

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): Anthony John "Jack" Vogt Jr.
2. Position to which nominated: Rear Admiral, Lower Half
3. Date of Nomination: September 18, 2015
4. Address (List current place of residence and office addresses):

Residence/Base Housing:

Office:

5. Date and Place of Birth: October 21, 1965 in Caracas, Venezuela (Father worked in Venezuela; both parents were and are U.S. citizens)
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: Mary Beth (Sedlacek) Vogt, Not currently employed

Children: Joanna Elizabeth Vogt, Age 22
Hannah Grace Vogt, Age 20

7. List all college and graduate degrees. Provide year and school attended.

Master of Science in National Resource Strategy, Eisenhower School (Industrial College of the Armed Forces), 2008-2009

Master of Science in Business/Industrial Administration, Purdue University, 1997-1998

Bachelor of Science in Government, U.S. Coast Guard Academy, 1983-1987

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

I have served as a Coast Guard officer since May 20, 1987. Please see my official Coast Guard biography for detailed information.

9. Attach a copy of your resume.

My official Coast Guard biography is attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

Acting Director, United States Coast Guard Academy Institute for Leadership, New London, CT, January 2015 - Present

Member, United States Coast Guard Academy Board of Trustees Executive Committee, New London, CT, July 2014 – Present

Vice-Chairman, State of Alaska Combined Federal Campaign, Anchorage, AK, May 2012 – May 2014

Coast Guard Representative, University of Alaska Southeast Advisory Council, Juneau, AK, May 2012 – May 2014

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

None

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

United States Coast Guard Aviation Association, Life Member

United States Navy League, Member, Savannah, GA, June 2006-2008

United States Air Force Daedalians, Member, Honolulu, HI, June 2009-2012

President, Hawaiian Islands Coast Guard Officers Association, Honolulu, HI, May 2011- May 2012

None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age or disability.

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

No

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Military medals: Legion of Merit, Meritorious Service Medal (4), Coast Guard Commendation Medal (4), Coast Guard Achievement Medal, Armed Forces Expeditionary Medal, Outstanding Volunteer Service Medal, Others

United States Coast Guard Honorary Chief Petty Officer, 2006

White House Fellows National Finalist, 2001

Sun Tzu Leadership Award, Krannert School of Management, Purdue University, 1998

Federal Executive Board Heroism Award, City of Los Angeles, 1993

FAA Helicopter Airline Transport/Commercial Pilot Certified

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these

publications unless otherwise instructed.

None

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

None

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

My broad range of experiences, assignments and record of performance over a 28 year career conducting the organization's statutory missions qualify and prepare me for service as a Coast Guard flag officer. A diverse career has afforded the opportunity to witness the value of our multi-mission Service by personally exercising the organization's mix of authorities as a humanitarian first responder, a maritime regulator, a federal law enforcement agent, and a member of the armed forces. As a career aviator and officer, I have served as a purposeful and adaptive leader in the conduct of both tactical and strategic missions, administered and managed resources in support of complex operations, and successfully employed emergency and risk management principles during hazardous evolutions. My developed expertise and experience have allowed me to excel in challenging, high-risk, and high-visibility positions and situations, further enhancing my readiness for service as a senior executive leader. My time conducting field aviation operations, working on organizational resource and workforce issues, leading personnel as a major unit Commanding Officer, studying at the Eisenhower School, directing Alaska and Arctic operations, and serving as a key leader at the Coast Guard Academy have fortified my ability to think and act strategically, understand and resolve intricate problems, build and benefit from partnerships, exhibit and operate with political savvy, and effectively lead through change.

I am humbled and honored to have been selected and subsequently nominated to serve as a flag officer in the United States Coast Guard. My desire to serve in this capacity is centered on my ongoing passion for service and the firm belief that I have more to offer our country as a senior executive and strategic leader in the Coast Guard. I look forward to tackling the challenges of the future and doing my part as a flag officer to ensure the Coast Guard continues to remain Semper Paratus.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what

experience do you have in managing a large organization?

As a senior executive, I assume a higher level of responsibility for ensuring proper management, accounting, and ethical stewardship of the precious resources entrusted to our Service by the citizens of this great Nation. All senior leaders in the Coast Guard, and for that matter, all members of the Coast Guard, must ensure an unwavering commitment to strictly adhering to established organizational management and accounting controls. This is particularly important as the organization continues to focus on clean Chief Financial Officer audits and accompanying efforts to modernize financial and inventory management systems.

In my current position as Assistant Superintendent, United States Coast Guard Academy, and previous position as Chief of Staff in the Seventeenth Coast Guard District serving Alaska and the Arctic, I have gained significant experience in managing a large organization. As primary deputy to the principal of each organization, I am directly responsible for the leadership of almost 2,000 Coast Guard personnel and the effective and efficient use of operational assets, facilities, and funds. Duties at both organizations include the preparation and execution of both Department and Coast Guard strategic plans, programs and policies in support of organizational missions, as well as frequent engagement with international and national dignitaries from the highest levels of government, business and education. In the absence of the principal, I serve as the Acting Superintendent or Acting District Commander, empowered with all authorities, responsibility and accountability of the position.

