

Instructions for the nominees: The Senate Committee on Commerce, Science, and Transportation asks you to provide typed answers to each of the following questions. It is requested that the nominee type the question in full before each response. Do not leave any questions blank. Type "None" or "Not Applicable" if a question does not apply to the nominee. Return printed answers to Committee. Begin each section (i.e., "A", "B", etc.) on a new sheet of paper.

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used):

Christopher Alvin Hart (Chris)

2. Position to which nominated:

Chairman, National Transportation Safety Board

3. Date of Nomination:

June 26, 2014

4. Address (List current place of residence and office addresses):

Home:

Office:

5. Date and Place of Birth:

Denver, Colorado

June 18, 1947

6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage).

Spouse: LeeAnn M. Hart
Program Manager
Federal Aviation Administration
800 Independence Avenue, SW
Washington, DC 20591

Children: Adam C. Hart, Age 26


7. List all college and graduate degrees. Provide year and school attended.

Harvard Law School, J.D., 1973
Princeton University, M.S.E. (Aerospace Engineering), 1971
Princeton University, B.S.E. (Aerospace Engineering), 1969

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

2014 - present – Acting Chairman, National Transportation Safety Board, Washington, DC

2009 - present – Member, National Transportation Safety Board, Washington, DC

2009 - present – Vice Chairman, National Transportation Safety Board, Washington, DC

2005 - 2009 – Deputy Director, Air Traffic Safety Oversight Service, Federal Aviation Administration, Washington, DC

1995 - 2005 – Assistant Administrator for System Safety, Federal Aviation Administration, Washington, DC

1994 - 1995 – Deputy Administrator, National Highway Traffic Safety Administration, Washington, DC (includes tenure as Acting Administrator)

1990 - 1993 – Member, National Transportation Safety Board, Washington, DC

1981 - 1990 – Managing Partner, Hart & Chavers, Washington, DC

1979 - 1981 – Associate Attorney, Dickstein, Shapiro & Morin, Washington, DC

1977 - 1979 – Deputy Assistant General Counsel for Environmental, Civil Rights, and General Law, U.S. Department of Transportation, Washington, DC

1976 - 1977 – Attorney, General Counsel's Office, Air Transport Association of America, Washington, DC

1973 - 1976 – Associate Attorney, Peabody, Rivlin, Lambert & Meyers, Washington, DC

1972 - Summer Associate, Fish & Neave, New York, NY

1971 - Summer Associate, Davis, Graham & Stubbs, Denver, CO

1969 - Summer Engineering Intern, MRI Associates, Los Angeles, CA

9. Attach a copy of your resume.

Resume attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

October 2012 - July 2013 – Invited by the National Academy of Sciences/National Research Council to participate on its Committee on the Workload of System Specialists in Aviation. This Committee reviewed the Federal Aviation Administration's staffing plan for the employees who maintain the navigation, communications, and other equipment in the National Airspace System.

2009 - 2011 – Lowell School, Washington, DC (where my daughter is a student), Trustee and Secretary.

2008 – I filed Articles of Organization in February 2008 to create Washington, DC-based AvMed Solutions, LLC. Plans did not proceed as anticipated, so I filed Articles of Dissolution in September 2008. The company did not conduct any business, did not appoint any officers or directors, and did not open a bank account.

2003 - 2012 – Invited by National Academy of Sciences/Institute of Medicine to be safety risk assessment expert on Institute of Medicine Committee on Review of NASA's Bioastronautics Critical Path Roadmap regarding long-duration (Moon and Mars) space travel.

2002 - 2011 – Invited by International Association of Fire Chiefs to be on Near-Miss Reporting Task Force to help develop firefighter near-miss reporting system.

12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.

