

Instructions for nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Jonathan Patrick Hickey

2. Position to which nominated:

Rear Admiral Lower Half

3. Date of Nomination:

4. Address (List current place of residence and office addresses):

Home: [REDACTED]

Work: The White House, 1650 Pennsylvania Ave, Office of the Vice President,
Washington, DC 20501

5. Date and Place of Birth:

04/03/1972 Taunton, MA

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Not married (divorced October 2014)

Children:

- Cecelia Lorraine Hickey (18 years old)
- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

7. List all college and graduate degrees. Provide year and school attended.

MIT School of Engineering and Sloan School of Management, Cambridge, MA
Master of Science in Engineering and Management; System Design and Management
Fellow - 2012

The George Washington University, Washington DC
Master of Science in Project Management - 2006

University of Illinois, Urbana-Champaign, IL
Master of Science in Civil Engineering - 1997

United States Coast Guard Academy, New London, CT
Bachelor of Science in Civil Engineering - 1994

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

All jobs listed below were Active Duty assignments from the U.S. Coast Guard:

- Special Advisor to the Vice President; 2018 – Present
- Commanding Officer, Base Alameda, Alameda, CA; 2015 - 2018
- Commanding Officer, Fast Response Cutter Project Residence Office, Lockport, LA; 2012 – 2015
- Deputy Budget Director, Office of Budget & Programs, Washington DC; 2009 – 2011
- Executive Officer, Rescue 21 Project Resident Office, Scottsdale, AZ; 2007 – 2009
- Executive Assistant, Coast Guard Command & Control Modernization, Washington DC; 2006 – 2007
- Program Reviewer, Office of Budget & Programs, Washington DC; 2002 – 2005
- Assistant Engineering Officer, Base Alameda, Alameda, CA; 2001 – 2002
- Project Manager, Civil Engineering Unit, Oakland, CA; 1997 – 2001
- Assistant Engineer Officer, U.S. Coast Guard Cutter MIDGETT, Seattle, WA; 1994-1996

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last ten years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last ten years.

None

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. (For this question, you do not have to list your religious affiliation or membership in a religious house of worship or institution.) Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

Society of American Military Engineers (2013-2016)
Elks Club (Alameda, CA Chapter) (2017-Present)
Coast Guard Academy Alumni Association (1994-Present)

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. List all memberships and offices held with and services rendered to, whether compensated or not, any political party or election committee within the past ten years. If you have held a paid position or served in a formal or official advisory position (whether compensated or not) in a political campaign within the past ten years, identify the particulars of the campaign, including the candidate, year of the campaign, and your title and responsibilities.

None.

15. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years.

None.

16. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Massachusetts Institute of Technology (MIT) Seminar XXI National Security Fellow
- National Security Certificate Program – 2018-2019

Naval Post Graduate School, Center for Homeland Defense and Security Executive
Leaders Program Fellow, Monterey, CA – 2019-Present

Securing America's Future Energy's Energy Security Fellowship, Washington, DC –
2019-Present

Licensed Professional Civil Engineer, State of California

Project Management Professional (PMP®)

Department of Homeland Security (DHS) Program Manager Level III

Military Medals:

- Legion of Merit
- Meritorious Service Medal (4)
- Coast Guard Commendation Medal (2)
- Coast Guard Achievement Medal (2)
- Commandant Letter of Commendation Ribbon

MIT Best Thesis Award

Society of American Military Engineers Oren Medal (Civil Engineer of the Year)

17. Please list each book, article, column, Internet blog posting, or other publication you have authored, individually or with others. Include a link to each publication when possible. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

2012 International Council on Systems Engineering, Author of paper "Engineering a Smart Box"

2012 MIT, Author of thesis "System Theoretic Safety Analysis of USCG Aviation Mishap CG-6505"

2013 International Journal of Risk Assessment, Author of paper "Effectiveness of Accident Models"

2018 Coast Guard Round Table – Ready, Relevant, and Responsive – A Mission Support Perspective

2019 Coast Guard Round Table – Failure Is the Only Option

18. List digital platforms (including social media and other digital content sites) on which you currently or have formerly operated an account, regardless of whether or not the account was held in your name or an alias. Include the name of an “alias” or “handle” you have used on each of the named platforms. Indicate whether the account is active, deleted, or dormant. Include a link to each account if possible.

