Senate Commerce Committee Nominee Questionnaire, 118th Congress Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation (the "Committee") asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper. Electronically submit your completed questionnaire to the Committee in PDF format and ensure that sections A through E of the completed questionnaire are in a text searchable and that any hyperlinks can be clicked. Section F may be scanned for electronic submission and need not be searchable.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Daniel Benjamin Maffei

2. Position to which nominated:

Commissioner, Federal Maritime Commission (FMC)

3. Date of Nomination:

January 3, 2023

4. Address (List current place of residence and office addresses):

800 North Capitol Street, NW, Washington, D.C. 20573 (Office)

5. Date and Place of Birth:

July 4, 1968, Syracuse, NY

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Abby Lynne Davidson, Managing Director, Engie Insight Services Inc., DBA as Engie Impact, Spokane, WA

Daughter:

7. List all college and graduate schools attended, whether or not you were granted a degree by the institution. Provide the name of the institution, the dates attended, the degree received, and the date of the degree.

Brown University (1986-1990) B.A., History and American Civilization, 1990

Columbia University, Graduate School of Journalism (1990-1991) M.S., Journalism, 1991

Harvard University, John F. Kennedy School of Government Master of Public Policy, 1995

8. List all post-undergraduate employment, including the job title, name of employer, and inclusive dates of employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

March 2021 - Present Chairman Federal Maritime Commission

July 2019 - Present Commissioner Federal Maritime Commission

August 2018 - January 2019 Professor of Practice, The Graduate School of Political Management The George Washington University, Washington, D.C.

January 2015 - April 2016 & July 2018 - August 2018 Self-Employed Public Affairs Consultant (See answers to Question 11 for clients) July 2016 - June 2018 Commissioner Federal Maritime Commission

April 2016 - July 2016 Senior Advisor, International Trade Administration U.S. Department of Commerce, Washington, D.C.

January 2015 - July 2016 Senior Fellow Center for the Study of the Presidency and Congress, Washington, D.C. (Focus on improving government procurement and acquisitions)

January 2013 - January 2015 Member of Congress (NY-24) United States House of Representatives, Washington, D.C. (Service on Committee on Armed Services, Committee on Science, Space and Technology, Ranking Democrat on Oversight Subcommittee; Managed Washington, D.C. and three district offices, represented the Port of Oswego and East Syracuse Rail Yard)

June 2011 - December 2012 Policy Advisor Manatt, Phelps, Phillips, LLP, Washington, D.C.

August 2011 - May 2012 Visiting Professor of Environmental Studies SUNY College of Environmental Science and Forestry, Syracuse, NY

January 2011 - May 2011 Senior Fellow Third Way, Washington, D.C.

January 2009 - January 2011 Member of Congress (NY-25) United States House of Representatives, Washington, D.C. (Service on Committee on Financial Services and Committee on the Judiciary, Managed Washington and three district offices, represented the East Syracuse Rail Yard)

2006 - 2008 Senior Vice President, Corporate Development Pinnacle Capital Management, LLC, Syracuse, NY 2005 Campaign Coordinator Matt Driscoll for Mayor, Syracuse, NY

2005 Summer Teaching Fellow Syracuse University Maxwell School of Public Affairs, Syracuse, NY

1998 - 2005 Senior Policy Advisor, Communications Director, Press Secretary Committee on Ways and Means U.S. House of Representatives, Washington, D.C.

1997 - 1998 Press Secretary U.S. Senator Daniel Patrick Moynihan, Washington, D.C.

1995 - 1996 Press Secretary U.S. Senator Bill Bradley, Washington, D.C.

1995 Summer Researcher Aspen Institute, Washington, D.C.

1992 - 1993 Reporter/Producer, News Administrative Assistant ABC Affiliate WIXT-TV (now WSYR-TV), Syracuse, NY

1991 - 1992 Weekend and Part-time Reporter CBS Affiliate WWNY-TV, Watertown, NY

1991 Part-time Anchor and Reporter CBS Affiliate WTNY-AM, Watertown, NY 1990

Summer Anchor and Reporter WBRU-FM, Providence, RI 9. Attach a copy of your resume.

See Attachment.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above after 18 years of age.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution.

July 2016 - July 2019 Board Member U.S. Association of Former Members of Congress, Washington, D.C.

January 2015 - April 2016 Senior Fellow Center for the Study of the Presidency and Congress, Washington, D.C.

April 2015 - July 2016 Member, Board of Advisors National Committee to Preserve Social Security & Medicare, Washington, D.C.

January 2011 - July 2016 Global Panel America (Subsidiary to Global Panel Worldwide, Prague, Czech Republic)

April 2015 - July 2016, July 2018-August 2018 Consultant Dezenhall Resources Ltd., Washington, D.C.

March 2015 - August 2015 Consultant Synoptos Inc., Washington, D.C.

January 2011 - May 2011 Senior Fellow Third Way, Washington, D.C.

2005 - 2013 Proprietor Maffei and Associates LLC, Syracuse, NY 12. Please list each membership you have had after 18 years of age or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religiously affiliated organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.). Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

None of the following organizations listed restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

April 2018 - January 2019 Member Commission on Political Civility and Effective Governance Center for the Study of the Presidency and Congress, Washington, D.C.

April 2018 - Present ReFormers Caucus Issue One, Washington, D.C.

January 2015 - Present Member U.S. Association of Former Members of Congress, Washington, D.C. (Board Member, July 2016 - January 2019)

April 2015 - July 2016 Member, Board of Advisors National Committee to Preserve Social Security and Medicare, Washington, D.C.

October 2014 - December 2015 Member New York Farm Bureau, Albany, NY

January 2011 - July 2016 Member, Board of Advisors Global Panel America (Subsidiary to Global Panel Worldwide, Prague, Czech Republic)

January 2007 - August 2015 Active Member Rotary Club of DeWitt, Syracuse, NY January 2007 - December 2014 Member Italian American Athletic Club, Syracuse, NY

January 2009 - December 2014 Member National Democratic Club, Washington, D.C.

August 2011 - August 2012 Member United University Professions at SUNY-School of Environmental Science & Forestry, Syracuse, NY

December 2007 - December 2008 Board Member The Newland Center for Adult Learning and Literacy, Syracuse, NY

January 2007 - December 2008 Board Member The Spanish Action League of Onondaga County, Syracuse, NY

January 2007 - December 2008 Board Member New York Donors Choose.Org, New York, NY

January 2007 - December 2007 Advisory Board Member Kids Win! of Catholic Charities of Onondaga County, Syracuse, NY

2005 - 2008 Member from DeWitt Onondaga County Democratic Committee, Syracuse, NY

2007 - 2012 Member Sierra Club (Iroquois Chapter), Syracuse, NY

2000 - Present Member Various Regional Chapters, AAA, Washington, D.C. 13. Have you ever been a candidate for and/or held a public office (elected, nonelected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

Yes, I have been a candidate for, and have held, public office. My campaign has no outstanding debt and all campaign accounts have been closed.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None since 2009.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

Sam Roberts for Congress, \$500.00, 2022 Francis Conole for Congress, \$500.00, 2022 Terry McAuliffe for Governor, \$500.00, 2012

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

None.