2005 - 2010 – Georgia Tech Parents Association

Early 2000's - 2010 – Royal Aeronautical Society, Washington, DC Branch
Late 1990's - present – East (Denver) High School Alumni Association
1998 - 2008 -- National Sleep Foundation, Director and Secretary
1975 - present – Lawyer-Pilots Bar Association
1973 - present – Aircraft Owners and Pilots Association
1973 - present – District of Columbia Bar
1973 - present – Washington Bar Association
1973 - present – Harvard Club of Washington
1973 - present – Harvard Law School Association
1973 - present – Princeton Club of Washington
1971- present – Association of Graduate Princeton Alumni
1969 - present – Phi Beta Kappa
1969 - present – Sigma Xi
1969 - present – Association of Black Princeton Alumni

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

2013 – Appointed by President Obama to be Vice Chairman of the National Transportation Safety Board, Washington, DC

2013 – Nominated by President Obama and confirmed by the Senate to be a Member of the National Transportation Safety Board, Washington, DC

2011 – Appointed by President Obama to be Vice Chairman of the National Transportation Safety Board, Washington, DC

2009 – Appointed by President Obama to be Vice Chairman of the National Transportation Safety Board, Washington, DC

2009 – Nominated by President Obama and confirmed by the Senate to be a Member of the National Transportation Safety Board, Washington, DC

1994 – Appointed by President Clinton to be Deputy Administrator of the National Highway Traffic Safety Administration, Washington, DC

1990 – Nominated by President Bush and confirmed by the Senate to be a Member of the National Transportation Safety Board, Washington, DC

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

Contributions to Obama for America:	
10/14/2012:	\$500
2008	\$550

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

1971 – Master’s Thesis selected to be published as Princeton University Report No. 942
1971 - present – Association of Princeton Graduate Alumni
1969 - present – Phi Beta Kappa
1969 - present – Sigma Xi
1969 – Graduated magna cum laude, Princeton University

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

I have done my best to identify books, articles, columns, publications or relevant speeches, including a thorough review of personal files and searches of publically available electronic databases. Despite my searches, there may be other materials I have been unable to identify, find, or remember. I have located the following:

Publications:

"Aviation Industry Provides Roadmap to Improve Patient Safety," Biomedical Instrumentation & Technology, Vol. 38, No. 6 (Nov/Dec 2004).

"Obtaining Investment Capital Through Securities Offerings," Spectrum (Journal of the National Association of Black Accountants, Fall, 1984).

"State Action Antitrust Immunity for Airport Operators," Transportation Law Journal, Vol. 12, No. 1 (1981).

"An Antitrust Analysis of Oil Company Ownership of Deepwater Ports," Transportation Law Journal, Vol. 10, No. 1 (1978).

Speeches:

I gave numerous speeches while at the FAA (1995 – 2009), and I have given several at the NTSB (2009 - present) in conferences in several countries in many industries regarding

- Collecting, analyzing, and sharing safety information for proactive use in preventing aviation mishaps
- Transferability of aviation safety process lessons learned to other industries, including other transportation modes, chemical manufacturing, petroleum refining, nuclear power, healthcare, the financial industries, and education
- Lessons learned from aviation safety experience regarding improving safety while also improving productivity

Most of those speeches have been by invitation from other industries that are looking to learn whether they can apply successful aviation safety processes. In addition, I have given numerous speeches to aviation industry audiences, frequently about the need for increased professionalism in pilots, the impacts of criminalization upon safety, and the effects of increasing automation. Since I became a Member of the NTSB in 2009, I have given presentations on these and other subjects at conferences hosted by the following organizations:

2014:

July 2: PHMSA Pipeline Safety Management System Workshop, Alexandria, VA

June 30: Nantucket Flying Association, Nantucket, MA

June 24: Board Meeting: Aviation Accident Report, Crash of Asiana Airlines Flight 214, July 6, 2013, San Francisco, CA, Acting Chairman's Opening and Closing Remarks

June 23: Association of Transportation Law Professionals, Annapolis, MD

June 14: Pilatus Owners & Pilots Association Annual Conference, Savannah, GA

June 11: Consortium for Systemic Risk Analytics, MIT Sloan School, Boston, MA

June 4: Modern Solutions Power Systems Conference, Houston, TX

June 2: Department of Treasury's Office of Financial Research, Washington, DC

May 21: International Air Transport Association (IATA) Cabin Operations Safety Conference, Madrid, Spain

May 14: Operation Lifesavers, "Working Together for Continued Improvement," Albuquerque, NM

May 5: International Transportation Safety Association Annual Conference (ITSA), Queenstown, New Zealand

April 10: U.S. Navy, 51st Anniversary of the Loss of the USS Thresher, Washington, DC

