to each publication when possible. If a link is not available, provide a digital copy of the publication when available.

None

18. List all speeches, panel discussions, and presentations (e.g., PowerPoint) that you have given on topics relevant to the position for which you have been nominated. Include a link to each publication when possible. If a link is not available, provide a digital copy of the speech or presentation when available.

Superyacht Builders Association, Palm Beach, Florida (March 2025) https://www.linkedin.com/posts/robert-harvey-44190610_kicking-off-the-2025-palm-beach-international-activity-7307971690100109312-n6QG/?originalSubdomain=pe

19. List all public statements you have made during the past ten years, including statements in news articles and radio and podcasts and television appearances, which are on topics relevant to the position for which you have been nominated, including dates. Include a link to each statement when possible. If a link is not available, provide a digital copy of the statement when available.

None

20. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the full name of an "alias" or "handle", including the complete URL and username with hyperlinks, you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Listed below are all digital platforms (including social media and other digital content sites) on which I currently or have formerly operated an account, regardless of whether the account was held in my name or an alias:

LinkedIn (active): https://www.linkedin.com/in/robert-harvey-44190610/

Facebook (active): https://www.facebook.com/robert.harvey.37853

X (formerly Twitter) (active): https://x.com/FLBIZNOW

Instagram (active): https://www.instagram.com/robertharvey00/?hl=en

21. Identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date, committee, and subject matter of each testimony.

None

22. Given the current mission, major programs, and major operational objectives of the Federal Maritime Commission (FMC), what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

Given the current mission, major programs, and major operational objectives of the Federal Maritime Commission, I believe that having over 35 years of government, legal, and

business operations experience affirmatively qualifies me for appointment to the Commission.

My professional career began with my service in the United States Navy where I served on active duty from 1987 – 1990 (1990-2001 reserve duty) as a Navy Judge Advocate and Special Assistant U.S. Attorney. As a result, I have always had a deep appreciation for the relationship between the U.S. military and commercial maritime sectors. National security and economic security are essential to maintaining a vibrant maritime industry. For example, naval freedom of navigation operations is critical to maintaining supply chains and port access globally. I look forward to cooperating and coordinating with departments and agencies to support a secure and prosperous maritime economy.

For nearly the past three years, I have been engaged in multiple economic development roles in Florida. With 1300 miles of coastline, sixteen public seaports and seven cruise ports, I have gained an even greater appreciation for the criticality of the maritime industry, supply chains, and port access for Florida and the country.

As the maritime industry moves forward it is a given that technology will play a major role. In my current role as President of the Florida Opportunity Fund I have overseen the growth of a technology portfolio of 54 emerging technology companies, including companies tracking maritime emissions and multimodal logistics. Artificial intelligence, smart contracts and blockchain technologies are just some of the technologies that will continue to shape the maritime industry going forward.

Finally, my law practice was concentrated on securities litigation, arbitration, and regulation, in state and federal courts and securities regulators. I appreciate and understand the effectiveness of robust enforcement, investigation, and compliance and look forward to supporting those programs at FMC.

Why do I wish to serve?

I have always made public service and integral part of my professional career. Service as an FMC Commissioner would be the honor of a lifetime and the highlight of my public service. As shown in my application, since leaving active duty I have always made time for public service on the state and local level. I presently hold the following positions: State of Florida, 15th Circuit Judicial Nominating Commission, 2015 - Present, Chair (2019-2023), Vice-Chair (2015-2019) and the Impact Fee Review Committee, Vice Chair, Palm Beach County (2021- Present).

23. What do you believe are your responsibilities, if confirmed, to ensure that the FMC has proper management and accounting controls, and what experience do you have in managing a large organization?

If confirmed, I will accept any responsibilities as assigned to ensure that the FMC has proper management and accounting controls in place. In my current roles at FOF and

FDFC, I have ultimate responsibility for annual audits, in addition to ensuring that proper management and accounting controls are followed and in place.

