

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "No" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): Todd A. Sokalzuk
2. Position to which nominated: Rear Admiral Upper Half (08)
3. Date of Nomination: February 24, 2016
4. Address (List current place of residence and office addresses):
Home: Odenton, MD (own)
Office: COMDT (CG-8)
CGHQ
5. Date and Place of Birth: 8 April 1963, Sunbury, PA
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Ruth Ann Sokalzuk
Children: [REDACTED]
[REDACTED]
7. List all college and graduate degrees.
U.S. Coast Guard Academy, New London, CT: B.S. Electrical Engineering, 1985.
Air War College, Maxwell AFB, AL: Masters of Strategic Studies, 2007.
8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.
See attached work chronology.
9. Attach a copy of your resume.
My official Coast Guard biography is attached.
10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

Coast Guard Training Center Cape May Board of Advisors

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

Coast Guard Academy Board of Trustees

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

Sunbury Social Club, Sunbury, PA 1984 - present

Penasquitos Lutheran Church, Rancho Penasquitos, FL 2002-2007

Coast Guard Aviation Association (Pterodactyls) 2005 - present

Order of the Daedalians 2007-2010

Palm Harbor United Methodist Church, Palm Harbor, FL 2007-2010

East Lake Woodlands Country Club, Oldsmar, FL 2008 – 2009

Nichols-Bethel United Methodist Church, Odenton, MD 2010-2012

Military Officers Association of America (MOAA) 2013 - present

Severna Park Racquet and Fitness Club, Severna Park, MD 1999-2002, 2007-2009

Palm Harbor YMCA, Palm Harbor, FL 2008 – 2010

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt. No.
14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period. None.
15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Military Medals: 2 Legions of Merit, 5 Meritorious Service Medals, the Air Medal, 2 Coast Guard Commendation Medals, 1 Coast Guard Achievement Medal and various unit awards.

- 16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.**

Interview in American Society of Military Comptroller Magazine

- 17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony. None.**

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

My background includes extensive operational and resource policy experience in all Coast Guard missions and support with the exception of ice breaking. My operational experience ranges from the tactical aspects of flying a search and rescue case as a helicopter pilot and issuing decisions to permit law enforcement boardings in the Gulf of Mexico to managing contingency operations at both the major command level and at the Coast Guard District level during the response to Hurricane Isaac in 2012. I have also been involved many of the Coast Guard's major investment decisions related to recapitalization including the building of the FY17 Budget. In this tour as the Assistant Commandant for Resources and Chief Financial Officer, I carefully manage all aspects of Coast Guard stewardship.

My desire to serve as a District Commander is based on a personal commitment to public service and an obligation to use all of my experience to support the men and women of the Coast Guard in carrying out their duties to provide maritime, safety, security and stewardship to the American public.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

In my 30 years of Active Duty with the Coast Guard, I have held successive positions with increased responsibility, span of control and sphere of influence. This includes experience in almost every facet of Coast Guard missions and support ranging from being an engineer on one of our High Endurance Cutters early in my career to the Assistant Commandant for Resources and Chief Financial Officer overseeing our stewardship. I believe my widely varying experience across the organization from being a helicopter instructor pilot to justifying our budget request has allowed me to objectively assess our service's strengths and weaknesses. I have commanded at various units and levels including one of the largest Coast Guard Air Stations in Clearwater, FL directing extensive operations including international deployments in support of Coast Guard, DHS and national objectives. In my current position, I have driven the strengthening of our internal controls over financial reporting and operations as evidenced by our progress in the financial audit. The diversity of my career at all levels of the Coast Guard has given me a great experience base and broad perspective to continue to improve the Coast Guard's service to the public. As a District Commander, I will focus on carrying out our missions in the most efficient and effective manner possible while managing risk to keep the public and our Coast Guard people not only safe, but risk informed.

20. What do you believe to be the top three challenges facing the department/agency, and why?

The Coast Guard will need to continually balance recapitalization efforts with maintaining front line operations in a rapidly changing fiscal and operating environment. Effective management of enterprise risk is essential as increased, dynamic demands for Coast Guard operations continue. Safety, proficiency and maintaining a workforce with the right competencies will be critical.