April 7: Aviation Technician Education Council (ATEC), San Antonio, TX

April 4: Quattrone Center's Inaugural Spring Symposium, University of Pennsylvania Law School, Philadelphia, PA (two separate presentations)

April 1: World Aviation Training Conference (WATS), Orlando, FL

March 31: ExxonMobil Safety and Wellness Seminar, Fort Worth, TX (two presentations)

March 30: International High Reliability Organization Conference, Fort Worth, TX

March 6: Subcommittee on Surface Transportation and Merchant Marine Infrastructure, Safety, and Security, Senate Committee on Commerce, Science, and Transportation,

Hearing on Enhancing Our Rail Safety: Current Challenges for Passenger and Freight Rail, Washington, DC
February 19: 2014 Airports Council International/American Association of Airport Executives Airport Planning, Design and Construction Symposium, Denver, CO
January 28: Subcommittee on Highways and Transit, House Committee on Transportation and Infrastructure, Hearing on Enhancing Our Rail Safety: Current Challenges for Passenger and Freight Rail, Washington, DC
January 22: National Association of Corporate Directors, Capital Area Chapter, Washington, DC
January 15: San Bernardino City Unified School District, San Bernardino, CA (two presentations)
January 10: Embry-Riddle Aviation Law and Insurance Symposium, Orlando, FL

2013:

December 3: Argentine Ministry of Interior and Transport Officials, NTSB HQ, Washington, DC
November 18: Department of Energy Nuclear Executive Leadership Training, Germantown, MD
October 31: International Air and Transportation Safety Bar Association Law Conference, Pensacola Beach, FL
October 25: Vaughn College of Aeronautics, New York, NY
September 19: Teen Distracted Driving Prevention Summit, Washington, DC
September 18: Human and Operational Performance Conference, Houston, TX
September 12: Future of High Reliability Organizations Symposium, LeMoyne College McDevitt Center for Creativity and Innovation, Syracuse, NY
August 21: National Labor College, Linthicum Heights, MD
August 12: Department of Energy Nuclear Executive Leadership Team, Germantown, MD
August 9: Bullis School Aviation Summer Camp, Potomac, MD
August 7: Pipeline Integrity Verification Process Workshop, Arlington, VA
August 2: Bullis School Aviation Summer Camp, Potomac, MD

July 19: Bullis School Aviation Summer Camp, Potomac, MD
July 9: Opening Statement, NTSB Investigative Hearing in
Connection with Nov. 30, 2012, Paulsboro, NJ, Train
Derailment and Hazardous Materials Release, Washington, DC
June 25: World Conference on Disaster Management, Toronto,
Canada
June 20: American Society of Safety Engineers Professional
Development Conference, Colorado Springs, CO
June 6: MIT Sloan School Prioritization Conference, Boston,
MA
June 5: MIT Sloan School Prioritization Conference, Boston,
MA
May 1: BBA Aviation, NTSB Training Center, Ashburn, VA
March 7: National Safety Council, Alexandria, VA
February 8: Barnes Jewish Hospital, St. Louis, MO
February 7: Mercer HSE Networks, Washington, DC
February 5: San Bernardino School Board, San Bernardino,
CA
February 4: Keck Memorial Hospital, Los Angeles, CA
January 11: University Aviation Association, Washington, DC
January 9: Interstate Natural Gas Association of America,
Pentagon City, VA

2012:

December 18: Institute for Behavior Resources, Baltimore,
MD
December 3: Department of Energy Nuclear Executive
Leadership Team, Rockville, MD
November 16: Georgia Institute of Technology Aerospace
Engineering Department, Atlanta, GA
October 25: NTSB Media Training, Ashburn, VA
October 22: National Association of Pupil Transportation,
Memphis, TN
October 12: Aircraft Owners and Pilots Association, Palm
Springs, CA
October 9: Bombardier Aerospace Annual Safety Standdown,
Wichita, KS
October 5: Metropolitan State University Aviation Conference,
Denver, CO
October 3: National Science Foundation, Washington, DC