24. What do you believe to be the top three challenges facing the FMC, and why?

The top three challenges facing the FMC are as follows:

1. Global Chokepoints-Freedom of Navigation

FMC has rightly initiated an investigation of conditions at seven key global chokepoints – the English Channel, the Malacca Strait, the Northern Sea Passage, the Singapore Strait, the Panama Canal, the Strait of Gibraltar, and the Suez Canal. With 90% of global trade moving by sea, unobstructed shipping lanes are essential to U.S. economic prosperity.

Additional areas of concern are the unlawful and sweeping maritime claims in the South China Sea which pose a serious threat to the freedom of the seas, including the freedoms of navigation and overflight, free trade and unimpeded commerce, and freedom of economic opportunity for South China Sea littoral nations.

The United States must continue to challenge excessive maritime claims around the world regardless of the identity of the claimant. The international community has an enduring role in preserving the freedom of the seas, which is critical to global security, stability, and prosperity.

2. Competition

The number of major carriers in the U.S. transpacific and transatlantic trades has decreased from 20 in 2015 to 11 by 2022, due to ocean carrier mergers and the bankruptcy of one major carrier.

The FMC must continue to monitor the competitive market effects of collaborative agreements among competitors, such as vessel sharing agreements (alliances are vessel sharing agreements that operate globally) or joint ventures to ensure that operators which compete against each other in the market, do not result in a reduction in competition that produces unreasonable increases in transportation costs or unreasonable decreases in transportation services.

3. Flags of Convenience

Not all foreign ship registries share a commitment with the United States to ocean shipping integrity and accountability. The lack of ship registry accountability not only disadvantages U.S.-flag shipping, but also presents a problem in addressing smuggling operations, sanctions evasion, disguised ownership, and other irregularities.

The vessel registration practices of certain foreign countries, so-called flags of convenience, are creating unfavorable shipping conditions in the foreign trade of the United States. These flags enable unsafe vessels to operate and further enable shadow fleet activity and the evasion of sanctions.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts, such as a 401(k) or pension plan.

None

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association, or other organization during your appointment? If so, please explain.

No

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None

5. Identify any other potential conflicts of interest and explain how you will resolve each potential conflict of interest.

None

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

C. LEGAL MATTERS

- 1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, an Inspector General, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of the court, agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, municipal, or foreign government entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

Both FOF and FDFC have been parties to litigation. No litigation has ever been determined to have a material, adverse effect on either FOF or FDFC's financial position. Both corporations are independently audited on an annual basis.

I have been a party in two divorce cases in Illinois (1995) and Florida (2009).

I was named as a party in a foreclosure action in Florida in 2011 that involved a former marital residence lived in by my ex-wife. I was not on the note, just a nominal Defendant due to ownership interest. Judgment was entered solely against my ex-wife.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual assault, sexual harassment, or discrimination on the basis of sex, race, religion, whistleblower activity, or any other basis? If so, please explain.

No

6.	Please advise the Committee of any additional information, favorable or unfavorable,
	which you feel should be disclosed in connection with your nomination.

No

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D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency/commission/corporation complies with deadlines for information set by congressional committees, and that your department/agency/commission/corporation endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

If confirmed, yes, I will ensure that the FMC complies with deadlines for information set by congressional committees, and that the FMC endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority.

2. Will you ensure that your department/agency/commission/corporation does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes, I will ensure that the FMC does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes, I will cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes, I am willing to appear and testify before any duly constituted committee of the Congress on such occasions as I may be reasonably requested to do so.

5. Do you agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information you or your organization previously provided?

Yes, I agree, if confirmed, to keep this Committee, its subcommittees, other appropriate Committees of Congress, and their respective staffs apprised of new information that materially impacts the accuracy of testimony, briefings, reports, records—including documents and electronic communications, and other information.

6. Do you agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request?

Yes, I agree, if confirmed, and on request, to provide this Committee and its subcommittees with records and other information within their oversight jurisdiction, even absent a formal Committee request.

7. Do you agree, if confirmed, to ensure that you and other members of your organization protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress?

Yes, I agree, if confirmed, to ensure that I and other members of the FMC protect from retaliation any military member, federal employee, or contractor employee who testifies before, or communicates with this Committee, its subcommittees, and any other appropriate committee of Congress.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

Robert Harvey being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this 15th day of 80d, 2025.