Facebook – Jon Hickey - Active

19. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

20. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have served in the Coast Guard as an active duty officer since graduating from the Coast Guard Academy in 1994. During this timeframe, I have completed various operational and mission support assignments, specializing in major systems acquisition, engineering and logistics support, and financial management.

I believe my engineering and project management education, licenses, and certifications, combined with my experience leading the Rescue 21 and Fast Response Cutter acquisitions will enable me to lead the Coast Guard’s critical acquisition and recapitalization programs that are critical to sustaining operational and logistics capabilities and capacity well into the future.

Serving in the Coast Guard’s Office of Budget and Programs for multiple assignments, working with the Coast Guard’s Senior Leadership (e.g., Commandant, Vice Commandant, and Chief Financial Officer) on developing out-year budgets and reviewing the execution of prior and current-year budgets provides me with excellent insight with respect to establishing clear organizational objectives, prioritizing resources, and ensuring accountability.

Finally, as Commanding Officer of Base Alameda from 2015 to 2018, I led a 500-member unit in providing health care, naval engineering, facilities engineering,

procurement and financial management, and communications support to over 20 Coast Guard units and over 2,000 Coast Guard personnel. In this capacity, I had the opportunity to witness first-hand the positive impacts that well-executed mission support has on the ability of the Coast Guard to perform its missions. And more importantly, the important role of leadership to take care of and nurture the development of their people.

I am deeply committed to the Coast Guard and the people who serve in our great service. I have dedicated my professional life to the betterment of the Coast Guard and I strongly desire to serve the Coast Guard in a senior leadership role to leverage my experience and commitment to improve the Coast Guard's ability to execute its missions and foster the continued development of Coast Guard personnel.

21. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I believe it is incumbent upon all senior leaders to ensure proper management and accounting controls.

In addition to completing two tours in the Coast Guard's Office of Budget and Programs, I served in a leadership role on two Coast Guard major systems acquisitions programs. From 2007-2009, I was the Executive Officer (second in charge) and Contracting Officer's Representative (COR) for Rescue 21 – a \$1 billion project to acquire a nationwide communications system. From 2012-2015, I was the Commanding Officer (first in charge) and COR for the Coast Guard's Fast Response Cutter – a \$4 billion shipbuilding program. Both of these projects required working hand-in-hand with government contracting officers and financial managers to ensure detailed accounting of costs and capitalization of assets.

As Commanding Officer of Base Alameda from 2015 to 2018, I led a 500-member, multi-million dollar organization, ensuring proper financial accounting and remaining within established budgetary guidelines. In 2015 to 2017, Base Alameda was selected for and led the Coast Guard's Financial Management and Procurement Systems Business Process Re-engineering pilot program, leading the organization in improving processes to enhance financial management and accounting controls. The pilot effort was widely considered a success and is currently being implemented across the Coast Guard.

If confirmed, I will continue to enforce full and proper management and accounting controls to best ensure appropriate stewardship of the taxpayers dollars and to maintain the public's trust.

22. What do you believe to be the top three challenges facing the department/agency, and why?

One of our top challenges is harmonizing our current and ever-increasing operational demands with our pressing need to recapitalize our infrastructure and operational capital assets (e.g., cutters and aircraft). This is largely a resource management problem that we must work across the organization, our stakeholders, and partners to best manage in the near and long term. We must make the investments needed in our facilities, command and control systems, boats, cutters, and aircraft to ensure long term viability of our Service while minimizing the impact on our ability to execute our missions today. Key to this will be our ability to assess and manage short and long term organizational risk.

Another critical challenge facing the Coast Guard is recruiting and retaining a ready workforce as future generations bring different expectations and goals to the workplace. Human resource policies must be flexible and adaptive to these changes. The Coast Guard must continue to evolve our accession and human resource readiness tactics, techniques, and procedures so that we can appeal to future generations as an employer of choice, foster personal and professional growth, and retain personnel for career-long service.