17. List each book, article, column, letter to the editor, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. If a link is not available, provide a digital copy of the publication when available.

"<u>Injecting more containers won't solve supply chain woes</u>" by Daniel B. Maffei and Louis F. Sola in *Supply Chain Dive*, March 2, 2021.

"<u>How junk food information starves democracy</u>" by Dan Maffei in *The Dominion Post* (Wellington, New Zealand), November 5, 2018.

"<u>Why democrats would be wise to compromise on CAFÉ standards</u>" by Dan Maffei in *The Hill* (on-line), August 28, 2018.

"The New Health Care Rationing" in U.S. News & World Report, May 5, 2016.

"<u>Democrats Would be Wise Not to Denigrate Innovation</u>" by Dan Maffei in *The Hill* (on-line) December 1, 2015.

"<u>Time for patients to benefit from lifesaving drugs</u>" by Dan Maffei in *The Hill* (online), October 23, 2015.

"<u>Consequences of Regulation by Clickbait</u>" by Dan Maffei in *Medium* (on-line), September 28, 2015.

"<u>Free Affordable Care Act From Unpopular Taxes</u>" by Dan Maffei in Roll Call (online), August 27, 2015.

"<u>A Frightening Thought: Congress' Flip-Flop on War and Diplomacy</u>" by Dan Mahaffee and Dan Maffei in *The National Interest* (on-line), August 20, 2015.

"<u>Science Denial: It's Not Just A Republican Problem</u>" by Dan Maffei in *Roll Call*, June 4, 2015.

"Equal Pay for Women Helps the Economy" by Dan Maffei in *The (Syracuse) Post-Standard*, September 16, 2014.

"<u>Rep. Dan Maffei: Rebuilding Infrastructure is the Key to Revitalizing Central New</u> <u>York</u>" by Dan Maffei in *The (Syracuse) Post-Standard*, June 22, 2014.

"<u>Rep. Dan Maffei: I didn't vote to 'defund' the Affordable Care Act</u>" by Dan Maffei in *The (Syracuse) Post-Standard*, October 3, 2013.

"Rep. Dan Maffei on Interstate 81's Future: Two Options Aren't Enough" by Dan Maffei in *The (Syracuse) Post-Standard*, May 30, 2013.

"<u>Rep. Dan Maffei: 'It's clear to me that our middle class families are still being squeezed'</u>" by Dan Maffei in *The (Syracuse) Post Standard*, February 8, 2013.

"<u>Commentary: Dan Maffei shares his vision for getting Central New York and the</u> <u>nation back on track</u>" by Dan Maffei in *The (Syracuse) Post-Standard*, August 19, 2012.

"<u>The Case for Corporate Tax Reform</u>" by Dan Maffei and Ryan McConaghy in *Third Way Report*, August 30, 2011.

"Doing nothing on health care not an option" by Dan Maffei in *The (Syracuse) Post-Standard*, March 19, 2010.

"Building a road map in the first 100 days" by Dan Maffei in *The (Syracuse) Post-Standard*, April 30, 2009.

"Campaign as Classroom: Dan Maffei MPP 1995 on lessons learned" by Dan Maffei in *Kennedy School Bulletin*, Summer 2008.

"Part of 'thinking big' is working for U.S. energy independence" by Dan Maffei in *The (Syracuse) Post-Standard*, May 22, 2008.

18. List all speeches, panel discussions, and presentations (e.g., PowerPoint) that you have given on topics relevant to the position for which you have been nominated. Include a link to each publication when possible. If a link is not available, provide a digital copy of the speech or presentation when available.

<u>Note</u>: I often only use notes or an outline when speaking publicly and do not always have text of prepared remarks. In addition to the below listed events, I have spoken to or with many smaller audiences of companies and/or their trade associations interested learning about the Commission's work. In these instances, I again was speaking from brief notes or an outline as opposed to using prepared remarks.

NCBFAA Government Affairs Conference, November 19, 2022

JOC Inland Distribution Conference, September 27, 2022

National Industrial Transportation League, May 27, 2022

National Association of Waterfront Employees, May 19, 2022

American Home Furnishing Association Fly-In, May 11, 2022

American Association of Port Authorities, March 30, 2022

TPM Conference, February 28, 2022

NCBFAA Annual Conference, May 5, 2021

Marine Transportation System Innovation Science and Technology Conference Keynote, March 18, 2021

Transportation Club of Tacoma Luncheon Meeting, January 12, 2021

AgTC Major Midyear Meeting, December 9, 2020

Global Liner Shipping Conference, November 3, 2020

NY/NJFF&BA Annual Dinner, February 18, 2020

Maritime Risk Symposium, November 13-15, 2019

NY/NJFF&BA Learn & Lunch, October 16, 2019

Global Liner Shipping Conference, May 16, 2018

NITL Summit, January 30, 2018

Second Annual Customs Brokers & Freight Forwarders Conference of the Americas, December 4-5, 2017

Global Liner Shipping Conference, May 16-16, 2017

Maritime Administrative Bar Association, November 29, 2016

First Annual Customs Brokers & Freight Forwarders Conference of the Americas, November 14, 2016

19. List all public statements you have made during the past ten years, including statements in news articles and radio and television appearances, which are on topics relevant to the position for which you have been nominated, including dates. Include a link to each statement when possible. If a link is not available, provide a digital copy of the statement when available.

"<u>Market Fallout From OSRA-22 to Become Clearer in 2023</u>" *Journal of Commerce*, January 18, 2023.

"<u>FMC Nixes Claim That Proposed Per Diem Rule Adds to Port Congestion,</u> <u>Pollution</u>" *Journal of Commerce*, January 6, 2023.

"<u>Statement of Chairman Daniel B. Maffei on the Denial of the PMSA/WSC Petition</u> <u>for Review</u>" *Federal Maritime Commission*, January 6, 2023.

"<u>Fireside Chat with Daniel Maffei, Chairman, Federal Maritime Commission</u>" *Cargomatic*, December 2022.

"<u>FMC Checking Carrier Compliance With OSRA-22 Anti-Retaliation Clause</u>" *Journal of Commerce*, December 15, 2022. "<u>FMC Probing Shipping Lines' Anti-Retaliation Compliance</u>" *Federal Maritime Commission*, December 15, 2022.

"<u>Free Time Comes Under Scrutiny Following Pandemic Port Congestion</u>" *Journal* of Commerce, December 6, 2022.

"<u>Chairman Maffei Participates in US-UK Bilateral Maritime Consultation</u>" *Federal Maritime Commission*, December 2, 2022.

"<u>Pandemic Offers Opportunity to Improve US Port Flow</u>" *Journal of Commerce*, November 30, 2022.

"Traffic Jam Podcast 21—Daniel B. Maffei, Chairman, Federal Maritime Commission" Traffic Club of Chicago, November 29, 2022.