SHELITA R ALDRICH
Notary Public
Commonwealth of Virginia
Registration No. 7737179
No anyy Paradission Expires Dec 31, 2025

Robert J. Harvey

Senior legal and business executive with over 35 years of experience across legal practice, corporate operations, investment management, and public-sector leadership. Proven record in executive roles within high-growth companies, and law firms. Background includes securities law, economic development, fund management, and regulatory affairs. A U.S. Navy veteran and former Special Assistant U.S. Attorney.

President & Executive Director Florida Opportunity Fund

01/2023 - Present

https://www.floridaopportunityfund.com/

- Lead strategic initiatives supporting economic development and capital deployment across Florida.
- Provide oversight on investment structures and finance programs.

The Florida Opportunity Fund, Inc. (FOF) is a private, not-for-profit corporation established under the Florida Capital Formation Act for the purpose of mobilizing investments in a broad variety of Florida-based, new technology companies and generating a return sufficient to continue reinvestment as a self-sustaining entity. These investments have been crucial in supporting new businesses, creating jobs in Florida, and further diversifying the state's economy. FOF focuses on technology sectors that are strategic to Florida including life sciences, information technology, advanced manufacturing processes, aviation and aerospace, homeland security and defense, among other strategic areas. In addition to direct investments in early-stage companies, FOF supports experienced venture capital fund managers with a demonstrated track record of successful investments in Florida. Since its inception, FOF has committed over \$180M across its various investment programs and has leveraged over 16X in additional outside private capital. In FY 2023-2024, FOF invested in 33 new Florida businesses and venture funds, expected to result in well over a thousand high-paying jobs over the next two years.

Executive Director Florida Development Finance Corp.

07/2023 - Present

https://www.fdfcbonds.com/

Florida Development Finance Corporation, (FDFC) plays a crucial role in promoting Florida's economic development by providing vital assistance to new and existing businesses and organizations, ensuring their access to capital to drive business activity, foster job creation, and enhance the overall quality of life for citizens throughout the state. FDFC accomplishes this by facilitating access to capital for project financing through the issuance of taxable and tax-exempt bonds, supporting both for-profit and not-for-profit entities. As a self-sustaining entity, FDFC actively promotes economic development in Florida without relying on state financial support.

One key aspect of FDFC's operations is its authorization to issue industrial revenue bonds in all of Florida's 67 counties through interlocal agreements. Working in collaboration with the financial

services industry and local development organizations, FDFC provides cost-effective financing options tailored to the needs of creditworthy small manufacturers and other firms critical to Florida's economic base. By doing so, FDFC contributes to the growth and stability of these vital sectors.

In FY 2023-2024, FDFC successfully facilitated the authorization and issuance of a record \$5.4 billion of private activity bonds across thirteen projects. These projects encompassed a wide range of sectors, including health care, senior living facilities, not-for-profits, student housing, transportation projects and charter schools. Furthermore, FDFC issued \$119.2 million in Commercial Property Assessed Clean Energy (C-PACE) financing, representing a significant increase from the previous year. This C-PACE financing supported eleven projects, including hotels, industrial, multi-family housing, and a hospital expansion, advancing the pursuit of Florida's economic development objectives.

Enterprise Florida, Inc. — General Counsel

11/2022 - 12/2023

Served as chief legal officer for Florida's principal economic development organization.

• Provided legal oversight for state-led economic programs, public-private partnerships, and compliance.

Jenks & Harvey LLP — Owner

04/2015 - 11/2022

- Focused on securities litigation, arbitration, and regulatory matters.
- Represented clients in high-stakes FINRA, SEC, and state-level proceedings.
- Managed firm operations, business development, and strategic planning.

Klayman & Toskes — Partner

11/2014 - 04/2015

• Handled investor claims and securities arbitration cases involving broker misconduct and financial fraud.

Ciklin Lubitz Martens & O'Connell — Partner

03/2013 - 11/2014

• Focused on complex litigation, securities disputes, and business law matters.

Schwed Kahle & Jenks — Partner

11/2010 - 02/2013

 Practiced securities litigation, regulatory defense, and arbitration in state and federal forums.

