

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

#### **A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS**

1. Eric Cooper Jones
2. Position to which nominated: Rear Admiral, Lower Half
3. Date of Nomination:
4. Address (List current place of residence and office addresses):

Office:                   Commandant (CG-DCO-E)  
                              U.S. Coast Guard Headquarters  
                              2703 Martin Luther King Jr. Ave. SE  
                              Washington, DC 20593-7318

Residence:            Ococoquan, VA (rent)

5. Date and Place of Birth: February 26<sup>th</sup>, 1965; Twentynine Palms, CA
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

I am not married, but live with my partner of five years.

Christina Wenderoth [partner]: Civilian employee (GS-13) at Naval Sea Systems Command, Washington Navy Yard, Washington, DC.

Audrey Marie Jones [daughter]: Age 22



7. List all college and graduate degrees. Provide year and school attended.

Master of Business Administration, 2005, Sloan School of Management,  
Massachusetts Institute of Technology

Master of Science in Applied Mathematics, 1993, Brown University

Bachelor of Science in Marine Engineering (with High Honors), 1987,  
United States Coast Guard Academy

List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

May 14 – present: Executive Assistant to USCG Deputy Commandant for Operations,  
Washington, DC

Jul 12 – May 14: Assistant Superintendent, U.S. Coast Guard Academy,  
New London, CT

Jun 09 – Jul 12: Commander Officer, USCG Cutter EAGLE,  
New London, CT

Jun 07 – Jun 09: Deputy Chief, USCG Office of Congressional & Governmental  
Affairs, Washington, DC

Jul 05 – May 07: Deputy Chief, USCG Office of Budget and Programs,  
Washington, DC

Jun 02 – May 04: Commanding Officer, USCG Cutter HARRIET LANE,  
Portsmouth, VA

Aug 99 – May 02: Workforce & Operations Reviewer, USCG Office of Budget and  
Programs, Washington, DC

Dec 97 – Jul 99: Executive Officer, USCG Cutter FORWARD,  
Portsmouth, VA

May 96 – Aug 97: Executive Officer, USCG Cutter EAGLE,  
New London, CT

Jan 93 – May 96: Mathematics Instructor/Sailing Coach, U.S. Coast Guard Academy,  
New London, CT

Feb 89 – Aug 91: Commanding Officer, USCG Cutter TYBEE,  
San Diego, CA

Jun 87 – Feb 89: Deck Watch Officer, 1st Lieutenant & Board Officer, USCG Cutter  
VENTUROUS, Long Beach, CA

All positions listed above have been management-level jobs.

8. Attach a copy of your resume.

My official Coast Guard biography is attached.

9. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

10. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

<b>Organization Name</b>	<b>City/State</b>	<b>Organization Type</b>	<b>Position Held</b>	<b>From</b>	<b>To</b>
Tall Ships America	Newport, RI	Non-profit	Member, Board of Directors	01/2013	Present
Christian Relief Services Charities	Alexandria, VA	Non-profit	Member, Board of Directors	06/2014	Present
Coast Guard Cuttermen Association	Alexandria, VA	Non-profit	Treasurer and Member, Board of Directors	01/2016	Present
Running Strong for American Indian Youth	Alexandria, VA	Non-profit	Member, Board of Directors	10/2016	Present

11. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

US Sailing (formerly USYRU): Member 1984 to present

U.S. Coast Guard Academy Alumni Association: Member 1987 to present

Sigma Xi: Member, 1993 to present (intermittent membership)

Surface Navy Association: Member 2003 to present

Navy League of the United States: Member, 2007 to present (intermittent membership)

Boy Scouts of America, Narragansett Council, Troop 9: Adult Leader 2009 to present

National Maritime Historical Society: Member 2009 to present

Tall Ships America (formerly American Sail Training Association): Member 2009 to present; Director 2013 to present

Coast Guard Cuttermen Association: Member 2010 to present; Treasurer 2016 to present

Mystic Seaport: Member 2010 to present

New York Yacht Club: Member 2010 to present

Stonington Harbor Yacht Club: Member 2010 to present; Rear Commodore 2013

Ariston Speaking Club: Member, 2012 to present

Christian Relief Services Charities: Director 2014 to present

Marines Memorial Association: Member 2014 to present

Naval Order of the United States: Member 2015 to present

Running Strong for American Indian Youth: Director 2016 to present

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or disability.

12. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No.

13. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None.

14. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Legion of Merit Medals (2)	May 2014, July 2012
Meritorious Service Medals (4)	June 2009, May 2007, April 2004, June 2002
Coast Guard Commendation Medals (4)	June 1999, July 1997, April 1996, August 1991
Armed Forces Expeditionary Medal	December 2005
Global War on Terrorism Service Medal	January 2005
National Defense Service Medal	May 2002, February 1991
Humanitarian Service Medal	December 1992
Coast Guard Achievement Medal	June 1989
Coast Guard Rifle Expert Medal	August 1988
Coast Guard Pistol Expert Medal	May 1985

15. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Speech, "Cyber Vulnerabilities in the Maritime Domain," March 2016

Speech, "The Savvy Public Servant: *Maintaining Perspective Amidst Bureaucratic Chaos*," October 2015

Speech, "The Gentleman Rogue of EAGLE's Family Tree," May 2014

Article (Co-Authored), "The Uncoachable Moment," *Proceedings*, Naval Institute Press, September 2012

Book (Co-Authored), *EAGLE Seamanship*, Fourth Edition, Naval Institute Press, 2011  
Article, "Twenty-First Century Sail Training," *World Ship Review*, World Ship Trust, June 2011  
Article, "Six Weeks Before the Mast," *The Bulletin*, USCGA Alumni Assoc, April 2010  
Thesis, "International Economic Effects of Halting the Voyage of CSAV RIO PUELO," Massachusetts Institute of Technology, June 2005

16. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None.

17. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I have been fortunate, throughout my 29 years as a commissioned officer in the Coast Guard, to have served in a wide range of operational, management and training positions. I have over twelve years of operational service at sea, conducting search & rescue, counter drug, migrant interdiction, maritime security and fisheries enforcement missions. Over the course of ten years at the U.S. Coast Guard Academy and aboard the sail training ship Barque EAGLE, I have taught Mathematics and Leadership, coached intercollegiate and offshore sailing, overseen professional development programs for future Coast Guard officers, and fulfilled senior management responsibilities for four-year baccalaureate and NCAA Division III athletic programs at one of the four federal service academies. While assigned at Coast Guard Headquarters in Washington DC, I have continue to develop my enterprise management skills by serving as a personnel and operational policy program reviewer, followed by serving as Deputy Chief of both the Coast Guard's Budget & Programs and Congressional Affairs offices. Most recently, my experience over two years as the Executive Assistant to the Coast Guard Deputy Commandant for Operations has allowed me to participate in executive decision making pertaining to emerging policy and resource issues spanning the Service's response, prevention, operational forces and international affairs programs, as well as coordination with the Departments of Homeland Security and Defense, and across the interagency. Over the course of the years in building this base of experience and leadership, my passion for and commitment to the Coast Guard's missions, as well as to its dedicated men and women, has only grown. I am honored to have been nominated to serve in the Service's senior leadership and enthusiastic to be assigned to a position in which I can best contribute to the Coast Guard's strategic goals.

18. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Regardless as to the position to which I'm assigned once promoted to Rear Admiral, I am very aware of my continuing responsibility to ensure that the Coast Guard's resources - capital, personnel and financial - are carefully and properly husbanded, allocated to the Service's highest priority needs and monitored to assess their effectiveness in achieving Coast Guard annual performance metrics. In meeting these obligations, I will rely upon my experience overseeing the Coast Guard's budget while assigned to the Office of Budget & Programs, as well as detailed management of budgets and mission performance while assigned to Coast Guard cutters and the U.S. Coast Guard Academy.

19. What do you believe to be the top three challenges facing the department/agency, and why?

First and foremost, the Coast Guard must continue to seek innovative means to ensure the safety and security of both the Maritime Transportation System and the public through close engagement with maritime industry, as well as appropriately measured regulatory actions. This is particularly important given expanding energy, fisheries and tourism activities in the Arctic's Chukchi and Beaufort Seas, as well as the advent of new technologies for oil and gas extraction that are rapidly transforming the United States into a net energy exporter.

The next most pressing challenge facing the Coast Guard is developing and retaining a skilled cyber workforce to defend against persistent and evolving threats to the information systems of both the Coast Guard and the Nation's Maritime Transportation System. Our national economy is inextricably linked to the smooth flow of commerce into and out of our ports; these facilities, as well as the ships that load and discharge cargoes, rely upon information technology for every aspect of their operations. Likewise, given the growing size of ships and increasing Western Rivers and Arctic maritime traffic, the potential consequences of a cyber-related maritime incident demand the Coast Guard develop the capabilities and capacity to meet the strategic priorities of the Service's Cyber Strategy.

Finally, to ensure that the Coast Guard is able to live up to its reputation and long-standing motto of "semper paratus," the service must conduct the analyses and workforce development necessary to determine the size and skill sets needed to meet daily Service operational demands across Nation, as well as to maintain the surge capability to be able to effectively respond to major contingencies - whether natural or man-made. Such a Force Planning Construct must address the required contributions from each component of the Coast Guard's workforce: active duty and reserve servicemembers, civilian employees, and CG Auxiliarists.

