

A. BIOGRAPHICAL INFORMATION AND QUALIFICATIONS

1. Name (Include any former names or nicknames used): Sandra (Sandy) Leigh Stosz
2. Position to which nominated: Vice Admiral for assignment as Deputy Commandant for Mission Support for the U. S. Coast Guard.
3. Date of Nomination:
4. Address (List current place of residence and office addresses):
Residence:
Office:
5. Date and Place of Birth: 2-25-1960 in Takoma Park, MD, USA
6. Provide the name, position, and place of employment for your spouse (if married) and the names and ages of your children (including stepchildren and children by a previous marriage): Robert James Volpe, Jr – Retired from the U.S. Coast Guard with 27 years of service. No children.
7. List all college and graduate degrees. Provide year and school attended.

1982: U.S. Coast Guard Academy, BS in Government

1994: J.L. Kellogg Graduate School of Management (Northwestern U), MBA

2004: National War College, MS in National Security Strategy

8. List all post-undergraduate employment, and highlight all management-level jobs held and any non-managerial jobs that relate to the position for which you are nominated.

U.S. Coast Guard Assignment History (management level jobs in bold)

Jun 11 – Present Superintendent, U. S. Coast Guard Academy

May 10 – May 11 Director, Reserve and Leadership

May 09 – May 10 Director, Enterprise Strategic Management and Doctrine

Jun 08-May 09 Executive Assistant to the Commandant

Mar 07-May 08 Commanding Officer, CG Training Center Cape May

Mar 06 – Mar 07 Chief, Officer Personnel Management

Jun 05 - Mar 06 Assistant Chief, Officer Personnel Management

Jul 04 – Jun 05 National War College

Jul 02 – Jul 04 USCGC RELIANCE, Commanding Officer

Jul 99 – Jul 02 Chief, Officer Boards, Promotions & Separations

Jul 97 – Jul 99 USCGC CONFIDENCE, Executive Officer

Aug 94 – Jul 97 Acquisition Funds Coordinator & Program Reviewer

Aug 92 – Aug 94 J.L. Kellogg Graduate School of Management

Aug 90 – Aug 92 USCGC KATMAI BAY, Commanding Officer

May 89 – Aug 90 Military Aide to the Secretary of Transportation

Jul 88 – May 89 Operations Technical Mgr - Polar Icebreaker Acquisition Project

Jul 85 – Jul 88 USCGC CLOVER, Operations Officer
Jun 83 – Jul 85 USCGC POLAR STAR, Navigator
Sep 82 – May 83 USCGC GLACIER, Deck Watch Officer

9. Attach a copy of your resume. My official Coast Guard biography is attached.
10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.
- 2012-Present: Homeland Security Academic Advisory Council (HSAAC) - Member
 - 2009-Present: Board of Trustees, U.S. Coast Guard Academy
 - 2010-2011: Board of Advisors, U. S. Training Center Cape May
 - 2011-Present: Southeastern Connecticut Council of Governments – Military Liaison
11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.
- 2011-Present: Southeastern Connecticut Chamber of Commerce – Coast Guard Academy is a member and I represent the institution
 - 2004-2007: Board of Directors, U.S. Coast Guard Academy Alumni Assn.
12. Please list each membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.
- Rotary Club of New London, CT – since 2011
 - Women's International Shipping and Trading Association USA (WISTA) – since 2013
 - Smithsonian Associates – past 15 years or so
 - Surface Navy Association – since 2009
 - Coast Guard Cuttermen's Association – since 2010
 - Friends of the National Zoo (FONZ) – past 10 years or so
 - Military Officers Association of America (MOAA) – past 10 years or so
 - Reserve Officers Association (ROA) – since Feb 2010
 - U. S. Naval Institute – since about 1991
 - Coast Guard Academy Alumni Assn – since 1982
- None of these organizations restricts membership on the basis of sex, race, color, religion, national origin, age, or handicap.*
13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt. No

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

None

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognition for outstanding service or achievements.