Frank White-Boyd — Attorney

11/2009 - 11/2010

• Engaged in litigation and arbitration, with emphasis on financial services and bankruptcies.

Law Firm of Robert J. Harvey, P.A.— Owner

07/2009 - 04/2023

• Solo practitioner handling securities litigation, arbitration, and general business law.

KCF Management LLC

Kensington Capital Fund LP — Managing Member

06/2003 - 01/2014

• Oversaw investment strategy, capital deployment, and regulatory compliance for private equity fund.

Bateleur LLC — Managing Member

06/2001 - 07/2008

• Managed operations and investment activities across a range of business ventures.

Imperial Software — Chief Operating Officer

05/2000 - 06/2001

• Managed business operations, product development, and market strategy for enterprise software firm.

MBIA MuniServices — Director of Operations

05/1999 - 05/2000

• Directed service delivery and compliance operations post-acquisition of Meridian by PRGX.

Meridian VAT Reclaim North America — Senior Vice President of Operations & General Counsel 09/1992 –05/1999

• Held dual leadership role in legal affairs and operations at startup-stage international VAT recovery firm.

James Hoffman & Associates — Attorney

04/1990 - 08/1992

• Engaged in insurance defense and coverage litigation.

Military Service

Lieutenant Commander (LCDR), Judge Advocate General's Corps

United States Navy

- Served as Navy JAG and Special Assistant U.S. Attorney.
- Provided legal counsel on military justice, administrative law, and federal prosecution.

Officer Indoctrination School (Temporary Active Duty): 08/1986 – 12/1986
Active Duty: 01/1987 – 03/1990
Reserves: 04/1990 – 08/2001

Leadership Positions and Activities

State of Florida, 15th Circuit Judicial Nominating

Commission, 2015-Present, Chair (2019-2023), Vice-Chair (2015-2019)

Impact Fee Review Committee, Vice Chair, Palm Beach County (2021-Present)

West Palm Beach Federal Courthouse Committee (2018-Present)

Land Development Regulation Advisory Board, Palm Beach County (2017-2023)

Governmental/Public Policy Committee, Florida Bar (2016-Present)

Military Affairs Committee, Florida Bar, (2013-2016)

Equestrian Preserve Committee, Wellington, Florida (2007-2008)

Planning Board, Town of East Hampton, New York (2003-2005)

Leadership New York (Class of 1997-1998)

Judge Advocate General's Corps, Lieutenant Commander, (Active Duty 1987- 1990, U.S. Naval Reserve 1990-2001)

Admissions and Certifications

Supreme Court of Florida (2009)
Supreme Court of Illinois (1986)
Northern District of Illinois, General and Trial Bar (1990)
U.S. Court of Appeals for the Armed Forces (1990)
Southern District of Florida (2010), Middle District of Florida (2018)
U.S. Court of Appeals, First Circuit (2018)
Series 7 & 63 General Securities Representative (2003-2005)

Bar Associations and Other Memberships

Florida Bar
Palm Beach County Bar, including North County Section
Palm Beach County Bar Securities Law Committee, Chair (2015-2016)
Securities Industry and Financial Markets Association (SIFMA), Compliance & Legal Society
Florida Securities Dealers Association (FSDA)
Arbitrator, Financial Industry Regulatory Authority (FINRA)
Republican National Lawyers Association
Federalist Society

Education and Awards

Southern Illinois University School of Law (J.D. awarded 1986) John S. Rendleman Outstanding Senior Law Student

Eastern Illinois University (B.S. in Business Administration awarded 1983)

Joliet Junior College (A.A. awarded 1981)

Speaking Engagements

Venture Capital in Florida, Florida Business Seminar, Paris, France (June 2025)

Venture Capital and Corporate Investment in the Energy Sector, Middle East Energy 2025-Global Innovation Forum, Dubai, United Arab Emirates (April 2025)

Opportunities in SpaceTech, Israel Tech Week, Miami, Florida (March 2025)

Supervacht Builders Association, Palm Beach, Florida (March 2025)

Investment Insights, Plug and Play Selection Day Batch 2-Aerospace, Dual-Use, and Semiconductor Technologies, NeoCity, Kissimmee, Florida (March 2025)

