

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Carlos Alberto Monje Jr.

2. Position to which nominated:

Assistant Secretary for Transportation Policy
Department of Transportation

3. Date of Nomination:

September 8, 2014

4. Address (List current place of residence and office addresses):

Residence:

Office:

5. Date and Place of Birth:

July 17, 1978
New Orleans, LA

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse:

Anne Elizabeth Filipic
President, Enroll America

7. List all college and graduate degrees. Provide year and school attended.

Harvard University
A.B., History and Literature (*Magna Cum Laude*) (2000)

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

Managerial/Related positions are bolded below:

U.S. Department of Transportation
Counselor to the Secretary
February 2014 – Present

White House Domestic Policy Council

- Special Assistant to the President and Chief of Staff of the Domestic Policy Council (DPC)
January 2011 – February 2014
- Senior Policy Advisor
February 2009 – December 2010

Presidential Transition Project
National Security Policy Working Group
November 2008 – February 2009

Obama for America
Deputy Policy Director
March 2007 – November 2008

U.S. Senator Barack Obama (D-IL)
Special Assistant for Policy and Communications
January 2006 – March 2007

U.S. Senator Ken Salazar (D-CO)
Legislative Assistant
February 2005 – December 2005

Erskine Bowles for U.S. Senate
Press Secretary
March 2004 – November 2004

John Edwards for President
Deputy Press Secretary
August 2003 – March 2004

U.S. Senator John Edwards (D-NC)
Deputy Press Secretary
November 2001 – August 2003

U.S. Senator Chuck Schumer (D-NY)
Deputy Press Secretary
August 2001 – October 2001

Fleishman-Hillard
Assistant Account Executive
November 2000 – July 2001

Chris Petrini for State Representative
Field Director
June 2000 – September 2000

9. Attach a copy of your resume.

Attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

I am a member of the Catholic Church. I do not hold any leadership positions within my local parish.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Harvard University:

- Lyman Scholarship (1997)
- Harvard College Scholarship (1996 – 1999)
- Dean's List (1996 – 2000)
- Harvard College Research Fellowship (1999)

2013 Presidential Leadership Workshop (Professional Development Program for Appointees)

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

I have done my best to identify all books, articles, columns, or other publications and relevant speeches, including a thorough review of my personal files and searches of publicly available electronic databases. Despite my searches, there may be other materials that I have been unable to identify, find or remember. I have located the following:

Speeches:

- Various informal stakeholder events to discuss GROW AMERICA Act (Spring 2014)
- Grantmakers Income Security Taskforce –Grantmakers for Children Youth and Families: Budget and Tax Briefing (March 19, 2013)
- White House Long-Term Disaster Recovery Working Group, Salt Lake City Session: Closing Remarks (January 18, 2012)
- Philanthropy Northwest 2009 Annual Conference Keynote Address (September 2009)
- Philanthropy and Rural America Conference speech: “The Social Innovation Fund and Rural America” (July 2009)
- Stanford Business School Silicon Valley Roundtable for White House Office of Social Innovation (June 23, 2009)
- Entrepreneurship in Education, Center for American Progress Roundtable (May 7, 2009)
- 10th Annual Social Enterprise Summit: Keynote Address “Harnessing Policy Opportunities at the National Level” (April 17, 2009)
- White House Champions of Change:

- Southwest Border Region (September 2011)
- Space Coast Technology and Innovation (June 2011)
- Gulf Coast Oil Spill (July 2010)

Federal Publications Contributed to:

- Hurricane Sandy Rebuilding Strategy (August 2013)
<http://portal.hud.gov/hudportal/documents/huddoc?id=hsrebuildingstrategy.pdf>
- National Disaster Recovery Framework (September 2011)
<http://www.fema.gov/national-disaster-recovery-framework>
- Deepwater Horizon Recovery Plan (September 2010)
<http://www.restorethegulf.gov/sites/default/files/documents/pdf/gulf-recovery-sep-2010.pdf>

Online Content:

- White House Video Message: Continuing to strengthen our economy in 2014 (January 11, 2014)
<http://www.whitehouse.gov/the-press-office/2014/01/11/white-house-video-message-continuing-strengthen-our-economy-2014>
- Innovation with Crawfish Sauce: What a New Orleans Nonprofit Can Teach the Rest of the Country (October 30, 2009)
<http://www.whitehouse.gov/blog/2009/10/30/innovation-with-crawfish-sauce-what-a-new-orleans-nonprofit-can-teach-rest-country>
- A Special Moment for Service (March 27, 2009)
<http://www.nationalservice.gov/newsroom/official-statements/2009/special-moment-service>

I have numerous articles that I authored while a Reporting Intern in college with both *The Boston Globe* and *The Times-Picayune*. They are available publically online through the publications' respective archives and websites, www.bostonglobe.com and www.nola.com .

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

For more than a decade, I have served in a variety of positions in the executive and legislative branches. Most recently, I have served as Counselor to the Secretary at the U.S. Department of Transportation where I worked on the administration's proposal to reauthorize surface transportation programs, on the TIGER discretionary grant program, and on efforts to promote equity and economic development.