20. What do you believe to be the top three challenges facing the department/agency, and why?

As an aging population strains the federal budget through growing mandatory social spending, our Nation's executive and legislative leaders will continue to struggle to provide adequate discretionary budgets to support standing or emergent national priorities. This is a significant challenge for the Coast Guard as the organization seeks to obtain capital budgets to replace operational assets and infrastructure that currently strain to meet Service need in the daily execution of statutory missions and future surge operations like Hurricane Katrina or Deepwater Horizon. As global technologies evolve and Coast Guard presence is required in new domains like the Arctic and cyberspace, the Service will require faster, stealthier, cheaper and safer assets and strategies to operate efficiently and effectively at sea, in the air, on land, and in the cyber realm. However, the budget challenge remains and the Coast Guard must communicate and link Service priorities to those of the Nation in order to compete for precious capital funds. The recent dialogue on polar icebreakers is a good example of how the Coast Guard can effectively connect Service mission requirements to national interests like maritime transportation security, environmental protection, and defense readiness. Also, as a senior executive leader, I am compelled to address this budget challenge by influencing the Service to pursue innovative ideas and new opportunities to further offset the limitations of constrained budgets. As an

organization, we must continue to derive efficiencies by optimizing operational performance through mission analytics, maintaining strict parts inventory controls, shedding needless assets and infrastructure, and by prioritizing new acquisitions through strategic operations and mission needs analysis.

Yet another primary concern facing the Coast Guard is the Service's ability to conduct assigned statutory missions in emergent and expanding domains like the Arctic, cyberspace, the western hemisphere, and our inland and coastal waterways. The Commandant has identified Coast Guard operations in all four domains as a strategic necessity in support of national interests. However, given the state of current asset allocation to existing operations and missions, the Service will be challenged to offer significant new engagement in these domains. In the Arctic, distance and environment dictate the need for additional cutter and air assets supported by seasonal and year-round infrastructure to effectively complete rescue, law enforcement, regulatory, and security missions. In the cyber domain, the Service needs to leverage Department of Defense expertise and systems as well as recruit and educate an organic, expert workforce provisioned and ready to insure the security of the maritime transportation system and critical port and waterways infrastructure. In the western hemisphere, the Coast Guard can enhance the effectiveness of drug and migrant interdiction operations by forward basing cutters and personnel to conduct joint interdiction operations, humanitarian missions, and increased training engagements with international partners in Central and South America. Finally, as the United States continues its evolution as a net energy exporter, the associated growth of the inland maritime transportation system will require greater Coast Guard presence to insure safety and security. Absent an unlikely windfall of financial resources to bolster assets and personnel, the Coast Guard can only hope to meet the challenge of operating in new domains by conducting a strategic assessment of asset allocation and training systems with the intent of reassigning assets and focusing training to align with Service and national priorities in the Arctic, cyber, western hemisphere, and inland and coastal waterways.

The Coast Guard will also be challenged to recruit and retain the diverse, educated and motivated workforce of the future without changes to existing personnel management policies. An aging population and shifting demographics means stiffer competition for young, career-oriented employees who represent the full scope of diversity. The Coast Guard must position itself to not only appeal to potential employees because of compelling missions and the opportunity to serve one's country, but because the Service is also viewed as an employer of choice. With the understanding that broad revisions to existing federal personnel management laws, regulations and policies are unlikely in the near term, the Service should nonetheless conduct a thorough review of Coast Guard-specific recruiting, hiring, promotion, assignment, compensation, retention, and termination policies. Working within the framework of existing law, the Service can actually make meaningful changes to create a nimble and responsive personnel management system that will appeal to both current and future employees.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my nominee Public Financial Disclosure Report.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

None

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

No

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

No

6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?

No

7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

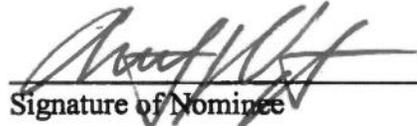
4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

ANTHONY J. VAGT being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.



Signature of Nominee

Subscribed and sworn before me this 21st day of sep, 2015

Debra Albert

Notary Public

RDML (sel) ANTHONY J. VOGT
Assistant Superintendent
United States Coast Guard Academy



Anthony "Jack" Vogt is originally from Chapel Hill, North Carolina. He is a 1987 graduate of the U.S. Coast Guard Academy where he earned a Bachelor's Degree in Government. Upon receiving his commission, he was assigned to the USCGC JARVIS where he served in the Engineering Department and also qualified as an Officer of the Deck.

After completing several deployments throughout the Pacific, RDML (sel) Vogt was selected for Naval Flight School where he earned his wings in 1990. His first aviation assignment was Air Station Los Angeles where he received the Federal Executive Board Heroism Award for a 1993 rescue mission. Later that year, Rear Admiral (sel) Vogt was assigned to Air Station New Orleans where he served in the Engineering Department and as an Instructor Pilot in the HH-65 helicopter.