"<u>Statement of Chairman Daniel B. Maffei in Memory of the Honorable Richard A.</u> <u>Lidinsky</u>" *Federal Maritime Commission*, November 22, 2022.

"<u>The 10 People Transforming Supply Chain—Including Leaders From Best Buy,</u> <u>Goodr, and Altana</u>" *Insider*, November 19, 2022.

"<u>Maffei Visits Bay Area for Congressional & Industry Meetings</u>" *Federal Maritime Commission*, November 15, 2022.

"<u>What One Importer's Legal Fight Says About the Power of Cargo Giants</u>" *The New York Times*, November 14, 2022.

"<u>Chris Hughey Named FMC General Counsel</u>" *Federal Maritime Commission*, November 7, 2022.

"<u>FMC's Maffei Warns of Increased Congressional Scrutiny on IEPs, Rails</u>", *Journal of Commerce*, September 28, 2022.

"Linda S. Harris Crovella Named Administrative Law Judge" Federal Maritime Commission, September 26, 2022.

"<u>FMC Chairman Urges Ocean Carriers to Improve Their Public Relations</u>", *Lloyd's List*, September 24, 2021.

"<u>It Costs How Much to Ship That? How One Commission is Tackling Inflation at the Ports</u>" *Marketplace*, September 20, 2022.

"<u>U.S. Shipping Backups Shift to East Coast and Gulf Coast Ports</u>" *The Wall Street Journal*, August 17, 2022.

"<u>FMC Establishes OSRA 2022 Implementation Webpage</u>" *Federal Maritime Commission*, August 12, 2022.

"<u>Can Global Shipping be Fixed?</u> One Regulator Will Try." *The New York Times*, August 8, 2022.

"<u>Carriers Need to Sweep Up NY-NJ Empties: FMC's Maffei</u>" Journal of Commerce, August 4, 2022.

"<u>Chairman Daniel B. Maffei Visits Port of New York and New Jersey to Meet with</u> <u>Stakeholders</u>" *Federal Maritime Commission*, August 4, 2022.

"New FMC Enforcement Structure" Federal Maritime Commission, July 29, 2022.

"<u>FMC Moving Quickly Implementing OSRA 2022</u>" *Federal Maritime Commission*, July 28, 2022.

"<u>Statement of Chairman Maffei on E.O. 14036 & Competition</u>" *Federal Maritime Commission*, July 15, 2022.

"<u>Chairman Maffei Addresses AgTC Annual Meeting</u>" *Federal Maritime Commission*, June 24, 2022.

"<u>Lloyd's List Podcast: Why Does President Biden Want to Punch Shipping CEOs?</u>" *Lloyd's List*, June 17, 2022.

"<u>FMC Ready to Hit the Ground Running on OSRA: Maffei</u>" *Journal of Commerce*, June 17. 2022.

"<u>How President Biden's Ocean Reform Act Could Impact Shipping and Inflation</u>" *CNBC*, June 16, 2022.

"<u>Will the Shipping Reform Act Help Rebalance Scales?</u> The FMC Chairman Thinks So." *Freightwaves*, June 15, 2022.

"<u>Statement of Daniel B. Maffei on Passage of the Ocean Shipping Reform Act of 2022</u>" *Federal Maritime Commission*, June 14, 2022.

"<u>Biden Blasts Ocean Carriers as Congress Readies Tougher Shipping Regulations</u>" *The Wall Street Journal*, June 10, 2022.

"<u>FMC Approves \$2 Million Settle Agreement with Hapag-Lloyd</u>" *Federal Maritime Commission*, June 8, 2022.

"<u>FMC Announces Three New Initiatives to Assist Shippers and Improve Supply</u> <u>Chain Performance</u>" *Federal Maritime Commission*, June 8, 2022. "<u>Commissioner Dye Releases Final Report for Fact Finding No. 29</u>" Federal Maritime Commission, May 31, 2022.

"<u>With Inflation Surging, Biden Targets Ocean Shipping</u>" *The New York Times*, March 21, 2022.

"<u>FMC Initiative Examining How Ocean Carriers Serve Export Shippers</u>" *Federal Maritime Commission*, April 22, 2022.

"<u>Remarks of Daniel B. Maffei Chairman, Federal Maritime Commission, to the</u> <u>American Association of Port Authorities</u>" *Federal Maritime Commission*, March 30, 2022.

"Export Service is New Added Focus of FMC Ocean Carrier Audit Program" Federal Maritime Commission, March 21, 2022.

"<u>FMC Rule Change Will Provide More Rights to Refunds for Cancelled or Delayed</u> <u>Cruises</u>" *Federal Maritime Commission*, March 16, 2022.

"<u>FMC Meets to Discuss Ocean Carrier Enforcement Efforts & New Rule Protecting</u> <u>Cruise Passengers</u>" *Federal Maritime Commission*, March 16, 2022.

"<u>TPM22: FMC's Maffei Sees No Collusion as Biden Targets Container Lines</u>" *Journal of Commerce*, March 1, 2022.

"Justice Department and Federal Maritime Commission Reaffirm and Strengthen Partnership to Promote Fair Competition in the Shipping Industry" Federal Maritime Commission, February 28, 2022.

"<u>Max Vekich Sworn-In as FMC Commissioner</u>" *Federal Maritime Commission*, February 15, 2022.

"LA-LB Terminals Scrap Daytime Truck Fee Extension After Maffei Salvo" Journal of Commerce, February 11, 2022.

"<u>Statement of Daniel B. Maffei on Proposed PierPass Change</u>" *Federal Maritime Commission*, February 11, 2022.

"Lawmakers, Regulators, Seek to Rein In Fees Carriers Charge at Ports" *The Wall Street Journal* February 6, 2022.

"FMC Hires New Secretary" Federal Maritime Commission, November 29, 2021.

"<u>New Supply Chain Initiatives Announced at FMC Meeting</u>" *Federal Maritime Commission*, November 17, 2021.

"<u>FMC Effort Will Examine How Data Can Improve Ocean Cargo Velocity</u>" *Federal Maritime Commission*, November 15, 2021.

"<u>U.S. Regulator Expects to Find Abuses in Shipping Amid Supply Chain Woes</u>" *Reuters*, November 2, 2021.

"Letter of Commissioners Daniel Maffei and Louis Sola to President Joe Biden" Federal Maritime Commission, October 25, 2021.

"<u>White House Scrambles to Address Looming Christmas Crisis</u>" *Politico*, October 13, 2021.

"<u>Chairman Daniel B. Maffei Visits West Coast Ports</u>" *Federal Maritime Commission*, September 30, 2021.

"<u>Chairman Maffei Addresses NCBFAA Conference</u>" *Federal Maritime Commission*, September 30, 2021.

"<u>Lloyd's List Podcast: The Regulators View of Container Chaos</u>" *Lloyd's List*, September 24, 2021.

"<u>FMC Announces National Shipper Advisory Committee Membership</u>" *Federal Maritime Commission*, September 9, 2021.

"International Shipping Competition Agencies Meet" Federal Maritime Commission, September 8, 2021

"<u>FMC's Scope in Shipping Crisis Limited: Maffei</u>" *Journal of Commerce*, October 18, 2021.

