

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Raymond Phillip Martinez

2. Position to which nominated:

Administrator, Federal Motor Carrier Safety Administration, U.S. Department of Transportation

3. Date of Nomination:

September 29, 2017

4. Address (List current place of residence and office addresses):

Residence:



Office:

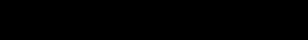
New Jersey Motor Vehicle Commission
225 East State Street
Trenton, N.J. 08666

5. Date and Place of Birth:

December 9, 1960
Bronx County, New York City, New York

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: None

Children: 

7. List all college and graduate degrees. Provide year and school attended.

Juris Doctor – 1993 - St. John's University School of Law
Baccalaureate – 1983 – Long Island University / C.W. Post College

8. List all post-undergraduate employment, and highlight all management- level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

All post-undergraduate employment

1/10 - Present New Jersey Motor Vehicle Commission – Chairman & Chief Administrator
12/05 - 1/09 - United States Department of State – Deputy United States Chief of Protocol
12/00 - 12/05 – New York State Department of Motor Vehicles Commissioner / Chairman Governors Traffic Safety Committee – State Highway Safety Representative
2/99 – 12/00 – Long Island Power Authority – Assistant General Counsel
7/97 – 1/99 – New York State Attorney General's Office – Deputy Chief of Staff & Special Counsel
1/94 – 7/97 – Davidoff & Malito, LLP – Associate Attorney
2/92 – 6/93 Queens County District Attorney's Office – Criminal Court Bureau Legal Assistant
6/89 – 3/90 – Citicorp/Citibank – Assistant Vice President for Protocol & Special Events
9/86 – 2/89 – The White House – Deputy Director for Scheduling & Advance for the First Lady
3/85 – 9/86 – U.S. Department of Housing & Urban Development, Region II - Special Assistant
12/82 – 2/85 – New York State Senate, 6th Senatorial District – Legislative Aide to Senator

Current and Past employment related to position to which I have been nominated

Chairman & Chief Administrator – New Jersey Motor Vehicle Commission

Commissioner New York State Department of Motor Vehicles / Chair Governors Traffic Safety Committee – State Highway Safety Representative

New York State Attorney General's Office – Deputy Chief of Staff & Special Counsel

New York State Senate – Legislative Aide

9. Attach a copy of your resume.

Resume Attached

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

As Chairman & Chief Administrator of the New Jersey Motor Vehicle Commission I serve as a Member of the Executive Board of the Federal Bureau of Investigation, Joint Terrorism Task Force for New Jersey

As Chairman & Chief Administrator of the New Jersey Motor Vehicle Commission I serve as the Governors appointee on the New Jersey State Planning Commission

Both of these positions are uncompensated. If confirmed, I will resign from both positions.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

None / Not Applicable

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

Member of the Board of Directors of the American Association of Motor Vehicle Administrators (AAMVA). I serve on this Board by virtue of my position as the Chief Motor Vehicle Administrator for the State of New Jersey. This organization has no membership restrictions based upon sex, race, color, religion, national origin, age or handicap.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding

debt, the amount, and whether you are personally liable for that debt.

I have never been a candidate for public office or incurred campaign related debt. I currently serve as Chairman & Chief Administrator of the New Jersey Motor Vehicle Commission, a state cabinet level position which requires nomination by the Governor and confirmation by the State Senate.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

I have not held office with or rendered services to any state or national political party or election committee in the past ten years.

My contributions to individuals, campaign organizations, political parties, political action committees or similar entities of \$500.00 or more in the last ten years are as follows:

5/22/2009 - \$500. To the Republican Campaign Committee of New York
10/04/2011 - \$1,000. To State Senator Joe Kyrillos, N.J. 13th Legislative District
10/25/2012 - \$500. To Mitt Romney Presidential Campaign
2/11/2013 - \$1,000. To Chris Christie, 2013 Governor Primary Campaign
6/28/2013 - \$500. To Christopher J. Christie 2013 Governor General Campaign

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Summer 2005 – American Association of Motor Vehicle Administrators (AAMVA) Fellowship to the John F. Kennedy School of Government / Harvard University, Program for Senior Executives in State & Local Government

2005 – New York State Bar Association and the Committee on Volunteer Lawyers - Award for Leadership

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Presenter - Princeton University Smart Driving Vehicle Summit
Presenter - New Jersey Association of Chiefs of Police
Presenter - New Jersey Traffic Officers Association
Presenter - Constitutional Officers Association of New Jersey
Presenter - International Association of Transportation Regulators
Presenter - City University of New York - Urban Transportation Research Center
Presenter - NYU - Connected & Autonomous Vehicles Symposium
Presenter – Connect:ID, Identification Security Conference

All of these speeches have been given in my official capacity as Chairman & Chief Administrator of the New Jersey Motor Vehicle Commission and I have received no additional compensation for their presentation.