The Coast Guard must continue to recapitalize its major assets at a reasonable rate supporting efficient acquisition while balancing effective mission execution. Significant progress has been made for recapitalizing both the Coast Guard's surface and aviation fleet already contributing to more effective presence in our inland, coastal and offshore areas. This is essential to CG mission success supporting both national and economic security. The world is more dynamic than ever. The US is now exporting natural gas which will continue to have implications for our waterways and marine facilities. The price of oil has plummeted and this has impacted a variety of related industries including shipbuilding. Migration patterns continue to change as economic situations change in different parts of the Western Hemisphere, but transnational crime organizations continue to fuel instability on and near our borders. While oil companies have paused exploration in Arctic waters, a cruise ship is scheduled to transit the Northwest Passage this year. Our new assets such as the National Security Cutter, Offshore Patrol Cutter, the Fast Response Cutter and an increased FY 17 funding request for a heavy Polar Icebreaker are versatile, long term investments that will continue to respond and achieve mission success in all of these situations. Our day to day operations are still dependent on maintaining legacy assets that are less reliable whether a buoy tender or a medium endurance cutter or an aging financial IT system. Our operating budget continues to be under pressure. Yet we must maintain an adequate rate of capital investment to assure long term Coast Guard mission success.

Managing risk across all areas will continue to be essential. The Coast Guard continually assesses risk and applies its operational resources based on that assessment. Our field commanders continue to manage risk superbly whether managing operations and reliability of a 50 year old cutter or using intelligence to decrease op tempo of aging assets and our pressed work force. Intelligence driven operations will be a key to future success and we must continue to fully integrate intelligence into our risk management process at every level. Disruptive technologies from cyber incursions to the use of drones will continue to introduce new risks to all aspects of operations and management. In the face of these challenges, we must continue to mature enterprise risk management through our annual Statement of Assurance process encompassing internal controls both over financial management and operations. Another key piece to managing risk will be the development of a force planning construct as described in the Commandant's Strategic Intent.

In managing our risks, we must ensure the success and safety of our people. Our investments in human capital at this juncture will be some of our most important decisions. We are seeing a retreat from years of record high retention to traditional attrition levels. We must evolve our work force to manage new technologies and threats in an efficient manner while we continue to improve the diversity of our service. It will be extremely important for us to have stable, effective accession processes and a very agile training pipeline both as we bring on new assets and respond to/ implement new

technologies. Ultimately, the Coast Guard must meet its mission needs, service needs and the needs of our people as articulated in our Human Capital Strategy.

Recapitalizing and sustaining our front line operations will continue to challenge the service. We must have the right people in place to do this while understanding, managing and clearly conveying our risks at every level. These are the keys to success as we build the 21st century Coast Guard.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain. None.
3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated. None.
4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated. None.
5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

In my official capacity as the Coast Guard's Chief of the Office of Budget and Programs and subsequently as the Assistant Commandant for Resources and Chief Financial Officer, I dealt extensively with building the Coast Guard's portion of the President's Budget Request for FY 12, 13, 14, 16, 17, 18. These positions also involved analysis of various other legislation affecting the Coast Guard including Appropriations Acts and Authorization Acts.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from Coast Guard ethics officials and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain. No.
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain. No.
3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain. No.
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain. No.
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain. No.
6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement? No.
7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination. None.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

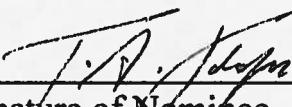
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

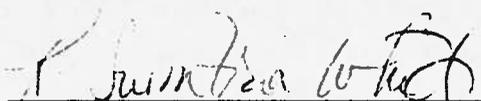
F. Affidavit

I, Todd A. Sokalzuk being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this 29th day of February, 2016.



Notary Public

R. SUMITRIA WHITE
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires January 14, 2018





Rear Admiral Todd A. Sokalzuk
Assistant Commandant for Resources &
Chief Financial Officer (CFO)
United States Coast Guard



Rear Admiral Todd Sokalzuk serves as the Assistant Commandant for Resources and Chief Financial Officer for the U.S. Coast Guard. In this capacity, he is responsible for all Coast Guard financial management and resource activities including planning, programming, budgeting, and execution of the service's appropriations. Rear Admiral Sokalzuk was promoted to flag rank in April 2014.