Finally, the Coast Guard must look for ways to harness innovation and partnerships to best leverage limited resources and improve mission execution. The demand for the Coast Guard continues to increase, however, resources remain constrained. Innovation, which often occurs at the operational (e.g., deck plate) level of the organization, is essential to enhancing efficiency and effectiveness. Partnerships, both public and private, offer a low-cost way to force multiply, share information, and leverage new technologies developed outside of the organization. We must strive to encourage our workforce to seek innovative approaches and work across the whole of government and outside stakeholders to achieve key, common objectives.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

None.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest should arise I will obtain advice from a Coast Guard ethics official and follow that advice

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. Explain how you will resolve each potential conflict of interest.

None, but if a conflict of interest should arise I will obtain advice from a Coast Guard ethics official and follow that advice

5. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

6. Describe any activity during the past ten years, including the names of clients represented, in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics, professional misconduct, or retaliation by, or been the subject of a complaint to, any court, administrative agency, the Office of Special Counsel, professional association, disciplinary committee, or other professional group? If yes:
 - a. Provide the name of agency, association, committee, or group;
 - b. Provide the date the citation, disciplinary action, complaint, or personnel action was issued or initiated;
 - c. Describe the citation, disciplinary action, complaint, or personnel action;
 - d. Provide the results of the citation, disciplinary action, complaint, or personnel action.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business or nonprofit of which you are or were an officer ever been involved as a party in an administrative agency proceeding, criminal proceeding, or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

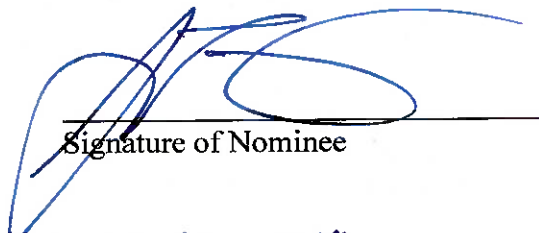
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. Affidavit

Jonathan Patrick Hickey being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.


Signature of Nominee

Subscribed and sworn before me this 12 day of November, 2019.


Notary Public

Amanda R. Jones, VN, USCG

Petty Officer, U.S. Coast Guard
Notary Public pursuant to authority of
10 U.S.C. Sec 1044a and COMDTINST 5801.4 (Series)
Commission Expires: 18 AUG 2020

Captain Jon P. Hickey
Special Assistant to the Vice President

Jon Hickey is an Active Duty Captain in the Coast Guard, currently detailed to the White House as a Special Assistant to the Vice President for Domestic Policy. Prior to reporting to the White House in July of 2018, Captain Hickey served as the Commanding Officer of Base Alameda where he led over 500 people in providing all aspects of mission support across the Coast Guard's Eleventh District area of responsibility. Prior to reporting to Base Alameda in July 2015, Captain Hickey served as the Commanding Officer of Project Resident Office Lockport, Louisiana where he led the 43-person unit in the project management and oversight of the \$4 billion Fast Response Cutter (FRC) shipbuilding program.



Captain Hickey was born and raised in Raynham, MA. He graduated from the U.S. Coast Guard Academy in 1994 with a Bachelor of Science degree in Civil Engineering. His first assignment was Engineer Officer in Training onboard USCGC MIDGETT, home ported in Seattle, WA. He served at Civil Engineering Unit Oakland, CA as a Design & Construction Manager and at Integrated Support Center (ISC) Alameda, CA as the Industrial Manager and Assistant Facilities Engineer.

Following his assignment at ISC Alameda, Captain Hickey was assigned to the Coast Guard's Office of Budget and Programs (CG-82) where he served as the Information Technology Program Reviewer and Fiscal Year 2006 Budget Coordinator. He also served on the Rescue 21 acquisition project, first as the Resource Manager at Coast Guard Headquarters and then as the Executive Officer of the Rescue 21 Project Resident Office in Scottsdale, AZ. Captain Hickey then returned to CG-82 to serve as the Coast Guard's Assistant Budget Officer and Deputy Office Chief, working with Congress, the White House, and the Department of Homeland Security on the Coast Guard's annual budget requests.