"<u>Commission Questions Shipping Lines About Surcharges</u>" Federal Maritime Commission, August 4, 2021.

"<u>FMC's Maffei Says Capacity Demand Won't Recede 'Until Late 2022</u>" *Freightwaves*, August 3, 2021.

"<u>FMC Establishes Ocean Carriers Audit Program</u>" *Federal Maritime Commission*, July 20, 2021.

"<u>New FMC and DoJ MOU Supports Interagency Collaboration on Antitrust Issues</u>" *Federal Maritime Commission*, July 12, 2021.

"<u>Chairman Daniel B. Maffei Attends White House Signing Ceremony for Executive</u> <u>Order on Competition</u>" *Federal Maritime Commission*, July 9, 2021. "<u>FMC Onboard for Biden's Ocean Carrier 'Crackdown</u>" *Freightwaves*, July 8, 2021.

"<u>Chairman Maffei and Commissioner Bentzel Tour the Port of New York and New</u> Jersey, Meet with Stakeholders on Cargo Operations and FMC Enforcement <u>Priorities</u>" *Federal Maritime Commission*, June 15, 2021.

"<u>Exclusive: Proposals Submitted to Address Ocean Shipping Crisis</u>" *Freightwaves*, June 10, 2021.

"<u>Remarks as Provided by Chairman Daniel B. Maffei: Celebrating Maritime Day</u> <u>2021</u>" *Federal Maritime Commission*, May 20, 2021.

"<u>Commission Meeting Addresses Fact Finding 29 and Alliance Monitoring</u>" Federal Maritime Commission, April 7, 2021.

"<u>First Interview With New Federal Maritime Commission Chairman</u>" *Freightwaves*, April 1, 2021.

"Daniel B. Maffei Designated as the Chairman of the Federal Maritime Commission" Federal Maritime Commission, March 30, 2021.

"<u>Chairman Maffei Discusses Port & Supply Chain Issues During Port of LA</u> <u>Briefing</u>" *Federal Maritime Commission*, May 17, 2021.

"<u>Top US Maritime Regulator Wants to Strengthen Enforcement</u>" *Journal of Commerce*, May 12, 2021.

"Letter of Commissioners Maffei and Bentzel to Great Lakes Governors" Federal Maritime Commission, March 8, 2021.

"<u>Statement of Commissioners Maffei and Bentzel: Urging Governors to Prioritize</u> <u>Maritime Worker Vaccinations</u>" *Federal Maritime Commission*, March 3, 2021.

"<u>Commissioner Maffei Visits Port Newark Container Terminal</u>" *Federal Maritime Commission*, February 26, 2021.

"<u>Commissioners Maffei and Bentzel Urge Biden Administration to Prioritize</u> <u>Vaccinations for the Maritime Industry</u>" *Federal Maritime Commission*, January 29, 2021.

"<u>Commissioners Bentzel and Maffei Urge Ocean Carriers to Carry U.S. Exports</u>" *Federal Maritime Commission*, December 17, 2020.

"<u>Commissioners Bentzel and Maffei Urge MARAD and CDC to Prioritize Maritime</u> <u>Workforce</u>" *Federal Maritime Commission*, December 4, 2020. "<u>Commissioner Daniel B. Maffei Statement on Expanded Supply Chain</u> <u>Investigation</u>" *Federal Maritime Commission*, November 20, 2020.

"<u>Commissioner Daniel B. Maffei Statement on World Shipping Council Agreement</u>" *Federal Maritime Commission*, November 16, 2020.

"<u>Commissioner Maffei Visits Port of New York and New Jersey</u>" *Federal Maritime Commission*, October 2, 2020.

"<u>Commissioner Daniel B. Maffei Statement on Detention and Demurrage</u> <u>Interpretive Rule</u>" *Federal Maritime Commission*, April 29, 2020.

"<u>Commissioner Daniel B. Maffei Statement on Coronavirus and the Shipping</u> <u>Industry</u>" *Federal Maritime Commission*, March 26, 2020.

"<u>Commissioner Daniel B. Maffei Statement in Memoriam Rep. Richard L. Hanna</u>" *Federal Maritime Commission*, March 24, 2020.

"<u>FMC Monitors Coronavirus' Impacts on Container Industry's Competitive</u> <u>Health</u>" *Freightwaves*, March 13, 2020.

"<u>Commissioner Daniel B. Maffei Statement on Coronavirus and the Shipping</u> <u>Industry</u>" *Federal Maritime Commission*, March 12, 2020.

"<u>Commissioners Bentzel & Maffei Travel to Houston, Texas</u>" *Federal Maritime Commission*, February 18, 2020.

"<u>Commissioner Daniel B. Maffei participates in Maritime Risk Symposium</u>" *Federal Maritime Commission*, November 27, 2019.

"<u>Commissioner Daniel B. Maffei visits Singapore for Global Maritime Forum</u>" *Federal Maritime Commission*, November 25, 2019.

"<u>Statement from Commissioner Maffei on Docket Nos. 16-01, 16-07, 16-10, and 16-11</u>" *Federal Maritime Commission*, October 21, 2019.

"<u>Commissioner Daniel Maffei Visits NYSHEX HQ in New York</u>" *Federal Maritime Commission*, October 11, 2019.

"<u>Commissioners Maffei & Sola Travel to Gulf of Mexico Ports</u>" *Federal Maritime Commission*, October 2, 2019.

"<u>Statement from Commissioner Maffei on Puerto Rico Nuevo Terminals LLC</u> <u>Cooperative Working Agreement</u>" *Federal Maritime Commission*, August 29, 2019. "<u>Commissioner Maffei votes in favor of amendments to rules governing NRAs and</u> <u>NSAs</u>" *Federal Maritime Commission*, June 29, 2019.

"<u>Statement from Commissioner Maffei regarding Amendment to the West Coast</u> <u>Marine Terminal Operator Agreement</u>" *Federal Maritime Commission*, May 24, 2018.

"<u>Statement from Commissioner Maffei on Formal Investigation into Detention and Demurrage</u>" *Federal Maritime Commission*, March 7, 2018.

"<u>Statement of Commissioner Daniel Maffei re Hearings on the Petition of the</u> <u>Coalition for Fair Port Practices</u>" *Federal Maritime Commission*, January 16, 2018.

"<u>Mission Failure—Exploring the Problems of Policy Schools Can Ignite New Ways</u> to Realize the Mission of Educating Public Servants in the 21st Century" Stanford Social Innovation Review, September 20, 2018.

"<u>Statement of Commissioner Maffei on the NYSHEX Agreements</u>" *Federal Maritime Commission*, December 4, 2017.

"<u>Statement from Commissioner Maffei commending the Commission's vote to hold</u> <u>public hearings on Petition P4-16</u>" *Federal Maritime Commission*, September 20, 2017.

"<u>Statement from Commissioner Maffei on Bankruptcy Amendment to THE</u> <u>Alliance</u>" *Federal Maritime Commission*, September 14, 2017.

