


Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):
James Michael Heinz, Jim Heinz
2. Position to which nominated:
Commander, Director of Operational Logistics
3. Date of Nomination: February 24, 2016
4. Address (List current place of residence and office addresses):
Owned Residence: Yorktown, VA 23693
Office: USCG Director of Operational Logistics
300 E Main Street Suite 700
Norfolk, VA 23510
5. Date and Place of Birth:
05 November 1963. Cleveland, Ohio.
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).
Darlene M. Heinz (spouse) – Design Civil Engineer, HDR Engineering 1993-2002.

7. List all college and graduate degrees. Provide year and school attended.
1990 – 1991 University of Illinois, Masters of Science in Civil Engineering
1982 - 1986 U.S. Coast Guard Academy, Bachelors of Science in Civil Engineering
8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

Jun 2015 – present Commander, Director of Operational Logistics [mgmt-level]

Jul 2014 – Jun 2015	Director, USCG Reserve & Personnel Policy [mgmt-level]
Jun 2011 – Jun 2014	Commander, USCG Shore Infrastructure Logistics Center [mgmt-level]
Jul 2008 – Jun 2011	Commanding Officer, USCG Facilities Design & Construction Center (FDCC) [mgmt-level]
Jul 2006 – Jun 2008	Facilities Engineering Division Chief, USCG Training Center Yorktown [mgmt-level]
Jul 2003 – Jul 2006	Commanding Officer, USCG Civil Engineering Unit (CEU) Oakland [mgmt-level]
Jun 2000 – Jul 2003	Executive Officer, USCG CEU Miami [mgmt-level]
Jun 1996 – Jun 2000	Facilities & Industrial Division Chief, USCG Integrated Support Command (ISC) San Pedro [mgmt-level]
Jun 1991 – Jun 1996	Project Designer/Project Manager, CG FDCC Pacific
Jan 1990 – Jun 1991	University of Illinois/Post Graduate School
Jun 1988 – Dec 1989	Shore Acquisition, Construction & Improvement Program
	Technical Reviewer, USCG HQ Office of Civil Engineering
Jun 1986 – Jun 1988	Student Engineer/Damage Control Assistant, USCGC DILIGENCE

9. Attach a copy of your resume.
My official Coast Guard biography is attached.
10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.
None.
11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.
None.
12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

2008 - 2011	St Joan of Arc Catholic Church Parish
2011 - present	St Kateri Tekakwitha Catholic Church Parish
2008 - present	Society of American Military Engineers, Hampton Roads VA Post
	Hampton Roads Post VP
	Hampton Roads Post President
	Hampton Roads Post Past President
2009 - 2014	Project Management Institute, Hampton Roads VA Chapter

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.
No.
14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.
None.
15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.
- | | |
|------|--|
| 2014 | USCG Legion of Merit – USCG Shore Infrastructure Logistics Center (SILC) |
| 2011 | USCG Meritorious Service Medal - USCG Facilities Design & Construction Center (FDCC) |
| 2008 | USCG Meritorious Service Medal - USCG Training Center Yorktown |
| 2006 | USCG Meritorious Service Medal – USCG Civil Engineering Unit (CEU) Oakland |
| 2003 | USCG Commendation Medal – USCG CEU Miami |
| 2000 | USCG Commendation Medal – USCG Integrated Support Command San Pedro |
| 1996 | USCG Commendation Medal – USCG FDCC Pacific |
| 2014 | Society of American Military Engineers - Inducted as National Fellow |
| 2012 | American Society of Civil Engineers Norfolk, VA Branch – Government Civil Engineer of the Year |
| 2008 | Society of American Military Engineers - Regional VP Award |
| 2008 | Society of American Military Engineers - Cowart Award for best Civil Engineering unit in USCG (while Commanding Officer, FDCC) |
| 2002 | Society of American Military Engineers - Cowart Award for best Civil Engineering unit in USCG (while Executive Officer, CEU Miami) |
16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.
No book, article, column or publication authored.

Presentation on Coast Guard Mission Support at Old Dominion University Several presentations given to private industry on the Coast Guard's upcoming Shore Capital Improvement and Maintenance Program (during period 2008-2011).

Several presentations given to private industry on current status of Coast Guard Energy Initiatives (during period 2008-2012).

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.
None.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

Throughout the last 20 years, performed exceptionally in management roles of increasing responsibility. Successfully led numerous, diverse (military, civilian, contractors) workforces, including leading 500-person geographically-dispersed workforce through significant organization change associated with USCG's Mission Support Modernization to achieve maximum efficiency and productivity. Most recently, led the development and oversight of Military Personnel Policy programs to recruit, manage, shape and support an approximately 7200 Coast Guard Reserve component and more than 40,000 active duty members. Currently, oversee the Coast Guard's Field Support execution including 15 Coast Guard Bases with over 4400 personnel.