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

I have not testified orally or in writing before Congress.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

The mission of the FMCSA is to reduce crashes, injuries and fatalities involving large trucks and buses. As Chairman and Chief Administrator of the New Jersey Motor Vehicle Commission, an organization of 2300 employees located throughout the state, I believe I am qualified to lead the FMCSA. I am eager to assist the FMCSA focus on its mandate by developing and enforcing data driven regulations that enhance safety, with a particular focus on higher risk carriers; ensuring proper attention and resources are dedicated to enforcement; targeting educational messages and information to carriers, commercial drivers, and the public; and partnering with stakeholders including Federal, State, and local enforcement agencies, the motor carrier industry, safety groups, and organized labor on efforts to reduce bus and truck related crashes.

Similarly, as Commissioner of the New York Department of Motor Vehicles and Chairman of the Governors Traffic Safety Commission, I coordinated statewide traffic safety activities and promoted the state's highway safety program to provide for the safe transportation of people and goods on the roadways throughout the state. I managed the state highway safety program by reviewing

and monitoring grant programs, coordinating special programs throughout the state and providing guidance and oversight to state and local agencies. I believe this experience will enable me to be an effective partner with other modal administrations at DOT to improve safety.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I have experience in leading large public agencies and agree that accountability is critically important. If confirmed, I would expect to be charged with the responsibility of establishing or maintaining processes and procedures that would generally provide the Secretary and Congress continued assurance that:

FMCSA data and information is accurate, reliable, complete and timely; the actions of FMCSA personnel are at all times in compliance with all applicable law, regulation, policies, standards and procedures; FMCSA employees are effectively deployed, engaged, and encouraged in accomplishing the mission; and that FMCSA is a good, honest steward of public resources.

20. What do you believe to be the top three challenges facing the department/agency, and why?

1. Safety must remain the FMCSA top priority. Emerging technologies like highly automated or self-driving vehicles, especially large trucks, provide potential for great improvement in highway safety as well as operational efficiencies for industry stakeholders. However, these same emerging technologies and related safety concerns and enforcement issues also pose challenges to the FMCSA regulatory and oversight role and its safety mission. The FMCSA must ensure appropriate, balanced regulation and seamless integration of any new and developing technologies into the existing highway safety landscape without hindering innovation.
2. The FMCSA is increasingly dependent upon its IT infrastructure and the use of outside vendors in order to accomplish its core safety mission. Safeguarding this infrastructure by employing stringent management and strong risk mitigation will help to ensure the security, stability and sustainability of its data management and communications capability.

3. The FMCSA must continue to expand and enhance its relationship with its transportation safety partners at every level of government as well as with those stakeholders in the private sector. Highway traffic safety, especially in relation to the truck and motorcoach sectors, requires extensive and continued cooperation between all of these entities. Effective regulation and continued improvement of safety in this area can only be achieved by leveraging current, valid and verifiable data from all of these sources in order to identify areas of risk and focus enforcement efforts more efficiently on those identified areas.

B. POTENTIAL CONFLICTS OF INTEREST

A. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts

I currently receive a salary from the State of New Jersey for my position as Chairman & Chief Administrator of the State Motor Vehicle Commission. If confirmed, I will resign from my salaried position as Chairman & Chief Administrator of the State Motor Vehicle Commission.

My retirement accounts include in total, state retirement accounts from New York and New Jersey:

A New York State Deferred Compensation Plan [a 457 Plan]. Neither my former employer nor I, currently or in the future, will make contributions to this plan.

A New Jersey Defined Contribution Retirement Plan [a 401(a) Plan] and a New Jersey State Employees Deferred Compensation [a 457 Plan]. If confirmed, neither I nor my current employer will make any further contributions to the plans.

I also have a Thrift Savings Plan Account from my previous federal service. If confirmed, I will retain my TSP account.

I have no other financial arrangements or agreements that would involve continuing dealings with current associates, clients, or customers.

1. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No

2. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's (DOT) Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

3. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict

of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

4. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

I serve as the Chairman & Chief Administrator of the New Jersey Motor Vehicle Commission (NJMVC). In that capacity, I and the Motor Vehicle Commission are asked by both the Governor and the Legislature to assess the impact of proposed legislation that is in any way related to the NJMVC. In any given legislative session dozens of bills are submitted that require review and submission of comments through the Legislative Liaison in the NJMVC Office of Legislative and Regulatory Affairs.

On an annual basis, I testify before both the State Assembly and the State Senate Budget Committees.

I have also testified before the State Senate and State Assembly Transportation Committees on matters related to the Motor Vehicle Commission.

5. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved with the terms of an ethics agreement that I have entered into with DOT's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

Yes - DUI 8/89, Nassau County, New York

Yes - DUI 9/87, Fairfax County, Virginia

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No. I have not been a party to civil litigation, administrative agency proceedings, or criminal proceedings, except as described in response to Question 4 below. The public agencies of which I have been an officer have on occasion been parties to litigation, but none of those litigation matters has concerned activities involving me personally.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

Yes - DUI 8/89, Nassau County New York (Same as above)

Yes - DUI 9/87, Fairfax County, Virginia (Same as above)

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes, to the extent reasonable and feasible.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes, to the extent consistent with legal and customary requirements.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)


F. Affidavit

Raymond P. Martinez, being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this 3rd day of October, 2017.



Notary Public

