

**A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS**

- 1. Name (Include any former names or nicknames used): Steven James Andersen
- 2. Position to which nominated: Rear Admiral Upper Half (O8)
- 3. Date of Nomination:
- 4. Address (List current place of residence and office addresses):

Residence: [REDACTED]

Office: [REDACTED]  
[REDACTED]

- 5. Date and Place of Birth: 24 July 1962; Washington DC
- 6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Kimberly Andersen	Teacher's Assistant	Laurel Hill Elementary School Lorton, VA
Taylor Andersen	29	
Regan Andersen Adams	26	
Johanna Andersen	22	

- 7. List all college and graduate degrees. Provide year and school attended.

B. S. E. E	1985	U. S. Coast Guard Academy
J. D.	1997	George Mason University School of Law

- 8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

My work history chronology is attached.

- 9. Attach a copy of your resume.

My official Coast Guard biography is attached.

- 10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years. None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

Combined Federal Campaign Local Federal Coordinating Committee – 2007-2010  
Virginia Bar Military Law Section, Board of Governors – Jan 2014 to Jan 2016  
Coast Guard Hampton Roads Officer Association – 2007-2010: member, President  
Deep Creek Baptist Church – 2002-present: member, Deacon Chairman, Personnel Committee Chairman  
First Book of Hampton Roads – 2007-2012: ex-officio board member  
LEAD Hampton Roads – 2008-present; member, alumnus

*None of these organizations restricts membership on the basis of race, sex, color, religion, national origin, age, or handicap.*

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Legion of Merit (2) 2015, 2016  
Bronze Star Medal (1) 2011  
Meritorious Service Medal (4) 2001, 2004, 2007, 2010  
Commendation Medal (2) 1992, 1994  
Achievement Medal (2) 1989, 1996

Outstanding Military Service Career Judge Advocate, American Bar Association  
2012

Outstanding Career Armed Services Attorney, Judge Advocates Association 2003

Outstanding Law Enforcement Officer of the Year, U.S. Attorney's Office Southern  
District of FL 2001

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

None.

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

7-24-2012: House Oversight and Government Reform Committee. I testified about the circumstances surrounding the corruption and mismanagement of Dawood National Military Hospital in Kabul, Afghanistan.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have 32 years of organizational experience within the operations, intelligence, mission support and legal communities of the U.S. Coast Guard. I have a track record as a selfless leader and strategic, visionary thinker who is firmly grounded in our service's core values of honor, respect, and devotion to duty. I am honored to continue to serve in the position of Rear Admiral (upper half) and grateful to have the opportunity to positively influence the future of the Coast Guard.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Every leader, myself included, is fully accountable to ensure that his or her organization is managed in a way that promotes transparent stewardship, to include external controls and audits. I have managed (led) the Coast Guard's intelligence enterprise as well as one of the largest Coast Guard bases that provided financial, logistics, engineering, and personnel support to the Coast Guard mid-Atlantic region (Fifth District). I currently manage (lead) the Coast Guard legal program, responsible for overseeing claims made against, and on behalf of, the Coast Guard, a process

which has an internal control/audit process which involves the Coast Guard, DHS, and an outside auditor.

20. What do you believe to be the top three challenges facing the department/agency, and why?

- 1) Efficient strategic planning in the face of budget uncertainty. Based on my experience managing elements within the service/agency, budget uncertainty remains the biggest challenge to effective strategic planning, as well as a challenge to managing ongoing programs in a manner to provide the most efficient service to the taxpayer.
- 2) Effective border security, particularly against terrorist threats. In my opinion, the nature of our country's vast borders, coupled with the determination of our foes, demands that we retain a proactive posture to our border security. We must continually push out border security in order to prevent a crisis from occurring at our border.
- 3) Workforce management, morale, and performance. The items above cannot be achieved without a motivated and skilled workforce. Within the confines of federal law and budget constraints, the Coast Guard must continue to train, advance, and promote the best workforce possible while anticipating the Nation's future needs.

## B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR. Note that I currently manage two residential rental properties.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

None.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

I currently own and manage two residential rental properties which I have owned, lived in, and rented out since 1988, and 2015 respectively. Over the past 10 years I have had four military tenants living in one of the properties, two from the U.S. Navy and two from the U.S. Coast Guard. In order to prevent a conflict of interest I do not rent the properties to military members who are in my chain of command.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain. Yes.

Kemp v. Napolitano – case dismissed by DHS on 28 Nov 2011. Probationary employee who was terminated filed a formal EEO complaint. One claim alleged that I, as Commanding Officer Base Portsmouth, discriminated against her by indefinitely barring her from the Base after she was terminated. My decision to bar her from the Base was based on her communication of a threat to the security of the Base. I provided an 11-page affidavit to the EEO investigator. Agency Case No: ITS-10-USCG-003302.

In March 2017, a Coast Guard employee filed a formal complaint for race and sex discrimination because the employee was allegedly assigned work from 2012 to 2016 entitling her to a higher grade and other compensation and that she was disadvantaged when her position was upgraded in 2016. The employee served three levels below me when I was her O-6 commanding officer from 2011 to 2015. The employee included other allegations against four individuals, including me, that work was unevenly distributed and that she did not receive any monetary awards. The investigation was completed in October 2017 and the matter is currently in the period during which the Complainant may elect to pursue the matter before the EEOC or DHS for a Final Agency Decision (FAD). My understanding is that the Complainant

has until on or about 22 November to make the election between FAD or EEOC. I provided a 23-page affidavit to the EEO investigator. Agency Case No: HS-USCG-00516-2017.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

I was a witness in a DOD-IG investigation of Dawood Military Hospital in Kabul, Afghanistan.