September 27: University of Mississippi Law School, Oxford, MS
August 29: Panel moderator, International Society of Air Safety Investigators, Baltimore, MD
August 24: State Motorcycle Safety Administrators, Nashville, TN
August 22: US Airways Pilots Association, Charlotte, NC
August 20: Department of Energy Nuclear Executive Leadership Team, Rockville, MD
August 7: International System Safety Conference, Atlanta, GA
August 3: Bullis School Summer Aviation Camp, Potomac, MD
July 23: Culver Military Academy, Culver, IN
July 20: Bullis School Summer Aviation Camp, Potomac, MD
July 8: Friends and Partners in Aviation Weather, Washington, DC
June 7: Commercial Aviation Safety Team, Rosslyn, VA
May 23: International HRO Conference, Chicago, IL
May 3: Colorado Springs Utilities, Colorado Springs, CO
April 19: Southern Gas Association Management Conference, Austin, TX
April 17: Wells Fargo Leadership Meeting, St. Louis, MO
April 11: Wells Fargo Leadership Meeting, St. Louis, MO
March 30: Princeton University Department of Mechanical and Aerospace Engineering 2012 Seminar Series, Princeton, NJ
March 29: NTSB Foreign Representatives Meeting, Ashburn, VA
March 28: North American Electric Reliability Corporation (NERC), Atlanta, GA
March 20: National Safety Council Transportation Safety Division, Crystal City, VA
March 13: Public Service Electric & Gas Company, Newark, NJ
February 28: Surface Transportation and High Speed Rail Summit, Washington, DC
February 22: Airline Pilots Association Runway Safety Conference, Herndon, VA

February 13: New York State Senate Committee on Transportation, Testimony on NTSB Recommendation re Distracted Driving, Albany, New York

February 8: West Virginia Trucking Association Press Conference on West Virginia Cellphone Legislation, Charleston, WV

2011:

November 14: National Association of Regulatory Utility Commissioners Annual Meeting, St. Louis, MO

November 11: NTSB Bar Association Law Conference, Pensacola Beach, FL

November 1: American Association of Airport Executives, Runway Safety Summit, Phoenix, AZ

October 28: Washington Metropolitan Area Transit Authority Headquarters, Washington DC

October 25: Bombardier Aerospace Annual Safety Standdown, Wichita, KS

October 17: American Gas Association Executive Conference, San Diego, CA

October 13: University of Southern California Aerospace Engineering Department, Los Angeles, CA

October 13: University of California, San Bernardino, San Bernardino, CA

October 1: Navy Safety Standdown, Oceana Naval Air Station, Norfolk, VA

September 11: National Association of State Boating Law Administrators Annual Conference, Milwaukee, WI

August 29: Department of Energy Nuclear Executive Leadership Team, Rockville, MD

August 17: University Aviation Association, Washington, DC

July 27: Bullis School Summer Aviation Camp, Potomac, MD

July 21: Senate Committee on Commerce, Science, and Transportation, Subcommittee on Surface Transportation and Merchant Marine Infrastructure, Safety, and Security, Testimony re Federal Motor Carrier Safety Administration Reauthorization, Washington, DC

July 20: Bullis School Summer Aviation Camp, Potomac, MD

July 13: M&N Aviation Safety Standdown, Denver, CO

June 13: American Bankers Association, Washington, DC
June 2: American Bar Association Continuing Legal Education Seminar on Criminalization, New York, NY
May 25: Panel moderator, International Civil Aviation Organization Runway Safety Conference, Montreal, Canada
May 9: Insurance Institute of London, London, England
May 5: International Society of Air Safety Investigators Conference, Herndon, VA
May 3: Institutes for Behavior Resources 50th Anniversary, Baltimore, MD
April 7: House Committee on Transportation and Infrastructure, Subcommittee on Railroads, Pipelines, and Hazardous Materials, Testimony on Railroad and Hazardous Materials Transportation Programs, Washington, DC
April 13: Wells Fargo Leadership Meeting, St. Louis, MO
April 6: Wells Fargo Leadership Meeting, St. Louis, MO
March 22: National Air Traffic Controllers Association Safety Conference, Las Vegas, NV
February 14: Nebraska Legislature Transportation and Telecommunications Committee, Testimony on Repeal of Nebraska's Universal Helmet Law, Lincoln, NE
January 25: NTSB meeting with Nigerian Federal Road Safety Corps officials, Washington, DC
January 20: Airline Pilots Association Airline Symposium, McLean, VA

2010:

November 16: NTSB Most Wanted List Press Conference, Washington, DC
November 13: New Jersey Sleep Society Annual Symposium, Trenton, NJ
October 19: Dangerous Goods Advisory Council Conference, Seattle, WA
September 28: Senate Committee on Commerce, Science and Transportation, Subcommittee on Surface Transportation and Merchant Marine Infrastructure, Safety, and Security, Hearing on Pipeline Safety, Washington, DC

September 23: House Committee on Energy & Commerce,
Subcommittee on Energy and Environment, Hearing on Pipeline
Safety, Washington, DC

August 31: Norwegian Oil Industry Association, Stavanger,
Norway

August 3: Institute of Medicine Preparedness Forum,
Washington D.C.

July 22: Los Alamos National Laboratories, Los Alamos, NM

June 24: Rail Corridor Safety Conference, Tacoma, WA

June 13: Western State Boating Administrators Association
Annual Conference, Park City, Utah

April 14: Brotherhood of Locomotive Engineers and
Trainmen's National Association, State Legislative Board
Chairmen Annual Meeting, Mobile, AL

April 7: Maryland House of Delegates Environmental Matters
Committee, Testimony on Amending Personal Floatation
Device Requirements for Children, Annapolis, MD

March 3: Air Charter Safety Foundation Conference, Chantilly,
VA

February 25: Maryland Senate Judicial Proceedings Committee,
Testimony on Repeal of Maryland's Universal Helmet Law,
Annapolis, MD

February 20: Maryland Annual Driving Instructor Conference,
Sykesville, MD

2009:

December 17: Remarks at my Swearing-In Ceremony,
Washington, D.C.

October 28: NTSB Media Team, Ashburn, VA

October 21: NTSB Transportation Disaster Assistance Class,
Ashburn, VA

October 14: Information Systems Audit and Control
Association Annual Conference, Las Vegas, NV

September 28: Department of Energy Nuclear Executive
Leadership Team, Rockville, MD

September 15: Senate Committee on Commerce, Science, and Transportation, Aviation Subcommittee, Testimony on the Hudson River Midair Collision, Washington, DC

In addition, I gave several speeches as the Deputy and/or Acting Administrator of NHTSA, in 1994-1995, and as an NTSB Member, from 1990-1993, on a variety of topics relating to transportation safety.

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

March 6, 2014: Senate Committee on Commerce, Science, and Transportation, Subcommittee on Surface Transportation and Merchant Marine Infrastructure, Safety, and Security, Hearing on Enhancing Our Rail Safety: Current Challenges for Passenger and Freight Rail

January 28, 2014: House Committee on Transportation and Infrastructure, Subcommittee on Highways and Transit, Hearing on Improving the Effectiveness of the Federal Surface Transportation Safety Grants Program

July 21, 2011: Senate Committee on Commerce, Science, and Transportation, Subcommittee on Surface Transportation and Merchant Marine Infrastructure, Safety, and Security, Testimony on Federal Motor Carrier Safety Administration Reauthorization

April 7, 2011: House Committee on Transportation and Infrastructure, Subcommittee on Railroads, Pipelines, and Hazardous Materials, Testimony on Railroad and Hazardous Materials Transportation Programs

September 28, 2010: Senate Committee on Commerce, Science, and Transportation, Subcommittee on Surface Transportation and Merchant Marine Infrastructure, Safety, and Security, Testimony on Pipeline Safety

September 23, 2010: House Committee on Energy and Commerce, Subcommittee on Energy and Environment, Testimony on Pipeline Safety

September 15, 2009: Senate Committee on Commerce, Science, and Transportation, Aviation Subcommittee, Testimony on the Hudson River Midair Collision

July 29, 2009: Senate Committee on Commerce, Science, and Transportation, Confirmation hearing to be a Member of the National Transportation Safety Board

April 1, 1992: Government Activities and Transportation Subcommittee of the Committee on Government Operations, House of Representatives, Testimony on Aviation Safety Issues

June 28, 1990: Senate Committee on Commerce, Science, and Transportation, Confirmation hearing to be a Member of the National Transportation Safety Board

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I wish to serve as the Chairman of the NTSB, because I would be honored and privileged to have the opportunity, if confirmed, to continue to apply my skills and experiences by leading the NTSB to the benefit of this Administration and the American public.