## **B. POTENTIAL CONFLICTS OF INTEREST**

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

From 2005-2007, I was the Deputy Chief in the Coast Guard's Office of Budget and Programs. In this capacity, I frequently provided information and briefings to the DHS, OMB and congressional staffs to support legislation and policy, primarily the Coast Guard's annual appropriation. From 2007 to 2009, I was assigned as Deputy Chief in the Coast Guard Office of Congressional Affairs. During this time, I represented and advocated for cleared administration positions on pending legislation and maintained an active dialogue with Congressional staffs and, occasionally, Members of Congress. All my advocacy was in support of cleared administration positions. I have also actively participated in preparing Coast Guard witnesses for Congressional hearings and briefers for Congressional Members and staffs concerning matters within the cognizance of the Coast Guard. Outside Coast Guard official duties, I have not participated in any activities to influence legislation.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

### C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No.

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No.

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

I have no additional information for the Committee's consideration at this time.

#### **D. RELATIONSHIP WITH COMMITTEE**

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

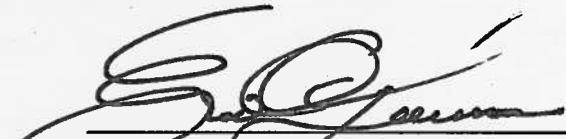
Yes.

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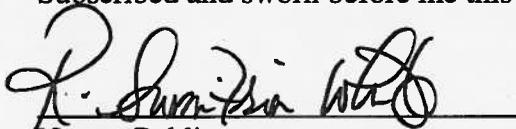
(Nominee is to include this signed affidavit along with answers to the above questions.)

**F. AFFIDAVIT**

ERIC C. JONES being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

  
Signature of Nominee

Subscribed and sworn before me this 15th day of November, 2016

  
Notary Public

R. SUMITRIA WHITE  
NOTARY PUBLIC DISTRICT OF COLUMBIA  
My Commission Expires January 14, 2018





**Rear Admiral (Select)  
Eric C. Jones  
U.S. Coast Guard**



Captain Jones assumed the position of Executive Assistant to the U.S. Coast Guard Deputy Commandant for Operations in May 2014 after serving two years as Assistant Superintendent of the U.S. Coast Guard Academy in New London, Connecticut. In this position, he served as the Superintendent's direct representative to oversee the day-to-day operations of the Academy.



His afloat assignments include: Deck Watch Officer and First Lieutenant aboard USCGC *Venturous* (WMEC 625) homeported in Long Beach, California; Commanding Officer and Plankowner of USCGC *Tybee* (WPB-1330) in San Diego, California; Navigator and Executive Officer of USCGC *Eagle* (WIX 327) in New London, Connecticut; Executive Officer of USCGC *Forward* (WMEC 911) in Portsmouth, Virginia; Commanding Officer of USCGC *Harriet Lane* (WMEC 903), homeported in Portsmouth, Virginia; and Commanding Officer of the Coast Guard's three-masted sail training barque USCGC *Eagle* (WIX 327) from 2009 until 2012.

Captain Jones' assignments ashore include: Mathematics and Leadership Instructor at the Coast Guard Academy in New London, Connecticut; Workforce and Operations Reviewer, Division of Program Review, Coast Guard Headquarters in Washington, D.C.; Deputy Chief, Office of Budget and Programs, and Chief, Division of Program Review, United States Coast Guard Headquarters in Washington, D.C.; and Deputy Chief, Office of Congressional and Government Affairs, at United States Coast Guard Headquarters in Washington, D.C.

A native of Half Moon Bay, California, Captain Jones is a 1987 graduate of the United States Coast Guard Academy in New London, Connecticut. He holds a Bachelor of Science Degree in Marine Engineering from the Coast Guard Academy, a Master of Science in Applied Mathematics and Fluid Dynamics from Brown University, and a Master of Business Administration from the Massachusetts Institute of Technology Sloan Fellows Program in Innovation and Global Leadership. He is also a graduate of the Naval War College Command and Staff Nonresident Seminar Program and a 2001-2002 Fellow of the Massachusetts Institute of Technology Seminar XXI on Foreign Politics, International Relations, and the National Interest.

Captain Jones' personal awards include two Legions of Merit, four Meritorious Service Medals, four Coast Guard Commendation Medals, the Coast Guard Achievement Medal, the Commandant's Letter of Commendation Ribbon, and various service and unit awards.

**Significant Current Professional Credentials or Recent Activities (Continued)**

Co-Authored, "The Uncoachable Moment:," *Proceedings*, Naval Institute Press, September 2012

Published, "Six Weeks Before the Mast," *The Bulletin*, USCGA Alumni Assoc, April 2010

Co-Authored, *EAGLE Seamanship*, Fourth Edition, Naval Institute Press, 2011

Published, "Twenty-First Century Sail Training," *World Ship Review*, World Ship Trust,  
June 2011

Published, "International Economic Effects of Halting the Voyage of CSAV RIO PUELO,"  
Massachusetts Institute of Technology, June 2005