"<u>Dan Maffei, the Cerebral Democrat and Perennial Candidate</u>" *WRVO*, October 30, 2014.

20. List all digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the full name of an "alias" or "handle", including the complete URL and username with hyperlinks, you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

LinkedIn: <u>/Daniel-maffei-38905430/</u> (Active) Twitter: @danielbmaffei (Dormant) Facebook: /danmaffeiNY (Dormant)

<u>Note</u>: I believe my Congressional office had a Facebook account, but I cannot locate anything about it. My Congressional campaigns utilized the above Facebook link, but it is possible my campaigns had other social media accounts for which I have no

access or identifying information. My campaigns had a website (maffeiforcongress.com). My Congressional office had a website that I believe the U.S. House of Representatives keeps archived. I also currently have a <u>webpage</u> on the Federal Maritime Commission website.

21. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

As a Member of Congress, I testified before committees, of which I was not a member, which had jurisdiction over legislation that I sponsored. In 2009, I testified before the U.S. House of Representatives Committee on Energy and Commerce advocating passage of the Automobile Dealer Economic Rights Restoration Act (ADERRA). In 2011, I testified before the U.S. House of Representatives Committee on Natural Resources advocating passage of the Harriet Tubman National Historic Parks Act.

Roundtable Discussion on Agricultural Exports and Supply Chain Disruption hosted by Representatives Costa, Garamendi, Lee and Thompson, Port of Oakland, November 1, 2022.

"Executive Session and Ocean Shipping Reform Act", Senate Committee on Commerce, Science, and Transportation, March 3, 2022.

"Review of Fiscal Year 2023 Budget Request for the Coast Guard and Maritime Transportation Programs", Subcommittee on Coast Guard and Maritime Transportation, House Committee on Transportation and Infrastructure, April 27, 2022.

"Review of Fiscal Year 2022 Budget for the Coast Guard and Maritime Transportation Programs", Subcommittee on Coast Guard and Maritime Transportation, House Committee on Transportation and Infrastructure, July 21, 2021.

"Impacts of Shipping Container Shortages, Delays, and Increased Demand on the North American Supply Chain", Subcommittee on Coast Guard and Maritime Transportation, House Committee on Transportation and Infrastructure, June 15, 2021. 22. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have more than six years' experience as a Commissioner on the FMC. Since March of 2021, I have served as the Chairman. For the entirety of that time, I have worked closely and well with my fellow Commissioners, the senior management, and staff of the FMC.

I continue to apply the law and regulations, understand the intricacies of the industry, and receive varying perspectives from stakeholders. While at the Commission, I have remained focused on understanding and advocating action where appropriate on challenges faced by U.S. shippers, especially exporters. Having the invaluable experience I have gained at the FMC is certainly an important qualification that I have for this maritime industry oversight moving forward.

In 2016 and 2018, I mentioned that my time as a former Member of Congress would be valuable for several reasons that still hold true today. First, the nature of the district I represented with agricultural areas, a Great Lakes international port, and supply chains that involve intermodal traffic from the Port of New York/New Jersey makes me intimately aware of the challenges faced by stakeholders (consumers, shippers, and intermediaries) in many areas throughout the United States especially the Midwest.

Second, I remain committed to the relationship regarding communication between the FMC and Congress. As a Commissioner and currently Chairman during an active time of ocean shipping reform, I redoubled my commitment to communicate with Congress to ensure that the FMC properly interprets the Shipping Act and, where updates to the law may be needed. I strive to be a valuable resource to the House and Senate staffers of both Democratic and Republican members and believe I have been helpful to them in finding bipartisan solutions to the ocean shipping challenges. This experience in Congress and working with Congress is especially pertinent in the current efforts to implement the Ocean Shipping Reform Act of 2022 and the several FMC rulemakings it mandates.

I have been an effective communicator and team builder in a wide variety of context including the media, private sector, and the legislative branch. I have substantial experience working with disparate interests in my district and state, as well as with Congress, to bring groups together to develop and implement specific solutions. All this experience indeed helped me to be effective in the years I already served on the FMC and remain important now.

23. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

As I stated in 2016 and 2018, the responsibility of the Commissioners of the FMC to ensure proper management and accounting is crucial to the FMC's success. As a small agency, the FMC has continued to operate on a lean budget to execute a large mandate to oversee international trade (130 people for approximately \$1.3 trillion of commerce). During a critical time in this industry, demand for Commission services has not been higher in recent years. Our Office of Consumer Affairs and Dispute Resolution Services continues to receive voluminous requests from the public for assistance. Aggrieved shippers continue to share information with us about unsatisfactory service— all of which is reviewed for potential legal violations. The FMC continues to meet its statutory obligations to facilitate a positive impact on the economic recovery of U.S. importers and exporters, which depend on a maritime industry providing stable, reliable ocean transportation.

In addition, prior to my Commission experience, I have managed a Congressional office that included a Washington, D.C. office and three district offices within an economically challenged and diverse region of the country. In addition, I have managed teams in the private sector in completing specific deliverables. Given that this specific appointment is to a Commission in which five people of diverse backgrounds work together to manage the organization, my experience as a senior staff person on a Congressional committee and then as a Member of Congress is particularly relevant. For example, as Ranking Member of the Subcommittee on the Oversight of the House Committee on Science, Space, and Technology, I worked very closely in collaboration with the Chairman and other subcommittee members to plan most of the hearings in a bipartisan way. Similarly, I have worked closely with my Republican and Democrat colleagues at the Commission, and while we have some disagreements, I have contributed to us all rowing in the same direction to serve the American shipper.

- 24. What do you believe to be the top three challenges facing the department/agency, and why?
 - Exports & Consumer Assistance
 - Enforcement
 - OSRA Implementation

Exports & Consumer Assistance

Addressing the challenges American businesses face in using ocean transportation to reach overseas markets is both a top priority I have as Chairman as well as a challenge for the Federal Maritime Commission to address.

Ocean carriers have created services that meet the service requirements of the majority of their customers. The overwhelming majority of international trade that moves by ocean is import cargo. In some respects, export shippers have benefitted from riding on the "back haul" of a service string. The chief benefit being they have typically been charged a low rate. There has always been a substantial spread in the cost to import a container into the United States versus exporting from the United States to other nations. Even at the height of the pandemic where the cost to ship a container hit historic highs, it was substantially less expensive to export a container than to import one. The reason for this is that ocean carriers are more interested in generating sufficient revenue to pay for a ship's return voyage overseas as opposed to charging a rate that generates a profit. In "normal" years, there has been ample space to accommodate the requirements of export shippers.

That is not to say that even in the pre-pandemic era shippers were not frustrated by challenges with securing intermodal equipment, meeting deadlines for getting containers aboard a vessel or having their shipments "rolled".

Nonetheless, the pandemic brought into stark relief the issues export shippers must overcome in securing service and underscored the reality that the "headhaul", the overseas-to-U.S. leg of a voyage, is what is the business priority for ocean carriers.