With both technical and legal backgrounds, as well as being a pilot, and having served as an NTSB Member from 1990 until 1993, and as Vice Chairman of the NTSB from 2009 until now, I believe I am well-qualified to be the Chairman of the NTSB.

On the technical side, I have extensive experience developing effective and efficient ways to improve both safety and productivity in

complex, tightly-coupled systems such as transportation systems. This experience has been enhanced by my work with other industries, such as chemical manufacturing, nuclear power, petroleum refining, the financial industries, healthcare, and education, to help them benefit from very successful aviation safety lessons learned.

My legal background will help me address the many legal issues associated with improving transportation safety, as well as legal issues that are routinely faced by the NTSB in its appellate function.

In addition, I can apply my previous experience at the NTSB and the FAA to help structure an optimal relationship between the NTSB and the regulatory agencies that will allow them to perform their respective safety functions most effectively.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

Proper management and accounting controls are clearly essential to an organization of any size, and in most of the positions highlighted in my answer to Question 8, above, I acquired such management experience. The largest organization I managed is the National Highway Traffic Safety Administration, which has about 600 people and is about 50% larger than the NTSB.

20. What do you believe to be the top three challenges facing the department/agency, and why?

1. Many transportation companies are becoming increasingly proactive by collecting and analyzing data to identify precursors of potential problems and by addressing the problems before they cause mishaps. This trend, which is clearly very desirable, will require the NTSB to continually improve its investigation and report processes in order to continue adding value as an independent investigator. In response to this emerging reality, the NTSB must increasingly investigate and address near-misses and other undesirable occurrences that fortunately were not mishaps.

2. The NTSB has an opportunity to apply more robust “system” think capability to help assure that its recommendations, when implemented, will be beneficial not only to the specific problem being targeted, but also to the overall system, and will not result in unintended consequences.
3. Criminalization of transportation mishaps, as essential as it can be in some circumstances, can interfere with efforts to improve safety if applied overzealously. The NTSB has an opportunity to bring together legal and safety experts from various industries, both prosecutors and defense counsel, in an endeavor to develop a well-considered and broad-based strategy regarding criminalization of transportation mishaps, rather than allowing a policy to evolve haphazardly, case-by-case.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

None.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.

No.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with this nomination process, I have consulted with the Office of Government Ethics (OGE) and the NTSB's designated agency ethics official (DAEO) to identify potential conflicts of interest. Any potential conflicts will be resolved in accordance with the terms of an ethics agreement that I have entered into with the NTSB's DAEO and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with this nomination process, I have consulted with the OGE and the NTSB's DAEO to identify potential conflicts of interest. Any potential conflicts will be resolved in accordance with the terms of an ethics agreement that I have entered into with the NTSB's DAEO and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

For nearly twenty years I have been extensively involved in developing tools and processes to help the aviation community collect, analyze, and use data and information proactively to improve safety. As part of developing those tools and processes, I have been addressing related legal issues about public disclosure of safety-related information, regulatory enforcement, and criminal and civil liability. These presentations were not before federal or state legislative bodies; rather they were to regulators and the transportation industry regarding the proactive use of data to improve safety and the adverse impacts of overzealous criminalization of safety.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

In connection with this nomination process, I have consulted with the OGE and the NTSB's DAEO to identify potential conflicts of interest. Any potential conflicts will be resolved in accordance with the terms of an ethics agreement that I have entered into with the NTSB's DAEO and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

In 2013, an NTSB employee filed an administrative complaint with the Equal Employment Opportunity Commission (EEOC Case No. 570-2013-00900). The agency and complainant recently entered into a settlement agreement to resolve all outstanding issues. The case was recently dismissed by an EEOC administrative judge. In a second case, an agency employee recently filed a formal discrimination complaint with the EEOC. The agency is awaiting an acknowledgement order from an EEOC administrative judge. These two cases were filed prior to my service as Acting NTSB Chairman. EEOC procedure requires formal discrimination complaints to name the head of the agency in his or her official capacity. These two cases were filed prior to my service as Acting NTSB Chairman. I was named in both cases in my official capacity as Acting NTSB Chairman; neither suit alleged any specific wrongdoing by me.