Investment Insights, Funding Solutions for Life Sciences Innovation, BioFlorida Innovation Conference, Lake Buena Vista, Florida (November 2024)

Panel Discussion, Plug and Play NeoCity Semiconductors Expo, Kissimmee, Florida (October 2024)

Israel Venture Tech Showcase, Las Olas Chabad Jewish Center, Fort Lauderdale, Florida (September 2024)

The State of Innovation, South Florida Innovation Day, Alan B. Levan-NSU Broward Center of Innovation, Fort Lauderdale, Florida (September 2024)

Demystifying Access to Capital, Business Development Board, Martin County Florida (September 2024)

Corporate Counsel Roundtable, Florida Bar-Business Law Section Annual Retreat, Naples, Florida (August 2024)

What is Venture Capital, and is it for me? - Understanding the Florida Opportunity Fund, 2024 Small Business Success Summit, Ponte Vedra, Florida (August 2024)

Entrepreneurial Coaching, 35 Mules, Florida Power & Light, Juno Beach, Florida (August 2024)

The Association for Manufacturing Technology, Interview, Haddy, Inc., St. Petersburg, Florida (July 2024)

Venture Capital in Florida, PLUG and PLAY Silicon Valley Summit, Sunnyvale, California (May 2024)

Venture Capital in Florida, Venture in the South, Podcast, (May 2024) Venture Capital in Florida, Business Brokers of Florida Annual Meeting, Deerfield Beach, Florida (April 2024)

State of Venture Capital in Florida, 2024 Florida Venture Forum Capital Conference, Miami, Florida (February 2024)

Strategies for Attracting Global Technology Companies to Florida, 2023 BioFlorida Conference, Ponte Vedra, Florida (October 2023)

The Future of Economic Development: Optimizing for Impact, Edison Awards- Meet the Innovators Forum, Fort Myers, Florida (April 2023)

Executive Branch Experiences-Lessons from the Front Lines, Federalist Society of South Florida, West Palm Beach, Florida (April 2023)

Journey to the Gavel, Palm Beach County Justice Association, West Palm Beach, Florida (April 2023)

CIED Venture Symposium, Santa Fe College, Gainesville, Florida (April 2023)

Florida Opportunity Fund-Year to Date, Enterprise Florida, Inc., Quarterly Board of Directors Meeting, Tallahassee, Florida (March 2023)

Florida Venture Forum-Capital Crawl, Miami, Florida (February 2023)

The Question of States' Rights: The Constitution and American Federalism, South Fork High School, Stuart, Florida (September 2022)

Florida's Judicial Nominating Commissions, The Hispanic Vote Palm Beach County-Community Leaders Meeting, Greenacres, Florida (July 2022)

Palm Beach County Elections: Why They Matter? Boca Raton/Boynton Beach Florida Chamber of Commerce, Boca Raton, Florida (July 2022)

How to Prepare for a Pathway to the Bench, Palm Beach County Hispanic Bar Association webinar (June 2022)

Elements of An Effective and Successful JNC Application, Palm Beach County Bar Association, Committee for Diversity and Inclusion, 2022 Road to the Bench Academy webinar (March 2022)

Pathway to the Bench: Panel Discussion on the Judicial Appointment Process and the Role of Judge as a Career, Palm Beach County Bar Association CLE webinar (October 2021)

So, You've Applied, What's Next? Palm Beach County Bar Association Committee for Diversity and Inclusion 2021 Road to the Bench Academy webinar (March 2021)

FINRA Arbitration Hot Topics for 2020: Updates You Should Know, The Knowledge Group, LLC's nationwide webcast (December 2019)

FINRA Arbitration 2019 – Regulatory Trends and Arbitration Procedures, ProLawCLE's nationwide webinar (June 2019)

How to Handle a FINRA Rule 8210 Request: A Comprehensive Guide, The Knowledge Group, LLC's nationwide webcast (March 2019)

FINRA Arbitration Issues & Strategies – Claims in the FINRA Forum, ProLawCLE's nationwide webinar (August 2018)

Alternative Dispute Resolution, Florida Atlantic University-Law in the Real- World Series, Palm Beach Gardens, FL (2015 to 2019)

FINRA Dispute Resolution and How it Works, Florida Institute of CPAs-Sailfish Chapter, Stuart, Port St. Lucie, and Okeechobee Florida (2016-2017)

Investment Opportunities in Latin America, 22nd Annual Alpha Hedge East Conference, Palm Beach Gardens, FL (2016)

Crowdfunding Roundtable: Regulators and Practitioners, Public Investors Arbitration Bar Association Annual Meeting, La Quinta, CA (2014)

Addendum to the questionnaire submitted to the Senate Committee on Commerce, Science, and Transportation, 119th Congress by Robert Harvey.