Previously, I served for five years at the White House Domestic Policy Council ("DPC"). For two of those years, I worked to improve disaster recovery, to keep the sacred trust with our nation's veterans, and to give more Americans the opportunity to volunteer in their communities. I worked within the Office of Social Innovation, which sought new ways for the federal government to be a partner with the nonprofit industry and private sector.

In my final three years at the White House, I served as Chief of Staff of the DPC, where I helped lead a team that managed a wide waterfront of the President's domestic agenda, including education, energy, health care, immigration, poverty, criminal justice, civil rights, veterans, rural policy, tribal issues, social innovation, and faith. During those years, I worked with a team of 50 senior policy aides that had deep reach into the administration. I supervised agency budgets, advocated administration positions, and planned major executive actions and policy rollouts.

My most fulfilling work involved place-based policy, both in disaster recovery and in marquee programs like Strong Cities/Strong Communities and Promise Zones. I helped Navy Secretary Ray Mabus write the recovery plan for the Gulf Coast following the Deepwater Horizon oil spill, helped clear bureaucratic obstacles to recovery following Hurricane Katrina, and helped lead the Hurricane Sandy Task Force. I also worked with FEMA and HUD to explore how the federal government can be a better partner to communities facing long-term disaster recovery. These experiences taught me the importance of

common-sense and collaboration, local primacy, and clear roles and responsibilities across levels of government and with affected communities.

The Department of Transportation has been a great partner to state and local governments, and the impacts of infrastructure investments are long-lasting and concrete. If confirmed, I will work to deepen and strengthen those relationships even as the Department works to promote performance-based management, share best practices, and modernize the transportation network for the 21st century demands it faces.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Taxpayers deserve to know that their dollars are spent well and in the public service. It is imperative that the Department maintain that trust to make the most of limited resources and to maintain public faith that infrastructure projects are worth the investment.

The policy office at the Department of Transportation (OST-P) has a number of roles in this regard. First, OST-P manages the TIGER discretionary grant program, which has supported innovative and hard-to-fund projects across the country. I joined the Department in 2014 just as the TIGER VI process was beginning. I have been instrumental in implementing reforms to the evaluation process and am working to improve grants management and evaluation moving forward. I have learned the value of proper management and accounting controls, in particular the importance of clearly written policies and the documentation of decisions. Second, OST-P reviews and provides guidance to the modes on their grant programs, including approving Notices of Funding Availability.

I have held a number of management positions, including a team of 50 senior policy staffers at the White House. Through these roles, I've learned the value of clear lines of authority and accountability and of objective reporting of results.

20. What do you believe to be the top three challenges facing the department/agency, and why?

Infrastructure investments have long been an area of bipartisan agreement in the Congress. How Congress addresses the upcoming insolvency of the Highway Trust Fund and the expiration of MAP-21 represents a test of this tradition. Infrastructure planners need multi-year certainty in order to begin ambitious projects. The good news is that we have seen substantial cooperation across the aisle, and there is momentum for a longer-term bill.

Safety remains the top priority of the Department. This means a combination of long-term, patient vigilance on perennially difficult issues, such as reducing impaired and distracted driving, supervising motor coaches and reducing aircraft accidents. It also means staying ahead of rapidly developing issues, such as the safety of transporting crude oil by rail, incorporating unmanned aircraft into the nation's airspace, and implementing new technologies, such as NextGen, positive train control and intelligent transportation systems.

Finally, implementation of the MAP-21 performance measures has the potential to be the most transformative innovation in the partnership between USDOT and our state and local partners. However, we must nurture this opportunity, filling gaps in data and knowledge, setting ambitious, but achievable goals and listening to the stakeholders who have had the most experience implementing programs, namely states and transit agencies.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

As of September 19, 2014, my Thrift Savings Plan (TSP) account balance is \$61,695.50.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

None.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the designated

agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

As a member of the Domestic Policy Council, I was the White House lead in negotiating the Serve America Act with bipartisan leaders in the U.S. House (Education and the Workforce Committee) and Senate (HELP Committee). This legislation reauthorized the Corporation for National and Community Service. I also worked within the White House on several key pieces of legislation, most notably the Hurricane Sandy disaster appropriations bill. As chief of staff of the DPC, I played important roles supporting the President's Domestic Policy Advisor as she advocated for key legislation, including comprehensive immigration reform and lowering student loan interest rates. In my current position, I have briefed congressional staff and advocacy groups about the GROW AMERICA Act, the administration's proposal to reauthorize surface transportation programs.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Transportation's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None to my knowledge.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

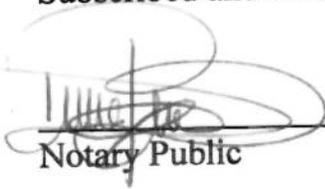
(Nominee is to include this signed affidavit along with answers to the above questions.)

F. Affidavit

Carlos Monje Jr. being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.


Signature of Nominee

Subscribed and sworn before me this 30 day of Sept, 2014.


Notary Public



DEONNA BURRELL
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires October 31, 2016