Captain Hickey attended Senior Service School at the Massachusetts Institute of Technology (MIT) where he earned a Master of Science in System Design and Management. He also holds a Master of Science in Civil Engineering from the University of Illinois and a Master of Science in Project Management from the George Washington University. He is a graduate of the Advanced Acquisition Program at the Naval Postgraduate School in Monterey, CA, and is a certified Project Management Professional, a DHS Level III Program Manager and a licensed Professional Engineer in the state of California. He is currently participating in MIT's Seminar XXI Program for National Security Leaders in Washington DC. Captain Hickey's personal awards include the Legion of Merit, four Meritorious Service Medals, two Commendation Medals, two Achievement Medals, and a Commandant's Letter of Commendation.

Captain Hickey is the proud father of five wonderful children: [REDACTED] and [REDACTED] respectively.

REAR ADMIRAL (sel) Jonathan P. Hickey

Current Assignment

Aug 18 – Present Detached Duty – White House, Special Advisor to VPOTUS, CAPT

Assignment History

Jun 15 – Jul 18	CG Base Alameda, Commanding Officer, CAPT
Jun 12 – Jun 15	CG PRO Lockport, LA (Fast Response Cutter), Commanding Officer, CDR
May 11 – Jun 12	Massachusetts Institute of Technology (MIT), Senior Service School, CDR
May 09 – Apr 11	CG-82, Deputy Chief, Office of Budget & Programs, CDR
Sep 07 – Apr 09	CG PRO Scottsdale, AZ (Rescue 21), Executive Officer, LCDR
Aug 06 – Aug 07	G-AND, Rescue 21 Resource Manager, LCDR
Jun 05 – Aug 06	George Washington University, DUINS, LCDR
Aug 02 – Jun 05	CG-821/G-CPA, Program Reviewer, LCDR
Jun 01 – Aug 02	ISC Alameda, Assistant Engineer Officer & Industrial Manager, LT
Jan 98 – Jun 01	CEU Oakland, Civil Engineer Project Manager, LT
Aug 96 – Dec 97	University of Illinois at Champaign/Urbana, DUINS, LTJG
Jun 94 – Jul 96	USCGC MIDGETT, Student Engineer, ENS

Educational Summary

2012	MIT, Master of Science in Systems Engineering and Management, 4.90 GPA (5.0 scale)
2006	George Washington University, Master of Science in Project Management, 4.00 GPA
1997	University of Illinois, Master of Science in Civil Engineering, 3.82 GPA
1994	USCGA, Bachelor of Science in Civil Engineering, 3.77 GPA

Military Personal Awards and Significant National Recognition or Achievement

2018, Legion of Merit
2015, 2011, 2009, 2005 Meritorious Service Medals
2001, 2007 Coast Guard Commendation Medal
1996, 2002 Coast Guard Achievement Medal
2000 Coast Guard Letter of Commendation
2017, Mayor of Alameda Certificate of Appreciation, Mayor's Conference Leadership
2015, Mayor of New Orleans Certificate of Recognition, Veteran Homelessness Project
2013, DHS Acquisition of the Year – Fast Response Cutter Project
2012, MIT System Design Management – Best Thesis Award
2010, DHS CFO Superior Mission Achievement Award: Budget (Honorable Mention)
2008, CG CFO Certificate of Recognition
2000, Oren Medal (CG Civil Engineer of the Year)

Significant Current Professional Credentials or Recent Activities

Naval Post Graduate School DHS Executive Leaders Program (May 2019-Present)
Securing America's Future Energy's Energy Security Fellowship (Sep 2019-Present)
MIT Seminar XXI National Security Fellowship (2018-2019)
Professional Civil Engineer License, State of California (2000)
DHS Level III Acquisition Certification: Program Manager (2009)
Project Management Professional (PMP) Certification (2014)
Incident Commander (Type III) Certification (2017)
Published, "Engineering a Smart Box," International Council on Systems Engineering, 2012
Published, "Effectiveness of Accident Models," International Journal of Risk Assessment, 2013
Member, Society of American Military Engineers
Member, Project Management Institute