Please find below my responses to questions received on October 8, 2025:

A.8 – Mr. Harvey's OGE 278e list his positions with Florida Resiliency and Energy District and Florida Venture Forum, as does his Ethics Agreement, but those positions are not listed on his questionnaire. Please clarify and provide a supplement as appropriate.

Response: A.8 requested all post-graduate employment.

I am an uncompensated board member of the Florida Venture Forum and serve as the uncompensated Executive Director of the Florida Resiliency and Energy District (FRED). Therefore, neither were included as employment under A.8, but were listed on OGE 278e and my Ethics Agreement as positions that I will be required to resign upon confirmation.

My position as a board member for the Florida Venture Forum is relevant to question A.11, I served in this position from January 2023 – Present.

My position as an Executive Director of the Florida Resiliency and Energy District (FRED) is an uncompensated advisory position for an entity established by state law, relevant to question A.10. I served in this position from July 2023 – Present.

Additionally, during my time as a Navy JAG, while on active duty from 1987-1990 I also served as a Special Assistant U.S. Attorney.

A.15 – We have identified political contributions that Mr. Harvey may have not disclosed. Please complete a thorough search, and provide a supplement as appropriate. These omitted contributions include:

- 1. An additional \$1,000 contribution to Rick Scott (Jun. 2024)
- 2. An additional \$1,000 contribution to Ron DeSantis (Oct. 2023)

Response: The above contributions are each listed twice on the Federal Election Commission website because they are joint fundraising committee dispersals. The contributions appear to have been received, then transferred by the candidates to other campaign entities, resulting in the double entries. My initial disclosure is accurate to my actual contributions.

A.18 – We have identified panels Mr. Harvey participated on that were not disclosed. Please conduct a comprehensive search for other responsive speeches, panel discussions and presentations, and provide a supplement as appropriate. The panels identified are listed here:

1. https://www.linkedin.com/posts/robert-harvey-44190610 southfloridainnovationday-levancenter-techinnovation-activity-7242368212787437568-Re6-

?utm_source=share&utm_medium=member_desktop&rcm=ACoAADleFtAB5wzi BPzKt0CKTpcRoGKVRKxh-d0

Response: This speaking engagement was disclosed on my resume as *The State of Innovation*, South Florida Innovation Day, Alan B. Levan-NSU Broward Center of Innovation, Fort Lauderdale, Florida (September 2024)

https://www.linkedin.com/posts/robert-harvey-44190610 israel-innovation-technology-activity-7166641603015618560-fG42?utm source=share&utm medium=member desktop&rcm=ACoAADleFtAB5wziBPzKt0CKTpcRoGKVRKxh-d0

Response: This was not disclosed since it was not a speech or panel discussion. I appeared on stage with Mr. Driquez, former Deputy Consul General of Israel, at a pitch event for Israeli companies in Tallahassee, Florida.

C.2 – Please clarify whether Mr. Harvey was investigated, arrested, charged, or held on the following dates. If so, please describe the circumstances around these arrests and provide a supplement as appropriate.

- 1. May 1, 1992 felony, misdemeanor
- 2. February 23, 1988 misdemeanor
- 3. October 21, 1985 misdemeanor
- 4. May 28, 1987 misdemeanor
- 5. January 26, 1994 misdemeanor
- 6. June 26, 1991 felony
- 7. July 28, 1986 misdemeanor

Response: I was not investigated, arrested, charged, or held on the above dates. There are no circumstances to describe since I have never been arrested.

The undersigned certifies that the information contained in the public addendum is true and correct.

Signed Robertaus Date: October 14, 2025