This must be addressed, and I used my authority as Chairman to direct Commission resources be prioritized toward identifying ways to assist exporters. I challenged Commission staff to think creatively on how we could use our existing authorities to aid exporters, as well as what approaches we could take beyond our authorities. In response, we:

- Focused our resources in our Office of Consumer Affairs and Dispute Resolution Services on assisting exporters who request assistance;
- Hired an Export Expert to work exclusively on exporter issues;
- Prioritized handling any cases involving exports for potential enforcement violations;
- Issued policy statements and instructional information on how to bring complaints at the Commission;
- Used our Vessel-Operating Common Carrier Audit Team to engage ocean carriers about how they serve U.S. export shippers and what opportunities exist for shipping lines to improve the service they offer American exporters; and,
- Continue our work to identify potential rulemakings that might make exporting less burdensome on U.S. companies.

Foundationally, these are complicated, interconnected, and global problems that can only be addressed by many parties and organizations demonstrating leadership and taking responsibility for matters where they can make a difference. There is no one government agency, nor one company capable of unilaterally addressing the myriad causes that have so totally disrupted the international ocean freight delivery system. The Commission recognizes the importance of U.S. exporters, and we will work with any and all companies, associations, or other U.S. Government agencies to improve the ability for U.S. export shippers to gain access to more reliable ocean transportation services.

Enforcement

The Commission remains vigilant and protects the public from unlawful, unfair, and deceptive practices. We will continue to respond as comprehensively, aggressively, and creatively as possible within the bounds of our present authorities.

Since I became Chairman, I redirected the FMC resources to be focused on issues discussed above as priorities. The Commission is focused on deterrence through enforcement by increasing its investigatory and enforcement activity.

We have increased investigative and enforcement activity, paying particular attention to ocean carriers. Initiating a new focus on addressing fees and surcharges with the goal of bringing greater transparency to rates was also put in place. In addition, we have increased the monitoring requirements of ocean carrier alliances and continue to assess if further changes are needed. On the oversight of markets, we have invested in our Bureau of Trade Analysis and their ability to review markets and alliances by adding staff with backgrounds in data science and analysis. Moreover, we have provided guidance to shippers on bringing complaints at the Federal Maritime Commission and we are seeing an increase in both formal and especially informal docketed proceedings being filed.

With international counterparts, we have consulted with competition authorities from the European Union and the People's Republic of China, regarding our respective efforts to ensure a competitive marketplace for ocean transportation services, as well as observations and conclusions from each regime's monitoring work. I directed, following conversations with Commissioner Dye, that the FMC create the Vessel-Operating Common Carrier Audit Program to help ensure with compliance on the Commission's interpretive rule on detention and demurrage and other important regulations.

Accordingly, the FMC, through the Bureau of Enforcement, Investigations, and Compliance, will continue to investigate for any illegal conduct in the marketplace and stand ready to prosecute potential violations by any entity under our jurisdiction.

Overarchingly, I am committed to making the Commission's enforcement program more capable and prepared than it has been in many years. Reorganizing our former Bureau of Enforcement and expanding the number of investigators, analysts, and attorneys devoted to seeking out non-compliant behavior is being done, not just in response to what happened during the pandemic, but to be best prepared for the next shock to the supply chain. Our goal is to mature our enforcement program to be more than sufficiently nimble to quickly pivot to address the consequences of the inevitable next disruption and to have the instincts to look for ways to use existing statutory authority to directly address relevant issues and disputes. We are on the right path.

Implementing OSRA 2022

I am committed to full implementation of the Ocean Shipping Reform Act of 2022 and in meeting not only the black letter requirements of the law, but in satisfying the intent of the Congress.

The Commission has moved forward expeditiously and decisively in implementing OSRA 2022. We have already made substantive progress in meeting many of the law's requirements and we are working diligently to fulfill the remaining requirements. We are being transparent in our work, establishing a dedicated page on our website where all Commission activity is being posted and we are providing a public update on implementation at each of our Commission meetings.

This has been essentially an "all hands" endeavor. The Commission is a small organization, consisting of approximately 130 employees, not all of whom are involved in monitoring, enforcement, licensing, consumer assistance and other related core functions. That noted, there is not a bureau or office at the Commission not engaged in the work necessary to implement all provisions of OSRA 2022 that are our responsibility. This is in addition to simultaneously continuing our work in the traditional mission areas of the FMC. No one has complained about the added work, but the pace and scope of implementation-related taskings has resulted in a considerable number of added assignments for everyone. Additional authorized and appropriated funds provided by Congress are being used to add needed staff to assist with OSRA implementation and to expand the capabilities and responsiveness of the Commission.

We will take full advantage of this support. The additional staff and resources we are hiring and procuring are needed to realize the full potential of OSRA 2022.

We have already seen a positive public response to new authorities provided to the Commission, particularly in the area of Charge Complaints. Since enactment, more than 200 Charge Complaints have been filed at the Commission and more than 70 of those met the standard for being investigated. Commission staff estimate that more than \$700,000 in contested fees have been waived or refunded by ocean carriers. While we are proud of how quickly we created a way to accept, review, investigate, and dispose of Charge Complaints, if past is precedent, we will need to commit more resources to this activity. Again, the support we received from Congress will help us in making certain we have adequate resources devoted to this activity.

Similarly, our Office of the Administrative Law Judges has seen a significant increase in filings of all classes of complaints. We have added a second Administrative Law Judge and are in the process of hiring a third. These are not simple cases. They are matters involving international commerce with often complicated matters of law in question. Cases frequently involve considerable procedural motions, and they are not routine cases. We must continue to invest in our Office of Administrative Law Judges to ensure the public benefits from the most rapid, full hearing of cases deserved. In addition to adding staff to this function, we are reviewing the procurement of additional information technology to assist in court management.

OSRA 2022 provides the Commission with many new and welcomed authorities that once fully implemented will benefit American companies.

B. POTENTIAL CONFLICTS OF INTEREST

 Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts, such as a 401(k) or pension plan.

I have both FERS and TSP accounts from my service as a Congressional staff person and Member of Congress.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association, or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None.

5. Identify any other potential conflicts of interest, and explain how you will resolve each potential conflict of interest.

None.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

In my two terms as a Member of Congress, as well as during my campaigns, I advocated publicly legislative positions on hundreds of topics. I am happy to provide specific examples as needed.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, an Inspector General, professional association, disciplinary committee, or other professional group? If yes:

No.

- a. Provide the name of court, agency, association, committee, or group;
- b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
- c. Describe the citation, disciplinary action, complaint, or personnel action;
- d. Provide the results of the citation, disciplinary action, complaint, or personnel action.
- 2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No, except for background investigations preceding potential Presidential appointments.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

In my capacity as a candidate for Congress, I was a party to civil litigation in the following instances:

I was a plaintiff in lawsuits filed in November 2012 and October 2014, respectively, to request securing of ballots to protect against the possibility of vote counting irregularities in the respective year's general election. Because of each suit, the ballots were impounded after the 2012 and 2014 elections.