Rear Admiral Sokalzuk is originally from Sunbury, PA and graduated from the Coast Guard Academy in 1985. He served aboard USCGC SHERMAN and MORGENTHAU. He was designated a Naval Aviator in 1989 and is a 2007 graduate of the Air War College.



Rear Admiral Sokalzuk's first aviation assignment was as a duty standing pilot flying HH-3F Pelican and HH-60J Jayhawk helicopters at Air Station Clearwater. He also served as an HH-60J instructor pilot at the Aviation Training Center. Serving as the Deputy Commander of Activities San Diego, he managed the reorganization of the unit to a Sector in 2005. Rear Admiral Sokalzuk commanded Air Stations Savannah and Clearwater during tours that included responses to Hurricanes Katrina, Ike, Gustav and the Haiti Earthquake.

Rear Admiral Sokalzuk has served in various staff positions. In the Office of Aviation Management he developed resource proposals and managed funding for a variety of aviation programs including the Airborne Use of Force program. Assigned to the Office of Programs, he reviewed Coast Guard resource policy and proposals for the Coast Guard's naval, aviation, civil and electronics engineering programs. From 2010 to 2012, he served as the Chief of the Office of Budget and Programs leading the development and submission of the Coast Guard's annual federal budget request.

Prior to his current assignment, Rear Admiral Sokalzuk served as the Chief of Staff to the Commander of the Eighth Coast Guard District. The Eighth District is responsible for U.S. Coast Guard operations spanning 26 states including the Gulf coastline from Florida to Mexico and the adjacent offshore waters of the Gulf of Mexico, as well as the inland waterways of the Mississippi, Ohio, Missouri and Tennessee River systems.

Rear Admiral Sokalzuk's military awards include Legion of Merit, Meritorious Service Medal, the Air Medal, Coast Guard Commendation Medal, and various unit commendations.

RDML Todd A. Sokalzuk
YG 1985

Current Assignment

May 14 – Present Assistant Commandant for Resources and Chief Financial Officer

Assignment History

Jul 12 – Apr 14 Chief of Staff, Coast Guard Eighth District
Jun 07 – Jun 10 USCG Air Station Clearwater, Commanding Officer
Jul 06 – May 07 Senior Service School - Air War College, Maxwell AFB, AL
Jul 05 – Jun 06 Coast Guard Air Station Savannah, GA, Commanding Officer
Jul 02 – Jun 05 Coast Guard Activities/Sector San Diego, Deputy Activities
 Commander, Logistics Department Head
Jun 00 – Jul 02 Commandant, Office of Programs (G-CPA), Program Reviewer for
 Systems Directorate (G-S)
Jul 98 – Jun 00 Commandant, Office of Aviation Forces (G-OCA), Budget Officer
Aug 95 – Jul 98 Coast Guard Aviation Training Center, HH-60J Standardization
 Team/HH-60J Instructor Pilot
Mar 89 – Aug 95 Coast Guard Air Station Clearwater, HH-3F/HH-60J Pilot
Sep 87- Mar 89 Naval Flight School, Pensacola FL
Jun 86 – Sep 87 USCGC MORGENTHAU, Assistant Engineering Officer
Aug 85 – Jun 86 USCGC SHERMAN, Student Engineer
Jun 85 – Jul 85 Coast Guard Academy, Summer Ensign

Educational Summary

2007 Air War College, Master of Strategic Studies
1985 Coast Guard Academy, B.S. Electrical Engineering

Military Personal Awards and Significant National Recognition or Achievement

LOM (2), MSM (5), Air Medal (1), COM (2), ACH (1)

Recent Activities/Professional Credentials

Coast Guard Academy Board of Trustees
Member of Major Command Screening Panel 2011
Member of Senior Education and Fellowship Panel 2010
President of Class A Mishap Analysis Board 2008
President of PY 08 O-4 Selection Board
Evergreen II Planning Study 2007