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

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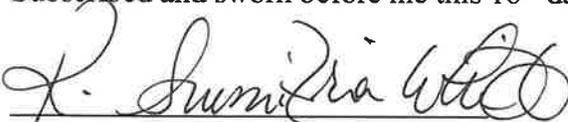
(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. AFFIDAVIT**

Steven J. Andersen being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

  
Signature of Nominee

Subscribed and sworn before me this 16<sup>th</sup> day of November, 2017



Notary Public

R. SUMITRIA WHITE  
NOTARY PUBLIC DISTRICT OF COLUMBIA  
My Commission Expires January 14, 2018





## Rear Admiral Steven J. Andersen

Judge Advocate General and Chief Counsel  
U.S. Coast Guard



Rear Admiral Steve Andersen assumed duties as the Judge Advocate General and Chief Counsel of the Coast Guard in July 2016. He has the privilege to lead a dedicated group of legal professionals who are responsible for the delivery of all legal services in support of the Coast Guard's missions, its units and its people.

Andersen's previous assignment was the Assistant Commandant for Intelligence where he had the honor to serve with the Coast Guard's 1,100 intelligence professionals who were accountable for the Service's intelligence programs, to include counterintelligence, cyber, and cryptology. Prior to that he had the honor to serve four years as the Commanding Officer of Legal Service Command in Norfolk, Virginia, where he led the dedicated men and women of the Coast Guard's nationwide legal command.



Other rewarding assignments include: a one-year deployment at NATO Training Mission-Afghanistan where he served as chief of anti-corruption; three years in command of Coast Guard Base Portsmouth; Deputy Chief of the Maintenance and Logistics Command Atlantic Legal Division, military judge, operational law attorney for Atlantic Area and the Fifth District, and Assistant Legal Officer at the Seventh District Office in Miami.

Andersen began his career serving as student engineer aboard CGC STEADFAST, and as Commanding Officer on CGC CAPE KNOX and CGC STURGEON BAY. Andersen graduated from the U.S. Coast Guard Academy in 1985. He entered the Coast Guard legal program after receiving a Juris Doctor from George Mason University School of Law in 1997 and is a member of the Virginia Bar.

Andersen wears several decorations, which are all a direct result of the professionalism, loyalty, and excellence of the men and women with whom he served. His 2012 receipt of the ABA's Outstanding Military Service Career Judge Advocate Award is one such example.

**REAR ADMIRAL Steven J. Andersen**

**Current Assignment**

Jul 15 – Present                      The Judge Advocate General and Chief Counsel, Washington, DC, RDML

**Assignment History**

Apr 15 – Jul 16                      Assistant Commandant for Intelligence, Washington, DC, RDML  
Jun 2011 – Apr 15                      Coast Guard Legal Service Command, Norfolk, VA; Commanding Officer, CAPT  
May 10 – May 11                      NATO Training Mission-Afghanistan; Chief Anti-Corruption, CAPT  
Jun 07 – Apr10                      Coast Guard Base Portsmouth, VA; Commanding Officer, CAPT  
Jun 04 – Jun 07                      Coast Guard Maintenance and Logistics Command Atlantic Norfolk, VA; Assistant Chief, Legal Division, CDR  
Jul 01 – Jun 04                      Coast Guard Maintenance and Logistics Command Atlantic Area Portsmouth, VA; Operations Law Legal Branch Chief, CDR  
Aug 97 – Jun 01                      Coast Guard District Seven, Miami, FL; Assistant Legal Officer, LCDR  
Aug 94 – Jul 97                      George Mason Law School, Arlington, VA; Student, LT  
    Summer 96                      Coast Guard Headquarters, Maritime and International Law, Legal Intern  
    Summer 95                      Coast Guard Headquarters, General Law, Legal Intern  
Jul 92 – Jul 94                      Coast Guard Cutter STURGEON BAY, New York, NY; Commanding Officer, LT  
Mar 89 – Jun 92                      Coast Guard Headquarters, Patrol Boat Facility Manager, LTJG  
Mar 88 – Mar 89                      Coast Guard Cutter CAPE KNOX, Charleston, SC; Commanding Officer, LTJG  
Jun 85 – Feb 88                      Coast Guard Cutter STEADFAST, St. Petersburg, FL; Student Engineer, Assistant Operations Officer, ENS

**Educational Summary**

2005                      The Judge Advocate General's Legal Center & School, Military Judge Certification  
1994 - 1997                      George Mason University School of Law, Juris Doctor  
1981 - 1985                      United States Coast Guard Academy, B.S.E.E.

**Military Personal Awards and Significant National Recognition or Achievement**

Legion of Merit (1) 2015  
Bronze Star Medal (1) 2011  
Meritorious Service Medal (4) 2001, 2004, 2007, 2010  
Commendation Medal (2) 1992, 1994  
Achievement Medal (2) 1989, 1996  
Outstanding Military Service Career Judge Advocate, American Bar Association 2012  
Outstanding Career Armed Services Attorney, Judge Advocates Association 2003  
Outstanding Law Enforcement Officer of the Year, U.S. Attorney's Office Southern District of FL 2001

*Updated: 09/27/16*

**Significant Current Professional Credentials or Recent Activities**

- 1997 Licensed to practice law in Virginia, member of the Virginia State Bar
- 2008 Admitted to practice before the Supreme Court of Virginia
- 2008 Lead Hampton Roads graduate (regional civic leadership program)