In 1997, he was selected to attend Purdue University where he received a Masters Degree in Business/Industrial Administration. Following his graduate studies, Rear Admiral (sel) Vogt was assigned to the Office of Aeronautical Engineering at Coast Guard Headquarters where he managed a \$437 million aviation budget and coordinated workforce policies for aviation officers and enlisted. During his tour in Washington, DC, he also completed the 2001 Advanced Management Program at the National Defense University.

In 2003, Rear Admiral (sel) Vogt was assigned as Engineering Officer of Air Station Clearwater where he led maintenance and logistics support for 12 HH-60 helicopters and six HC-130 aircraft. As an HH-60 Aircraft Commander, he flew Operations Bahamas Turks & Caicos (OPBAT) law enforcement missions and Hurricane Katrina rescue operations.

In 2006, Rear Admiral (sel) Vogt was selected to serve as Commanding Officer of Coast Guard Air Station Savannah where he re-qualified in the HH-65 as an Aircraft Commander and led his crew in the conduct of Coast Guard operations throughout the Atlantic and Caribbean.

In 2008, he left for the Industrial College of the Armed Forces in Washington, DC where he earned a Masters Degree in National Resources Strategy. Upon graduating in 2009, Rear Admiral (sel) Vogt left for Hawaii to serve as Commanding Officer of Coast Guard Air Station Barbers Point where he flew the HC-130 aircraft and led his crew in the completion of worldwide search and rescue, law enforcement, disaster relief and Presidential security missions.

Upon completion of command in 2012, Rear Admiral (sel) Vogt moved north to serve as Chief of Staff for the Seventeenth Coast Guard District headquartered in Juneau, Alaska, where he led operations and directed resource support for crews conducting statutory missions throughout the challenging environment of the Last Frontier and the emerging Arctic.

Rear Admiral (sel) Vogt, his wife Mary, and their four children, Joanna, Hannah, John and Sam, are excited to be assigned to the United States Coast Guard Academy where Rear Admiral (sel) Vogt has assumed the duties of Assistant Superintendent.

Rear Admiral (sel) Vogt's military decorations include the Legion of Merit, four Meritorious Service Medals, four Coast Guard Commendation Medals, the Armed Forces Expeditionary Medal and the Military Outstanding Volunteer Service Medal.

REAR ADMIRAL (sel) Anthony J. Vogt

Current Assignment

Jul 14 – Present United States Coast Guard Academy, Assistant Superintendent

Assignment History

Jun 12 – Jul 14 Seventeenth Coast Guard District, Chief of Staff
Jul 09 – Jun 12 Air Station Barbers Point, Commanding Officer
Jun 08 – Jul 09 Eisenhower School (ICAF), Duty Under Instruction
Jun 06 – Jun 08 Air Station Savannah, Commanding Officer
Jun 03 – Jun 06 Air Station Clearwater, Engineering Officer
May 00 – Jun 03 Headquarters Office of Aero Engineering, Chief of Resources
Jul 98 – May 00 Headquarters Office of Aero Engineering, Budget and Workforce
Jul 97 – Jul 98 Purdue University, Duty Under Instruction
Jul 94 – Jul 97 Air Station New Orleans, Engineering/Asst Engineering Officer
Jul 93 – Jul 94 Air Station New Orleans, Student Engineering Officer
Jun 90 – Jul 93 Air Station Los Angeles, Personnel and Supply Officer
Dec 89 – Jun 90 Naval Flight Training Pensacola, Florida, Duty Under Instruction
Aug 87 – Dec 89 Coast Guard Cutter JARVIS, Asst Engineering Officer
May 87 – Aug 87 Aviation Training Center Mobile, Cadet Training Officer

Educational Summary

2008 – 2009 Eisenhower School (ICAF), Master of Science in National Resource Strategy
1997 – 1998 Purdue University, Master of Science in Business/Industrial Administration
1983 – 1987 U.S. Coast Guard Academy, Bachelor of Science in Government

Military Personal Awards and Significant National Recognition or Achievement

2014 Legion of Merit, Seventeenth Coast Guard District
2012 Meritorious Service Medal, Air Station Barbers Point
2010 National Defense Transportation Association Military Unit of the Year, Air Station Barbers Point
2008 Meritorious Service Medal, Air Station Savannah
2006 U.S. Coast Guard Honorary Chief Petty Officer
2006 Meritorious Service Medal, Air Station Clearwater
2004 Military Outstanding Volunteer Service Medal, Air Station Clearwater
2003 Meritorious Service Medal, Headquarters Officer of Aero Engineering
2001 White House Fellows National Finalist
1994 Armed Forces Expeditionary Medal, Air Station New Orleans
1993 U.S. Federal Executive Board Heroism Award, City of Los Angeles

Significant Current Professional Credentials or Recent Activities

2012 – 2014 Vice-Chairman, State of Alaska Combined Federal Campaign
2010 HC-130 Left Seat Copilot, Air Station Barbers Point
2005 HH-60 Aircraft Commander, Air Station Clearwater
1995 HH-65 Instructor Pilot, Air Station New Orleans
1997 FAA Helicopter Airline Transport/Commercial Pilot Certification