Scholarships/Fellowships:

- 1978: Earned a full Army ROTC Scholarship and a full scholarship to attend the U.S. Coast Guard Academy (attended CG Academy)
- 1999-2000: MIT Seminar XXI Fellowship

Military Awards:

- Legion of Merit (3 awards)
- Meritorious Service Medal (4 awards)
- Coast Guard Commendation Medal (2 awards)
- Coast Guard Achievement Medal (2 awards)

Public Recognition:

- Jul 2011: Resolution by the City Council, Chicago, IL in recognition of assuming duties as the first female superintendent of a federal service academy
- Jul 2011: USSailing "Sailor of the Week" designation
- Mar 2012: Newsweek, "The Daily Beast," 150 Women who Shake the World
- 21 Jun 12: ESPN 40 for 40 honoree (Title IX); Newseum - Washington, DC
- 27 Apr 12: Joe's Hero; Senator Joe Lieberman personal recognition
- 12 Sep 12: Girl Scouts of America 100th Anniversary Gala "Trail Blazer" award honoree - Hartford, CT
- Oct 2012: Women of Color STEM Award Professional Achievement Government - Dallas, TX
- 5 Sep 13: LATINA Style "Trailblazer Award" in recognition of distinguished service to our country and exemplary work as a female role model - Wash, DC
- Oct 2013: Women of Color Women in Military Service Award - Dallas, TX
- 23 Oct 2013: National Maritime Historical Society Distinguished Service Award - NY Yacht Club, NYC
- 11 Apr 2014: CT Woman in Leadership 2014 (Women and Families Center) Woman of the Year recognition - Hartford, CT
 - 11 Apr 2014: State of CT General Assembly Citation in recognition of Woman of the Year leadership recognition
 - 11 Apr 2014: Certificate of Special Recognition by Sen Richard Blumenthal in honor of being named 2014 CT Woman in Leadership
 - 11 Apr 2014: Certificate of honor from Sen Christopher Murphy in recognition for being named 2014 CT Women in Leadership

- 5 May 2014: Soldiers, Sailors, Marines, Coast Guardsmen and Airmen's Club Annual Red, White and Blue Luncheon: Distinguished Military Leadership Award - NYC

16. Please list each book, article, column, or publication you have authored, individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

Speeches:

- 3 Jun 11: Change of Command Keynote USCGA; 400 (audience size)
- Aug 2011: NPR Weekend Ed, Interview "Adventure, Equality, Draw Women to CGA"
- Aug 2011: Convocation Address; USCG Academy; 1,000
- Oct 2011: CNN HLN "Morning Express" Interview with Robin Meade
- Oct 2011: Women in Defense NE Chapter Panelist; Electric Boat
- 15 Dec 11: Women in Public Service Colloquium Panelist; State Department; 500
- Oct 2011: Women on the Water Keynote; Mass Maritime; 400
- Nov 2011: Town & Country Club Keynote; Hartford; 150
- 28 Mar 12: NTSB Women's History Month Keynote; NTSB; 300
- 12 Jul 12: Live NPR Hartford, "Where we Live" panel regarding challenges & opportunities as a woman in a male-dominated field
- 14 Jul 12: Int'l Conference of the Ninety-Nines Panelist, Marriott Providence, 100
- 18 Jul 12: SE CT Women's Network Keynote; Groton Inn & Suites; 150
- 16 Aug 12: Convocation Address; USCG Academy; 1,000
- 24 Aug 12: Women's Equality Day Keynote; West Point; 250
- 18 Sep 12: CT Women's Club Women in Leadership Series Keynote; Hartford; 200
- 22 Sep 12: AcademyWomen Officer Women Leadership Symposium Keynote; Women in Military Service of America, Arlington, VA; 300
- 10 OCT 12: Women in Defense Panelist; Washington, DC; 100
- 29 Nov 12: Community Foundation of Eastern CT, Women & Girls Fund Keynote; 150
- 10 Feb 13: Valley Forge Military Academy Keynote to Cadet Corps
- 21-22 Feb 13: USAFA annual Leadership & Character Symposium session speaker
- 29 Apr 13: UCONN Leadership Panel panelist; Norwich, CT; 150
- 17 May 13: CT Armed Forces Day Luncheon Keynote; 500; CT Governor & Senators
- 27 May 13: Memorial Day Observance Keynote; Falmouth, MA; 1,000
- 3 Aug 13: HERS (Higher Ed Resource Services) Denver Summer Institute Presidents Panel, Denver, CO; 200
- Aug 13: Convocation Address; USCG Academy; 1,000
- 5 Sep 13: LATINA Style Distinguished Military Service Award Lunch Keynote; Washington, DC; 300
- 27 Sep 13: ACE President's Panel at Assumption College panelist; Worcester, MA; 100
- 8 Nov 13: USMC Ball – Thames River Detachment Keynote; New London, CT; 100
- 18 Nov 13: Pfizer – Leadership & Veterans Keynote; Groton, CT; 400
- 20 Jan 14: Marion Military Institute Corpswide Keynote; Marion, AL; 300
- 6 Feb 14: Winthrop University West Forum Keynote; 200 (taped and aired on CSPAN Memorial Day 2014)