I was a Respondent in a lawsuit filed in May 2014 by the Onondaga County Republican Committee (OCRC), which claimed that my campaign manager should not have been issued voter registration in New York because the OCRC alleged that he was only a temporary resident; accordingly, the OCRC contended the individual should not have been permitted to circulate candidate petitions for the Working Families Party. The court ruled in my favor, concluding my campaign manager was properly registered in New York and had conducted himself properly.

In November 2010, I was involved in a very close election and filed a court case to attempt to have all ballots counted. The judge eventually ruled that we had counted all of the votes. The lawsuit was resolved when I conceded the election.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

I know of no applicable additional information.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees, and that your department/agency endeavors to timely comply with requests for information from individual Members of Congress, including requests from members in the minority?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistleblowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

Danie B. Maffei being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Signature of Nominee

Subscribed and sworn before me this $\underline{17}$ day of feb, 2023.

mbil

Notary Public



The Honorable Daniel B. Maffei

FEDERAL GOVERNMENT SERVICE

FEDERAL MARITIME COMMISSION

Commissioner

- Appointed by President Obama and reappointed by President Trump, and President Bident to Senate-confirmed position
- Serve as one of up to five members of the bipartisan, independent commission that regulates the U.S. international ocean transportation system and adjudicates cases under the Shipping Act of 1984
- Designated as Chairman by President Biden in 2021
 - Responsible for the administration of the Commission and allocating resources to accomplish mission and priorities
 - Key initiatives include reinvigorating enforcement, emphasizing consumer assistance, and prioritizing ways to assist U.S. exporters reach overseas markets
 - Responsible for implementing the Ocean Shipping Reform Act of 2022
- Participated in bilateral and multilateral consultations with other competition and maritime authorities around the world including the European Commission, Denmark, Japan, Republic of Korea, People's Republic of China, and United Kingdom
- Delivered presentations on maritime transportation issues at domestic and international conferences
- Advised congressional committee staff and members on important revisions to maritime legislation
- Wrote concurring opinions in legal cases that had been appealed to the Commission

DEPARTMENT OF COMMERCE

Senior Advisor

- Appointed to International Trade Administration and seconded to Office of the Secretary
- Advised department leadership on legislative and public strategy for finishing Obama trade agenda
- Communicated informally with current Congress members and staff on TPP and other trade issues
- Coached officials on dealing with congressional meetings including prep for oversight hearings

THE HOUSE OF REPRESENTATIVES

Member of 113th Congress representing New York's 24th District

- Member of Committee on Armed Services and Committee on Science, Space, and Technology
- Ranking Member on Science and Technology Oversight Subcommittee
- Authored several provisions to identify and support new and better military technologies in the National Defense Authorization Act of 2013 and National Defense Authorization Act of 2014
- Fought successfully the efforts to decrease federal support for social science research and advocated for increased support for basic scientific research and streamlining of the grant application process
- Resolved military concerns and cleared bureaucratic obstacles so that one of six FAA-approved remotely piloted vehicle test sites would be established in Central New York
- Led successful bipartisan effort creating the first national park to honor Harriet Tubman
- Developed program to enhance 24th district local governmental and institutional capacity to obtain federal grants, resulting in approximately twenty-eight million dollars in grants over a two-year period

Washington, DC 2016

Washington, DC

2013 - 2015

2016 – present to

Washington, DC

Maffei Curriculum Vitae - Page 1 of 5

UNITED STATES HOUSE OF REPRESENTATIVES (CONTINUED)

Member of 111th Congress representing New York's 25th District

- Member of Financial Services Committee and Committee on the Judiciary
- Affected significant provisions of Affordable Care Act of 2010 as key swing vote; led coalition of members . that reduced the proposed tax on medical device manufacturers by 50 percent
- Co-authored Credit Card Holder Bill of Rights of 2009; and was sole sponsor of separate 2010 law to save retailers millions of dollars by grandfathering previously printed gift cards
- Won passage of 24 appropriations projects totaling \$14.4 million in funds for the 25th District
- Led movement in Congress to prevent unfair closures of GM and Chrysler auto dealerships; negotiated . inclusion of dealers' rights provision in appropriations law
- Founded the bipartisan House Adult Literacy Caucus

Communications Director/Senior Policy Advisor Committee on Ways and Means Democratic Staff

- Crafted and implemented media and political strategies involving economic policy, taxes, Social Security, Medicare, health, welfare, and international trade
- Explained and analyzed legislative issues for journalists, members, and the public •
- Worked with Democratic leadership and other House committee staffs to coordinate message points •
- Appeared periodically on C-SPAN's Washington Journal

UNITED STATES SENATE

Press Secretary to Senator Daniel Patrick Moynihan of New York

- Managed all of the Senator's media relations including monthly press briefings and op-ed placements
- Promoted the Senator's agenda involving issues before him as Finance Committee Ranking Member •

Press Secretary to Senator Bill Bradley of New Jersey

- Developed issue-based media strategies for Senator's agenda on health, tax and environmental issues •
- Advanced the Senator's national profile in anticipation of presidential campaign

PRIVATE SECTOR EXPERIENCE

SELF-EMPLOYED PUBLIC AFFAIRS CONSULTANT

- Assisted clients in developing message and marketing materials for various targeted audiences •
- Wrote memos and position papers for clients on health, science and tax issues
- Coached and prepared clients for appearances before congressional committees

MANATT, PHELPS, PHILLIPS, LLP

Senior Policy Advisor

- Advised clients on Affordable Care Act and Wall Street Reform and Consumer Protection Act implementation •
- Assisted clients in applying for federal contracts .

PINNACLE CAPITAL MANAGEMENT, LLC Senior Vice President, Corporate Development

- Enhanced profile of newly formed Central New York-based capital management firm
- Identified and cultivated prospective clients through individual meetings and outreach events

ABC AFFILIATE WIXT-TV (NOW WSYR-TV)

On-Air News Reporter/Producer

2009 - 2011

1998 - 2005

1995 – 1996

Washington, DC 1997 – 1998

2015 - 2016

Washington, DC 2011 - 2012

Syracuse, NY 2006 - 2008

Syracuse, NY 1992 - 1993

Washington, DC

UNIVERSITY TEACHING EXPERIENCE

THE GEORGE WASHINGTON UNIVERSITY Professor of Practice, Graduate School of Political Management

- Taught courses Running For Office (PMGT 6434) and Legislative Politics (LGAF 6202)
- Represented GWU at academic roundtable Open Information vs. Fake News in Wellington, N.Z.
- Assisted planning, recruited speakers, and moderated a panel for Reinventing Disruption conference
- Provided Capitol tour and assisted in hosting British Members of Parliament visiting the school
- Formulated and facilitated week-long program for visiting Southern Methodist University students

SUNY COLLEGE OF ENVIRONMENTAL SCIENCE AND FORESTRY Visiting Professor, Environmental Studies Department

- Designed and taught Graduate Seminar: The Politics of Environmental Science and Technology Policy
- Taught Survey Course: Government and the Environment (with then Assistant Professor Paul Hirsch)

SYRACUSE UNIVERSITY MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS Syracuse, NY