Meticulous attention to project management ensured delivery of more than \$500M in new construction and \$200M in annual maintenance construction within budget and projected timelines, illustrating results oriented focus. Led prioritization efforts to align shore maintenance and infrastructure needs across the entire Coast Guard. All contributed to sound stewardship for the Coast Guard and the taxpayer.

The Coast Guard is a premier organization. The longer I continue to serve in it, the more special I realize it is. Its missions, sense of purpose, value to the American public, and tremendous people make it an absolute pleasure to go to work each day. I am humbled to be able to continue to serve and relish the opportunity to be part of shaping its future.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I feel it is of the utmost importance to any position to which I am assigned to ensure the highest standards of stewardship are followed and enforced. If the current systems do not do this properly, it is my responsibility to seek and enact changes to do so. If beyond my individual control, then I would raise awareness of the

weaknesses or deficiencies and implement temporary measures & controls until the appropriate changes could be enacted.

Over the last 16+ years, my responsibilities included managing budgets of increasing size for the Coast Guard. From \$30M+ annually recurring shore maintenance budgets (2000-2006) to annual new construction budgets of \$150-\$200M (2008-2011) to my current position overseeing over \$500M/year, I have been entrusted to ensure sound stewardship principles are employed. Additionally, I have had the responsibility to execute, track and enforce internal controls on special budget supplementals appropriated. I met all requirements to execute and track execution of the \$98M the Coast Guard received as part of the American Recovery & Reinvestment Act of 2009. A subsequent audit by DHS OIG yielded flawless internal control practices of these project accounts. In 2013, I established a Project Management Review process with Internal Controls to execute and track the \$274M in Hurricane SANDY supplemental appropriated to the Coast Guard. Practices received concurrence from OMB. 2014-2015 managed \$115M annual Reserve Training program and oversaw the entire 7200 Reserve Personnel Program.

I have consistently been successful managing resources for the most efficient delivery of service to the field. I have supervised progressively increasing individual unit staffs ranging in size from 20-70 to my current position managing the Coast Guard's Shore Infrastructure Logistics Center with a 500+ person staff overseeing all field execution associated with all aspects of managing the Coast Guard's shore plant.

20. What do you believe to be the top three challenges facing the department/agency, and why?

The Coast Guard is broad agency providing a myriad of services. Through its 11 missions, it touches a large portion of the American public with important federal services. In order to deal to with the increasing Federal Deficit, respond to Sequestration, etc, substantial future budget cuts are projected. Managing these anticipated cuts will be very challenging. In order to address the significance of the cuts, vertical rather horizontal cuts may very well be required. Regardless of how they will be managed, the cuts will have a directly impact on operations and the ability to sustain the current level of service being provided.

In addition to their impact on missions, these budget cuts will have a direct impact on people of the Coast Guard, both military and civilian. The uncertainty in how the budgets cuts will play out is and will continue to be felt by our workforce. The angst they are experiencing awaiting how and when the inevitable personnel reductions will be implemented is real. The waiting will impact morale and productivity. The implementation will reduce capability and flexibility. All will be a challenge to manage.

Though the Coast Guard is an outstanding organization, it is not without its fault. As illustrated in recent press articles, sexual assault or harassment is a concern throughout the military. Though I have no firsthand knowledge of specific instances

of any such behavior, there have been incidents that have occurred in the Coast Guard. Great strides have been made in the Coast Guard over the last several years in raising awareness, supporting victims and holding persons responsible accountable. Continuing to implement and enforce a Sexual Assault Prevention and Response strategy that fully eradicates this behavior needs to remain a top priority, one that must be embraced until this problem is eliminated.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.
Please see my nominee PFDR.
2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.
No.
3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.
None.
4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.
None.
5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.
None.
6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.
I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.
No.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.
No.
3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.
No.
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.
No.
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.
No.
6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?
No
7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.
None.

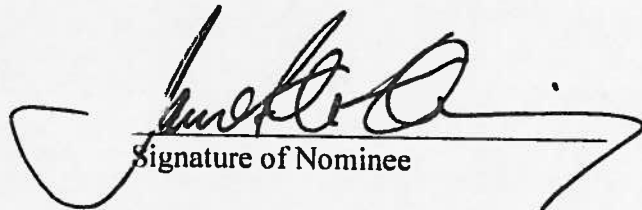
D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?
Yes.
2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?
Yes.
3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?
Yes.
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?
Yes.

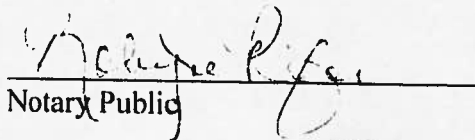
(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

James M. Heinz being duly sworn, hereby states that he/~~she~~ has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/~~her~~ knowledge, current, accurate, and complete.


Signature of Nominee

Subscribed and sworn before me this 26th day of February, 2016.