- 25 Feb 14: Cottey College Institute for Women's Leadership and Social Responsibility Keynote on Leadership for Distinguished Speaker Series; Kansas; 200
- 17 Mar 14: HERS President's Panel, Wellesley College; 100
- 31 Mar 14: World Maritime University Maritime Global Women's Leadership Symposium Keynote; Malmö, Sweden; 200
- 11 Jun 14: Commencement Address Marine Science Magnet school, Groton, CT; 150
- 21 Aug 14: Convocation Address; USCG Academy; 1,000
- 11 SEP 14: Chamber of Commerce SE CT Leadership Program Keynote; Groton, CT, 40
- 26 Sep 14: Chamber of Commerce SE CT Women in Leadership breakfast panelist; Groton, CT; 200
- 30 Oct 14: Friends of Ft. Trumbull, "Whaling City and the Sea: Past, Present, and Future," Keynote; New London, CT; 50

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

- May 12, 2010: Testified before the Senate Armed Services Committee, Subcommittee on Personnel with fellow Reserve Component Chiefs – an annual hearing in review of the Defense Auth request for the upcoming fiscal year
- June 19, 2012: Testified before the Senate Health, Education, Labor and Pension Committee along with three other women (Billie Jean King, Mae Jemison, Nancy Hogshead-Makar) on the positive impact of Title IX on my life and any success I may have achieved in my career

18. Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experience do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

I am a career Coast Guard officer with 33 years active duty service and a diverse background including many years of leadership and team building experience in command positions. I also have considerable experience in mission support activities such as financial resource management, program review of major systems acquisitions and human resource management. I believe the combination of leadership and mission support experience uniquely qualifies me to serve as Deputy Commandant for Mission Support. I am excited about serving in this position because the Coast Guard and our parent department, Homeland Security, are at the forefront of many efforts relevant to our national interest such as cyber security, western hemisphere engagement, the energy renaissance and Arctic policy development. We are recapitalizing our surface and aviation fleets to provide the assets needed to execute our many statutory missions. We have exceptional leadership under Secretary Jeh Johnson and Admiral Paul Zukunft, and opportunities abound for our organization to make a real impact to benefit the people of the United States. I have a strong passion for giving back to make the Coast Guard even better for the young men and women accessing into our Service who will replace me in the not-too-distant future. I am confident I have the experience and vision to support the Commandant of the U. S. Coast Guard in

achieving the organization's strategic objectives.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have in managing a large organization?