- Special Summer Course Assistant 2005
- Assisted in facilitating Washington simulation for Public Administration and Democracy course

GUEST TEACHING AND LECTURES

Syracuse University Maxwell School of Citizenship and Public Affairs Speaker/Mentor at National Security Studies Program Minnowbrook Conference	2016, 2018 2017	
Brown University, A. Alfred Taubman Center for American Politics and Policy <i>Lecture Series Speaker</i>		
Millikin University		
Thomas W. Ewing Lecture (with former Representative Peter Torkildsen)	2015	
Guest Lectures at Colleges and Universities:		
Syracuse University Washington Program	2016, 2017, 2018	
Indiana State University	2017	
Texas Tech University Washington Congressional Internship Program	2017	
Syracuse University Maxwell School of Citizenship and Public Affairs	2010, 2012, 2013, 2014	
Cornell University Government Department	2015	
Syracuse University Newhouse School of Public Communications	2004, 2005, 2010, 2014	
LeMoyne College	2010, 2014	
Syracuse University Law School	2011, 2013	
SUNY Oswego	2012	
Cornell University School of Hotel Administration	2011	
Ivy Tech College (Bloomington, Indiana) O'Bannon Institute for Community Service	2011	
Hobart and William Smith Colleges	2008, 2009	
University of Richmond Jepson School of Leadership Studies	2007	
Harvard University John F. Kennedy School of Government	2004	
Rutgers University Bloustein School of Planning and Public Policy	2004	
American University Washington Semester Program	2003	

Washington, DC

Syracuse, NY

2011 - 2012

FELLOWSHIPS

CENTER FOR THE STUDY OF THE PRESIDENCY AND CONGRESS Senior Fellow

- Co-coordinated and advised major research project on government procurement
- Participated in Middle East Study Group examining United States policy in Iraq, Syria and Jordan
- Authored (with Dan Mahaffee) A Frightening Thought: Congress' Flip Flop on War and Diplomacy

THIRD WAY

Senior Fellow

- Authored (with Ryan McConaghy) Third Way policy paper The Case for Corporate Tax Reform
- Moderated public panels and private meetings on corporate tax issues

SELECTED MEMBERSHIPS

U.S. ASSOCIATION OF FORMER MEMBERS OF CONGRESS Board Member		ashington, DC 016 – present
ISSUE ONE "ReFormers Caucus" <i>Member</i>		ashington, DC 018 – present
GLOBAL PANEL FOUNDATION Board of Advisors (America)		zech Republic 010 – 2016
NATIONAL COMMITTEE TO PRESERVE SOCIAL SECURITY AND MEDICA Board of Advisors		ashington, DC 015 – 2016
EDUCATION		
 HARVARD UNIVERSITY JOHN F. KENNEDY SCHOOL OF GOVERNMENT Master of Public Policy Thesis: An Analysis of Media Coverage of the Watchdog Group the Center for Public Integrity 		ambridge, MA 193 – 1995
 COLUMBIA UNIVERSITY GRADUATE SCHOOL OF JOURNALISM Master of Science in Journalism Project: A Radio Documentary on the Rise of Political Talk Radio 		ew York, NY 290 – 1991
BROWN UNIVERSITY Bachelor of Arts in History and American Civilization 1986 – 1990	Pr	ovidence, RI

Washington, DC 2011

Washington, DC 2015 – 2016

SELECTED PUBLICATIONS

"How Junk Food Information Starves Democracy" in The Dominion Post (Wellington, New Zealand), November 5, 2018

"The New Health Care Rationing" in U.S. News & World Report, May 5, 2016

"Democrats Would Be Wise Not to Denigrate Innovation" in The Hill, December 1, 2015

"Time for Patients to Benefit From Lifesaving Drugs" in The Hill, October 23, 2015

"Consequences of Regulation by Clickbait" in Medium.com, September 28, 2015

"Free Affordable Care Act From Unpopular Taxes" in Roll Call, August 27, 2015

"A Frightening Thought: Congress' Flip-Flop on War and Diplomacy" (co-authored by Dan Mahaffee) in *The National Interest*, August 20, 2015

"Science Denial: It's Not Just A Republican Problem" in Roll Call, June 4, 2015

"Equal Pay for Women Helps the Economy" in The (Syracuse) Post-Standard, September 16, 2014

"Rep. Dan Maffei: Rebuilding Infrastructure is the Key to Revitalizing Central New York" in *The (Syracuse) Post-Standard*, June 22, 2014 "Rep. Dan Maffei on Interstate 81's Future: Two Options Aren't Enough" in *The (Syracuse) Post-Standard*, May 30, 2013

"The Case for Corporate Tax Reform" (co-authored by Ryan McConaghy) in Third Way Report, August 30, 2011

"Campaign as Classroom: Dan Maffei MPP 1995 on lessons learned" in John F. Kennedy School of Government Bulletin, Summer 2008

"Part of 'Thinking Big' is Working for U.S. Energy Independence" in The (Syracuse) Post-Standard, May 22, 2008

Daniel B. Maffei Senate Commerce Committee Nominee Questionnaire, 118th Congress Supplemental Information

The following information amends a Nominee Questionnaire submitted to the Committee on February 17, 2023.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$200 or more for the past ten years.

Biden Action Fund, \$1,000.00, 2020 Biden for President, \$1,000.00, 2020 Solutions PAC, \$504.65, 2016

18. List all speeches, panel discussions, and presentations (e.g. PowerPoint) that you have given on topics relevant to the position for which you have been nominated. Include a link to each publication when possible. If a link is not available, provide a digital copy of the speech or presentation when available.

National Maritime Day Ceremony, May 22, 2023

• <u>Full Event Video</u> (Note: My remarks being at ~38:40 mark)

Baltimore Port Alliance, May 19, 2023

Women's International Shipping and Trading Association ISTA 2023 Conference May 12, 2023

Meeting of the Federal Maritime Commission, May 3, 2023

DC Propeller Club March 15, 2023

TPM23, "Delivering on OSRA 2022's Promises: A Townhall Discussion With FMC Chairman Daniel Maffei", February 27, 2023

Meeting of the Federal Maritime Commission, January 25, 2023

Association of Bi-State Motor Carriers, January 10, 2023

Meeting of the Federal Maritime Commission, July 27, 2022

Plenary Session for the Maritime Transportation Data Initiative, June 1, 2022

Continued

Daniel B. Maffei Nominee Questionnaire, 118th Congress Supplemental Information June 15, 2023

Meeting of the Federal Maritime Commission, November 16, 2021

Meeting of the Federal Maritime Commission, October 13, 2021

National Shipper Advisory Committee Inaugural Meeting, October 27, 2021

21. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

Hearing of Subcommittee on Coast Guard and Maritime Transportation (House Committee on Transportation and Infrastructure) on "Review of Fiscal Year 2024 Budget Request for the Federal Maritime Transportation Programs, and Implementation of the Ocean Shipping Reform Act of 2022", March 23, 2023.

- <u>Testimony submitted for the Record</u>
- Full Hearing Video