Notary Public



Robyne Pifer
NOTARY PUBLIC
Commonwealth of Virginia
Reg. #7277679
My Commission Expires
September 30, 2017



Rear Admiral James M. Heinz
United States Coast Guard



Director of Operational Logistics



Rear Admiral James Heinz serves as the Director of Operational Logistics (DOL), responsible for the delivery of mission support logistics for Coast Guard operations during steady state and contingency response and for planned events of national significance. He ensures common business processes are used by Logistics and Service Centers during the delivery of regional services provided by DOL's 14 Base Commands. Previous to this assignment, Rear Admiral Heinz served as Director of Reserve and Military Personnel, responsible for the development and oversight of military personnel policy programs to recruit, manage, shape and support approximately 7,500 Coast Guard Reserve component and more than 40,000 Active Duty members.

Rear Admiral Heinz's Commanding Officer assignments include: Shore Infrastructure Logistics Center Norfolk, Virginia where he was responsible for all planning, design, construction, contracting, environmental, real property, and base facility management and operations necessary to support the Coast Guard's \$15 billion shore plant; Coast Guard's Facilities Design and Construction Center Norfolk, Virginia; USCG Civil Engineering Unit Oakland, California.

His staff assignments include Chief, Facilities Engineering Division at USCG Training Center Yorktown, Virginia; Executive Officer of the USCG Civil Engineering Unit Miami, Florida; Chief Facilities and Industrial Engineering Division, USCG Integrated Support Command San Pedro, California; Project Management and Design Team Leader at the Facilities Design and Construction Center Pacific, Seattle, Washington; the Program Execution and Management Branch of the Civil Engineering Division at Coast Guard Headquarters, Washington, DC; and Student Engineer and Damage Control Assistant onboard Coast Guard Cutter DILIGENCE, Cape Canaveral, Florida.

Rear Admiral Heinz holds a Bachelor of Science degree in Civil Engineering from the U.S. Coast Guard Academy, a Master of Science degree in Civil Engineering from the University of Illinois and is a registered Professional Engineer in the State of Washington.

His personal awards include the Legion of Merit, three Meritorious Service Medals, three Coast Guard Commendation Medals, the Coast Guard Achievement Medal, and two Commandant's Letters of Commendation.

REAR ADMIRAL JAMES M. HEINZ

Current Assignment

Jun 2015 – present

Director of Operational Logistics, Commander

Assignment History

Jun 2014 - Jun 2015

Commandant (CG-13) Reserve & Military Personnel, Director

Jun 2011 – Jun 2014

CG Shore Infrastructure Logistics Command, Commander

Jul 2006 – Jun 2008

CG Facilities Design & Construction Center (FDCC),
Commanding Officer

Jul 2006 – Jun 2008

CG Training Center Yorktown, Facilities Engineering Division
Chief

Jul 2003 – Jul 2006

CG Civil Engineering Unit (CEU) Oakland, Commanding Officer

Jun 2000 – Jul 2003

CG CEU Miami, Executive Officer

Jun 1996 – Jun 2000

CG Integrated Support Command (ISC) San Pedro, Facilities &
Industrial Division Chief

Jun 1991 – Jun 1996

CG FDCC Pacific, Project Designer/Project Manager

Jan 1990 – Jun 1991

University of Illinois/Post Graduate School

Jun 1988 – Dec 1989

Commandant (G-SEC), Shore Acquisition, Construction &
Improvement Program Technical Reviewer

Jun 1986 – Jun 1988

USCGC DILIGENCE, Student Engineer/Damage Control
Assistant

Educational Summary

1990 - 1991

University of Illinois, Masters of Science in Civil Engineering

1982 - 1986

U.S. Coast Guard Academy, Bachelors of Science in Civil
Engineering

Military Personal Awards and Significant National Recognition or Achievement

2014

USCG Legion of Merit – CG SILC

2011

USCG Meritorious Service Medal - CG FDCC

2008

USCG Meritorious Service Medal - CG Training Center Yorktown

2006

USCG Meritorious Service Medal – CG CEU Oakland

2003

USCG Commendation Medal – CEU CEU Miami

2000

USCG Commendation Medal – CG ISC San Pedro

1996

USCG Commendation Medal – CG FDCC Pacific

2014

Society of American Military Engineers – Inducted as Society Fellow

2012

American Society of Civil Engineers Norfolk, VA Branch – Government
Civil Engineer of the Year

2011

Society of American Military Engineers Regional VP Award

2008

Society of American Military Engineers - Cowart Award for best Civil
Engineering unit in USCG (while Commanding Officer, FDCC)

2002

Society of American Military Engineers - Cowart Award for best Civil
Engineering unit in USCG (while Executive Officer, CEU Miami)

Updated: 02/19/2016

Significant Current Professional Credentials or Recent Activities

1992-present	Professional Civil Engineer License, State of Washington
2004	Commandant's Quality Award Examiner
2009-present	Society of American Military Engineers, Hampton Roads VA Post
2009-present	Board Member
2010-2011	Post President
2011-2013	Past Post President