I have commanded at several levels – most recently leading the U.S. Coast Guard Academy, which actively participated in helping the U.S. Coast Guard contribute to the Department of Homeland Security earning a clean CFO audit in 2013 for the first time ever. As Superintendent, I put into place management and accounting controls where needed to comply with the numerous and sometimes evolving requirements. I also commanded the Coast Guard's only recruit training center (approximately 500 employees along with responsibility for 14 tenant units) and two Coast Guard cutters. If confirmed for this position, I will have cognizance over the Coast Guard's acquisition programs along with many other programs requiring strict management and accounting protocols. I will ensure the Coast Guard has proper management and accounting controls, including putting in place or utilizing systems to capture data, measure outcomes and compel accountability.

20. What do you believe to be the top three challenges facing the department/agency, and why?

1) Recapitalization of assets and infrastructure. Demand for Coast Guard services has continued to grow over the course of my 33 years with the organization. From the Magnuson-Stevens Fishery Conservation and Management Act of 1976 to the Oil Pollution Act of 1990, to the aftermath of 9-11, Hurricane Katrina and the Deepwater Horizon oil spill, the Coast Guard's value to America and our people has steadily increased. It is critical that our small but mighty service receive adequate funding to recapitalize aging assets and shore infrastructure to execute our 11 statutory missions. Recapitalization of assets and infrastructure is a top challenge for a small organization like the Coast Guard, in a challenging budget environment, as we strive to message our value and compete for scarce funds.

2) Reinvigoration of the Coast Guard's Human Capital Plan. The Coast Guard needs to educate, train and manage a workforce capable of meeting the challenges and opportunities of a changing world. Our workforce needs flexible policies to achieve their full potential, and we need people with expertise to operate complex systems and to meet increasing cyber and information technology demands. Finally, we need to build the Coast Guard of the future from the diverse and talented populations we serve from every segment of society.

3) Joint, interagency and interdepartmental cooperation and collaboration. As a result of its people, assets and authorities, the U.S. Coast Guard is highly desired in the joint, interagency and interdepartmental realms and given our small size, we must evaluate and pursue the most strategic, high value partnerships. Implementing Secretary Johnson's Joint Task Force stand-up, participating in the cyber and intelligence communities and collaborating to meet the maritime safety, security and stewardship demands of increased U. S. energy production and transport along our inland waterways all challenge the Coast Guard to leverage strategic partnerships.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing dealings with business associates, clients, or customers. Please include information related to retirement accounts.

Please see my Nominee PFDR.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association or other organization during your appointment? If so, please explain.
None
3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.
None
4. Describe any business relationship, dealing, or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.
None
5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

My official Coast Guard duties have from time to time required me to make recommendations to Coast Guard officials regarding Coast Guard policy and Coast Guard-related legislation.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

I will obtain advice from a Coast Guard ethics official and follow that advice.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.
No
2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.
No
3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.
No
4. Have you ever been convicted (including pleas of guilty or *nolo contendere*) of any criminal violation other than a minor traffic offense? If so, please explain.
No
5. Have you ever been accused, formally or informally, of sexual harassment or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.
No
6. If you ever served as a general court-martial convening authority involving an offense involving sexual misconduct or assault, have you ever disapproved the findings of a court-martial related to the offense(s) or reduced the sentence adjudged by a court-martial, other than in connection with a pre-trial agreement?
No
7. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.
No

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committees?

Yes

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosures?

Yes

3. Will you cooperate in providing the Committee with requested witnesses, including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

Yes

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes

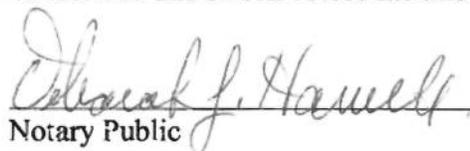
(Nominee is to include this signed affidavit along with answers to the above questions.)

F. AFFIDAVIT

Sandra Stosz being duly sworn, hereby states that he/she has read and signed the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.


Signature of Nominee

Subscribed and sworn before me this 17 day of MAR, 2015.


Notary Public

DEBORAH J. HAMILTON
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 5/31/2019