In March 2014, the NTSB was served with a petition for review filed with the U.S. Court of Appeals for the District of Columbia Circuit. The petition seeks the court's review of an NTSB decision, dated January 16, 2014, to deny petitioner's administrative petition for reconsideration of the agency's probable cause determination in an

aviation accident investigation. (Joshi v. National Transportation Safety Board and the Federal Aviation Administration, No. 14-1034). The Government's motion to dismiss the petition for review is pending. The petition was filed prior to my service as Acting NTSB Chairman.

In 2008, I sued an employment services firm in DC Superior Court for breach of contract (nonperformance), and obtained a default judgment against the firm for \$12,777 plus interest (Hart v. Byron Associates, Civil Action No. 2008 CA 006624B (D.C. Superior Court, 2008))

During my tenure as FAA Assistant Administrator for System Safety, from 1995 until 2005, three racial and/or age discrimination claims were brought regarding selections or other decisions made by managers who reported to me, and one racial and age discrimination claim was brought regarding a selection I made. Two of the claims regarding the managers were settled at the administrative level (In re Blazy, DOT Complaint No. 2-01-2114 (2001); In re Randall, FAA Informal Case No. AWA-FY2004-0059 (2004)), and the third was settled while it was in the DC Federal District Court (McIntyre v. Mineta, Civil Action No. 05-0664 (ESH) (D.C.D.C. 2006)). My office prevailed at the Equal Employment Opportunity Commission on the merits in the claim regarding the selection that I made (McIntyre v. Mineta, EEOC Case No. 100-A2-7049X, Washington Field Office, 2003).

In 2001, in order to enable my son to attend high school in Washington, DC, I sought to re-open the custody determination from my divorce litigation (described below) that gave my ex-wife custody of our son in Florida. The DC Superior Court dismissed my re-opening efforts, on the grounds that the Florida courts should decide the issue (Hart v. Hart, Civil Action No. DR-0897-91d (D.C. Superior Court, 2001)), so I commenced litigation in Florida (Hart v. Hart, Civil Action No. FL-01-00046 (2nd Cir., Leon County, FL, 2001)). My ex-wife and I settled the Florida case, with the result that I obtained custody and my son attended and graduated from high school in Washington, DC.

In the mid-1990's, I commenced litigation in DC Superior Court against the condominium association in which I am an owner to repair

damages that resulted from repair work that was done by the condominium. We settled the case.

In 1991, I sued my wife for divorce in the DC Superior Court. I obtained the divorce but was not awarded custody of our son (Hart v. Hart, Civil Action No. DR-0897-91d (D.C. Superior Court, 1992), aff'd, Civil No. DR-897-91 (D.C.Ct.App. 1996)). During this process, our house went into foreclosure, and I commenced a separate action in DC Superior Court to delay the foreclosure. The foreclosure sale was deferred, and I purchased the house in the foreclosure sale.

The law firm of which I was a founder was sued for rent by its landlord in DC Superior Court in the late 1980's. The lawsuit was settled while it was under appeal (Hart v. Vermont Investment Limited Partnership, No. 94-CV-426 (D.C.Ct.App. 1995)).

I own three investment properties and my wife owns another, and those have resulted in three or four lawsuits in DC Superior (Small Claims) Court between my tenants and me over the years regarding amounts claimed to be owed. The most recent one for which I could find any records occurred in 1997. All such suits were settled.

In the mid 1970's, a partnership in which I was a partner sued a lessee of a partnership airplane for nonperformance in the local courts of Virginia. The lessee prevailed in that lawsuit.

4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

Please see my answer in Question C.3, above, regarding a discrimination claim that was filed at the EEOC, and decided on the merits in my favor, regarding a selection I made.

During my tenure as FAA Assistant Administrator for System Safety, from 1995 until 2005, a confidential complaint was filed with the FAA's Accountability Board, alleging that I allowed a contractor to hire only petite females for a division of my office. The complaint was investigated by the FAA's normal process for such complaints (which used investigators who were not from the FAA) and found to be without merit.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None to my knowledge.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes.

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

(Nominee is to include this signed affidavit along with answers to the above questions.)

F. Affidavit

Christopher A. Hart being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Christopher A. Hart
Signature of Nominee

Subscribed and sworn before me this 2nd day of July, 2017.

Tracy V. Williams

Notary Public

